# ARTICLE 6: AWARD AND PROJECT FINANCIAL INFORMATION

## 6.1 Award Amount

Agreement Federal Funds: $[Insert the total amount of Federal funds obligated under this Agreement.]

## 6.2 Federal Obligation Information

Federal Obligation Type: Single

## 6.3 Federal Authorization and Funding Source.

Authorizing Statute: 49 U.S.C. § 22908

Appropriation: [insert appropriation including fiscal year]

## 6.4 Funding Availability

Program funding that is obligated under this Agreement remains available until [insert the date that is the last day of the sixth Year of Service].

## 6.5 Approved Project Budget

The estimated total Project cost under this Agreement is $[insert amount of total Project cost estimate].

Consistent with the maximum funding limitations in 49 U.S.C. § 22908(e), FRA will contribute a maximum of 90 percent of the Net Operating Costs for the first Year of Service, 80 percent of the Net Operating Costs for the second Year of Service, 70 percent of the Net Operating Costs for the third Year of Service, 60 percent of the Net Operating Costs for the fourth Year of Service, 50 percent of the Net Operating Costs for the fifth Year of Service, 30 percent of the Net Operating Costs for the sixth Year of Service. The total FRA contribution amount will not exceed the Agreement Federal Funds in Section 6.1 of this Attachment 2. FRA will fund the Project at the lesser amount of the Agreement Federal Funds or the sum of the maximum percent of Net Operating Costs FRA may contribute for all Years of Service.

The Recipient is responsible for funding the Agreement Non-Federal Funds, which are estimated to be $[insert amount]. The Agreement Non-Federal Funds are comprised of [insert sources and values of Agreement Non-Federal Funds, including the estimated amount of Revenue].

The Recipient will complete the Project to FRA’s satisfaction within the Approved Project Budget, subject to Article 5 of Attachment 1 of this Agreement.

*Instructions: Complete Tables 6-A and 6-B to identify the Approved Project Budget by Year of Service and by Source. Remove any rows or columns that are not applicable or note in the table that those rows/columns are not applicable. Tables may need to be modified or expanded for some projects. In Table 6-B, identify all non-R&E grant sources of funding, including Revenue, as “Agreement Non-Federal Funds." For the purposes of this Agreement, Agreement Non-Federal funds may be from Federal sources other than the R&E grant.*

**Table 6-A – Approved Project Budget by Year of Service**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | Year of Service 1 | Year of Service 2 | Year of Service 3 | Year of Service 4 | Year of Service 5 | Year of Service 6 |
| Operating Costs  [Task 1 subtotal] | $ | $ | $ | $ | $ | $ |
| [Task 2 subtotal] | $ | $ | $ | $ | $ | $ |
| Operating Costs (all Tasks) | $ | $ | $ | $ | $ | $ |
| ***Total Project cost:*** *$* | | | | | | |
| Revenue | ($ ) | ($ ) | ($ ) | ($ ) | ($ ) | ($ ) |
| Net Operating Costs | $ | $ | $ | $ | $ | $ |
| Agreement Federal Funds: Percent of Net Operating Costs | 90% | 80% | 70% | 60% | 50% | 30% |
| Agreement Federal Funds: Amounts | $ [90% net operating cost] | $ [80% net operating cost] | $ [70% net operating cost] | $ [60% net operating cost] | $ [50% net operating cost] | $ [30% net operating cost] |

**Table 6-B: Approved Project Budget by Source**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Funding Source per Year of Service | Year of Service 1 | Year of Service 2 | Year of Service 3 | Year of Service 4 | Year of Service 5 | Year of Service 6 | Total |
| Agreement Federal Funds | $ | $ | $ | $ | $ | $ | $ |
| Agreement Non-Federal Funds | $ | $ | $ | $ | $ | $ | $ |
| Revenue | $ | $ | $ | $ | $ | $ | $ |
| [Source 2] | $ | $ | $ | $ | $ | $ | $ |
| **Operating Costs** | $ | $ | $ | $ | $ | $ | **Total Project Cost: $** |

## 6.6 Pre-Award Costs

*Instructions: If the Recipient has not incurred advance or pre-award costs, include the following:*

None. Consistent with 2 C.F.R. part 200, costs incurred before the date of this Agreement are not allowable costs under this award. FRA will neither reimburse those costs under this award nor consider them as a non-Federal cost-sharing contribution to this award.

*Instructions: If the Recipient has incurred advance or pre-award costs for the Project consistent with 2 C.F.R. part 200, include and complete the following:*

On [insert date], FRA provided pre-award authority for cost incurrence for the following costs for the Project in response to Recipient’s request:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Task #** | **Task Name** | **Agreement**  **Federal Funds** | **Agreement**  **Non-Federal**  **Funds** | **Total Cost** |
| 1 |  | $ | $ | $ |
| **Total** | | **$** | **$** | **$** |

The above pre-award costs were necessary for efficient and timely performance of the scope of work and were incurred directly pursuant to the negotiation and in anticipation of this Agreement.

## 6.7 Phased Funding Agreement

Not applicable.