

U.S. Department Of Transportation

Federal Railroad Administration

Jason Kallstrom Caltrain Deputy General Manager 93 Cahill Street San Jose, CA 95110

May 16, 2023

Dear Mr. Kallstrom:

This letter responds to the Caltrain Engineer Certification submission on March 28, 2023, to the Federal Railroad Administration (FRA) seeking approval of its material modifications to the Caltrain Conductor Certification Program received by FRA on March 28, 2023. Caltrain has complied with FRA's procedures for submission and approval of programs is described in appendix B to 49 CFR part 242. FRA reviewed the railroad's program modification and determined that the railroad's program modification conforms with the requirements of 49 CFR part 242 for the reasons discussed below.

Your submission states that on March 28, 2023, the railroad provided a copy of its program modification to Mr. Tim Montes of BLE, and Mr. Cody Carson of SMART-TD labor organization representing the railroad's employees. FRA received no comments on the program or program modification by the labor organizations.

The program modifications are consistent with the model of the previously approved Class III railroad programs. FRA understands that the modifications are intended to provide more detail to the conductor certification process and update contact information. Additional modifications to the submission also addressed deficiencies with the standard requirements of 49 CFR part 242, appendix B.

Please continue in your efforts to improve railroad safety on your system.

Please contact me with any questions regarding this submission at (202) 366-0978 or FRAOPCERTPROG@dot.gov or Kevin.Lewis@dot.gov

Sincerely,

Kurt Erickson FRA Rail Safety Specialist-Certification

pp Christian Holt Operating Practices Staff Director