

Waiver Inventory & Utilization Summary (for the week ending 01/09)

Waiver Inventory (relief granted 03/21/2020 thru 05/22/2020)						Waiver Inventory (relief granted as of 09/18/2020)				
Waiver Category	Waiver Subcategory	Freight	Passenger	Social Distancing	Percent Social Distancing	Waiver Subcategory	Freight	Passenger	Social Distancing	Percent Social Distancing
Inspection & Testing Intervals/Physical Plant & Equipment	Part 213: Track Safety Standards	7	14	5	24%	Part 213: Track Safety Standar..	3	8	3	27%
	Part 215: Railroad Freight Car Safety S..	1	1	0	0%	Part 229: Locomotive Safety S..	4	6	0	0%
	Part 229: Locomotive Safety Standards	5	6	0	0%	Part 232: Brake System Stand..	1	1	1	50%
	Part 232: Brake System Standards	17	17	0	0%	Part 236: S&TC	11	24	7	20%
	Part 234: Grade Crossing Safety	1	1	0	0%	Part 238: Passenger Equipme..	0	7	3	43%
	Part 236: S&TC	27	27	3	6%	Part 217: Railroad Operating ..	2	2	2	50%
Operations & Maintenance Operational Relief	Part 238: Passenger Equipment	0	9	4	44%	Part 218: Railroad Operating ..	1	1	1	50%
	Part 217: Railroad Operating Rules	1	1	1	50%	Part 220: Railroad Communic..	1	1	1	50%
	Part 218: Railroad Operating Practices	3	3	2	33%	Part 225: Accidents/Incidents	0	5	0	0%
	Part 220: Railroad Communications	2	2	2	50%	Part 228: Employee Hours of ..	2	2	2	50%
	Part 225: Accidents/Incidents	0	6	0	0%	Part 234: Grade Crossing Safety	11	12	0	0%
	Part 227: Occupational Noise Exposure	0	1	1	100%	Part 214: Railroad Workplace ..	1	15	10	63%
Training & Testing of Employees	Part 228: Employee Hours of Service	2	4	2	33%	Part 238: Passenger Equipme..	0	1	1	100%
	Part 234: Grade Crossing Safety	12	12	0	0%	Part 239: Passenger Train Em..	0	1	0	0%
	Part 213: Track Safety Standards	1	1	1	50%	Part 240: Locomotive Enginee..	12	13	12	48%
	Part 214: Railroad Workplace Safety	2	10	2	17%	Part 242: Conductors	9	11	9	45%
	Part 217: Railroad Operating Rules	1	1	1	50%	Part 243: Training, Qualificati..	1	1	1	50%
	Part 227: Occupational Noise Exposure	1	1	1	50%	Grand Total	59	111	53	31%
	Part 228: Passenger Employee Hours ..	0	1	1	100%					
	Part 229: Railroad Locomotive Safety ..	1	1	1	50%					
	Part 232: Brake System Safety Standar..	1	1	1	50%					
	Part 238: Passenger Equipment Safety..	0	2	2	100%					
	Part 239: Passenger Train Emergency ..	0	3	1	33%					
	Part 240: Locomotive Engineers	15	14	13	45%					
Part 242: Conductors	11	12	9	39%						
Grand Total		111	151	53	20%					

*Waiver Utilization Status Summary (for the week ending 01/09)

Class I					Shortlines					Commuter					Utilization by Category		
Waiver Category	Waiver Subcategory	Waivers Used	# RR	Class I Utilization	Waiver Category	Waiver Subcategory	Waivers Used	# RR	Shortline Utilization	Waiver Category	Waiver Subcategory	Waivers Used	# RR	Commuter Utilization			
Operations & Maintenance Operational Relief	Part 217: Railroad Operating Rules	1	1	0.43%	Operations & Maintenance Operational Relief	Part 217: Railroad Operating Rules	2	1	0.00%	Inspection & Testing Intervals/Physical Plant & Equipment	Part 213: Track Safety Standards	1	1	0.02%	Inspection & Testing Intervals/Physical Plant & Equipment	0.41%	
	Part 228: Employee Hours of Service	3	3	1.30%		Part 240: Locomotive Engineers	8	4	0.02%		Part 229: Locomotive Safety St..	2	1	0.05%			
Training & Testing of Employees	Part 240: Locomotive Engineers	3	3	1.30%	Training & Testing of Employees	Part 242: Conductors	3	2	0.01%		Part 236: S&TC	1	1	0.02%		Operations & Maintenance Operational Relief	0.28%
	Part 242: Conductors	4	3	1.73%		Part 217: Railroad Operating R..	2	2	0.05%		Part 238: Passenger Equipment	4	1	0.10%			
Grand Total		11	6	4.76%	Grand Total		13	4	0.03%	Operations & Maintenance ..	2	2	0.05%	Training & Testing of Employees	1.00%		
											Training & Testing of Employees	4	2		0.10%		
										Part 239: Passenger Train Eme..	3	3	0.07%				
										Part 240: Locomotive Engineers	4	2	0.10%				
										Part 242: Conductors	3	2	0.07%				
										Part 243: Refresher Training	1	1	0.02%				
										Grand Total	24	6	0.58%				

* Sources from weekly AAR, ASLRRRA & APTA (Weekly reporting based on the waiver inventory dated 09/18/2020)
 Waiver Utilization calculations: **Class I** (number of waivers used / 231) **Shortlines** (number of waivers used / 42,657) **Commuter** (number of waivers used / 4,107)
Grand Total of # RR shows the unique number of railroads and not the total number of railroads using waivers.