

## **Note Added by FRA**

**May 2025**

FRA takes care to ensure the accuracy and completeness of all data in each annual enforcement report. Information in each of FRA's annual enforcement reports is based on information that FRA had at the time that the agency issued the report. If FRA receives more accurate or corrected information after publishing the report, FRA updates the enforcement data in its enforcement database and records. Therefore, reports FRA previously issued may not match the current data in FRA's recordkeeping system. Specifically, the number of violations associated with individual cases and the total number of violations shown in the report's Appendix may be incorrect. Beginning with the FY 2024 enforcement report, if any errors or discrepancies are identified, FRA will include them in the next annual enforcement report, in a section addressing such errata.

**Federal  
Railroad  
Administration**

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**Fiscal Year 2020  
Enforcement Report**

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# **Federal Railroad Administration Fiscal Year 2020 Enforcement Report**

## **I. INTRODUCTION**

For several decades, the Federal Railroad Administration (FRA) has compiled an annual civil penalty report summarizing the civil penalty claims for violations of Federal railroad safety and hazardous materials (hazmat) statutes, regulations, and orders FRA has closed.<sup>1</sup> As authorized by law, FRA negotiates settlements with railroads and other entities subject to its safety jurisdiction to resolve claims for civil penalties imposed for failures to comply with specific requirements that promote and ensure the safety of the Nation's freight and passenger railroad operations.<sup>2</sup> Also, as authorized by law, FRA issues orders assessing civil penalties for violations of the hazardous materials statutes, regulations, and orders.<sup>3</sup>

In April 2010, FRA posted an expanded enforcement report pursuant to 49 U.S.C. § 20120 on FRA's Web site (<http://www.fra.dot.gov>) to include more information.<sup>4</sup> FRA intends to make this additional safety enforcement information available each year by December 31 for the preceding fiscal year (FY), October 1 through September 30.

This FY 2020 report includes the following:

- A summary of rail safety and hazmat compliance inspections and audits FRA or State inspectors conducted and enforcement actions FRA recommended;
- A summary of FRA civil penalty enforcement actions sorted by type of alleged violation and type of respondent, including railroads, hazmat shippers, contractors, and individuals;
- A discussion of the relationship between inspections and enforcement actions, the number and rate of reportable accidents and incidents and railroad safety;
- An analysis of locomotive engineer certification cases brought before FRA; and
- A list of civil penalty cases FRA closed (at Appendix A to this report).

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<sup>1</sup> See primarily title 49 of the United States Code, chapters 201-213 and 51, respectively (49 U.S.C. ch. 201-213 and 51, respectively); title 49 of the Code of Federal Regulations (C.F.R.), subtitle B, chapter II (parts 209-244, 272) and chapter I, subchapter A, Hazardous Materials and Oil Transportation, and subchapter C, Hazardous Materials Regulations; 49 U.S.C. § 103; and 49 C.F.R. § 1.89.

<sup>2</sup> 49 U.S.C. ch. 213, and 31 U.S.C. § 3711.

<sup>3</sup> 49 U.S.C. ch. 51; 49 C.F.R. § 1.89; 49 C.F.R. part 209.

<sup>4</sup> This is consistent with Sections 303 and 307 of the Rail Safety Improvement Act of 2008 (RSIA), Public Law No. 110-432, Division A (122 Stat. 4848), enacted October 16, 2008 (codified at 49 U.S.C. §§ 20120 and 103, note, respectively).

## II. SUMMARY OF INSPECTIONS AND AUDITS PERFORMED, AND ENFORCEMENT ACTIONS RECOMMENDED IN FY 2020

### A. RAILROAD SAFETY AND HAZMAT COMPLIANCE INSPECTIONS AND AUDITS

#### 1. All Railroads and Other Entities (e.g., Hazmat Shippers) Except Individuals

Number of Inspection Reports:	77,771
Defects:	294,308
Units:	3,335,089
Number of Observations:	351,192
Number of Reports with a Recommended Violation:	3,888
Number of Recommended Violation Defects:	8,413
Number of Inspection Days:	61,655

#### 2. Railroads Only

Number of Inspection Reports:	71,148
Defects:	276,132
Units:	3,259,706
Number of Observations:	332,082
Number of Reports with a Recommended Violation:	3,007
Number of Recommended Violation Defects:	5,604
Number of Inspection Days:	58,666

### B. SUMMARY OF RAILROAD SAFETY VIOLATIONS CITED BY INSPECTORS, BY REGULATORY OVERSIGHT DISCIPLINE OR SUBDISCIPLINE

#### 1. Accident/Incident Reporting

Violation Type	Number of Recommended Violations
Accident Reporting	67

#### 2. Grade Crossing Signal System Safety

Violation Type	Number of Recommended Violations
Grade Crossing Signal Safety	193

### 3. Hazardous Materials

Violation Type	Number of Recommended Violations
Hazardous Materials	3,211

### 4. Industrial Hygiene

Violation Type	Number of Recommended Violations
Occupational Noise Exposure	0

### 5. Motive Power and Equipment

Violation Type	Number of Recommended Violations
Freight Car Safety Standards	435
Locomotive Safety Standards	545
Passenger Equipment Safety Standards	32
Passenger Train Emergency Preparedness	0
Rear End Marking Devices	0
Safety Appliance Statutes and Regulations	1,725
Safety Glazing Standards	4
Steam Locomotive Inspection and Maintenance	1
All	2,738

### 6. Railroad Operating Practices

Violation Type	Number of Recommended Violations
Alcohol and Drug Use	240
Conductor Qualifications	15
Critical Incident Stress Plans	1
Engineer Qualifications	19
FRA Emergency Order(s)	0
Hours of Service Laws and Regulations	136
Hours of Service Record Keeping	387
Railroad Communications	16
Railroad Operating Practices	502
Railroad Operating Rules	38
Railroad Safety Enforcement Procedures	27

<b>Train Horn/Quiet Zone</b>	15
<b>All</b>	1,396

## 7. Signal and Train Control

<b>Violation Type</b>	<b>Number of Recommended Violations</b>
<b>Signal Inspection Regulations</b>	55
<b>PTC System Implementation</b>	0
<b>All</b>	55

## 8. Track

<b>Violation Type</b>	<b>Number of Recommended Violations</b>
<b>Bridge Safety Standards</b>	144
<b>Bridge Worker Safety Standards</b>	5
<b>Roadway Worker Protection</b>	102
<b>Track Safety Standards</b>	496
<b>All</b>	747

# C. FRA AND STATE INSPECTIONS OF RAILROADS, SORTED BY RAILROAD TYPE

## 1. Class I Railroads

Number of Inspection Reports:	51,582
Defects:	200,262
Units:	2,543,619
Number of Observations:	248,057
Number of Reports with a Recommended Violation:	2,440
Number of Recommended Violation Defects:	4,492
Number of Inspection Days: <sup>5</sup>	43,526

<sup>5</sup> The total number of inspection days for Class I Railroads in II.C.1 of this report is less than the sum of all the individual Class I railroads' inspection days cited in II.D.1-8 of this report because FRA inspectors may visit more than one Class I railroad in a day. The same is true for the total number of inspection days for railroads FRA believes are Class II and Class III railroads. See note six for an explanation regarding FRA's determination of Class I, Class II and Class III railroads.

## 2. Probable Class II Railroads<sup>6</sup>

Number of Inspection Reports:	2,415
Defects:	7,718
Units:	158,687
Number of Observations:	11,607
Number of Reports with a Recommended Violation:	56
Number of Recommended Violation Defects:	86
Number of Inspection Days:	2,182

## 3. Probable Class III Railroads

Number of Inspection Reports:	17,151
Defects:	68,152
Units:	557,400
Number of Observations:	72,418
Number of Reports with a Recommended Violation:	511
Number of Recommended Violation Defects:	1,026
Number of Inspection Days:	15,211

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<sup>6</sup> FRA has identified seven of the eight Class I railroads based on information they filed with the Surface Transportation Board (STB) for calendar year 2019—the latest year available—regarding their annual operating revenues. See STB Web site (<http://www.stb.dot.gov>) under <https://prod.stb.gov/reports-data/economic-data/annual-report-financial-data/>, which provides a list of railroads that have filed such information by year.” STB requires such filings only from Class I railroads. See 49 C.F.R. § 1241.11 and Ex Parte No. 393 (Sub-No. 2), decided Oct. 28, 1988, 1988 WL 224990 (I.C.C.). Therefore, FRA identified the eighth Class I railroad, Amtrak, based on FRA research of other data. Generally, Class II and III railroads are not required to report their annual operating revenues to STB. As a result, FRA identified railroads that are probably Class II and Class III railroads based on its research of railroad revenues, which does not cover commuter railroads. FRA concludes that the following railroads are probably Class II railroads: Alaska Railroad Corp.; Buffalo & Pittsburgh Railroad, Inc.; Florida East Coast Railway Co.; Iowa Interstate Railroad, Ltd.; Montana Rail Link; Paducah & Louisville Railway Co.; Springfield Terminal Railway Co. and other regional railroads (including Boston & Maine Corp., Maine Central Railroad Co., Pan Am Southern LLC, and Portland Terminal Co.) (all held by Pan Am Railways, Inc.); Rapid City, Pierre & Eastern Railroad, Inc.; Wheeling & Lake Erie Railway Co.; and Wisconsin & Southern Railroad Co. Note that switching and terminal railroads are, by definition, Class III railroads, without regard to their annual operating revenues. 49 C.F.R. § 1201.1-1(d).

**D. INSPECTIONS AND RECOMMENDED ENFORCEMENT ACTIONS,  
SORTED BY CLASS I RAILROAD**

**1. BNSF Railway Company**

Number of Inspection Reports:	10,853
Defects:	42,267
Units:	569,422
Number of Observations:	51,484
Number of Reports with a Recommended Violation:	410
Number of Recommended Violation Defects:	633
Number of Inspection Days:	9,509

**2. Canadian National Railway/Grand Trunk Corporation**

Number of Inspection Reports:	2,603
Defects:	11,787
Units:	136,140
Number of Observations:	12,908
Number of Reports with a Recommended Violation:	124
Number of Recommended Violation Defects:	181
Number of Inspection Days:	2,295

**3. Canadian Pacific Railway/Soo Line Railroad Company**

Number of Inspection Reports:	1,637
Defects:	7,884
Units:	102,582
Number of Observations:	8,197
Number of Reports with a Recommended Violation:	73
Number of Recommended Violation Defects:	107
Number of Inspection Days:	1,456

**4. CSX Transportation, Inc.**

Number of Inspection Reports:	10,448
Defects:	37,768
Units:	512,477
Number of Observations:	50,506
Number of Reports with a Recommended Violation:	355
Number of Recommended Violation Defects:	709
Number of Inspection Days:	9,249

**5. The Kansas City Southern Railway Company**

Number of Inspection Reports:	1,307
Defects:	5,801
Units:	87,716
Number of Observations:	6,416
Number of Reports with a Recommended Violation:	34
Number of Recommended Violation Defects:	60
Number of Inspection Days:	1,197

**6. National Railroad Passenger Corporation (Amtrak)**

Number of Inspection Reports:	1,443
Defects:	2,133
Units:	17,466
Number of Observations:	6,009
Number of Reports with a Recommended Violation:	32
Number of Recommended Violation Defects:	37
Number of Inspection Days:	1,237

**7. Norfolk Southern Railway Company**

Number of Inspection Reports:	8,896
Defects:	35,280
Units:	428,715
Number of Observations:	43,414
Number of Reports with a Recommended Violation:	465
Number of Recommended Violation Defects:	1,089
Number of Inspection Days:	7,844

## **8. Union Pacific Railroad Company**

Number of Inspection Reports:	14,395
Defects:	57,342
Units:	689,101
Number of Observations:	69,123
Number of Reports with a Recommended Violation:	947
Number of Recommended Violation Defects:	1,676
Number of Inspection Days:	12,571

## **III. SUMMARIES OF CIVIL PENALTY INITIAL ASSESSMENTS, SETTLEMENTS, AND FINAL ASSESSMENTS IN FY 2020**

### **A. IN GENERAL<sup>7</sup>**

#### **Summary 1**

Summary 1 provides a broad overview of penalties FRA initially assessed during FY 2020, the initial penalty assessment for cases closed during FY 2020, and the amount of the settlement or the final assessment of civil penalty. FRA has transitioned to a paperless enforcement system and under that system, to enhance the readability of the cases on the computer screen, a case includes only one violation report, and not multiple reports, as in the past.

Summary 1, below, provides the following:

- The number of violations for which FRA assessed a civil penalty in FY 2020 (through demand letters or, in hazmat cases, notices of probable violation);
- The number of violation reports that FRA declined to enforce in FY 2020 after legal review;
- The initial amount of civil penalties assessed in FY 2020 (the amount of the civil penalty specified in FRA's demand letter or, for hazmat cases, a notice of probable violation that was transmitted to a respondent (railroad, hazmat shipper, or individual that received the penalty assessment)) regardless of whether FRA closed the cases during FY 2020;
- The civil penalties FRA initially assessed (the "potential collectible amount" or "POCA" listed in in Appendix A) in all cases FRA settled or otherwise closed during FY 2020 (because FRA issued an order assessing a civil penalty in a hazmat case or the respondent paid the civil penalty in full without settling with FRA); and
- The total amount of civil penalties assessed or settled during FY 2020.<sup>8</sup>

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<sup>7</sup> The totals in this section exclude civil penalties against individuals. Those are addressed in section IV.A. of this report.

<sup>8</sup> In this report, FRA rounded settlement amounts to the nearest whole dollar.

## Summary 2

To provide more transparency, Summary 2, below, shows initial assessment information only for those cases closed during FY 2020. **All numbers in Summary 2 reflect the initial assessments that resulted in FY 2020 settlements or final assessments even though the initial assessments may have occurred in a prior fiscal year.** This summary shows (1) the difference between the initial amount of civil penalties assessed and the settlement or final assessment amount, and (2) the difference between the revised assessment amount (or what Appendix A describes as the “provable collectible amount” or “PRCA”) and the final assessment or settlement amount. The revised assessment amount is the amount FRA calculated it could legally collect after evaluating the facts of the violation.

*Caveat:* The number of violation reports in a single case ranges from one to five or more, depending on a number of factors, and a single violation report may allege one or more violations. Therefore, the number of cases with civil penalties initially assessed or settled or finally assessed during a specific period cannot be used for a realistic comparison. This report provides the information, as required by the statute, in Summary 1 and Summary 2. However, the number of violations provides a better opportunity for standardized review and comparison than does the number of cases.

### **B. SUMMARY 1—BRIEF SUMMARY, WITH FOCUS ON INITIAL ASSESSMENTS TRANSMITTED**

Total number of cases with civil penalties initially assessed in FY 2020:	4,389
Total number of violations with civil penalties initially assessed in FY 2020:	4,620
Total number of violation reports declined during legal review in FY 2020:	55
Total amount of civil penalties initially assessed (POCA) for violations in cases transmitted in FY 2020:	\$21,501,166
Total amount of civil penalties initially assessed (POCA) for violations in cases finally assessed or settled in FY 2020:	\$24,413,012
Total final civil penalty assessment or settlement in FY 2020:	\$15,774,930

## **C. BREAKDOWN OF INITIAL ASSESSMENTS IN SUMMARY 1**

### **1. For Each Class I Railroad Individually in FY 2020**

#### AMTRAK

Number of cases with civil penalties initially assessed:	28
Number of violations with civil penalties initially assessed:	28
Number of violation reports declined during legal review:	1
Initial amount of civil penalty assessed:	\$145,000

#### BNSF RAILWAY COMPANY

Number of cases with civil penalties initially assessed:	499
Number of violations with civil penalties initially assessed:	522
Number of violation reports declined during legal review:	12
Initial amount of civil penalty assessed:	\$2,193,500

#### CANADIAN NATIONAL RAILWAY/GRAND TRUNK CORPORATION

Number of cases with civil penalties initially assessed:	160
Number of violations with civil penalties initially assessed:	163
Number of violation reports declined during legal review:	4
Initial amount of civil penalty assessed:	\$655,000

#### CANADIAN PACIFIC RAILWAY/SOO LINE RAILROAD COMPANY

Number of cases with civil penalties initially assessed:	102
Number of violations with civil penalties initially assessed:	107
Number of violation reports declined during legal review:	0
Initial amount of civil penalty assessed:	\$898,500

CSX TRANSPORTATION, INC.

Number of cases with civil penalties initially assessed:	575
Number of violations with civil penalties initially assessed:	608
Number of violation reports declined during legal review:	8
Initial amount of civil penalty assessed:	\$2,644,359

THE KANSAS CITY SOUTHERN RAILWAY COMPANY

Number of cases with civil penalties initially assessed:	48
Number of violations with civil penalties initially assessed:	51
Number of violation reports declined during legal review:	0
Initial amount of civil penalty assessed:	\$198,000

NORFOLK SOUTHERN RAILWAY COMPANY

Number of cases with civil penalties initially assessed:	494
Number of violations with civil penalties initially assessed:	510
Number of violation reports declined during legal review:	10
Initial amount of civil penalty assessed:	\$2,588,500

UNION PACIFIC RAILROAD COMPANY

Number of cases with civil penalties initially assessed:	1,302
Number of violations with civil penalties initially assessed:	1,376
Number of violation reports declined during legal review:	4
Initial amount of civil penalty assessed:	\$5,042,539

**2. For Probable Class II Railroads in the Aggregate in FY 2020**

Number of cases with civil penalties initially assessed:	88
Number of violations with civil penalties initially assessed:	90
Number of violation reports declined during legal review:	1
Initial amount of civil penalty assessed:	\$493,192

**3. For Probable Class III Railroads in the Aggregate in FY 2020<sup>9</sup>**

Number of cases with civil penalties initially assessed:	551
Number of violations with civil penalties initially assessed:	575
Number of violation reports declined during legal review:	6
Initial amount of civil penalty assessed:	\$3,132,884

**4. For Hazmat Shippers in the Aggregate in FY 2020**

Number of cases with civil penalties initially assessed:	472
Number of violations with civil penalties initially assessed:	515
Number of violation reports declined during legal review:	8
Initial amount of civil penalty assessed:	\$3,159,692

**5. For Contractors in the Aggregate in FY 2020**

Number of cases with civil penalties initially assessed:	26
Number of violations with civil penalties initially assessed:	26
Number of violation reports declined during legal review:	0
Initial amount of civil penalty assessed:	\$98,000

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<sup>9</sup> This category may be over-inclusive as STB jurisdiction may not extend to some of the commuter railroads that FRA has listed as Class III railroads. Regardless, the “Total final civil penalty assessment or settlement in FY 2020” amount remains correct as FRA includes data from enforcement actions against regulated entities that are otherwise not subject to STB jurisdiction.

**6. For Other Cases in the Aggregate in FY 2020<sup>10</sup>**

Number of cases with civil penalties initially assessed:	44
Number of violations with civil penalties initially assessed:	49
Number of violation reports declined during legal review:	1
Initial amount of civil penalty assessed:	\$251,500

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<sup>10</sup> The category of “other cases” includes all hazardous materials civil penalty cases against respondents that are coded in FRA’s Railroad Compliance System as contractors rather than shippers. Some entities have been classified as contractors based on criteria unrelated to the shipment of hazardous materials but perform functions under hazardous materials regulations that are associated with shippers.

**D. SUMMARY 2—MORE DETAILED SUMMARY OF SETTLEMENTS AND  
FINAL ASSESSMENTS OF CIVIL PENALTIES IN FY 2020**

Total number of cases closed in FY 2020:	4,308
Total number of violations in cases closed in FY 2020:	6,053
Total initial amount of civil penalty assessed for cases closed (POCA):	\$24,413,012
Total final amount of civil penalty assessed or settlement for cases closed:	\$15,774,930
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$2,667,419
Amount of revised assessment after terminations (PRCA):	\$21,745,593
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$8,638,082
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$5,970,663

**E. BREAKDOWN OF SETTLEMENTS AND FINAL ASSESSMENTS  
IN SUMMARY 2**

**1. For Each Class I Railroad Individually in FY 2020**

**AMTRAK**

Number of cases closed:	28
Number of violations in cases closed:	28
Initial amount of civil penalty assessed for cases closed (POCA):	\$125,500
Final amount of civil penalty assessed or settlement for cases closed:	\$92,000
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$23,900
Amount of revised assessment after terminations (PRCA):	\$101,600
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$33,500
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$9,600

BNSF RAILWAY COMPANY

Number of cases closed:	494
Number of violations in cases closed:	558
Initial amount of civil penalty assessed for cases closed (POCA):	\$2,128,000
Final amount of civil penalty assessed or settlement for cases closed:	\$1,502,149
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$66,000
Amount of revised assessment after terminations (PRCA):	\$2,062,000
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$625,851
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$559,851

CANADIAN NATIONAL RAILWAY/GRAND TRUNK CORPORATION

Number of cases closed:	177
Number of violations in cases closed:	178
Initial amount of civil penalty assessed for cases closed (POCA):	\$667,500
Final amount of civil penalty assessed or settlement for cases closed:	\$473,462
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$20,000
Amount of revised assessment after terminations (PRCA):	\$647,500
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$194,038
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$174,038

CANADIAN PACIFIC RAILWAY/SOO LINE RAILROAD COMPANY

Number of cases closed:	110
Number of violations in cases closed:	115
Initial amount of civil penalty assessed for cases closed (POCA):	\$915,500
Final amount of civil penalty assessed or settlement for cases closed:	\$554,869
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$252,000
Amount of revised assessment after terminations (PRCA):	\$663,500
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$363,631
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$108,631

CSX TRANSPORTATION, INC.

Number of cases closed:	595
Number of violations in cases closed:	714
Initial amount of civil penalty assessed for cases closed (POCA):	\$2,727,878
Final amount of civil penalty assessed or settlement for cases closed:	\$1,917,235
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$134,000
Amount of revised assessment after terminations (PRCA):	\$2,593,878
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$810,643
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$676,643

#### THE KANSAS CITY SOUTHERN RAILWAY COMPANY

Number of cases closed:	38
Number of violations in cases closed:	42
Initial amount of civil penalty assessed for cases closed (POCA):	\$153,000
Final amount of civil penalty assessed or settlement for cases closed:	\$106,962
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$9,500
Amount of revised assessment after terminations (PRCA):	\$143,500
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$46,038
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$36,538

#### NORFOLK SOUTHERN RAILWAY COMPANY

Number of cases closed:	337
Number of violations in cases closed:	391
Initial amount of civil penalty assessed for cases closed (POCA):	\$1,627,474
Final amount of civil penalty assessed or settlement for cases closed:	\$1,152,331
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$32,999
Amount of revised assessment after terminations (PRCA):	\$1,594,475
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$475,143
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$442,144

## UNION PACIFIC RAILROAD COMPANY

Number of cases closed:	1,368
Number of violations in cases closed:	2,750
Initial amount of civil penalty assessed for cases closed (POCA):	\$8,208,584
Final amount of civil penalty assessed or settlement for cases closed:	\$4,341,835
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$1,701,020
Amount of revised assessment after terminations (PRCA):	\$6,507,564
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$3,866,749
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$2,165,729

### **2. For Probable Class II Railroads in the Aggregate in FY 2020**

Number of cases closed:	69
Number of violations in cases closed:	69
Initial amount of civil penalty assessed for cases closed (POCA):	\$356,692
Final amount of civil penalty assessed or settlement for cases closed:	\$284,222
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$5,000
Amount of revised assessment after terminations (PRCA):	\$351,692
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$72,470
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$196,042

### **3. For Probable Class III Railroads in the Aggregate in FY 2020**

Number of cases closed:	570
Number of violations in cases closed:	607
Initial amount of civil penalty assessed for cases closed (POCA):	\$3,468,884
Final amount of civil penalty assessed or settlement for cases closed:	\$2,403,557
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$219,000
Amount of revised assessment after terminations (PRCA):	\$3,249,884
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$1,065,327
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$846,327

### **4. For Hazmat Shippers in the Aggregate in FY 2020**

Number of cases closed:	465
Number of violations in cases closed:	533
Initial amount of civil penalty assessed for cases closed (POCA):	\$3,445,500
Final amount of civil penalty assessed or settlement for cases closed:	\$2,589,582
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$177,000
Amount of revised assessment after terminations (PRCA):	\$3,263,500
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$855,918.00
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$678,918

## **5. For Contractors in the Aggregate in FY 2020**

Number of cases closed:	16
Number of violations in cases closed:	17
Initial amount of civil penalty assessed for cases closed (POCA):	\$88,500
Final amount of civil penalty assessed or settlement for cases closed:	\$58,816
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$0
Amount of revised assessment after terminations (PRCA):	\$88,500
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$29,684
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$29,684

## **6. For Other Cases in the Aggregate in FY 2020<sup>11</sup>**

Number of cases closed:	39
Number of violations in cases closed:	47
Initial amount of civil penalty assessed for cases closed (POCA):	\$486,500
Final amount of civil penalty assessed or settlement for cases closed:	\$304,645
Amount terminated (generally due to legal defenses presented during settlement negotiations):	\$22,500
Amount of revised assessment after terminations (PRCA):	\$464,000
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed:	\$181,855
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed:	\$159,355

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<sup>11</sup> The category of “other cases” includes all hazardous materials civil penalty cases against respondents that are coded in FRA’s Railroad Compliance System as contractors rather than shippers. Some entities have been classified as contractors based on criteria unrelated to the shipment of hazardous materials but perform functions under hazardous materials regulations that are associated with shippers.

#### **IV. ENFORCEMENT ACTIONS AGAINST INDIVIDUALS IN FY 2020**

##### **A. CIVIL PENALTY CASES AGAINST INDIVIDUALS IN THE AGGREGATE**

Total number of civil penalty cases initially assessed in FY 2020:	0
Total number of violations with civil penalties initially assessed in FY 2020:	0
Total initial amount of civil penalty assessed in FY 2020:	\$0
Number of civil penalty cases closed in FY 2020:	0
Total number of violations in cases closed in FY 2020:	0
Total initial amount of civil penalty assessed for cases closed in FY 2020:	\$0
Total final amount of civil penalty assessed (or settlement) for cases closed in FY 2020:	\$0
Amount terminated (generally due to legal defenses presented during settlement negotiations) for cases closed in FY 2020:	\$0
Amount of revised assessment (PRCA) after terminations:	\$0
Difference between revised civil penalty assessment (PRCA) and final civil penalty assessment for cases closed in FY 2020:	\$0
Difference between initial amount of civil penalty assessed (POCA) and final settlement amount for a violation in a case closed in FY 2020:	\$0

**B. OTHER ENFORCEMENT ACTIONS AGAINST INDIVIDUALS IN THE AGGREGATE**

Number of notices of proposed disqualification issued and served in FY 2020:	3
Number of proposed disqualification cases closed in FY 2020:	2
Number of warning letters issued by Office of Chief Counsel in FY 2020:	0
Number of warning letters issued by regional offices of FRA Office of Railroad Safety (regional warning letters) in FY 2020:	8

**V. DISCUSSION OF RAILROAD SAFETY—THE RELATIONSHIP OF INSPECTIONS, ENFORCEMENT, AND ACCIDENTS OR INCIDENTS**

FRA was statutorily mandated<sup>12</sup> to commission a report by an independent consultant examining FRA’s approach to achieving industry compliance with the Federal railroad safety laws and the hazmat transportation safety laws and their implementing regulations, and the role of civil penalties in that process. The final report, dated July 15, 2009, was entitled, “The Federal Railroad Administration’s Use of Civil Penalties in the Federal Railroad Safety Program.” This report concludes on page 13 that—

[t]he fair and professional conduct of an agency’s regulatory function requires the informed exercise of discretion beginning with the FRA inspector on the ground and continuing with FRA’s regional discipline specialist, the regional administrator, and headquarters officials in FRA’s Office of Railroad Safety and Office of Chief Counsel. This use of discretion helps ensure that the agency’s exercise of enforcement power is calibrated to achieve an effect that is proportional to the specific circumstances of a given violation. The final element of the agency’s discretion in the civil penalty context is the exercise of the power to compromise authorized and guided by law, directed by the Executive, and strongly encouraged the Judiciary.

FRA’s exercise of the statutory authority to compromise civil penalty assessments serves the purpose of encouraging compliance by ensuring that the enforcement process is proportional in those cases [in which FRA assesses penalties]. [Using] the enforcement hand, seen (as in the case of civil penalty assessments) or unseen

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<sup>12</sup> Consolidated Appropriations Act, 2008 (H.R. 2764; Public Law 110-161), House Appropriations Committee Print, Division K—Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2008, Explanatory Statement, at 2367.

(as during FRA inspectors' daily interactions with railroad personnel regarding safety issues), as consistently as possible across the railroad industry . . . results in a rational, effective safety program.

As the independent consultant noted, FRA has long sought to determine whether enforcement actions measurably correlate with the imposition of civil penalties and with specific safety performance improvements. Previously, FRA found that the available data permits some measurement of safety improvements in a functional area covered by an entire rule or an entire safety program. However, FRA cannot determine from the data whether detectable safety improvements are directly attributable to discrete civil penalties. Accordingly, FRA relies heavily upon the knowledge and expertise of its field inspectors who are most familiar with the unique attributes of specific railroad operations, geographic territories, facilities, and safety practices. Subjectively, their nuanced perceptions and judgments indicate that issuing civil penalties yields observable improvements in safety practices and compliance with the law. However, it is important to note that civil penalties are by no means FRA's only enforcement tool.

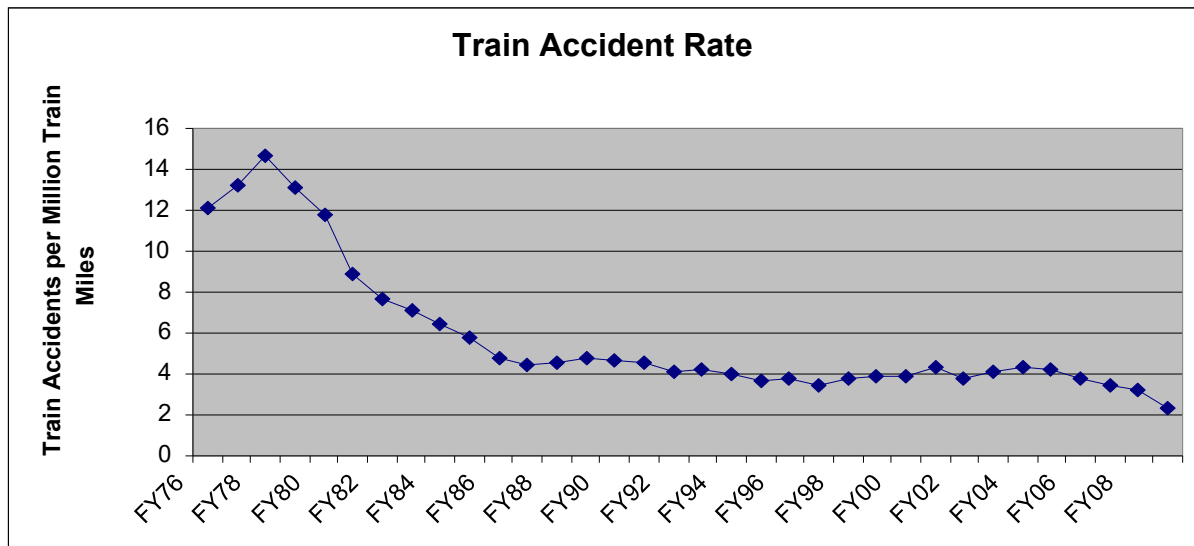
Thus, while FRA cannot precisely quantify the impact of civil penalties, it carefully monitors railroad reactions and responses to enforcement activity, and adjusts the intensity and duration of focused oversight as necessary. FRA compiles and analyzes a vast amount of data derived from reports the regulated railroads prepare and file with FRA. However, the report to Congress found that the data FRA uses to identify and track safety trends is typically developed separately from regulatory provisions that ameliorate the conditions leading to particular accident causes that have civil penalties associated with them. Results of this research found no meaningful correlation between specific accident cause codes and use of a civil penalty to enforce a specific regulatory provision, making statistically valid and reliable comparisons exceedingly difficult and statistically suspect or grossly inaccurate.

In addition, examining FRA's civil penalty enforcement in a vacuum, without considering the numerous other factors influencing positive safety outcomes, such as railroad management and individual rail worker behavior and activity, is not possible. One must consider FRA's regulatory regime as a whole instead of as its component parts. Specific regulatory provisions can complement one another to minimize or prevent conditions that contribute to an accident. However, the complexity of the regulatory scheme may conceal the magnitude of any one regulatory provision's contribution to the positive safety outcome.

As stated in the independent consultant's report on page 41—

[o]ne fruitful way to take a holistic view of the effects of the safety program is to look at accident rates over the long term. Rates, which are normalized by million train miles traveled, more reliably indicate the true state of railroad safety than do raw accident numbers. As FRA began to promulgate the first versions of major rules such as track safety standards and power brakes in the 1970's, the adverse trend in railroad safety was slowed and then sharply reversed. There are few sharp lines of demarcation because railroads and shippers often began to modify their behavior during the rulemaking process; a new safety rule typically takes effect a

considerable time after it is issued; and enforcement can occur only after the effective date of the rule. Nevertheless, each subject FRA regulates shows a response pattern generally similar to the graph shown below for train accident rates, which reflects the strongly positive effects of FRA's railroad safety program, including civil penalty enforcement, even though the data shown do not permit one to draw statistically valid conclusions about the precise effects of civil penalties, or other measures, in isolation. This dramatic improvement in railroad safety over the past 30 years suggests that FRA would be well advised to continue in the future to pursue the various measures and strategies that have guided its safety program in the past. As the results of this study suggest, it is the cumulative impact of these measures, one supporting and amplifying the other, that makes the difference.



## **VI. SUMMARY AND ANALYSIS OF OPERATING CREW CERTIFICATION CASES<sup>13</sup>**

### **A. LOCOMOTIVE ENGINEER AND OPERATING CREW REVIEW BOARD (LERB/OCRB)**

Petitions for relief filed with the LERB/OCRB in FY 2020: [16 Conductor + 11 Locomotive Engineer]	27
Decisions issued by the LERB/OCRB in FY 2020: [22 Conductor + 31 Locomotive Engineer]	53
Average length of time for decision in FY 2020:	298 days from the date petition filed <sup>14</sup> (227 days from the date of the railroad's response to the appeal to the date that a decision was issued <sup>15</sup> )

### **B. ADMINISTRATIVE HEARINGS**

Number of pending cases before the Administrative Hearing Officer (AHO) as of October 1, 2019:	11
Number of requests for review by the AHO received during FY 2019:	1
Number of cases closed by the AHO during FY 2020: <sup>16</sup>	10
Number of pending AHO cases as of October 1, 2020:	2
Average length of time for decision or other disposition:	25 months

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<sup>13</sup> FRA's Locomotive Engineer Review Board (LERB) reviews petitions for initial review of railroad decisions denying or revoking locomotive engineer certifications (49 C.F.R. part 240), while the Operating Crew Review Board (OCRB) reviews petitions for initial review of railroad decisions denying or revoking conductor certifications (49 C.F.R. part 242). Because both Boards share the same board members, FRA merged the two review boards, effective January 14, 2021, so that both crew members will have their petitions reviewed solely by the OCRB. See 85 Fed. Reg. 81290 (Dec. 15, 2020).

<sup>14</sup> The Board endeavors to render a decision on each petition within 180 days from the date that the railroad's response is received or from the date upon which the railroad's response period has lapsed, which equates to 240 days from the date the petition is filed. This excludes any delay issuing an interim order caused, where one or more of the parties initially provided incomplete information. Due to requests granted through emergency waivers, each railroad is allowed an additional 60 days to file its response, and thus the Board's goals for rendering a decision during most of this period equate to 300 days from the date the petition is filed.

<sup>15</sup> See preceding footnote.

<sup>16</sup> This number of cases the AHO closed includes cases closed by decision, stipulation, or dismissal.

### **C. APPEALS TO THE ADMINISTRATOR**

Appeals to the Administrator from the AHO decisions filed in FY 2020:	0
Number of Appeals Pending during FY 2020: (as of October 1, 2019, there were 2 cases pending)	2
Decisions issued by the Administrator during FY 2020:	0
Average length of time for decision issued in FY 2020: (from close of record to decision)	421 (calendar days)

### **VII. SUMMARY AND ANALYSIS OF ADMINISTRATIVE HEARING CASES RELATED TO HAZMAT VIOLATIONS OR ENFORCEMENT ACTIONS AGAINST INDIVIDUALS**

Number of hearings requested in FY 2020:	1
Number of hearing-request cases completed in FY 2020:	1

### **VIII. NUMBER OF CASES REFERRED TO THE ATTORNEY GENERAL FOR CIVIL OR CRIMINAL ENFORCEMENT**

Number of cases referred to the Attorney General for civil enforcement in FY 2020:	0
Number of cases referred to the Attorney General for criminal enforcement in FY 2020:	0

### **IX. NUMBER AND SUBJECT MATTER OF COMPLIANCE ORDERS, EMERGENCY ORDERS, OR PRECURSOR AGREEMENTS**

During FY 2020, FRA did not issue any compliance or emergency orders, or enter into any precursor agreements.

**APPENDIX A**  
**“Railroad Safety Civil Penalty Cases Closed during Fiscal Year 2020”**



**Federal Railroad Administration  
OFFICE OF CHIEF COUNSEL  
Safety Law Division**

**RAILROAD SAFETY CIVIL PENALTY CASES  
CLOSED DURING FISCAL YEAR 2020**

This report summarizes the disposition of all civil penalty cases for which the Federal Railroad Administration (FRA) reached an agreement to collect a civil penalty, issued an order requiring the payment of a civil penalty, or otherwise closed under the Federal railroad safety statutes and regulations the hazardous materials transportation laws (HMT) during fiscal year 2020. FRA closed cases under most of these statutes by settlement, and under the HMT generally by final orders of assessment. Total penalty settlements and final assessments against companies, summarized in this report, were as follows:

Railroad safety statutes (except HMT)	\$12,068,114
HMT	\$ 3,706,816
Total Civil Penalties	<u>\$15,774,930</u>

FRA collected no civil penalty settlements or final assessments from individuals for alleged violations of the railroad safety laws.

**The Federal Railroad Safety Statutes and Regulations**

In the 1890s, Congress began regulating the railroad industry for safety purposes by enacting narrowly drawn laws to deal with discrete rail safety issues such as safety appliances and locomotive inspection. Having determined the need for more comprehensive regulation, Congress enacted the Federal Railroad Safety Act of 1970 (FRSA), which granted rulemaking authority over "all areas of railroad safety . . . ." FRA has exercised this authority by issuing a wide variety of rail safety regulations. See Parts 209 through 244 of Title 49 of the Code of Federal Regulations. Civil penalties are an important means of enforcing those regulations and the safety statutes.

In 1975, the Hazardous Materials Transportation Act (HMTA) added civil penalties to the criminal penalties already available for hazardous materials violations. HMTA regulations, although issued by the Department of Transportation's (DOT's) Pipeline and Hazardous Materials Safety Administration, are generally enforced by the DOT administration responsible for each mode of transportation, e.g., by FRA in cases involving the transportation or shipment of hazardous materials by rail. In 1994, Congress repealed the FRSA, HMTA, and other Federal railroad safety statutes and recodified them in title 49 of the United States Code, chapters 51 and 201-213.

**The Civil Penalty Process**

To promote safety compliance, FRA inspectors and participating States submit reports alleging violations of Federal safety and HMT laws and regulations to the Safety Law Division of the Office of Chief Counsel. This Division reviews the reports for legal sufficiency, aggregates those of one type containing one or more alleged violations into a single case, assesses a penalty for each alleged violation, and transmits the case to the railroad, shipper, individual, or other entity in a penalty demand letter or, if an HMT case, a Notice of Probable Violation. After a respondent in such a case has conducted its own investigation, and unless the respondent pays the full amount of the initial assessment, negotiations are held, during which the respondent presents defenses or arguments for mitigation and offers of settlement or final assessment are exchanged. In these negotiations, FRA focuses on applying the statutory assessment criteria to the facts of each case. When an agreement is reached, payment is generally due within 30 days of its execution. A more detailed statement of this process and FRA's enforcement policies are included in 49 CFR Part 209, Appendix A. Where settlement cannot be reached, FRA may refer cases to the Department of Justice for litigation. The Attorney General, with FRA's active participation, may bring suit to enforce the penalty assessed in the appropriate Federal court.

HMT cases are normally concluded by FRA issuing an order of assessment predicated on a finding of a knowing violation. If an HMT case is not resolved informally, a respondent may request a formal hearing on FRA's allegations before a hearing officer designated by the Chief Counsel and then appeal an adverse

decision to the Administrator of FRA before judicial litigation.

FRA ordinarily closes the vast majority of its civil penalty cases without need for litigation, consistent with the rail safety statutory scheme that promotes compromise based on specified settlement criteria. The negotiation process takes into account the strengths and weaknesses of each case and the overall goals of the safety program. We believe that collection of these penalties, while just one of many compliance tools FRA uses, contributes significantly to improving regulatory compliance and achieving safety program goals.

John T. Seguin  
Assistant Chief Counsel for Safety

## **Abbreviations and Explanations of Terms Used in this Report**

The type of violation alleged in each civil penalty case can be identified using the following codes, one of which appears as a suffix to each case number:

<b>AD</b>	<b>Alcohol and Drug Use</b>
<b>AR</b>	<b>Accident Reports Regulations</b>
<b>BSS</b>	<b>Bridge Safety Standards</b>
<b>BW</b>	<b>Bridge Worker Safety Standards</b>
<b>CC</b>	<b>Conductor Certification Regulations</b>
<b>CIS</b>	<b>Critical Incident Stress</b>
<b>EP</b>	<b>Railroad Safety Enforcement</b>
<b>EQ</b>	<b>Engineer Qualifications</b>
<b>FCS</b>	<b>Freight Car Safety Standards</b>
<b>GC</b>	<b>Grade Crossing Signal Safety</b>
<b>GS</b>	<b>Safety Glazing Standards</b>
<b>HMT</b>	<b>Hazardous Materials Regulations</b>
<b>HS</b>	<b>Hours of Service Laws</b>
<b>HSR</b>	<b>Hours of Service Record Keeping</b>
<b>LI</b>	<b>Locomotive Safety Standards</b>
<b>PEP</b>	<b>Passenger Train Emergency Preparedness</b>
<b>PEQ</b>	<b>Passenger Equipment Safety Standards</b>
<b>RMM</b>	<b>Roadway Maintenance Machines</b>
<b>ROP</b>	<b>Railroad Operating Practices</b>
<b>ROR</b>	<b>Railroad Operating Rules</b>
<b>RSP</b>	<b>Railroad Standards and Procedures</b>
<b>RW</b>	<b>Roadway Worker Protection</b>
<b>SA</b>	<b>Safety Appliance Statutes</b>
<b>SI</b>	<b>Signal Inspection Regulations and Positive Train Control</b>
<b>TH</b>	<b>Train Horn/Quiet Zone</b>
<b>TS</b>	<b>Track Safety Standards</b>

FRA identifies railroads and other respondents are by a respondent code (see the legend provided at the end of this report), which appears as the letters in the case number after "FRA No. ". FRA identifies hazardous materials and emergency order cases involving shippers by a respondent code beginning with the letter "Z". FRA identifies cases involving contractors by a respondent code beginning with the letter "X". The column labeled "POCA" shows the initial penalty assessed in the case. The column labeled "PRCA" shows the total amount of the initial assessment for alleged violations that FRA concluded it would be able to sustain if the case were litigated. There are two situations when PRCA does not equal to POCA. First, would be if FRA concluded one or more of the violations initially alleged could likely not be sustained. FRA considers such violations terminated. Second, would be if FRA decided the violation could be sustained but the initial penalty assessment for the violation was incorrect. For such cases, FRA corrects the penalty amount and the violation is considered "partially terminated." Cases against individuals (who are liable for civil penalties for willful violations) are identified by the prefix "Indpen" and are listed separately. The "Comments" column shows the violation number of terminated or partially terminated violations.

**FRA Civil Penalty Cases against Individuals  
Closed in Fiscal Year 2020**

<b><u>FRA No.</u></b>	<b><u>Initial Penalty Demanded</u></b>	<b><u>Settlement Amount</u></b>	<b><u>Settlement Date</u></b>
	None		

**Federal Railroad Administration  
Safety Cases Closed in FY 2020**

<b>Case</b>	<b>No. Violations</b>	<b>POCA</b>	<b>PRCA</b>	<b>Settlement Amount</b>	<b>Settlement Date</b>	<b>Comments</b>
AA 2019-001(ROP)	1	\$7,500	\$7,500	\$6,000	9/10/2020	
ABS 2019-001(SA)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
ABS 2020-001(ROP)	1	\$7,500	\$7,500	\$5,000	9/10/2020	
ABS 2020-002(RSP)	1	\$9,500	\$9,500	\$6,000	9/10/2020	
AGR 2019-003(AD)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
AGR 2019-004(HS)	1	\$1,000	\$1,000	\$900	9/10/2020	
AGR 2019-005(AD)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
AGR 2019-006(HS)	1	\$1,000	\$1,000	\$900	9/10/2020	
AGR 2019-007(HS)	1	\$1,000	\$1,000	\$900	9/10/2020	
AGR 2019-008(HS)	1	\$1,000	\$1,000	\$900	9/10/2020	
AGR 2019-009(SA)	2	\$5,000	\$5,000	\$3,800	9/10/2020	
AKMD 2019-007(TS)	2	\$5,000	\$5,000	\$3,800	9/10/2020	
ALE 2020-001(HMT)	1	\$6,000	\$6,000	\$3,600	4/29/2020	
ALS 2018-006(AD)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
ALS 2019-002(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
ALS 2019-003(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020	
ALS 2019-004(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
ALS 2019-005(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
ALS 2019-006(EQ)	1	\$1,000	\$1,000	\$870	9/30/2020	
ALS 2019-007(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
ALS 2019-008(TS)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
ALS 2019-009(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
ALS 2019-010(SA)	2	\$10,000	\$10,000	\$7,400	9/30/2020	
ALS 2019-011(FCS)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
ALS 2020-001(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
ALS 2020-002(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
ALS 2020-003(ROP)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
ALS 2020-004(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
ARR 2019-001(TS)	1	\$5,000	\$5,000	\$3,500	10/24/2019	
ARR 2019-002(TS)	1	\$5,000	\$5,000	\$3,650	10/24/2019	
ARR 2019-003(TS)	1	\$5,000	\$5,000	\$3,000	2/20/2020	
ARR 2020-001(SA)	1	\$5,000	\$5,000	\$3,400	4/15/2020	
ATK 2018-073(LI)	1	\$20,000	\$20,000	\$16,000	8/25/2020	
ATK 2019-010(PEQ)	1	\$20,000	\$1,600	\$16,000	8/25/2020	
ATK 2019-021(RW)	1	\$3,000	\$3,000	\$2,300	8/25/2020	
ATK 2019-022(ROP)	1	\$7,500	\$7,500	\$5,850	8/25/2020	
ATK 2019-023(ROP)	1	\$5,000	\$5,000	\$3,800	8/25/2020	
ATK 2019-024(LI)	1	\$2,500	\$2,500	\$1,900	8/25/2020	
ATK 2019-025(AR)	1	\$2,500	\$2,500	\$1,900	8/25/2020	
ATK 2019-026(SI)	1	\$1,000	\$1,000	\$900	8/25/2020	
ATK 2019-027(RW)	1	\$5,000	\$5,000	\$2,700	8/25/2020	
ATK 2019-028(PEQ)	1	\$2,500	\$0	\$0	8/25/2020	Terminated

**Federal Railroad Administration  
Safety Cases Closed in FY 2020**

ATK 2019-029(ROP)	1	\$7,500	\$7,500	\$5,850	8/25/2020	
ATK 2019-030(SI)	1	\$5,000	\$5,000	\$3,800	8/25/2020	
ATK 2019-031(SI)	1	\$1,000	\$1,000	\$900	8/25/2020	
ATK 2019-032(RW)	1	\$3,000	\$3,000	\$2,300	8/25/2020	
ATK 2019-033(HS)	1	\$1,000	\$1,000	\$900	8/25/2020	
ATK 2019-034(HS)	1	\$1,000	\$1,000	\$900	8/25/2020	
ATK 2019-035(HS)	1	\$1,000	\$1,000	\$900	8/25/2020	
ATK 2019-036(SI)	1	\$1,000	\$1,000	\$900	8/25/2020	
ATK 2019-037(TS)	1	\$5,000	\$5,000	\$3,800	8/25/2020	
ATK 2019-038(TS)	1	\$1,000	\$1,000	\$900	8/25/2020	
ATK 2019-039(RSP)	1	\$5,000	\$5,000	\$2,500	8/25/2020	
ATK 2019-039(TS)	1	\$1,000	\$1,000	\$900	8/25/2020	
ATK 2019-040(TS)	1	\$5,000	\$5,000	\$3,800	8/25/2020	
ATK 2019-042(PEQ)	1	\$2,500	\$2,500	\$1,900	8/25/2020	
ATK 2019-043(TS)	1	\$5,000	\$5,000	\$3,800	8/25/2020	
ATK 2020-001(TS)	1	\$1,000	\$1,000	\$900	8/25/2020	
ATK 2020-002(LI)	1	\$2,500	\$2,500	\$1,900	8/25/2020	
ATK 2020-003(LI)	1	\$5,000	\$5,000	\$3,800	8/25/2020	
ATN 2019-003(TS)	1	\$5,000	\$5,000	\$3,400	1/7/2020	
ATN 2019-004(TS)	5	\$12,500	\$12,500	\$7,750	1/7/2020	
ATN 2019-005(TS)	4	\$20,000	\$20,000	\$12,800	1/7/2020	
ATN 2019-006(GC)	1	\$5,000	\$5,000	\$3,600	1/7/2020	
ATN 2019-007(AD)	1	\$2,500	\$2,500	\$1,450	1/7/2020	
AWRR 2019-001(ROP)	1	\$7,500	\$7,500	\$5,500	9/10/2020	
AWRR 2019-002(TS)	1	\$3,000	\$3,000	\$2,610	9/10/2020	
AWRR 2019-003(TS)	2	\$7,500	\$7,500	\$5,200	9/10/2020	
AWRR 2019-004(ROP)	1	\$7,500	\$7,500	\$5,000	9/10/2020	
AWRR 2019-007(ROP)	1	\$7,500	\$7,500	\$5,000	9/10/2020	
AWRR 2019-008(ROP)	1	\$7,500	\$7,500	\$5,000	9/10/2020	
AZER 2019-002(FCS)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
AZER 2019-003(SA)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
AZER 2019-004(FCS)	1	\$2,500	\$2,500	\$1,900	12/21/2020	
BAYL 2019-001(SA)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
BGCM 2014-001(ROP)	1	\$9,500	\$0	\$0	1/31/2020	Terminated
BGCM 2014-003(TS)	1	\$5,000	\$0	\$0	1/31/2020	Terminated
BGCM 2014-004(TS)	3	\$10,000	\$0	\$0	2/4/2020	Terminated
BGCM 2015-001(GC)	3	\$7,500	\$0	\$0	2/4/2020	Terminated
BGCM 2015-002(TS)	4	\$4,000	\$0	\$0	2/4/2020	Terminated
BGCM 2015-003(TS)	2	\$6,000	\$0	\$0	2/4/2020	Terminated
BHRR 2019-003(ROP)	1	\$2,000	\$2,000	\$1,250	9/10/2020	
BHRR 2020-001(SA)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
BLF 2020-001(SI)	1	\$29,192	\$29,192	\$29,192	3/25/2020	
BLOL 2019-001(SA)	1	\$5,000	\$5,000	\$5,000	2/25/2020	
BLU 2019-001(GC)	1	\$5,000	\$5,000	\$3,750	9/10/2020	

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BM 2019-001(TS)	1	\$5,000	\$5,000	\$4,500	9/30/2020
BM 2019-002(TS)	1	\$5,000	\$5,000	\$4,500	9/30/2020
BM 2020-001(TS)	1	\$2,500	\$2,500	\$1,800	9/30/2020
BM 2020-002(TS)	1	\$2,500	\$2,500	\$2,200	9/30/2020
BNSF 2015-239(ROP)	1	\$7,500	\$7,500	\$6,525	9/30/2020
BNSF 2017-473(HS)	1	\$1,000	\$1,000	\$853	9/30/2020
BNSF 2017-709(HSR)	1	\$1,000	\$1,000	\$853	9/30/2020
BNSF 2018-107(SI)	1	\$1,000	\$1,000	\$1,000	9/30/2020
BNSF 2018-211(TS)	1	\$1,000	\$1,000	\$853	9/30/2020
BNSF 2018-474(TS)	2	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2018-650(AD)	1	\$2,500	\$2,500	\$950	9/30/2020
BNSF 2018-658(AD)	1	\$2,500	\$2,500	\$950	9/30/2020
BNSF 2018-662(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-002(FCS)	1	\$5,000	\$5,000	\$870	9/30/2020
BNSF 2019-006(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
BNSF 2019-010(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-012(GC)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-016(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-032(TS)	1	\$2,500	\$2,500	\$2,500	9/30/2020
BNSF 2019-036(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-040(RSP)	1	\$9,500	\$5,500	\$4,750	9/30/2020
BNSF 2019-044(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-048(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-064(AD)	1	\$2,500	\$2,500	\$1,750	9/30/2020
BNSF 2019-105(EQ)	1	\$4,000	\$4,000	\$2,920	9/30/2020
BNSF 2019-125(HS)	1	\$1,000	\$1,000	\$853	9/30/2020
BNSF 2019-140(RW)	1	\$1,500	\$1,500	\$1,095	9/30/2020
BNSF 2019-141(FCS)	1	\$2,000	\$2,000	\$870	9/30/2020
BNSF 2019-142(AR)	1	\$2,500	\$1,000	\$853	9/30/2020
BNSF 2019-144(ROP)	1	\$5,000	\$5,000	\$3,300	9/30/2020
BNSF 2019-147(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-148(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-149(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-150(FCS)	1	\$5,000	\$5,000	\$3,250	9/30/2020
BNSF 2019-151(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-152(FCS)	1	\$5,000	\$5,000	\$3,250	9/30/2020
BNSF 2019-153(FCS)	1	\$2,500	\$2,500	\$1,625	9/30/2020
BNSF 2019-154(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-155(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-156(SA)	1	\$5,000	\$5,000	\$2,500	9/30/2020
BNSF 2019-157(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-158(ROP)	2	\$19,000	\$19,000	\$13,870	9/30/2020
BNSF 2019-159(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-160(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020

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BNSF 2019-161(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-162(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-163(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-164(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-165(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-166(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-167(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-168(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-169(SA)	1	\$2,500	\$2,500	\$1,500	9/30/2020
BNSF 2019-170(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-171(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-172(SA)	1	\$2,500	\$2,500	\$1,975	9/30/2020
BNSF 2019-173(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-174(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-175(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-176(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-177(ROP)	1	\$2,000	\$2,000	\$1,000	9/30/2020
BNSF 2019-178(ROP)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-179(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020
BNSF 2019-180(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-182(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-183(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-184(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-185(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-186(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-187(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-188(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-189(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-190(TS)	3	\$15,000	\$15,000	\$10,950	9/30/2020
BNSF 2019-191(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
BNSF 2019-192(SA)	1	\$5,000	\$5,000	\$4,000	9/30/2020
BNSF 2019-193(LI)	1	\$3,000	\$3,000	\$2,190	9/30/2020
BNSF 2019-194(LI)	1	\$1,500	\$1,500	\$1,095	9/30/2020
BNSF 2019-195(RW)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-196(RW)	1	\$3,000	\$3,000	\$2,250	9/30/2020
BNSF 2019-197(HMT)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-198(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-199(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-200(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-201(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-202(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-203(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-205(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-206(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-207(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-208(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-209(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-210(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-211(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-212(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-213(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020

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BNSF 2019-214(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-215(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-216(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-217(RW)	1	\$3,000	\$3,000	\$2,400	9/30/2020
BNSF 2019-218(HMT)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-219(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-220(EP)	1	\$1,000	\$1,000	\$870	9/30/2020
BNSF 2019-222(HS)	1	\$1,000	\$1,000	\$870	9/30/2020
BNSF 2019-223(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-224(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-225(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-226(FCS)	1	\$5,000	\$5,000	\$3,250	9/30/2020
BNSF 2019-227(RW)	1	\$3,000	\$3,000	\$2,190	9/30/2020
BNSF 2019-228(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-229(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-230(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-231(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-232(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-233(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-234(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-235(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-236(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020
BNSF 2019-237(HMT)	2	\$8,500	\$8,500	\$6,900	9/30/2020
BNSF 2019-239(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-240(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-241(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-242(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020
BNSF 2019-243(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-245(HMT)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-246(HMT)	1	\$7,500	\$7,500	\$5,500	9/30/2020
BNSF 2019-247(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-248(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-249(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-250(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-251(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-252(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-253(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-254(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-255(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-257(HMT)	3	\$17,000	\$17,000	\$12,410	9/30/2020
BNSF 2019-258(HMT)	1	\$3,000	\$3,000	\$2,190	9/30/2020
BNSF 2019-260(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-261(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-262(HMT)	1	\$3,000	\$3,000	\$2,000	9/30/2020
BNSF 2019-263(GC)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-264(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-265(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-266(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-267(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-268(TS)	2	\$7,500	\$7,500	\$4,875	9/30/2020
BNSF 2019-269(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
BNSF 2019-270(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-271(LI)	1	\$3,500	\$3,500	\$2,555	9/30/2020

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BNSF 2019-272(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-273(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-274(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-275(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-276(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-277(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-278(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-279(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-280(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-281(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-282(SA)	1	\$2,500	\$2,500	\$1,600	9/30/2020
BNSF 2019-283(SA)	1	\$5,000	\$5,000	\$3,300	9/30/2020
BNSF 2019-284(TS)	1	\$5,000	\$5,000	\$3,750	9/30/2020
BNSF 2019-285(TS)	4	\$10,000	\$10,000	\$6,190	9/30/2020
BNSF 2019-286(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-287(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-288(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
BNSF 2019-289(HS)	1	\$1,000	\$1,000	\$870	9/30/2020
BNSF 2019-290(ROP)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-291(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-292(SI)	1	\$1,000	\$1,000	\$870	9/30/2020
BNSF 2019-293(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
BNSF 2019-294(HS)	3	\$3,000	\$3,000	\$2,610	9/30/2020
BNSF 2019-295(HS)	3	\$3,000	\$3,000	\$2,610	9/30/2020
BNSF 2019-296(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-297(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-298(LI)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-299(TS)	2	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-300(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-301(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
BNSF 2019-302(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-303(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-304(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-305(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-306(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-307(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-308(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-309(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-310(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-311(SA)	1	\$2,500	\$2,500	\$1,975	9/30/2020
BNSF 2019-312(SA)	1	\$2,500	\$2,500	\$1,975	9/30/2020
BNSF 2019-313(SA)	1	\$2,500	\$2,500	\$1,975	9/30/2020
BNSF 2019-314(LI)	1	\$1,500	\$1,500	\$1,095	9/30/2020
BNSF 2019-315(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-316(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-317(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-318(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-319(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-320(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-321(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-322(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-324(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-325(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020

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BNSF 2019-326(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-327(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-328(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-329(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-330(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020	
BNSF 2019-331(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-332(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-333(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-334(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-335(SA)	1	\$5,000	\$5,000	\$3,250	9/30/2020	
BNSF 2019-336(SA)	1	\$5,000	\$5,000	\$3,250	9/30/2020	
BNSF 2019-337(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-338(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-339(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-340(LI)	1	\$1,500	\$1,500	\$1,095	9/30/2020	
BNSF 2019-341(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-342(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-343(SA)	2	\$10,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-344(ROP)	1	\$7,500	\$7,500	\$5,250	9/30/2020	
BNSF 2019-345(RW)	1	\$2,000	\$2,000	\$1,460	9/30/2020	
BNSF 2019-346(HMT)	1	\$2,000	\$2,000	\$1,460	9/30/2020	
BNSF 2019-347(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020	
BNSF 2019-348(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-349(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-350(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-351(TS)	2	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-352(TS)	2	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-353(TS)	2	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-354(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-355(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-356(SI)	1	\$1,000	\$1,000	\$870	9/30/2020	
BNSF 2019-357(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-358(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-359(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-360(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-361(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-362(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-363(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
BNSF 2019-364(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-365(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-366(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-368(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-369(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-370(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-371(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-372(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-373(GC)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-374(SI)	1	\$1,000	\$1,000	\$870	9/30/2020	
BNSF 2019-375(SI)	1	\$1,000	\$1,000	\$870	9/30/2020	
BNSF 2019-376(SI)	1	\$1,000	\$1,000	\$870	9/30/2020	
BNSF 2019-377(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-379(LI)	1	\$1,000	\$1,000	\$870	9/30/2020	
BNSF 2019-380(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020	

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BNSF 2019-381(ROP)	1	\$2,000	\$2,000	\$1,450	9/30/2020
BNSF 2019-382(ROP)	1	\$7,500	\$2,000	\$1,900	9/30/2020
BNSF 2019-383(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020
BNSF 2019-384(TS)	2	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-385(SI)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-386(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020
BNSF 2019-387(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-388(TS)	3	\$7,000	\$7,000	\$5,110	9/30/2020
BNSF 2019-389(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-390(AR)	1	\$2,500	\$2,500	\$1,500	9/30/2020
BNSF 2019-391(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-392(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-393(SA)	2	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-394(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-395(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-396(TS)	2	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-397(TS)	1	\$1,000	\$1,000	\$870	9/30/2020
BNSF 2019-398(TS)	1	\$1,000	\$1,000	\$892	9/30/2020
BNSF 2019-399(HMT)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-400(AD)	1	\$5,000	\$5,000	\$3,250	9/30/2020
BNSF 2019-401(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-402(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-403(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-404(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-405(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-406(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-407(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-408(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-409(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-410(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-411(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-412(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-413(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-414(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
BNSF 2019-415(HSR)	2	\$2,000	\$2,000	\$1,740	9/30/2020
BNSF 2019-416(HSR)	2	\$2,000	\$2,000	\$1,740	9/30/2020
BNSF 2019-417(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-418(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-419(HS)	3	\$3,000	\$3,000	\$2,676	9/30/2020
BNSF 2019-420(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020
BNSF 2019-421(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-422(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-423(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-424(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-425(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-426(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-427(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-428(ROP)	1	\$9,500	\$9,500	\$6,175	9/30/2020
BNSF 2019-429(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-430(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-431(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-432(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-434(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020

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BNSF 2019-435(SI)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-436(SI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
BNSF 2019-438(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-439(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-440(SA)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
BNSF 2019-441(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-442(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-443(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-444(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-445(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-446(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-447(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-448(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-449(SA)	1	\$5,000	\$5,000	\$2,500	9/30/2020	
BNSF 2019-450(LI)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-451(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-453(SA)	1	\$5,000	\$2,500	\$2,000	9/30/2020	
BNSF 2019-454(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-455(TS)	2	\$10,000	\$10,000	\$7,300	9/30/2020	
BNSF 2019-456(TS)	1	\$1,000	\$1,000	\$892	9/30/2020	
BNSF 2019-457(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
BNSF 2019-458(ROP)	1	\$7,500	\$7,500	\$5,550	9/30/2020	
BNSF 2019-459(GC)	1	\$5,000	\$5,000	\$3,000	9/30/2020	
BNSF 2019-460(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-461(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-462(LI)	2	\$3,000	\$3,000	\$2,190	9/30/2020	
BNSF 2019-463(LI)	2	\$3,000	\$3,000	\$2,190	9/30/2020	
BNSF 2019-464(LI)	1	\$4,000	\$4,000	\$2,920	9/30/2020	
BNSF 2019-465(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-466(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-467(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-468(HMT)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-469(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-472(LI)	1	\$1,000	\$1,000	\$900	9/30/2020	
BNSF 2019-473(LI)	1	\$1,000	\$1,000	\$900	9/30/2020	
BNSF 2019-474(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-475(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-476(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-477(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-478(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-479(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020	
BNSF 2019-480(HMT)	1	\$2,000	\$2,000	\$1,300	9/30/2020	
BNSF 2019-481(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020	
BNSF 2019-482(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-484(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-485(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-486(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-487(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-489(SA)	1	\$2,500	\$2,500	\$1,625	9/30/2020	
BNSF 2019-490(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-491(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
BNSF 2019-492(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
BNSF 2019-493(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020	

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BNSF 2019-494(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-495(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-496(AR)	9	\$22,500	\$2,500	\$2,500	9/30/2020
BNSF 2019-497(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-498(SI)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-499(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-500(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-501(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-502(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-503(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-504(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-505(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-506(TS)	2	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-507(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-508(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-509(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-510(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-511(SA)	1	\$5,000	\$2,500	\$2,500	9/30/2020
BNSF 2019-513(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-514(AR)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-515(RSP)	1	\$9,500	\$9,500	\$4,750	9/30/2020
BNSF 2019-516(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020
BNSF 2019-517(BW)	1	\$5,000	\$5,000	\$4,000	9/30/2020
BNSF 2019-518(GC)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-519(GC)	1	\$5,000	\$5,000	\$3,000	9/30/2020
BNSF 2019-521(ROP)	1	\$13,000	\$9,500	\$6,935	9/30/2020
BNSF 2019-522(TS)	2	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-523(ROP)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-524(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-525(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-526(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-528(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-529(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-530(HS)	2	\$2,000	\$2,000	\$1,784	9/30/2020
BNSF 2019-531(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-533(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-534(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020
BNSF 2019-535(ROP)	1	\$9,500	\$5,000	\$5,000	9/30/2020
BNSF 2019-536(HS)	2	\$2,000	\$2,000	\$1,784	9/30/2020
BNSF 2019-538(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2019-539(TS)	4	\$10,000	\$10,000	\$7,300	9/30/2020
BNSF 2019-540(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-541(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-543(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-544(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-545(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-546(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2019-548(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2019-549(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2019-550(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2020-001(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-003(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2020-004(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020

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BNSF 2020-005(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-006(TS)	2	\$10,000	\$10,000	\$7,000	9/30/2020
BNSF 2020-008(TS)	2	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2020-009(FCS)	2	\$10,000	\$5,000	\$4,000	9/30/2020
BNSF 2020-010(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-011(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-013(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-014(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-015(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-016(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-018(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-019(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-020(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-021(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-023(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-024(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-025(TS)	2	\$10,000	\$10,000	\$7,300	9/30/2020
BNSF 2020-026(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
BNSF 2020-028(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-029(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-030(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-031(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-033(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-036(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2020-038(GC)	1	\$5,000	\$5,000	\$3,000	9/30/2020
BNSF 2020-039(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-040(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-041(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-043(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-044(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-045(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-048(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2020-049(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2020-050(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-051(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-053(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-054(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-055(ROP)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2020-058(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-059(SA)	2	\$10,000	\$10,000	\$7,300	9/30/2020
BNSF 2020-060(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-061(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-063(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-064(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-065(LI)	1	\$1,500	\$1,500	\$1,095	9/30/2020
BNSF 2020-066(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-068(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-069(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-070(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-073(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-074(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-075(GC)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-076(ROP)	1	\$9,500	\$9,500	\$6,935	9/30/2020

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BNSF 2020-078(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-079(SA)	3	\$12,500	\$12,500	\$9,125	9/30/2020
BNSF 2020-080(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-081(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-083(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-084(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-085(LI)	1	\$2,000	\$2,000	\$1,460	9/30/2020
BNSF 2020-086(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-088(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-089(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-090(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-091(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-093(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-094(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-095(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-096(HS)	4	\$4,000	\$2,000	\$2,000	9/30/2020
BNSF 2020-098(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-099(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-100(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2020-101(TS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-104(TS)	4	\$20,000	\$20,000	\$14,600	9/30/2020
BNSF 2020-109(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-111(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-114(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-116(ROP)	1	\$7,500	\$7,500	\$5,475	9/30/2020
BNSF 2020-119(HS)	1	\$1,000	\$1,000	\$892	9/30/2020
BNSF 2020-121(GC)	1	\$1,000	\$1,000	\$892	9/30/2020
BNSF 2020-124(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-131(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-134(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020
BNSF 2020-34(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BNSF 2020-35(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
BPRR 2019-003(SA)	1	\$5,000	\$5,000	\$3,800	9/10/2020
BRC 2018-007(HMT)	1	\$10,000	\$10,000	\$6,200	6/29/2020
BRC 2018-008(HMT)	1	\$2,000	\$2,000	\$1,200	6/29/2020
BRC 2019-001(FCS)	1	\$5,000	\$5,000	\$3,050	6/29/2020
BRC 2019-002(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020
BRC 2019-003(SA)	1	\$5,000	\$5,000	\$3,050	6/29/2020
BRC 2019-004(SA)	1	\$5,000	\$5,000	\$3,050	6/29/2020
BRC 2019-005(ROP)	1	\$9,500	\$9,500	\$6,175	6/29/2020
BRC 2019-006(RW)	1	\$3,000	\$3,000	\$2,250	6/29/2020
BRC 2019-007(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020
BRC 2019-008(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020
BRC 2019-009(FCS)	1	\$5,000	\$5,000	\$3,050	6/29/2020
BRC 2019-010(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020
BRC 2019-011(FCS)	1	\$5,000	\$5,000	\$3,050	6/29/2020
BRC 2019-012(FCS)	1	\$5,000	\$5,000	\$3,050	6/29/2020
BRC 2019-013(SA)	1	\$5,000	\$5,000	\$3,050	6/29/2020
BRC 2019-014(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020
BRC 2019-015(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020
BRC 2019-016(HMT)	1	\$2,500	\$2,500	\$1,525	6/29/2020
BRC 2019-017(FCS)	1	\$2,500	\$2,500	\$1,525	6/29/2020
BRC 2019-018(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020

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BRC 2019-019(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020	
BRC 2019-020(SA)	1	\$5,000	\$5,000	\$3,050	6/29/2020	
BRC 2019-021(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020	
BRC 2019-022(ROP)	1	\$7,500	\$7,500	\$4,350	6/29/2020	
BRC 2019-023(TS)	1	\$2,500	\$2,500	\$1,500	6/29/2020	
BRC 2019-027(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020	
BRC 2019-029(SA)	1	\$5,000	\$5,000	\$3,050	6/29/2020	
BRC 2019-030(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020	
BRC 2019-031(SA)	1	\$5,000	\$5,000	\$3,050	6/29/2020	
BRC 2019-032(SA)	1	\$5,000	\$5,000	\$3,050	6/29/2020	
BRC 2019-033(SA)	1	\$2,500	\$2,500	\$1,525	6/29/2020	
BRC 2019-034(FCS)	1	\$2,500	\$2,500	\$1,525	6/29/2020	
BRC 2019-035(FCS)	1	\$5,000	\$5,000	\$3,050	6/29/2020	
BRC 2019-036(FCS)	1	\$2,500	\$2,500	\$1,525	6/29/2020	
BRC 2020-014(SI)	1	\$29,192	\$29,192	\$29,192	7/23/2020	
BVRR 2019-001(HMT)	1	\$6,000	\$6,000	\$4,000	9/10/2020	
BVRR 2019-002(ROP)	1	\$7,500	\$7,500	\$4,250	9/10/2020	
CAGY 2019-001(AD)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
CAMY 2018-001(HMT)	2	\$20,000	\$20,000	\$12,500	10/21/2019	
CCT 2019-001(TS)	1	\$5,000	\$5,000	\$3,500	9/29/2020	
CCT 2019-003(ROP)	1	\$7,500	\$7,500	\$5,000	9/29/2020	
CCT 2020-001(SA)	1	\$5,000	\$5,000	\$5,000	9/29/2020	
CFI 2019-001(HMT)	1	\$5,000	\$5,000	\$3,750	10/2/2019	
CFI 2019-002(HMT)	1	\$5,000	\$5,000	\$5,000	12/10/2019	
CFNR 2019-002(SA)	1	\$5,000	\$0	\$0	9/10/2020	Terminated
CFRC 2018-014(ROR)	1	\$7,500	\$7,500	\$6,500	1/22/2020	
CFRC 2018-016(ROR)	1	\$9,500	\$9,500	\$5,000	1/9/2020	
CFRC 2018-018(CC)	1	\$2,500	\$2,500	\$2,000	1/8/2020	
CIC 2019-001(AD)	1	\$2,500	\$2,500	\$2,000	10/16/2019	
CIC 2019-002(AD)	1	\$2,500	\$2,500	\$2,000	10/16/2019	
CIC 2019-003(AD)	1	\$22,500	\$22,500	\$18,000	10/16/2019	
CIC 2019-004(AD)	1	\$2,500	\$2,500	\$2,000	10/16/2019	
CIC 2019-005(AD)	1	\$2,500	\$2,500	\$2,500	10/16/2019	
CLNA 2019-001(TS)	1	\$2,500	\$2,500	\$2,000	12/26/2019	
CLNA 2019-002(LI)	1	\$2,500	\$2,500	\$2,000	12/27/2019	
CLNA 2019-003(LI)	1	\$2,500	\$2,500	\$2,000	12/27/2019	
CMQX 2019-002(AR)	1	\$2,500	\$2,500	\$2,500	10/3/2019	
CMQX 2019-003(AR)	1	\$2,500	\$2,500	\$2,500	12/10/2019	
CMQX 2019-004(GC)	1	\$1,000	\$1,000	\$1,000	3/4/2020	
CN 2018-207(EP)	1	\$1,000	\$1,000	\$880	9/30/2020	
CN 2018-208(EP)	1	\$1,000	\$1,000	\$880	9/30/2020	
CN 2019-001(SA)	1	\$2,500	\$2,500	\$1,775	9/30/2020	
CN 2019-002(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CN 2019-003(SA)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CN 2019-004(SA)	1	\$5,000	\$5,000	\$3,400	9/30/2020	
CN 2019-005(SA)	1	\$5,000	\$5,000	\$3,550	9/30/2020	
CN 2019-006(SA)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CN 2019-007(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
CN 2019-008(AD)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
CN 2019-009(ROP)	1	\$9,500	\$9,500	\$1,825	9/30/2020	
CN 2019-010(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CN 2019-011(LI)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CN 2019-012(ROP)	1	\$5,000	\$0	\$0	9/30/2020	Terminated

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CN 2019-013(HMT)	1	\$4,000	\$4,000	\$3,200	9/30/2020	
CN 2019-014(HS)	1	\$2,000	\$2,000	\$1,800	9/30/2020	
CN 2019-015(LI)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CN 2019-016(LI)	1	\$2,500	\$2,500	\$1,775	9/30/2020	
CN 2019-017(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CN 2019-018(LI)	1	\$2,500	\$2,500	\$1,775	9/30/2020	
CN 2019-019(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-020(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CN 2019-021(FCS)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CN 2019-022(ROP)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
CN 2019-023(HS)	1	\$1,000	\$1,000	\$880	9/30/2020	
CN 2019-024(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-025(SA)	1	\$2,500	\$2,500	\$1,775	9/30/2020	
CN 2019-026(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-027(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-028(FCS)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CN 2019-029(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-030(SA)	1	\$2,500	\$2,500	\$1,700	9/30/2020	
CN 2019-031(SA)	1	\$2,500	\$2,500	\$1,700	9/30/2020	
CN 2019-032(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-033(LI)	1	\$2,500	\$0	\$0	9/30/2020	Terminated
CN 2019-034(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020	
CN 2019-035(SA)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CN 2019-036(SA)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CN 2019-037(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-038(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CN 2019-039(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
CN 2019-040(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-041(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-042(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-043(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-044(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-045(SA)	1	\$2,500	\$2,500	\$1,775	9/30/2020	
CN 2019-046(SA)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CN 2019-047(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
CN 2019-048(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-049(SA)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CN 2019-050(FCS)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CN 2019-051(FCS)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CN 2019-052(SA)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CN 2019-053(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
CN 2019-054(SA)	1	\$5,000	\$5,000	\$3,450	9/30/2020	
CN 2019-055(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-056(HMT)	1	\$4,000	\$4,000	\$3,160	9/30/2020	
CN 2019-057(LI)	1	\$1,500	\$1,500	\$1,080	9/30/2020	
CN 2019-058(LI)	1	\$2,500	\$0	\$0	9/30/2020	Terminated
CN 2019-059(HMT)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-060(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
CN 2019-061(FCS)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CN 2019-062(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-063(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
CN 2019-064(TS)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
CN 2019-065(TS)	1	\$5,000	\$5,000	\$3,850	9/30/2020	

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CN 2019-066(LI)	1	\$2,500	\$2,500	\$1,775	9/30/2020	
CN 2019-067(RW)	1	\$3,000	\$3,000	\$2,250	9/30/2020	
CN 2019-068(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
CN 2019-069(SA)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CN 2019-070(SA)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CN 2019-071(SA)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CN 2019-072(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CN 2019-073(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CN 2019-074(HMT)	2	\$18,500	\$18,500	\$14,615	9/30/2020	
CN 2019-075(HMT)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CN 2019-076(SA)	1	\$10,000	\$10,000	\$6,900	9/30/2020	
CN 2019-077(SA)	1	\$10,000	\$10,000	\$6,900	9/30/2020	
CN 2019-078(SA)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CN 2019-079(SA)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CN 2019-080(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
CN 2019-081(HSR)	1	\$4,000	\$4,000	\$3,600	9/30/2020	
CN 2019-082(HMT)	1	\$4,000	\$4,000	\$3,200	9/30/2020	
CN 2019-083(HMT)	1	\$1,000	\$1,000	\$760	9/30/2020	
CN 2019-084(HMT)	1	\$3,000	\$3,000	\$2,310	9/30/2020	
CN 2019-085(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CN 2019-086(LI)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-087(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
CN 2019-088(ROP)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CN 2019-089(HMT)	2	\$14,000	\$14,000	\$11,060	9/30/2020	
CN 2019-090(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-091(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CN 2019-092(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CN 2019-093(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-094(SA)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CN 2019-095(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-096(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
CN 2019-097(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
CN 2019-098(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
CN 2019-099(SA)	1	\$2,500	\$2,500	\$1,900	9/30/2020	
CN 2019-100(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CN 2019-101(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-102(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
CN 2019-103(SA)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CN 2019-104(SA)	1	\$5,000	\$5,000	\$3,250	9/30/2020	
CN 2019-105(FCS)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CN 2019-106(HMT)	1	\$4,000	\$4,000	\$3,120	9/30/2020	
CN 2019-107(FCS)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CN 2019-108(SA)	1	\$2,500	\$2,500	\$1,900	9/30/2020	
CN 2019-109(TS)	1	\$2,500	\$2,500	\$1,900	9/30/2020	
CN 2019-110(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CN 2019-111(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CN 2019-112(ROP)	1	\$9,500	\$9,500	\$6,840	9/30/2020	
CN 2019-113(LI)	1	\$1,500	\$1,500	\$1,095	9/30/2020	
CN 2019-114(LI)	1	\$2,500	\$2,500	\$1,875	9/30/2020	
CN 2019-115(LI)	1	\$1,500	\$1,500	\$1,080	9/30/2020	
CN 2019-116(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CN 2019-117(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CN 2019-118(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	

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CN 2019-119(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020
CN 2019-120(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020
CN 2019-121(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CN 2019-122(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
CN 2019-123(SA)	1	\$2,500	\$2,500	\$1,675	9/30/2020
CN 2019-124(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020
CN 2019-125(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020
CN 2019-126(TS)	1	\$5,000	\$5,000	\$3,850	9/30/2020
CN 2019-127(TS)	1	\$1,000	\$1,000	\$770	9/30/2020
CN 2019-128(LI)	1	\$1,000	\$1,000	\$900	9/30/2020
CN 2019-129(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CN 2019-130(SA)	1	\$5,000	\$5,000	\$3,500	9/30/2020
CN 2019-131(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020
CN 2019-132(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CN 2019-133(HS)	1	\$1,000	\$1,000	\$880	9/30/2020
CN 2019-134(FCS)	1	\$2,500	\$2,500	\$1,725	9/30/2020
CN 2019-135(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
CN 2019-136(FCS)	1	\$2,500	\$2,500	\$1,800	9/30/2020
CN 2019-137(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
CN 2019-138(ROP)	1	\$9,500	\$9,500	\$7,600	9/30/2020
CN 2019-139(ROP)	1	\$7,500	\$7,500	\$5,775	9/30/2020
CN 2019-140(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
CN 2019-141(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
CN 2019-142(ROP)	1	\$9,500	\$9,500	\$6,555	9/30/2020
CN 2019-143(SI)	1	\$1,000	\$1,000	\$900	9/30/2020
CN 2019-144(ROP)	1	\$7,500	\$7,500	\$5,625	9/30/2020
CN 2019-145(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020
CN 2019-146(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
CN 2019-147(LI)	1	\$2,500	\$2,500	\$1,800	9/30/2020
CN 2019-148(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CN 2019-149(SA)	1	\$5,000	\$5,000	\$3,550	9/30/2020
CN 2019-150(SA)	1	\$2,500	\$2,500	\$1,725	9/30/2020
CN 2019-151(SA)	1	\$2,500	\$2,500	\$1,725	9/30/2020
CN 2019-152(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
CN 2019-153(LI)	1	\$1,000	\$1,000	\$900	9/30/2020
CN 2019-154(CC)	1	\$4,000	\$4,000	\$3,000	9/30/2020
CN 2019-155(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020
CN 2019-158(SA)	1	\$2,500	\$2,500	\$1,800	9/30/2020
CN 2019-159(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
CN 2019-160(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CN 2019-161(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
CN 2019-162(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020
CN 2019-163(RW)	1	\$2,000	\$2,000	\$1,540	9/30/2020
CN 2019-164(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
CN 2019-165(HS)	1	\$1,000	\$1,000	\$892	9/30/2020
CN 2019-166(HS)	1	\$1,000	\$1,000	\$892	9/30/2020
CN 2019-167(ROR)	1	\$9,500	\$9,500	\$7,220	9/30/2020
CN 2019-168(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CN 2019-169(SA)	1	\$2,500	\$2,500	\$1,700	9/30/2020
CN 2019-170(GC)	1	\$5,000	\$5,000	\$3,950	9/30/2020
CN 2019-171(ROR)	1	\$10,000	\$10,000	\$1,784	9/30/2020
CN 2019-172(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
CN 2019-173(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020

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CN 2019-174(EP)	1	\$1,000	\$1,000	\$892	9/30/2020	
CN 2019-175(EP)	1	\$1,000	\$1,000	\$892	9/30/2020	
CN 2019-176(SA)	1	\$5,000	\$5,000	\$3,450	9/30/2020	
CORP 2019-001(TS)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
COSR 2019-001(SA)	1	\$2,500	\$0	\$0	9/10/2020	Terminated
CP 2019-029(SA)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CP 2019-030(SA)	1	\$5,000	\$5,000	\$3,250	9/30/2020	
CP 2019-031(SA)	1	\$2,500	\$2,500	\$1,700	9/30/2020	
CP 2019-032(SA)	1	\$5,000	\$5,000	\$3,450	9/30/2020	
CP 2019-033(LI)	1	\$2,500	\$2,500	\$1,650	9/30/2020	
CP 2019-034(TS)	1	\$5,000	\$5,000	\$3,300	9/30/2020	
CP 2019-035(SA)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CP 2019-036(SA)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CP 2019-037(SA)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CP 2019-038(SA)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CP 2019-039(HMT)	1	\$5,000	\$5,000	\$3,400	9/30/2020	
CP 2019-040(SI)	1	\$2,500	\$2,500	\$1,600	9/30/2020	
CP 2019-041(SA)	1	\$5,000	\$5,000	\$3,325	9/30/2020	
CP 2019-042(TS)	1	\$5,000	\$5,000	\$3,125	9/30/2020	
CP 2019-043(SA)	1	\$5,000	\$5,000	\$3,400	9/30/2020	
CP 2019-044(SA)	1	\$10,000	\$10,000	\$6,500	9/30/2020	
CP 2019-045(LI)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CP 2019-046(SA)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CP 2019-047(SA)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CP 2019-048(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CP 2019-049(LI)	1	\$1,500	\$1,500	\$1,050	9/30/2020	
CP 2019-050(HMT)	1	\$3,000	\$3,000	\$2,250	9/30/2020	
CP 2019-051(GC)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CP 2019-052(ROR)	1	\$2,500	\$2,500	\$2,250	9/30/2020	
CP 2019-053(RSP)	1	\$9,500	\$9,500	\$9,500	9/30/2020	
CP 2019-054(HMT)	2	\$11,500	\$11,500	\$8,165	9/30/2020	
CP 2019-055(TS)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
CP 2019-056(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
CP 2019-057(SA)	1	\$2,500	\$2,500	\$1,700	9/30/2020	
CP 2019-058(SA)	1	\$2,500	\$2,500	\$1,675	9/30/2020	
CP 2019-059(SA)	1	\$2,500	\$2,500	\$1,700	9/30/2020	
CP 2019-060(SA)	1	\$2,500	\$2,500	\$1,700	9/30/2020	
CP 2019-061(FCS)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CP 2019-062(SA)	1	\$5,000	\$5,000	\$3,325	9/30/2020	
CP 2019-063(SA)	1	\$2,500	\$2,500	\$1,625	9/30/2020	
CP 2019-064(SA)	1	\$5,000	\$5,000	\$3,300	9/30/2020	
CP 2019-065(SA)	1	\$5,000	\$5,000	\$3,400	9/30/2020	
CP 2019-066(SA)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CP 2019-067(FCS)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
CP 2019-068(TS)	1	\$1,000	\$1,000	\$853	9/30/2020	
CP 2019-069(SA)	1	\$2,500	\$2,500	\$1,500	9/30/2020	
CP 2019-070(SA)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CP 2019-071(SI)	1	\$2,500	\$2,500	\$1,900	9/30/2020	
CP 2019-072(ROR)	1	\$47,500	\$47,500	\$47,500	9/30/2020	
CP 2019-073(ROR)	1	\$47,500	\$47,500	\$47,500	9/30/2020	
CP 2019-074(ROR)	1	\$47,500	\$47,500	\$47,500	9/30/2020	
CP 2019-075(ROR)	1	\$38,000	\$38,000	\$38,000	9/30/2020	
CP 2019-076(ROR)	1	\$38,000	\$38,000	\$38,000	9/30/2020	

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CP 2019-077(ROR)	1	\$28,500	\$28,500	\$28,500	9/30/2020	
CP 2019-078(ROR)	1	\$47,500	\$47,500	\$47,500	9/30/2020	
CP 2019-079(SA)	1	\$5,000	\$5,000	\$3,400	9/30/2020	
CP 2019-080(SA)	1	\$5,000	\$5,000	\$3,300	9/30/2020	
CP 2019-081(SA)	1	\$10,000	\$10,000	\$6,650	9/30/2020	
CP 2019-082(FCS)	1	\$2,500	\$2,500	\$1,700	9/30/2020	
CP 2019-083(AR)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CP 2019-084(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
CP 2019-085(SA)	1	\$2,500	\$2,500	\$1,700	9/30/2020	
CP 2019-086(SA)	1	\$5,000	\$5,000	\$3,200	9/30/2020	
CP 2019-088(RW)	4	\$12,000	\$12,000	\$9,000	9/30/2020	
CP 2019-089(LI)	1	\$2,500	\$2,500	\$1,625	9/30/2020	
CP 2019-090(HS)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
CP 2019-091(ROR)	1	\$47,500	\$0	\$0	9/30/2020	Terminated
CP 2019-092(ROR)	1	\$47,500	\$0	\$0	9/30/2020	Terminated
CP 2019-093(ROR)	1	\$7,500	\$0	\$0	9/30/2020	Terminated
CP 2019-094(SA)	1	\$5,000	\$5,000	\$3,000	9/30/2020	
CP 2019-095(HMT)	2	\$8,000	\$8,000	\$5,500	9/30/2020	
CP 2019-096(HS)	1	\$2,000	\$2,000	\$1,475	9/30/2020	
CP 2019-097(SA)	1	\$5,000	\$5,000	\$3,325	9/30/2020	
CP 2019-098(SA)	1	\$5,000	\$5,000	\$3,325	9/30/2020	
CP 2019-099(SA)	1	\$5,000	\$5,000	\$3,325	9/30/2020	
CP 2019-100(SA)	1	\$2,500	\$2,500	\$1,875	9/30/2020	
CP 2019-101(TS)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CP 2019-102(TS)	1	\$2,500	\$2,500	\$1,775	9/30/2020	
CP 2019-103(GC)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CP 2019-104(SA)	1	\$5,000	\$5,000	\$3,250	9/30/2020	
CP 2019-105(SA)	1	\$2,500	\$2,500	\$1,575	9/30/2020	
CP 2019-106(SA)	1	\$5,000	\$5,000	\$3,200	9/30/2020	
CP 2019-107(SA)	1	\$2,500	\$2,500	\$1,875	9/30/2020	
CP 2019-108(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CP 2019-109(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CP 2019-110(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CP 2019-111(SA)	1	\$2,500	\$2,500	\$1,675	9/30/2020	
CP 2019-112(LI)	1	\$1,000	\$1,000	\$892	9/30/2020	
CP 2019-113(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CP 2019-114(FCS)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CP 2019-115(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
CP 2019-116(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
CP 2019-117(SI)	1	\$1,000	\$1,000	\$892	9/30/2020	
CP 2019-118(ROR)	1	\$47,500	\$0	\$0	9/30/2020	Terminated
CP 2019-119(ROR)	1	\$47,500	\$0	\$0	9/30/2020	Terminated
CP 2019-120(ROR)	1	\$47,500	\$0	\$0	9/30/2020	Terminated
CP 2020-001(SA)	1	\$2,500	\$2,500	\$1,875	9/30/2020	
CP 2020-002(LI)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CP 2020-003(TS)	1	\$2,500	\$2,500	\$1,625	9/30/2020	
CP 2020-004(LI)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
CP 2020-005(SA)	1	\$2,500	\$2,500	\$1,700	9/30/2020	
CP 2020-006(HS)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
CP 2020-007(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CP 2020-008(SA)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CP 2020-009(FCS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CP 2020-010(FCS)	1	\$2,500	\$2,500	\$1,700	9/30/2020	

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CP 2020-011(LI)	1	\$7,500	\$7,500	\$4,850	9/30/2020	
CP 2020-012(SA)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CP 2020-013(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
CP 2020-014(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
CP 2020-015(SA)	1	\$2,500	\$2,500	\$1,575	9/30/2020	
CP 2020-016(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
CP 2020-017(LI)	1	\$2,500	\$2,500	\$1,725	9/30/2020	
CP 2020-018(SA)	1	\$5,000	\$5,000	\$4,000	9/30/2020	
CP 2020-019(HMT)	1	\$1,000	\$1,000	\$892	9/30/2020	
CRSH 2019-009(SA)	1	\$5,000	\$5,000	\$3,250	9/14/2020	
CRSH 2019-010(AD)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2019-011(AD)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-001(RW)	1	\$3,000	\$0	\$0	9/14/2020	Terminated
CRSH 2020-002(AD)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-003(AD)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-004(AD)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-005(AD)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-006(AD)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-007(SA)	1	\$5,000	\$5,000	\$3,800	9/14/2020	
CRSH 2020-008(ROP)	1	\$9,500	\$0	\$0	9/14/2020	Terminated
CRSH 2020-009(SA)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-010(LI)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-011(SA)	1	\$5,000	\$5,000	\$3,750	9/14/2020	
CRSH 2020-012(LI)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-013(SA)	1	\$5,000	\$5,000	\$4,000	9/14/2020	
CRSH 2020-014(FCS)	1	\$2,500	\$2,500	\$2,000	9/14/2020	
CRSH 2020-015(SA)	1	\$5,000	\$5,000	\$3,800	9/14/2020	
CRSH 2020-016(SA)	1	\$5,000	\$5,000	\$4,000	9/14/2020	
CSX 2017-152(SI)	1	\$1,000	\$1,000	\$853	9/30/2020	
CSX 2017-309(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2018-075(SI)	1	\$1,000	\$1,000	\$853	9/30/2020	
CSX 2018-079(TS)	1	\$1,000	\$1,000	\$853	9/30/2020	
CSX 2018-171(HSR)	12	\$12,000	\$12,000	\$10,236	9/30/2020	
CSX 2018-183(GC)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2018-189(GC)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2018-201(SI)	4	\$10,000	\$10,000	\$7,350	9/30/2020	
CSX 2018-204(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2018-210(TS)	3	\$12,500	\$12,500	\$9,187	9/30/2020	
CSX 2018-216(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2018-256(HSR)	5	\$5,000	\$5,000	\$4,265	9/30/2020	
CSX 2018-31(EQ)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
CSX 2018-319(HSR)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2018-511(GC)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2018-517(GC)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2018-524(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2018-580(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-067(SI)	1	\$27,904	\$27,904	\$20,509	9/30/2020	
CSX 2019-069(FCS)	1	\$2,500	\$0	\$0	9/30/2020	Terminated
CSX 2019-077(GC)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-082(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-085(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-086(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-087(EQ)	1	\$5,000	\$5,000	\$3,625	9/30/2020	

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CSX 2019-088(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-089(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-090(GC)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-091(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-092(HMT)	1	\$7,500	\$7,500	\$5,500	9/30/2020	
CSX 2019-093(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-094(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-095(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-096(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-097(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-098(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-099(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-100(SA)	1	\$5,000	\$2,500	\$1,837	9/30/2020	
CSX 2019-101(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-102(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-103(SA)	1	\$5,000	\$2,500	\$1,925	9/30/2020	
CSX 2019-104(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-105(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-106(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-107(HMT)	1	\$7,500	\$7,500	\$5,500	9/30/2020	
CSX 2019-108(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-109(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-110(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-111(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-112(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-113(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-114(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-115(LI)	4	\$10,000	\$2,500	\$1,850	9/30/2020	
CSX 2019-116(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-117(LI)	1	\$1,500	\$1,500	\$1,100	9/30/2020	
CSX 2019-118(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-119(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-120(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-121(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-122(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-123(AR)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
CSX 2019-124(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-125(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-126(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-127(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-128(HMT)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-129(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-130(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-131(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-132(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-133(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-134(SA)	1	\$5,000	\$2,500	\$1,925	9/30/2020	
CSX 2019-135(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-136(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-137(FCS)	1	\$2,500	\$0	\$0	9/30/2020	Terminated
CSX 2019-138(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-139(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-140(AR)	1	\$1,000	\$1,000	\$870	9/30/2020	

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CSX 2019-141(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-142(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-143(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-144(FCS)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-145(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-146(SA)	1	\$5,000	\$2,500	\$1,837	9/30/2020	
CSX 2019-147(TH)	2	\$15,000	\$15,000	\$10,975	9/30/2020	
CSX 2019-148(LI)	3	\$7,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-149(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-150(GS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-151(LI)	1	\$1,000	\$1,000	\$870	9/30/2020	
CSX 2019-152(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-153(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-154(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-155(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-156(HMT)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-157(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-158(GC)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-159(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-160(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-161(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-162(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-163(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-164(RW)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-165(LI)	2	\$5,000	\$2,500	\$2,450	9/30/2020	
CSX 2019-166(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-167(LI)	1	\$1,500	\$1,500	\$1,100	9/30/2020	
CSX 2019-168(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-169(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	Terminated
CSX 2019-170(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-171(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-172(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-173(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-174(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-175(FCS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-176(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-177(LI)	1	\$1,500	\$1,500	\$1,100	9/30/2020	
CSX 2019-178(SA)	1	\$5,000	\$2,500	\$1,837	9/30/2020	
CSX 2019-179(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-180(SA)	1	\$5,000	\$2,500	\$1,837	9/30/2020	
CSX 2019-181(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-182(SA)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-183(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-184(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-185(HMT)	2	\$10,000	\$10,000	\$7,300	9/30/2020	
CSX 2019-186(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-187(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-188(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-189(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-190(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-191(SI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-192(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-193(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020	

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CSX 2019-194(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-195(HS)	1	\$1,000	\$1,000	\$870	9/30/2020
CSX 2019-196(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-197(LI)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-198(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-199(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-200(ROR)	1	\$9,500	\$9,500	\$6,982	9/30/2020
CSX 2019-201(SA)	1	\$10,000	\$10,000	\$7,300	9/30/2020
CSX 2019-203(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-204(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-205(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-206(LI)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-207(LI)	1	\$1,000	\$1,000	\$870	9/30/2020
CSX 2019-208(TS)	1	\$28,474	\$28,474	\$20,653	9/30/2020
CSX 2019-209(SI)	1	\$1,000	\$1,000	\$870	9/30/2020
CSX 2019-210(RSP)	1	\$9,500	\$9,500	\$6,850	9/30/2020
CSX 2019-211(TS)	1	\$1,000	\$1,000	\$870	9/30/2020
CSX 2019-212(EQ)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-214(LI)	1	\$2,000	\$2,000	\$1,470	9/30/2020
CSX 2019-215(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-216(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-217(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-218(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-219(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-220(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-221(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-222(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020
CSX 2019-223(LI)	1	\$1,000	\$1,000	\$870	9/30/2020
CSX 2019-224(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-225(LI)	1	\$1,500	\$1,500	\$1,100	9/30/2020
CSX 2019-226(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-227(RW)	1	\$2,000	\$2,000	\$1,470	9/30/2020
CSX 2019-228(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020
CSX 2019-229(LI)	1	\$1,000	\$1,000	\$870	9/30/2020
CSX 2019-230(SA)	1	\$5,000	\$2,500	\$1,836	9/30/2020
CSX 2019-231(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-232(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-233(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-234(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-235(SA)	1	\$5,000	\$2,500	\$1,925	9/30/2020
CSX 2019-236(SA)	1	\$5,000	\$2,500	\$1,925	9/30/2020
CSX 2019-237(HMT)	2	\$7,000	\$7,000	\$5,145	9/30/2020
CSX 2019-238(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-239(SA)	1	\$5,000	\$2,500	\$1,925	9/30/2020
CSX 2019-240(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-241(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-242(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-243(GC)	1	\$2,500	\$2,500	\$1,837	9/30/2020
CSX 2019-245(GC)	1	\$2,500	\$2,500	\$1,837	9/30/2020
CSX 2019-246(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-247(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-248(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-249(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020

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CSX 2019-250(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-251(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-252(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-253(HMT)	1	\$3,000	\$3,000	\$2,205	9/30/2020	
CSX 2019-254(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-255(TS)	4	\$17,500	\$17,500	\$12,863	9/30/2020	
CSX 2019-256(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-257(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-258(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-259(HMT)	4	\$47,500	\$47,500	\$34,912	9/30/2020	
CSX 2019-260(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-261(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-262(HMT)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-263(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-264(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-265(AD)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-266(AD)	2	\$10,000	\$10,000	\$7,350	9/30/2020	
CSX 2019-267(AD)	2	\$10,000	\$10,000	\$7,350	9/30/2020	
CSX 2019-268(AD)	2	\$10,000	\$10,000	\$7,350	9/30/2020	
CSX 2019-269(AD)	2	\$10,000	\$10,000	\$7,350	9/30/2020	
CSX 2019-270(AD)	2	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-271(AD)	2	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-272(AD)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-273(AD)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-274(AD)	2	\$10,000	\$10,000	\$7,200	9/30/2020	
CSX 2019-275(AD)	2	\$10,000	\$10,000	\$7,200	9/30/2020	
CSX 2019-276(AD)	2	\$10,000	\$10,000	\$7,200	9/30/2020	
CSX 2019-277(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-278(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-279(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-280(TS)	3	\$12,500	\$12,500	\$9,187	9/30/2020	
CSX 2019-281(ROR)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-282(FCS)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-283(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-284(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-285(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-286(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-287(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-288(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-289(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-290(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-291(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-292(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-293(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-294(SA)	2	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-295(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-296(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-297(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-298(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-299(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-300(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-301(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-302(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	

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CSX 2019-303(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-304(RW)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-305(GC)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-306(RW)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-307(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-308(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-309(GC)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-310(GC)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-311(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-312(FCS)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-313(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-314(HSR)	2	\$2,000	\$2,000	\$1,740	9/30/2020	
CSX 2019-315(AR)	1	\$1,000	\$1,000	\$870	9/30/2020	
CSX 2019-316(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-317(CC)	1	\$4,000	\$4,000	\$2,940	9/30/2020	
CSX 2019-318(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-319(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-320(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-321(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-322(EQ)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-323(CC)	1	\$4,000	\$4,000	\$2,940	9/30/2020	
CSX 2019-324(HSR)	2	\$2,000	\$2,000	\$1,740	9/30/2020	
CSX 2019-325(CIS)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CSX 2019-326(CIS)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
CSX 2019-328(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-329(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-330(SA)	2	\$10,000	\$10,000	\$7,300	9/30/2020	
CSX 2019-331(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-332(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-333(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-334(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-335(ROR)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-336(ROR)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-337(ROR)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-338(ROR)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-339(ROR)	1	\$9,500	\$9,500	\$6,930	9/30/2020	
CSX 2019-340(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-341(TS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-342(AD)	3	\$7,500	\$7,500	\$5,500	9/30/2020	
CSX 2019-343(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-344(ROR)	1	\$9,500	\$9,500	\$6,930	9/30/2020	
CSX 2019-345(ROR)	1	\$9,500	\$9,500	\$6,930	9/30/2020	
CSX 2019-346(ROR)	1	\$9,500	\$9,500	\$6,930	9/30/2020	
CSX 2019-347(ROP)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-348(AD)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-349(AD)	7	\$14,000	\$14,000	\$10,000	9/30/2020	
CSX 2019-350(AD)	10	\$25,000	\$25,000	\$18,000	9/30/2020	
CSX 2019-351(CC)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-352(SA)	2	\$10,000	\$10,000	\$7,300	9/30/2020	
CSX 2019-353(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-354(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-355(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-356(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	

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CSX 2019-357(ROR)	3	\$28,500	\$28,500	\$21,125	9/30/2020
CSX 2019-358(ROR)	1	\$9,500	\$9,500	\$6,930	9/30/2020
CSX 2019-359(LI)	1	\$2,500	\$2,500	\$1,837	9/30/2020
CSX 2019-360(LI)	2	\$5,000	\$5,000	\$3,675	9/30/2020
CSX 2019-361(TS)	1	\$2,500	\$2,500	\$1,837	9/30/2020
CSX 2019-362(RW)	1	\$2,000	\$2,000	\$1,470	9/30/2020
CSX 2019-363(LI)	1	\$2,500	\$2,500	\$1,837	9/30/2020
CSX 2019-364(LI)	1	\$1,500	\$1,500	\$1,102	9/30/2020
CSX 2019-365(LI)	1	\$1,500	\$1,500	\$1,102	9/30/2020
CSX 2019-366(LI)	1	\$1,500	\$1,500	\$1,102	9/30/2020
CSX 2019-367(ROR)	1	\$9,500	\$9,500	\$6,930	9/30/2020
CSX 2019-368(SA)	1	\$5,000	\$2,500	\$1,836	9/30/2020
CSX 2019-369(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-370(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-371(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-372(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-373(FCS)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-374(HMT)	1	\$3,000	\$3,000	\$2,205	9/30/2020
CSX 2019-375(TS)	1	\$2,500	\$2,500	\$1,837	9/30/2020
CSX 2019-376(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-377(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-378(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-379(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-380(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-381(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-382(TS)	1	\$2,500	\$2,500	\$1,837	9/30/2020
CSX 2019-383(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-384(FCS)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-385(FCS)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-386(FCS)	4	\$20,000	\$20,000	\$14,650	9/30/2020
CSX 2019-387(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-388(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-389(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-390(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2019-391(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-392(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-393(FCS)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-394(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-395(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-396(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-397(LI)	1	\$1,500	\$1,500	\$1,102	9/30/2020
CSX 2019-398(LI)	1	\$1,000	\$1,000	\$870	9/30/2020
CSX 2019-399(LI)	1	\$1,000	\$1,000	\$870	9/30/2020
CSX 2019-400(LI)	1	\$1,000	\$1,000	\$870	9/30/2020
CSX 2019-401(LI)	1	\$1,500	\$1,500	\$1,102	9/30/2020
CSX 2019-402(FCS)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-403(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-404(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020
CSX 2019-405(TS)	1	\$2,500	\$2,500	\$1,837	9/30/2020
CSX 2019-406(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-407(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-408(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-409(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020

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CSX 2019-410(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-411(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-412(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-413(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-414(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-415(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-416(ROP)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-417(RSP)	1	\$9,500	\$9,500	\$6,850	9/30/2020	
CSX 2019-420(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-421(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-422(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-423(GC)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-424(CC)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-425(LI)	3	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-426(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-427(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-429(HMT)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-430(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-431(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-432(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2019-433(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-434(SA)	2	\$10,000	\$10,000	\$7,300	9/30/2020	
CSX 2019-435(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-436(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-437(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-438(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-439(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-440(SA)	1	\$5,000	\$5,000	\$3,900	9/30/2020	
CSX 2019-441(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-442(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-443(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-444(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-445(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-446(ROP)	1	\$9,500	\$0	\$0	9/30/2020	Terminated
CSX 2019-448(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-449(TS)	2	\$10,000	\$10,000	\$7,350	9/30/2020	
CSX 2019-450(TS)	2	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-451(GS)	1	\$1,000	\$1,000	\$870	9/30/2020	
CSX 2019-452(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-453(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-454(SI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-455(SI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-456(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-457(GC)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-458(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-459(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-460(TS)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-461(LI)	4	\$10,000	\$2,500	\$1,836	9/30/2020	
CSX 2019-462(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-463(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-464(LI)	2	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-465(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-466(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	

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CSX 2019-467(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-468(GC)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-469(GC)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-470(GC)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-472(SA)	1	\$5,000	\$2,500	\$1,836	9/30/2020	
CSX 2019-473(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-474(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-475(ROR)	1	\$9,500	\$9,500	\$6,930	9/30/2020	
CSX 2019-476(HS)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-477(ROR)	1	\$9,500	\$9,500	\$6,930	9/30/2020	
CSX 2019-478(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-479(SA)	2	\$10,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-480(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-481(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-482(SA)	2	\$10,000	\$10,000	\$7,350	9/30/2020	
CSX 2019-483(HMT)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-484(HMT)	2	\$4,000	\$4,000	\$2,940	9/30/2020	
CSX 2019-485(LI)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-486(LI)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-487(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-488(AD)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-489(TS)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-490(TS)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-491(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-492(SA)	3	\$15,000	\$15,000	\$11,025	9/30/2020	
CSX 2019-493(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-494(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-495(ROP)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-496(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-497(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-498(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-499(FCS)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-500(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-501(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-502(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-503(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-504(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-505(GC)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2019-506(GC)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-507(GC)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2019-508(HSR)	2	\$2,000	\$2,000	\$1,740	9/30/2020	
CSX 2019-509(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-510(SI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-511(GC)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-512(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-513(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-514(TS)	2	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-515(TS)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-516(TS)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2019-517(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-518(FCS)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2019-519(FCS)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2019-520(SI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	

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CSX 2019-521(GC)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2019-522(RW)	1	\$3,000	\$3,000	\$2,205	9/30/2020	
CSX 2019-523(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-524(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-525(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-526(GC)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-527(RW)	1	\$3,000	\$3,000	\$2,205	9/30/2020	
CSX 2019-528(RW)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-529(TS)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2019-530(GC)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2019-531(TS)	2	\$3,500	\$3,500	\$2,590	9/30/2020	
CSX 2019-532(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-533(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-535(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-536(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-537(LI)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2019-538(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-539(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-540(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020	
CSX 2019-541(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-542(HMT)	2	\$7,000	\$7,000	\$5,145	9/30/2020	
CSX 2019-543(SI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-544(RSP)	1	\$9,500	\$9,500	\$6,850	9/30/2020	
CSX 2019-545(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-546(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-547(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-548(SA)	2	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-549(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-550(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-551(TS)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2019-552(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2019-553(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2019-554(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-555(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-556(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-557(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-558(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-559(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-560(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-561(SI)	1	\$7,500	\$7,500	\$5,500	9/30/2020	
CSX 2019-562(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-563(TS)	1	\$5,000	\$5,000	\$3,657	9/30/2020	
CSX 2019-564(HMT)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-565(SA)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2019-566(RW)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-567(LI)	1	\$1,500	\$1,500	\$1,102	9/30/2020	
CSX 2019-568(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-569(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-570(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2019-571(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2019-572(HMT)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2019-573(ROP)	2	\$15,000	\$15,000	\$10,975	9/30/2020	
CSX 2019-574(ROP)	2	\$4,000	\$4,000	\$2,940	9/30/2020	

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CSX 2019-575(SA)	2	\$10,000	\$10,000	\$7,300	9/30/2020
CSX 2019-576(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-577(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-578(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-579(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-580(GC)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-581(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020
CSX 2019-582(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020
CSX 2019-583(RSP)	1	\$9,500	\$9,500	\$6,850	9/30/2020
CSX 2019-584(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020
CSX 2019-585(TS)	1	\$2,500	\$2,500	\$1,837	9/30/2020
CSX 2019-586(GC)	1	\$1,000	\$1,000	\$892	9/30/2020
CSX 2019-587(ROP)	1	\$7,500	\$7,500	\$5,512	9/30/2020
CSX 2019-588(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-589(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-590(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-591(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2019-592(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2019-593(TS)	1	\$5,000	\$5,000	\$3,675	9/30/2020
CSX 2019-594(FCS)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2020-001(HMT)	1	\$6,000	\$6,000	\$4,410	9/30/2020
CSX 2020-002(HMT)	1	\$6,000	\$6,000	\$4,410	9/30/2020
CSX 2020-003(ROP)	2	\$10,000	\$10,000	\$7,300	9/30/2020
CSX 2020-004(RW)	1	\$3,000	\$3,000	\$2,205	9/30/2020
CSX 2020-005(HMT)	1	\$2,000	\$2,000	\$1,470	9/30/2020
CSX 2020-006(HMT)	1	\$2,000	\$2,000	\$1,470	9/30/2020
CSX 2020-007(SI)	1	\$1,000	\$1,000	\$892	9/30/2020
CSX 2020-008(EQ)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2020-009(EQ)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2020-010(HSR)	1	\$1,000	\$1,000	\$892	9/30/2020
CSX 2020-011(LI)	4	\$10,000	\$10,000	\$7,300	9/30/2020
CSX 2020-012(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2020-013(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
CSX 2020-014(ROP)	1	\$7,500	\$7,500	\$5,500	9/30/2020
CSX 2020-015(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020
CSX 2020-016(LI)	2	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2020-017(LI)	2	\$5,000	\$2,500	\$1,836	9/30/2020
CSX 2020-018(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2020-019(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2020-020(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020
CSX 2020-021(HSR)	2	\$2,000	\$2,000	\$1,740	9/30/2020
CSX 2020-022(HMT)	1	\$6,000	\$6,000	\$4,410	9/30/2020
CSX 2020-023(ROP)	1	\$9,500	\$9,500	\$6,982	9/30/2020
CSX 2020-024(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2020-025(ROP)	1	\$7,500	\$7,500	\$5,500	9/30/2020
CSX 2020-026(ROP)	1	\$2,000	\$2,000	\$1,470	9/30/2020
CSX 2020-027(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020
CSX 2020-028(TS)	2	\$10,000	\$7,500	\$5,500	9/30/2020
CSX 2020-029(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2020-030(ROP)	1	\$7,500	\$7,500	\$5,500	9/30/2020
CSX 2020-032(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2020-033(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020
CSX 2020-034(ROP)	1	\$2,000	\$2,000	\$1,470	9/30/2020

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CSX 2020-035(ROP)	1	\$7,500	\$7,500	\$5,500	9/30/2020	
CSX 2020-036(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2020-037(CC)	1	\$4,000	\$4,000	\$2,940	9/30/2020	
CSX 2020-038(EQ)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2020-039(EQ)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-040(EQ)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-041(SA)	2	\$10,000	\$10,000	\$7,300	9/30/2020	
CSX 2020-042(CC)	1	\$4,000	\$4,000	\$2,940	9/30/2020	
CSX 2020-044(TS)	1	\$5,000	\$5,000	\$3,650	9/30/2020	
CSX 2020-045(TS)	4	\$20,000	\$20,000	\$14,636	9/30/2020	
CSX 2020-046(ROP)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2020-047(GC)	1	\$5,000	\$5,000	\$3,675	9/30/2020	
CSX 2020-048(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-049(LI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2020-051(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2020-052(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-053(SI)	1	\$1,000	\$1,000	\$892	9/30/2020	
CSX 2020-054(HMT)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2020-055(SI)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2020-056(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-057(SI)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-058(LI)	8	\$20,000	\$20,000	\$14,600	9/30/2020	
CSX 2020-059(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2020-060(SA)	3	\$15,000	\$15,000	\$10,975	9/30/2020	
CSX 2020-061(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-062(CC)	1	\$7,500	\$7,500	\$5,512	9/30/2020	
CSX 2020-063(HMT)	1	\$2,000	\$2,000	\$1,470	9/30/2020	
CSX 2020-064(HSR)	2	\$2,000	\$2,000	\$1,784	9/30/2020	
CSX 2020-065(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2020-067(HMT)	1	\$2,500	\$2,500	\$1,837	9/30/2020	
CSX 2020-069(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2020-070(SA)	1	\$5,000	\$5,000	\$3,000	9/30/2020	
CSX 2020-071(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-073(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
CSX 2020-074(FCS)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-075(FCS)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CSX 2020-076(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2020-077(SA)	1	\$2,500	\$2,500	\$1,836	9/30/2020	
CSX 2020-079(SA)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
CSX 2020-50(SA)	1	\$5,000	\$5,000	\$3,625	9/30/2020	
CWRO 2020-001(TH)	1	\$1,000	\$1,000	\$1,000	9/30/2020	
DGNO 2019-003(TS)	2	\$7,500	\$7,500	\$5,775	9/10/2020	
DGNO 2019-004(TS)	1	\$15,000	\$15,000	\$11,550	12/21/2020	
DGNO 2019-005(ROP)	1	\$7,500	\$7,500	\$5,775	9/10/2020	
DGNO 2019-006(TS)	2	\$7,500	\$7,500	\$5,775	9/10/2020	
DGNO 2019-007(TS)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
DGNO 2019-008(GC)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
DGNO 2019-009(GC)	1	\$1,000	\$1,000	\$900	9/10/2020	
DMVW 2016-002(HSR)	1	\$1,000	\$1,000	\$853	10/11/2019	
DQE 2018-001(SA)	1	\$5,000	\$5,000	\$3,500	11/26/2019	
DQE 2018-002(SA)	1	\$5,000	\$5,000	\$3,500	11/26/2019	
DQE 2018-003(SA)	2	\$7,500	\$7,500	\$5,250	11/26/2019	
DQE 2018-004(SA)	1	\$2,500	\$2,500	\$1,750	11/26/2019	

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DQE 2018-005(SA)	1	\$5,000	\$5,000	\$3,500	11/26/2019	
DQE 2018-006(SA)	1	\$2,500	\$2,500	\$1,750	11/26/2019	
DQE 2018-007(SA)	1	\$5,000	\$5,000	\$3,500	11/26/2019	
DQE 2018-008(FCS)	1	\$2,500	\$2,500	\$1,750	11/26/2019	
DQE 2018-009(FCS)	1	\$2,500	\$2,500	\$1,750	11/26/2019	
DQE 2018-010(FCS)	1	\$2,500	\$2,500	\$1,750	11/26/2019	
DQE 2018-011(FCS)	1	\$2,500	\$2,500	\$1,750	11/26/2019	
DQE 2018-012(SA)	1	\$5,000	\$5,000	\$3,500	11/26/2019	
DREI 2019-001(SA)	1	\$5,000	\$0	\$0	9/10/2020	Terminated
DREI 2020-001(SA)	1	\$2,500	\$0	\$0	9/10/2020	Terminated
DSRC 2019-004(SA)	1	\$2,500	\$2,500	\$2,500	11/20/2019	
DSRC 2020-001(TS)	1	\$5,000	\$5,000	\$5,000	7/24/2020	
DSRC 2020-002(TS)	1	\$5,000	\$5,000	\$5,000	7/24/2020	
DSRR 2019-001(TS)	1	\$2,500	\$2,500	\$2,500	11/13/2019	
DTPN 2017-001(GC)	1	\$5,000	\$0	\$0	9/4/2020	Terminated
DTPN 2017-003(GC)	1	\$5,000	\$0	\$0	9/4/2020	Terminated
DTPN 2017-004(GC)	1	\$5,000	\$0	\$0	9/4/2020	Terminated
EARY 2019-001(ROP)	1	\$7,500	\$7,500	\$5,475	9/10/2020	
EBSR 2019-001(ROP)	1	\$2,000	\$2,000	\$1,000	10/17/2019	
EIRR 2019-002(HMT)	1	\$4,000	\$4,000	\$3,000	9/10/2020	
EIRR 2019-004(TS)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
EIRR 2019-005(TS)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
EIRR 2019-006(ROP)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
EIRR 2020-001(TS)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
EMRY 2019-001(AD)	1	\$2,500	\$2,500	\$1,259	10/10/2019	
EMRY 2019-002(AD)	1	\$2,500	\$2,500	\$1,250	10/10/2019	
EMRY 2019-003(AR)	1	\$2,500	\$2,500	\$1,250	10/10/2019	
EMRY 2019-004(AR)	1	\$2,500	\$2,500	\$1,250	10/10/2019	
EMRY 2019-005(AR)	1	\$2,500	\$2,500	\$1,250	10/10/2019	
EMRY 2019-006(AR)	1	\$2,500	\$2,500	\$1,250	10/10/2019	
EMRY 2019-007(AR)	1	\$2,500	\$2,500	\$1,250	10/10/2019	
EVWR 2019-001(ROP)	1	\$7,500	\$7,500	\$6,000	10/9/2019	
EWG 2018-003(RSP)	1	\$1,000	\$0	\$0	1/22/2020	Terminated
EWG 2018-004(TS)	1	\$5,000	\$0	\$0	1/22/2020	Terminated
FEC 2020-003(SI)	1	\$29,192	\$29,192	\$29,192	5/21/2020	
FGA 2020-006(SI)	1	\$2,500	\$2,500	\$2,500	9/21/2020	
FGLK 2009-001(SA)	1	\$5,000	\$0	\$0	3/4/2020	Terminated
FGLK 2009-002(TS)	2	\$10,000	\$0	\$0	3/4/2020	Terminated
FGLK 2012-001(ROP)	1	\$7,500	\$0	\$0	3/4/2020	Terminated
FGLK 2015-001(LI)	1	\$2,500	\$2,500	\$1,250	3/4/2020	
FGLK 2015-002(ROP)	1	\$7,500	\$7,500	\$5,000	3/4/2020	
FGLK 2016-001(AR)	1	\$2,500	\$2,500	\$1,500	3/4/2020	
FGLK 2016-002(SA)	1	\$2,500	\$2,500	\$1,250	3/4/2020	
FGLK 2017-001(SA)	1	\$2,500	\$2,500	\$1,000	3/4/2020	
FGLK 2018-001(SA)	1	\$5,000	\$5,000	\$3,250	3/4/2020	
FGLK 2018-002(GC)	1	\$5,000	\$5,000	\$4,500	3/4/2020	
FGLK 2018-003(TS)	1	\$5,000	\$5,000	\$3,500	3/4/2020	
FGLK 2019-001(ROP)	1	\$7,500	\$7,500	\$3,750	3/4/2020	
FMRC 2016-001(AD)	3	\$11,000	\$1,000	\$1,000	10/25/2019	
FMRC 2017-001(AD)	1	\$5,000	\$0	\$0	10/16/2019	Terminated
FMRC 2019-001(AD)	1	\$5,000	\$5,000	\$4,000	7/1/2020	
GC 2019-001(AD)	1	\$1,000	\$1,000	\$900	9/10/2020	
GC 2019-002(AD)	1	\$1,000	\$1,000	\$900	9/10/2020	

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GC 2019-003(ROP)	1	\$7,500	\$7,500	\$5,775	9/10/2020
GDLK 2019-002(SA)	1	\$5,000	\$5,000	\$3,250	9/10/2020
GFRR 2016-001(SI)	1	\$5,000	\$5,000	\$2,500	5/20/2020
GLCT 2019-001(SA)	1	\$5,000	\$5,000	\$5,000	3/5/2020
GMRC 2018-001(ROP)	1	\$9,500	\$9,500	\$7,600	5/20/2020
GMRC 2018-002(ROP)	1	\$7,500	\$7,500	\$6,000	5/20/2020
GRR 2019-001(ROP)	1	\$2,000	\$2,000	\$2,000	3/5/2020
GRW 2019-001(AR)	1	\$5,000	\$5,000	\$4,200	3/12/2020
GRW 2020-001(AD)	1	\$5,000	\$5,000	\$3,800	3/12/2020
GRW 2020-002(AD)	1	\$5,000	\$5,000	\$4,000	3/12/2020
GRW 2020-003(AD)	1	\$2,500	\$2,500	\$2,000	3/12/2020
GRW 2020-004(ROR)	1	\$9,500	\$9,500	\$7,550	3/12/2020
GRW 2020-005(ROR)	1	\$9,500	\$9,500	\$7,550	3/12/2020
GSWY 2019-003(LI)	1	\$2,000	\$2,000	\$1,550	9/10/2020
GTLS 2018-001(HMT)	1	\$5,000	\$5,000	\$3,250	5/20/2020
HOG 2019-001(GC)	1	\$5,000	\$5,000	\$3,800	9/10/2020
IAIS 2020-001(AD)	1	\$2,500	\$2,500	\$2,500	7/20/2020
IAIS 2020-002(AD)	1	\$2,500	\$2,500	\$2,500	7/20/2020
IATX 2019-001(AR)	1	\$2,500	\$2,500	\$1,293	12/6/2019
IATX 2019-002(AR)	1	\$5,000	\$5,000	\$2,585	12/6/2019
IATX 2019-003(AD)	1	\$30,000	\$30,000	\$15,510	12/6/2019
IATX 2019-004(AD)	1	\$5,000	\$5,000	\$2,585	12/6/2019
IATX 2019-005(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019
IATX 2019-006(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019
IATX 2019-007(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019
IATX 2019-008(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019
IC 2019-001(AR)	1	\$1,000	\$1,000	\$890	9/30/2020
IHB 2018-005(SA)	1	\$5,000	\$5,000	\$3,750	11/6/2019
IHB 2018-006(HMT)	1	\$2,000	\$2,000	\$1,500	11/6/2019
IHB 2018-007(HMT)	2	\$20,000	\$20,000	\$12,400	11/6/2019
IHB 2018-008(SA)	1	\$5,000	\$5,000	\$3,750	11/6/2019
IHB 2019-004(HMT)	1	\$10,000	\$10,000	\$8,500	11/6/2019
IHB 2019-005(SA)	1	\$5,000	\$5,000	\$3,375	2/19/2020
IHB 2019-006(SA)	1	\$2,500	\$2,500	\$1,750	2/19/2020
IHB 2019-007(SA)	1	\$5,000	\$5,000	\$4,000	2/19/2020
IHB 2019-008(SA)	1	\$2,500	\$2,500	\$1,750	2/19/2020
IHB 2019-009(SA)	1	\$2,500	\$2,500	\$1,750	2/19/2020
INPR 2019-001(TS)	1	\$5,000	\$5,000	\$2,950	11/27/2019
INRD 2019-001(HS)	1	\$1,000	\$1,000	\$1,000	12/9/2019
IOYR 2019-002(GC)	1	\$2,500	\$2,500	\$1,650	9/10/2020
IOYR 2019-003(GC)	1	\$2,500	\$2,500	\$2,000	9/10/2020
IR 2019-001(AD)	1	\$2,500	\$2,500	\$1,750	5/12/2020
IR 2019-002(AD)	1	\$5,000	\$5,000	\$3,500	5/12/2020
ISRY 2020-001(BSS)	1	\$12,500	\$12,500	\$7,000	8/13/2020
ISRY 2020-002(AD)	1	\$1,000	\$1,000	\$892	8/13/2020
KCS 2019-014(SA)	1	\$2,500	\$2,500	\$1,800	6/29/2020
KCS 2019-015(TS)	1	\$1,000	\$1,000	\$1,000	6/29/2020
KCS 2019-016(SA)	1	\$2,500	\$2,500	\$2,000	6/29/2020
KCS 2019-017(FCS)	1	\$6,000	\$6,000	\$5,000	6/29/2020
KCS 2019-018(FCS)	1	\$5,000	\$5,000	\$3,500	6/29/2020
KCS 2019-019(LI)	1	\$2,500	\$2,500	\$1,800	6/29/2020
KCS 2019-020(LI)	1	\$2,500	\$2,500	\$1,800	6/29/2020
KCS 2019-021(SA)	1	\$2,500	\$2,500	\$1,800	6/29/2020

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KCS 2019-022(HMT)	1	\$5,000	\$5,000	\$4,000	6/29/2020	
KCS 2019-023(SA)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KCS 2019-024(ROP)	1	\$2,000	\$2,000	\$1,500	6/29/2020	
KCS 2019-025(SA)	1	\$5,000	\$5,000	\$3,000	6/29/2020	
KCS 2019-026(AR)	1	\$1,000	\$1,000	\$870	6/29/2020	
KCS 2019-027(SA)	1	\$5,000	\$5,000	\$5,000	6/29/2020	
KCS 2019-028(ROR)	1	\$9,500	\$0	\$0	6/29/2020	Terminated
KCS 2019-029(ROP)	1	\$2,000	\$2,000	\$1,500	6/29/2020	
KCS 2019-030(ROP)	1	\$7,500	\$7,500	\$6,000	6/29/2020	
KCS 2019-031(SA)	1	\$5,000	\$5,000	\$4,000	6/29/2020	
KCS 2019-032(SA)	1	\$5,000	\$5,000	\$4,000	6/29/2020	
KCS 2019-033(AD)	2	\$10,000	\$10,000	\$6,000	6/29/2020	
KCS 2019-034(HMT)	1	\$5,000	\$5,000	\$3,500	6/29/2020	
KCS 2019-035(SA)	1	\$2,500	\$2,500	\$2,000	6/29/2020	
KCS 2019-036(SA)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KCS 2019-037(SI)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KCS 2019-038(GC)	1	\$5,000	\$5,000	\$4,000	6/29/2020	
KCS 2019-039(ROP)	1	\$7,500	\$7,500	\$6,000	6/29/2020	
KCS 2019-040(FCS)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KCS 2019-041(SA)	4	\$15,000	\$15,000	\$10,000	6/29/2020	
KCS 2019-042(SA)	1	\$5,000	\$5,000	\$4,000	6/29/2020	
KCS 2019-043(SA)	1	\$2,500	\$2,500	\$2,000	6/29/2020	
KCS 2020-001(SA)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KCS 2020-002(LI)	1	\$1,500	\$1,500	\$892	6/29/2020	
KCS 2020-003(SA)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KCS 2020-004(SA)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KCS 2020-006(SA)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KCS 2020-007(SA)	1	\$2,500	\$2,500	\$2,000	6/29/2020	
KCS 2020-008(FCS)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KCS 2020-009(SA)	1	\$2,500	\$2,500	\$1,800	6/29/2020	
KFR 2019-001(ROP)	1	\$9,500	\$9,500	\$6,750	4/1/2020	
KJRY 2018-001(TS)	1	\$2,500	\$2,500	\$2,000	10/31/2019	
KJRY 2018-002(TS)	1	\$5,000	\$5,000	\$4,000	10/31/2019	
KJRY 2019-001(TS)	1	\$2,500	\$2,500	\$2,000	10/31/2019	
KNWA 2016-001(HMT)	2	\$7,000	\$7,000	\$3,500	9/10/2020	
KNWA 2017-001(HMT)	1	\$15,000	\$15,000	\$7,500	9/10/2020	
KNWA 2019-001(HMT)	1	\$7,500	\$7,500	\$4,500	9/10/2020	
KO 2019-001(ROP)	1	\$9,500	\$9,500	\$6,750	9/10/2020	
KO 2019-002(ROP)	1	\$7,500	\$7,500	\$4,750	9/10/2020	
KO 2019-003(ROP)	1	\$9,500	\$9,500	\$6,250	9/10/2020	
KO 2020-001(ROP)	1	\$7,500	\$7,500	\$5,000	9/10/2020	
KRR 2019-003(TS)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
KRR 2019-004(TS)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
KRR 2019-005(ROP)	1	\$7,500	\$7,500	\$5,775	9/10/2020	
KXHR 2017-001(AD)	1	\$2,500	\$0	\$0	8/25/2020	Terminated
KYLE 2019-001(TS)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
LBWR 2019-003(TS)	1	\$10,000	\$10,000	\$6,250	9/10/2020	
LBWR 2019-004(TS)	2	\$7,500	\$7,500	\$5,200	9/10/2020	
LBWR 2019-005(TS)	1	\$1,000	\$1,000	\$870	9/10/2020	
LBWR 2019-008(TS)	2	\$7,500	\$7,500	\$6,000	9/10/2020	
LBWR 2020-001(TS)	1	\$2,500	\$2,500	\$1,700	9/10/2020	
LMIC 2019-001(AD)	1	\$5,000	\$5,000	\$2,500	10/12/2019	
LSRC 2020-001(SA)	1	\$2,500	\$2,500	\$1,500	4/30/2020	

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MBTA 2017-027(SI)	1	\$10,000	\$10,000	\$7,700	3/23/2020
MBTA 2017-028(GC)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-029(HSR)	1	\$7,500	\$7,500	\$5,770	3/23/2020
MBTA 2017-030(HS)	1	\$6,000	\$6,000	\$4,620	3/23/2020
MBTA 2017-031(HS)	1	\$6,000	\$6,000	\$4,620	3/23/2020
MBTA 2017-032(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2017-033(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2017-034(ROR)	1	\$38,000	\$38,000	\$29,260	3/23/2020
MBTA 2017-035(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2017-036(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2017-037(PEQ)	1	\$15,000	\$15,000	\$11,550	3/23/2020
MBTA 2017-038(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-039(PEQ)	1	\$15,000	\$15,000	\$11,550	3/23/2020
MBTA 2017-040(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-041(AD)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-042(AD)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-043(AD)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-044(PEP)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-045(AR)	1	\$1,000	\$1,000	\$853	3/23/2020
MBTA 2017-046(AR)	1	\$1,000	\$1,000	\$853	3/23/2020
MBTA 2017-047(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-048(LI)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2017-049(LI)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2017-050(ROP)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-051(PEQ)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2017-052(RW)	1	\$3,000	\$3,000	\$2,310	3/23/2020
MBTA 2017-054(PEQ)	1	\$15,000	\$15,000	\$11,550	3/23/2020
MBTA 2017-055(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2017-056(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-001(AD)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-002(AD)	1	\$10,000	\$10,000	\$7,700	3/23/2020
MBTA 2018-003(AD)	1	\$20,000	\$20,000	\$15,400	3/23/2020
MBTA 2018-004(AD)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-005(AD)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-006(AD)	1	\$15,000	\$15,000	\$11,550	3/23/2020
MBTA 2018-007(AD)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-008(AD)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-009(AD)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-010(EQ)	1	\$1,000	\$1,000	\$853	3/23/2020
MBTA 2018-011(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-012(PEP)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-013(PEP)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-014(PEP)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-015(PEP)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-016(PEQ)	1	\$1,000	\$1,000	\$853	3/23/2020
MBTA 2018-017(RSP)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-018(HSR)	1	\$7,500	\$7,500	\$5,775	3/23/2020
MBTA 2018-020(CC)	1	\$4,000	\$4,000	\$3,080	3/23/2020
MBTA 2018-021(CC)	1	\$15,000	\$15,000	\$11,550	3/23/2020
MBTA 2018-022(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-023(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-024(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-025(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020

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MBTA 2018-026(EQ)	1	\$3,000	\$3,000	\$2,310	3/23/2020
MBTA 2018-027(EQ)	1	\$3,000	\$3,000	\$2,310	3/23/2020
MBTA 2018-028(PEP)	1	\$12,500	\$12,500	\$9,625	3/23/2020
MBTA 2018-029(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-030(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-031(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-032(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-033(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-034(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-035(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-036(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-037(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-038(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-039(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-040(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-041(CC)	1	\$1,000	\$1,000	\$853	3/23/2020
MBTA 2018-042(CC)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-043(CC)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-044(ROR)	1	\$19,000	\$19,000	\$14,630	3/23/2020
MBTA 2018-045(ROR)	1	\$114,000	\$114,000	\$87,365	3/23/2020
MBTA 2018-046(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-047(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-048(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-049(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-050(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-051(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-052(ROR)	1	\$38,000	\$38,000	\$29,260	3/23/2020
MBTA 2018-053(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-054(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-055(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-057(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-058(PEQ)	1	\$10,000	\$10,000	\$7,700	3/23/2020
MBTA 2018-059(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020
MBTA 2018-060(RW)	1	\$3,000	\$3,000	\$2,310	3/23/2020
MBTA 2018-061(EQ)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-062(HSR)	1	\$1,500	\$1,500	\$1,155	3/23/2020
MBTA 2018-063(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-064(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-065(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-066(ROR)	1	\$9,500	\$9,500	\$7,315	3/23/2020
MBTA 2018-067(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-068(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-069(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-070(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-071(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-072(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-073(AR)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2018-074(SA)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2019-001(CC)	1	\$55,000	\$55,000	\$42,350	3/23/2020
MBTA 2019-002(CC)	1	\$7,500	\$7,500	\$5,775	3/23/2020
MBTA 2019-003(CC)	1	\$8,000	\$8,000	\$6,160	3/23/2020
MBTA 2019-004(CC)	1	\$2,500	\$2,500	\$1,925	3/23/2020
MBTA 2019-005(SA)	1	\$2,500	\$2,500	\$1,925	3/23/2020

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MBTA 2019-006(SA)	1	\$2,500	\$2,500	\$1,925	3/23/2020	
MBTA 2019-007(SA)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-008(EQ)	1	\$2,000	\$2,000	\$1,540	3/23/2020	
MBTA 2019-009(HSR)	1	\$1,500	\$1,500	\$1,155	3/23/2020	
MBTA 2019-012(TS)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-013(RW)	1	\$3,000	\$3,000	\$2,310	3/23/2020	
MBTA 2019-014(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-015(PEQ)	1	\$2,500	\$2,500	\$1,925	3/23/2020	
MBTA 2019-016(GC)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-017(PEQ)	1	\$2,500	\$2,500	\$1,925	3/23/2020	
MBTA 2019-018(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-019(AR)	1	\$15,000	\$15,000	\$11,550	3/23/2020	
MBTA 2019-020(RW)	1	\$2,000	\$2,000	\$1,540	3/23/2020	
MBTA 2019-021(ROP)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-022(PEQ)	1	\$15,000	\$15,000	\$11,550	3/23/2020	
MBTA 2019-023(PEQ)	1	\$15,000	\$15,000	\$11,550	3/23/2020	
MBTA 2019-024(PEQ)	1	\$15,000	\$15,000	\$11,550	3/23/2020	
MBTA 2019-025(PEQ)	1	\$15,000	\$15,000	\$11,550	3/23/2020	
MBTA 2019-026(GC)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-027(GC)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-029(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-030(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MBTA 2019-031(HSR)	1	\$2,500	\$2,500	\$1,925	3/23/2020	
MBTA 2019-032(PEQ)	1	\$15,000	\$15,000	\$11,550	3/23/2020	
MBTA 2019-033(PEQ)	1	\$5,000	\$5,000	\$3,850	3/23/2020	
MNA 2019-001(GC)	1	\$1,000	\$1,000	\$900	9/10/2020	
MNA 2019-002(GC)	1	\$1,000	\$1,000	\$900	9/10/2020	
MNA 2019-003(SA)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
MNA 2019-004(SA)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
MNA 2019-005(FCS)	1	\$2,500	\$2,500	\$1,900	9/10/2020	
MNA 2020-001(GC)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
MNA 2020-002(GC)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
MNBR 2019-001(SA)	1	\$5,000	\$0	\$0	9/10/2020	Terminated
MNBR 2019-002(TS)	2	\$10,000	\$10,000	\$7,750	9/10/2020	
MNBR 2019-003(TS)	2	\$7,500	\$7,500	\$5,775	9/10/2020	
MNBR 2019-004(TS)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
MNBR 2019-005(TS)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
MNBR 2019-006(TS)	1	\$1,000	\$1,000	\$900	9/10/2020	
MNBR 2019-007(TS)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
MNBR 2019-008(TS)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
MNCW 2018-010(AD)	1	\$5,000	\$5,000	\$4,000	5/30/2020	
MNCW 2018-011(RW)	1	\$5,000	\$5,000	\$4,000	5/30/2020	
MNCW 2018-012(RW)	1	\$2,000	\$2,000	\$1,600	5/30/2020	
MNCW 2018-013(TS)	1	\$1,000	\$1,000	\$875	5/30/2020	
MNCW 2018-014(RW)	1	\$5,000	\$5,000	\$4,000	5/30/2020	
MNCW 2018-015(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2018-016(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2018-017(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2018-018(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2018-019(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2018-020(ROR)	1	\$19,000	\$19,000	\$15,200	5/30/2020	
MNCW 2018-021(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2018-022(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	

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MNCW 2018-023(ROR)	1	\$28,500	\$28,500	\$22,800	5/30/2020	
MNCW 2018-024(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2019-001(AD)	1	\$5,000	\$5,000	\$3,750	5/30/2020	
MNCW 2019-002(AD)	1	\$5,000	\$5,000	\$4,000	5/30/2020	
MNCW 2019-003(AD)	1	\$5,000	\$5,000	\$4,000	5/30/2020	
MNCW 2019-004(AD)	1	\$5,000	\$5,000	\$4,000	5/30/2020	
MNCW 2019-005(AD)	1	\$5,000	\$5,000	\$4,000	5/30/2020	
MNCW 2019-006(AD)	1	\$10,000	\$10,000	\$8,000	5/30/2020	
MNCW 2019-007(AD)	1	\$5,000	\$5,000	\$3,750	5/30/2020	
MNCW 2019-008(AD)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-009(AD)	1	\$12,500	\$12,500	\$9,375	5/30/2020	
MNCW 2019-010(AD)	1	\$30,000	\$30,000	\$22,500	5/30/2020	
MNCW 2019-011(AD)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-012(AD)	1	\$27,500	\$27,500	\$20,625	5/30/2020	
MNCW 2019-013(AD)	1	\$2,000	\$2,000	\$1,500	5/30/2020	
MNCW 2019-014(AD)	1	\$25,000	\$25,000	\$18,750	5/30/2020	
MNCW 2019-015(AD)	1	\$5,000	\$5,000	\$4,000	5/30/2020	
MNCW 2019-016(AD)	1	\$25,000	\$25,000	\$20,000	5/30/2020	
MNCW 2019-017(AD)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-018(AD)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-019(AD)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-020(AD)	1	\$2,500	\$2,500	\$1,875	5/30/2020	
MNCW 2019-021(AD)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-022(AD)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-023(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2019-024(ROR)	1	\$47,500	\$47,500	\$38,000	5/30/2020	
MNCW 2019-025(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2019-026(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2019-027(ROR)	1	\$9,500	\$9,500	\$7,600	5/30/2020	
MNCW 2019-028(ROR)	1	\$28,500	\$28,500	\$9,500	5/30/2020	
MNCW 2019-029(ROR)	1	\$28,500	\$28,500	\$22,800	5/30/2020	
MNCW 2019-030(RW)	1	\$3,000	\$3,000	\$2,400	5/30/2020	
MNCW 2019-031(SA)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-032(ROP)	1	\$7,500	\$7,500	\$6,000	5/30/2020	
MNCW 2019-033(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-034(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-035(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-036(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-037(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-038(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-039(AR)	1	\$2,500	\$0	\$0	5/30/2020	Terminated
MNCW 2019-040(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-041(AR)	1	\$2,500	\$0	\$0	5/30/2020	Terminated
MNCW 2019-042(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-043(AR)	1	\$2,500	\$0	\$0	5/30/2020	Terminated
MNCW 2019-044(AR)	1	\$2,500	\$0	\$0	5/30/2020	Terminated
MNCW 2019-045(AR)	1	\$2,500	\$0	\$0	5/30/2020	Terminated
MNCW 2019-046(AR)	1	\$2,500	\$0	\$0	5/30/2020	Terminated
MNCW 2019-047(AR)	1	\$2,500	\$0	\$0	5/30/2020	Terminated
MNCW 2019-048(AR)	1	\$2,500	\$0	\$0	5/30/2020	Terminated
MNCW 2019-049(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-050(AR)	1	\$2,500	\$2,500	\$2,000	5/30/2020	
MNCW 2019-051(AR)	1	\$30,000	\$30,000	\$24,000	5/30/2020	

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MNCW 2019-052(AR)	1	\$1,000	\$1,000	\$875	5/30/2020	
MNCW 2019-053(ROP)	1	\$7,500	\$7,500	\$6,000	5/30/2020	
MNCW 2019-054(ROP)	1	\$7,500	\$7,500	\$6,000	5/30/2020	
MNR 2019-003(AD)	1	\$2,500	\$2,500	\$1,950	10/11/2019	
MNR 2019-004(AR)	1	\$2,500	\$2,500	\$1,950	10/11/2019	
MOTV 2020-001(SA)	1	\$10,000	\$10,000	\$10,000	2/12/2020	
MOTV 2020-002(HS)	1	\$1,000	\$1,000	\$1,000	2/12/2020	
MOTV 2020-003(HS)	1	\$1,000	\$1,000	\$1,000	2/12/2020	
MOTV 2020-004(HS)	1	\$1,000	\$1,000	\$1,000	2/12/2020	
MRL 2019-010(FCS)	1	\$2,500	\$2,500	\$2,000	4/22/2020	
MRL 2019-011(FCS)	1	\$5,000	\$5,000	\$4,000	4/22/2020	
MRL 2019-012(AR)	1	\$1,000	\$1,000	\$875	4/22/2020	
MRL 2019-013(SA)	1	\$5,000	\$5,000	\$4,000	4/22/2020	
MRL 2019-014(SA)	1	\$5,000	\$5,000	\$4,000	4/22/2020	
MRL 2019-015(SA)	1	\$2,500	\$2,500	\$2,000	4/22/2020	
MRL 2019-016(FCS)	1	\$2,500	\$2,500	\$2,000	4/22/2020	
MRL 2019-017(FCS)	1	\$2,500	\$2,500	\$2,000	4/22/2020	
MRL 2019-018(FCS)	1	\$2,500	\$2,500	\$2,000	4/22/2020	
MRL 2019-019(FCS)	1	\$7,500	\$7,500	\$6,000	4/22/2020	
MRL 2019-022(SA)	1	\$2,500	\$2,500	\$2,000	4/22/2020	
MRL 2019-023(SA)	1	\$5,000	\$5,000	\$3,850	4/22/2020	
MRL 2019-024(TH)	1	\$5,000	\$5,000	\$4,000	4/22/2020	
MRL 2019-025(AD)	1	\$2,500	\$2,500	\$2,000	4/22/2020	
MRL 2019-026(AD)	1	\$5,000	\$5,000	\$3,850	4/22/2020	
MRL 2019-027(AD)	1	\$2,500	\$2,500	\$2,000	4/22/2020	
MRL 2019-028(AD)	1	\$5,000	\$5,000	\$4,000	4/22/2020	
MRL 2019-029(SA)	1	\$5,000	\$5,000	\$3,850	4/22/2020	
MRL 2019-030(SA)	1	\$2,500	\$2,500	\$1,925	4/22/2020	
MRL 2019-031(SA)	1	\$2,500	\$2,500	\$1,925	4/22/2020	
MRL 2019-032(SA)	1	\$5,000	\$5,000	\$4,000	4/22/2020	
MRL 2019-033(ROP)	1	\$9,500	\$9,500	\$7,600	4/22/2020	
MRL 2019-034(ROP)	1	\$7,500	\$7,500	\$6,000	4/22/2020	
MRL 2019-035(ROP)	1	\$9,500	\$9,500	\$7,600	4/22/2020	
MRL 2019-036(RSP)	1	\$9,500	\$9,500	\$8,550	4/22/2020	
MRL 2019-037(RSP)	1	\$9,500	\$9,500	\$8,550	4/22/2020	
MRL 2020-001(TS)	1	\$5,000	\$5,000	\$4,000	4/22/2020	
MRL 2020-002(SA)	1	\$2,500	\$2,500	\$2,000	4/22/2020	
MRL 2020-003(SA)	1	\$5,000	\$5,000	\$4,000	4/22/2020	
MRL 2020-004(TH)	1	\$5,000	\$5,000	\$3,850	4/22/2020	
MRL 2020-005(TH)	1	\$5,000	\$5,000	\$3,850	4/22/2020	
MRL 2020-006(TH)	1	\$5,000	\$0	\$0	4/22/2020	Terminated
MRL 2020-007(LI)	1	\$2,500	\$2,500	\$1,925	4/22/2020	
MSE 2019-001(HMT)	1	\$15,000	\$15,000	\$10,500	2/13/2020	
NDW 2019-001(TS)	1	\$5,000	\$5,000	\$2,500	10/1/2019	
NECR 2020-001(LI)	1	\$2,500	\$2,500	\$1,750	9/10/2020	
NERR 2020-001(RSP)	1	\$11,000	\$11,000	\$8,000	4/20/2020	
NIRC 2016-002(GC)	1	\$5,000	\$5,000	\$3,000	10/18/2019	
NIRC 2016-003(GC)	1	\$5,000	\$5,000	\$3,000	10/18/2019	
NIRC 2017-001(ROP)	1	\$7,500	\$7,500	\$4,500	10/18/2019	
NIRC 2018-001(ROP)	1	\$7,500	\$0	\$0	10/18/2019	Terminated
NIRC 2018-002(GC)	1	\$5,000	\$5,000	\$3,500	10/18/2019	
NIRC 2018-003(ROP)	1	\$7,500	\$7,500	\$4,500	10/18/2019	
NIRC 2018-004(ROP)	1	\$7,500	\$7,500	\$4,500	10/18/2019	

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NIRC 2018-005(PAQ)	1	\$2,500	\$2,500	\$1,625	10/18/2019	
NIRC 2019-001(PAQ)	1	\$2,500	\$2,500	\$1,625	10/18/2019	
NIRC 2019-002(PAQ)	1	\$2,000	\$2,000	\$1,300	10/18/2019	
NIRC 2019-003(PAQ)	1	\$5,000	\$5,000	\$3,000	10/18/2019	
NOPB 2019-001(AD)	1	\$5,000	\$5,000	\$2,500	10/10/2019	
NOPB 2019-002(AD)	1	\$5,000	\$5,000	\$2,500	10/10/2019	
NWP 2019-001(HMT)	1	\$2,000	\$2,000	\$1,000	2/26/2020	
PAS 2019-002(LI)	1	\$2,500	\$5,000	\$4,000	9/30/2020	
PAS 2019-003(SI)	1	\$5,000	\$2,500	\$2,200	9/30/2020	
PAS 2020-001(TS)	1	\$5,000	\$5,000	\$4,000	9/30/2020	
PAS 2020-002(SA)	1	\$5,000	\$5,000	\$4,000	9/30/2020	
PAS 2020-003(TS)	1	\$5,000	\$5,000	\$3,800	9/30/2020	
PAS 2020-004(TS)	1	\$5,000	\$5,000	\$4,000	9/30/2020	
PAS 2020-005(FCS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
PAS 2020-006(SA)	1	\$2,500	\$2,500	\$0	9/30/2020	Terminated
PCC 2019-001(SA)	1	\$5,000	\$5,000	\$870	9/10/2020	
PNWR 2019-001(SA)	1	\$5,000	\$5,000	\$3,700	9/10/2020	
PNWR 2019-002(SA)	1	\$5,000	\$5,000	\$3,700	9/10/2020	
PNWR 2019-003(SA)	1	\$5,000	\$5,000	\$3,700	9/10/2020	
PNWR 2019-004(SA)	1	\$5,000	\$5,000	\$3,700	9/10/2020	
PSAP 2019-004(SA)	1	\$5,000	\$5,000	\$2,500	9/10/2020	
PSRR 2019-002(SA)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
PVS 2016-001(ROP)	1	\$2,000	\$2,000	\$1,250	9/10/2020	
PW 2019-003(ROP)	1	\$7,500	\$7,500	\$5,775	9/10/2020	
PW 2019-004(ROP)	1	\$7,500	\$7,500	\$5,775	9/10/2020	
PW 2019-005(HMT)	2	\$10,000	\$10,000	\$7,600	9/10/2020	
RASX 2020-001(SA)	1	\$2,500	\$0	\$0	5/15/2020	Terminated
RCPE 2019-001(TS)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
RCPE 2019-002(RW)	1	\$3,000	\$3,000	\$2,400	9/10/2020	
RJCW 2020-001(GC)	1	\$2,500	\$2,500	\$2,500	4/9/2020	
RRVW 2020-001(SA)	1	\$5,000	\$5,000	\$5,000	8/3/2020	
RSR 2019-001(SA)	1	\$5,000	\$5,000	\$3,700	9/10/2020	
RTDC 2017-057(SI)	1	\$35,000	\$0	\$0	9/2/2020	Terminated
RVSC 2020-001(TS)	1	\$5,000	\$5,000	\$3,000	2/6/2020	
SCAX 2016-006(ROR)	1	\$9,500	\$0	\$0	11/2/2019	Terminated
SCAX 2018-005(PAQ)	1	\$2,500	\$0	\$0	11/9/2019	Terminated
SEPA 2019-001(HS)	1	\$1,000	\$1,000	\$853	11/22/2019	
SEPA 2019-002(HS)	1	\$1,000	\$1,000	\$853	11/22/2019	
SEPA 2019-003(HSR)	1	\$1,000	\$1,000	\$853	11/22/2019	
SFRV 2019-004(TS)	1	\$5,000	\$5,000	\$5,000	10/31/2019	
SFRV 2019-005(CC)	1	\$2,500	\$2,500	\$2,500	10/31/2019	
SJVR 2019-001(HMT)	4	\$8,500	\$8,500	\$6,545	9/10/2020	
SKOL 2019-002(TS)	1	\$2,500	\$2,500	\$1,700	9/10/2020	
SKOL 2019-003(TS)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
SKOL 2019-004(TS)	1	\$5,000	\$5,000	\$3,250	9/10/2020	
SKOL 2020-001(RW)	1	\$1,500	\$1,500	\$1,000	9/10/2020	
SKOL 2020-002(TS)	1	\$5,000	\$5,000	\$3,750	9/10/2020	
SKOL 2020-003(ROP)	1	\$7,500	\$7,500	\$5,000	9/10/2020	
SKOL 2020-004(SA)	1	\$5,000	\$5,000	\$3,250	9/10/2020	
SKOL 2020-005(SA)	1	\$5,000	\$5,000	\$3,250	9/10/2020	
SLR 2019-001(SA)	1	\$5,000	\$5,000	\$3,800	9/10/2020	
SLRG 2019-002(AD)	1	\$2,500	\$2,500	\$1,000	8/27/2020	
SLRG 2019-003(AD)	1	\$2,500	\$2,500	\$1,000	8/27/2020	

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SLRG 2019-004(TS)	1	\$5,000	\$5,000	\$2,000	8/27/2020	
SLRG 2019-005(TS)	1	\$5,000	\$5,000	\$2,000	8/27/2020	
SLRG 2019-006(TS)	1	\$5,000	\$5,000	\$2,000	8/27/2020	
SLRG 2019-007(TS)	1	\$1,000	\$1,000	\$892	8/27/2020	
SLRG 2019-008(GC)	1	\$12,000	\$12,000	\$10,704	8/27/2020	
SLRG 2019-009(TS)	1	\$1,000	\$1,000	\$892	8/27/2020	
SLRG 2020-001(GC)	1	\$5,000	\$5,000	\$2,000	8/27/2020	
SLRG 2020-002(GC)	1	\$2,500	\$2,500	\$1,000	8/27/2020	
SLRG 2020-003(GC)	1	\$2,500	\$2,500	\$1,000	8/27/2020	
SLRG 2020-004(GC)	1	\$2,500	\$2,500	\$1,000	8/27/2020	
SLRG 2020-005(TS)	1	\$2,500	\$2,500	\$1,000	8/27/2020	
SLRG 2020-006(TS)	1	\$1,000	\$1,000	\$892	8/27/2020	
SLWC 2019-003(TS)	1	\$2,500	\$2,500	\$1,700	9/10/2020	
SLWC 2020-001(TS)	1	\$2,500	\$2,500	\$1,700	9/10/2020	
SOU 2018-350(AD)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
SOU 2018-358(HMT)	1	\$4,000	\$4,000	\$2,800	9/30/2020	
SOU 2018-397(LI)	1	\$2,500	\$2,500	\$2,100	9/30/2020	
SOU 2018-450(AR)	1	\$2,500	\$2,500	\$1,900	9/30/2020	
SOU 2018-562(HSR)	2	\$2,000	\$2,000	\$1,706	9/30/2020	
SOU 2018-564(LI)	1	\$2,500	\$2,500	\$2,100	9/30/2020	
SOU 2018-568(FCS)	1	\$2,500	\$2,500	\$2,100	9/30/2020	
SOU 2018-571(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020	
SOU 2019-002(AR)	1	\$2,500	\$1,000	\$870	9/30/2020	
SOU 2019-004(AR)	2	\$2,000	\$0	\$0	9/30/2020	Terminated
SOU 2019-006(AR)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
SOU 2019-016(TH)	1	\$5,000	\$5,000	\$4,100	9/30/2020	
SOU 2019-017(HS)	1	\$1,000	\$1,000	\$900	9/30/2020	
SOU 2019-023(HMT)	1	\$3,000	\$3,000	\$2,650	9/30/2020	
SOU 2019-025(GC)	1	\$1,000	\$1,000	\$900	9/30/2020	
SOU 2019-027(HMT)	1	\$2,000	\$2,000	\$1,750	9/30/2020	
SOU 2019-029(TS)	1	\$2,000	\$2,000	\$1,615	9/30/2020	
SOU 2019-031(HMT)	1	\$7,500	\$7,500	\$5,400	9/30/2020	
SOU 2019-033(SI)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
SOU 2019-039(HSR)	1	\$1,000	\$1,000	\$853	9/30/2020	
SOU 2019-043(HMT)	2	\$7,500	\$7,500	\$5,500	9/30/2020	
SOU 2019-049(CC)	1	\$7,500	\$7,500	\$5,500	9/30/2020	
SOU 2019-054(FCS)	1	\$2,000	\$2,000	\$1,500	9/30/2020	
SOU 2019-055(HMT)	3	\$22,500	\$22,500	\$14,500	9/30/2020	
SOU 2019-059(SA)	2	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-060(SA)	1	\$5,000	\$5,000	\$2,700	9/30/2020	
SOU 2019-097(HMT)	1	\$79,976	\$79,976	\$29,000	9/30/2020	
SOU 2019-106(AR)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
SOU 2019-107(ROP)	1	\$7,500	\$7,500	\$6,300	9/30/2020	
SOU 2019-108(FCS)	1	\$2,500	\$2,500	\$2,100	9/30/2020	
SOU 2019-109(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020	
SOU 2019-110(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-111(HS)	2	\$2,000	\$2,000	\$1,706	9/30/2020	
SOU 2019-112(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-113(FCS)	1	\$2,000	\$2,000	\$1,100	9/30/2020	
SOU 2019-114(ROP)	1	\$7,500	\$7,500	\$6,450	9/30/2020	
SOU 2019-115(SI)	1	\$2,500	\$2,500	\$1,500	9/30/2020	
SOU 2019-116(SA)	1	\$5,000	\$5,000	\$2,700	9/30/2020	
SOU 2019-117(ROP)	1	\$9,500	\$9,500	\$7,950	9/30/2020	

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SOU 2019-118(FCS)	1	\$2,500	\$2,500	\$2,100	9/30/2020
SOU 2019-119(HMT)	1	\$5,000	\$5,000	\$4,100	9/30/2020
SOU 2019-120(LI)	1	\$2,500	\$2,500	\$2,100	9/30/2020
SOU 2019-121(RW)	1	\$2,000	\$2,000	\$1,815	9/30/2020
SOU 2019-122(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-123(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-124(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-125(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-126(SA)	1	\$5,000	\$5,000	\$3,750	9/30/2020
SOU 2019-127(SA)	1	\$5,000	\$5,000	\$3,550	9/30/2020
SOU 2019-128(SA)	1	\$5,000	\$5,000	\$3,750	9/30/2020
SOU 2019-129(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-130(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-131(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
SOU 2019-132(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-133(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-134(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-135(FCS)	1	\$2,500	\$2,500	\$2,100	9/30/2020
SOU 2019-136(FCS)	1	\$2,500	\$2,500	\$2,100	9/30/2020
SOU 2019-137(FCS)	1	\$5,000	\$5,000	\$3,500	9/30/2020
SOU 2019-138(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-139(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-140(ROP)	1	\$7,500	\$7,500	\$6,300	9/30/2020
SOU 2019-141(ROP)	1	\$5,000	\$5,000	\$4,200	9/30/2020
SOU 2019-142(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-143(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-144(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-145(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-146(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-147(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-148(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-149(GC)	1	\$5,000	\$1,000	\$900	9/30/2020
SOU 2019-150(TS)	1	\$5,000	\$5,000	\$4,400	9/30/2020
SOU 2019-151(LI)	1	\$2,000	\$2,000	\$1,650	9/30/2020
SOU 2019-152(AD)	4	\$20,000	\$10,000	\$7,600	9/30/2020
SOU 2019-153(HMT)	3	\$16,500	\$16,500	\$12,000	9/30/2020
SOU 2019-154(HSR)	1	\$5,000	\$5,000	\$3,750	9/30/2020
SOU 2019-155(HSR)	1	\$5,000	\$5,000	\$3,750	9/30/2020
SOU 2019-156(GC)	1	\$1,000	\$1,000	\$900	9/30/2020
SOU 2019-157(SA)	1	\$5,000	\$5,000	\$3,750	9/30/2020
SOU 2019-158(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-159(SA)	1	\$10,000	\$10,000	\$7,000	9/30/2020
SOU 2019-160(SA)	1	\$2,500	\$2,500	\$1,775	9/30/2020
SOU 2019-161(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-162(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
SOU 2019-163(TS)	1	\$1,000	\$1,000	\$853	9/30/2020
SOU 2019-164(EQ)	1	\$5,000	\$5,000	\$3,900	9/30/2020
SOU 2019-165(RW)	1	\$2,000	\$2,000	\$1,815	9/30/2020
SOU 2019-166(LI)	1	\$2,500	\$2,500	\$2,100	9/30/2020
SOU 2019-167(GC)	1	\$5,000	\$5,000	\$3,900	9/30/2020
SOU 2019-168(TS)	1	\$5,000	\$5,000	\$4,550	9/30/2020
SOU 2019-169(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-170(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020

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SOU 2019-171(FCS)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
SOU 2019-172(SA)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
SOU 2019-173(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-174(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-175(LI)	1	\$1,500	\$1,500	\$1,200	9/30/2020	
SOU 2019-176(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-177(TS)	1	\$5,000	\$5,000	\$4,550	9/30/2020	
SOU 2019-178(TS)	1	\$1,000	\$1,000	\$870	9/30/2020	
SOU 2019-179(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-180(TS)	2	\$10,000	\$10,000	\$8,200	9/30/2020	
SOU 2019-181(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020	
SOU 2019-182(ROP)	1	\$5,000	\$5,000	\$4,400	9/30/2020	
SOU 2019-183(SI)	1	\$1,000	\$1,000	\$900	9/30/2020	
SOU 2019-184(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-185(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-186(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020	
SOU 2019-187(LI)	1	\$2,500	\$2,500	\$2,050	9/30/2020	
SOU 2019-188(ROP)	1	\$9,500	\$9,500	\$7,950	9/30/2020	
SOU 2019-189(AR)	1	\$1,000	\$1,000	\$900	9/30/2020	
SOU 2019-190(ROP)	1	\$9,500	\$9,500	\$7,950	9/30/2020	
SOU 2019-191(ROP)	1	\$5,000	\$5,000	\$4,400	9/30/2020	
SOU 2019-192(SA)	1	\$5,000	\$5,000	\$3,550	9/30/2020	
SOU 2019-193(HMT)	1	\$3,000	\$3,000	\$2,350	9/30/2020	
SOU 2019-194(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-195(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-196(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020	
SOU 2019-197(TS)	1	\$5,000	\$5,000	\$4,550	9/30/2020	
SOU 2019-198(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-199(LI)	1	\$1,500	\$1,500	\$1,100	9/30/2020	
SOU 2019-200(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-201(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-202(TS)	1	\$5,000	\$5,000	\$4,550	9/30/2020	
SOU 2019-203(SA)	2	\$7,500	\$7,500	\$4,000	9/30/2020	
SOU 2019-204(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020	
SOU 2019-205(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020	
SOU 2019-206(FCS)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
SOU 2019-207(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-208(SA)	1	\$1,000	\$1,000	\$900	9/30/2020	
SOU 2019-209(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-210(LI)	1	\$2,500	\$2,500	\$2,100	9/30/2020	
SOU 2019-211(GC)	1	\$1,000	\$1,000	\$900	9/30/2020	
SOU 2019-212(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-213(LI)	1	\$2,500	\$2,500	\$2,100	9/30/2020	
SOU 2019-214(ROP)	2	\$15,000	\$15,000	\$11,550	9/30/2020	
SOU 2019-215(GC)	1	\$2,500	\$2,500	\$1,925	9/30/2020	
SOU 2019-216(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
SOU 2019-217(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020	
SOU 2019-218(ROP)	1	\$7,500	\$7,500	\$6,450	9/30/2020	
SOU 2019-219(ROP)	1	\$7,500	\$0	\$0	9/30/2020	Terminated
SOU 2019-220(HS)	2	\$2,000	\$0	\$0	9/30/2020	Terminated
SOU 2019-221(EQ)	1	\$1,000	\$1,000	\$870	9/30/2020	
SOU 2019-222(FCS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
SOU 2019-223(HMT)	1	\$5,000	\$5,000	\$3,500	9/30/2020	

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SOU 2019-224(ROP)	1	\$7,500	\$7,500	\$6,450	9/30/2020
SOU 2019-225(TS)	2	\$5,000	\$5,000	\$4,400	9/30/2020
SOU 2019-226(HMT)	4	\$8,000	\$8,000	\$5,800	9/30/2020
SOU 2019-227(HMT)	2	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-228(ROP)	1	\$9,500	\$9,500	\$7,950	9/30/2020
SOU 2019-229(FCS)	1	\$5,000	\$5,000	\$3,500	9/30/2020
SOU 2019-230(LI)	1	\$2,500	\$2,500	\$2,100	9/30/2020
SOU 2019-231(LI)	1	\$1,500	\$1,500	\$1,100	9/30/2020
SOU 2019-232(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-233(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-234(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-235(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-236(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-237(SA)	1	\$2,500	\$2,500	\$2,000	9/30/2020
SOU 2019-238(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-239(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-240(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-241(AR)	1	\$2,500	\$1,000	\$1,000	9/30/2020
SOU 2019-242(HMT)	2	\$4,000	\$4,000	\$3,300	9/30/2020
SOU 2019-243(ROP)	1	\$5,000	\$5,000	\$4,400	9/30/2020
SOU 2019-244(TS)	1	\$2,500	\$2,500	\$2,150	9/30/2020
SOU 2019-245(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-246(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-247(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-248(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-249(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-250(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-251(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-252(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-253(ROP)	1	\$9,500	\$9,500	\$7,750	9/30/2020
SOU 2019-254(ROP)	1	\$7,500	\$7,500	\$5,925	9/30/2020
SOU 2019-255(ROP)	1	\$9,500	\$9,500	\$870	9/30/2020
SOU 2019-256(AR)	1	\$2,500	\$1,000	\$1,000	9/30/2020
SOU 2019-257(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
SOU 2019-258(GC)	1	\$5,000	\$5,000	\$3,900	9/30/2020
SOU 2019-259(HSR)	1	\$1,000	\$1,000	\$900	9/30/2020
SOU 2019-260(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-261(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-262(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-263(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-264(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-265(LI)	2	\$3,499	\$2,500	\$1,150	9/30/2020
SOU 2019-267(ROP)	1	\$2,000	\$2,000	\$1,715	9/30/2020
SOU 2019-268(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-269(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
SOU 2019-270(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-271(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-272(FCS)	1	\$2,500	\$2,500	\$2,100	9/30/2020
SOU 2019-273(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-274(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-275(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-276(ROP)	1	\$7,500	\$7,500	\$6,450	9/30/2020
SOU 2019-277(ROP)	1	\$5,000	\$5,000	\$4,400	9/30/2020

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SOU 2019-278(HMT)	1	\$999	\$999	\$870	9/30/2020
SOU 2019-279(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-280(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-281(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-282(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-283(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-284(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-285(GC)	1	\$2,500	\$2,500	\$1,850	9/30/2020
SOU 2019-286(GC)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-287(ROP)	1	\$2,000	\$2,000	\$1,715	9/30/2020
SOU 2019-288(FCS)	1	\$2,500	\$2,500	\$2,100	9/30/2020
SOU 2019-289(SA)	1	\$2,500	\$2,500	\$1,825	9/30/2020
SOU 2019-290(LI)	1	\$5,000	\$5,000	\$3,250	9/30/2020
SOU 2019-291(LI)	1	\$1,500	\$1,500	\$1,150	9/30/2020
SOU 2019-292(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-293(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-294(ROP)	1	\$7,500	\$7,500	\$5,925	9/30/2020
SOU 2019-295(ROP)	1	\$7,500	\$7,500	\$6,150	9/30/2020
SOU 2019-296(ROP)	1	\$2,000	\$2,000	\$1,715	9/30/2020
SOU 2019-297(ROP)	1	\$5,000	\$5,000	\$4,200	9/30/2020
SOU 2019-298(ROP)	1	\$7,500	\$7,500	\$6,150	9/30/2020
SOU 2019-299(ROP)	1	\$5,000	\$5,000	\$4,400	9/30/2020
SOU 2019-300(AR)	1	\$1,000	\$1,000	\$900	9/30/2020
SOU 2019-301(HMT)	7	\$38,000	\$38,000	\$25,000	9/30/2020
SOU 2019-302(TS)	1	\$5,000	\$5,000	\$4,550	9/30/2020
SOU 2019-303(ROP)	2	\$10,000	\$10,000	\$8,000	9/30/2020
SOU 2019-304(HMT)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-305(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-306(SA)	1	\$5,000	\$5,000	\$3,850	9/30/2020
SOU 2019-307(SA)	1	\$2,500	\$2,500	\$1,925	9/30/2020
SOU 2019-308(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-309(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-310(SA)	1	\$5,000	\$5,000	\$3,650	9/30/2020
SOU 2019-311(SA)	1	\$2,500	\$2,500	\$2,025	9/30/2020
SOU 2019-312(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-313(ROP)	1	\$5,000	\$5,000	\$4,400	9/30/2020
SOU 2019-314(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-315(SI)	1	\$1,000	\$1,000	\$900	9/30/2020
SOU 2019-316(SI)	1	\$1,000	\$1,000	\$900	9/30/2020
SOU 2019-317(SI)	1	\$1,000	\$1,000	\$900	9/30/2020
SOU 2019-318(SI)	1	\$1,000	\$1,000	\$900	9/30/2020
SOU 2019-320(TS)	1	\$2,500	\$2,500	\$2,075	9/30/2020
SOU 2019-321(TS)	1	\$5,000	\$5,000	\$4,550	9/30/2020
SOU 2019-322(HMT)	2	\$2,000	\$2,000	\$1,750	9/30/2020
SOU 2019-323(TS)	1	\$5,000	\$5,000	\$4,550	9/30/2020
SOU 2019-324(GC)	1	\$1,000	\$1,000	\$900	9/30/2020
SOU 2019-325(SA)	2	\$10,000	\$10,000	\$7,000	9/30/2020
SOU 2019-326(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-327(ROP)	1	\$7,500	\$7,500	\$6,675	9/30/2020
SOU 2019-328(TS)	1	\$2,500	\$2,500	\$2,200	9/30/2020
SOU 2019-329(GC)	1	\$5,000	\$5,000	\$870	9/30/2020
SOU 2019-330(HMT)	2	\$12,000	\$12,000	\$6,000	9/30/2020
SOU 2019-331(CC)	1	\$1,000	\$1,000	\$892	9/30/2020

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SOU 2019-332(ROP)	1	\$9,500	\$9,500	\$7,950	9/30/2020
SOU 2019-333(HMT)	1	\$7,000	\$7,000	\$5,000	9/30/2020
SOU 2019-334(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-335(ROP)	1	\$9,500	\$9,500	\$2,000	9/30/2020
SOU 2019-336(SA)	1	\$2,500	\$2,500	\$2,000	9/30/2020
SOU 2019-337(ROP)	1	\$9,500	\$9,500	\$7,950	9/30/2020
SOU 2019-338(HMT)	1	\$6,000	\$6,000	\$6,000	9/30/2020
SOU 2019-339(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-340(ROP)	1	\$2,000	\$2,000	\$1,715	9/30/2020
SOU 2019-342(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-343(AD)	1	\$2,500	\$2,500	\$1,850	9/30/2020
SOU 2019-344(TS)	1	\$2,000	\$2,000	\$1,826	9/30/2020
SOU 2019-345(TS)	1	\$5,000	\$5,000	\$4,550	9/30/2020
SOU 2019-346(HSR)	1	\$1,000	\$1,000	\$1,000	9/30/2020
SOU 2019-347(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-348(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-349(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-350(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-351(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-352(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-353(HMT)	1	\$5,000	\$5,000	\$4,100	9/30/2020
SOU 2019-354(HMT)	1	\$2,000	\$2,000	\$1,650	9/30/2020
SOU 2019-355(HMT)	1	\$3,000	\$3,000	\$2,500	9/30/2020
SOU 2019-356(HMT)	1	\$5,000	\$5,000	\$3,700	9/30/2020
SOU 2019-357(SA)	1	\$2,500	\$2,500	\$2,000	9/30/2020
SOU 2019-358(HMT)	2	\$9,500	\$9,500	\$7,100	9/30/2020
SOU 2019-359(FCS)	1	\$5,000	\$5,000	\$3,500	9/30/2020
SOU 2019-360(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-361(HMT)	1	\$12,500	\$12,500	\$7,000	9/30/2020
SOU 2019-362(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-363(HMT)	2	\$25,000	\$25,000	\$12,500	9/30/2020
SOU 2019-364(HMT)	4	\$50,000	\$50,000	\$25,000	9/30/2020
SOU 2019-365(ROP)	1	\$2,000	\$2,000	\$1,715	9/30/2020
SOU 2019-366(ROP)	1	\$2,000	\$2,000	\$1,800	9/30/2020
SOU 2019-367(SA)	1	\$2,500	\$2,500	\$2,000	9/30/2020
SOU 2019-368(SA)	1	\$5,000	\$5,000	\$3,800	9/30/2020
SOU 2019-369(ROP)	1	\$7,500	\$7,500	\$6,300	9/30/2020
SOU 2019-370(SA)	2	\$10,000	\$10,000	\$7,150	9/30/2020
SOU 2019-371(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-372(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020
SOU 2019-373(SA)	1	\$2,500	\$2,500	\$2,000	9/30/2020
SOU 2019-374(SA)	1	\$2,500	\$2,500	\$2,000	9/30/2020
SOU 2019-375(FCS)	1	\$2,500	\$2,500	\$2,000	9/30/2020
SOU 2019-376(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-377(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-378(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-379(FCS)	1	\$2,500	\$2,500	\$2,000	9/30/2020
SOU 2019-380(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-381(ROP)	1	\$5,000	\$5,000	\$4,200	9/30/2020
SOU 2019-382(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
SOU 2019-383(HMT)	2	\$9,500	\$9,500	\$7,000	9/30/2020
SOU 2019-384(SA)	1	\$2,500	\$2,500	\$1,900	9/30/2020
SOU 2019-385(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020

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SOU 2019-386(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-387(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-388(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
SOU 2019-389(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
SOU 2019-390(ROP)	2	\$4,000	\$4,000	\$3,450	9/30/2020	
SOU 2019-391(FCS)	1	\$5,000	\$5,000	\$3,500	9/30/2020	
SOU 2019-392(FCS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
SOU 2019-393(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-395(SA)	1	\$5,000	\$5,000	\$3,900	9/30/2020	
SOU 2019-398(TS)	1	\$2,500	\$2,500	\$2,275	9/30/2020	
SOU 2019-399(FCS)	1	\$2,500	\$2,500	\$2,100	9/30/2020	
SOU 2019-400(FCS)	1	\$2,500	\$2,500	\$2,100	9/30/2020	
SOU 2019-401(SA)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
SOU 2019-402(RW)	1	\$2,000	\$2,000	\$1,815	9/30/2020	
SOU 2019-403(HMT)	1	\$2,500	\$2,500	\$2,100	9/30/2020	
SOU 2019-404(AD)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-405(SA)	1	\$5,000	\$5,000	\$3,900	9/30/2020	
SOU 2019-406(AD)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-407(AD)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-408(SA)	1	\$5,000	\$5,000	\$3,900	9/30/2020	
SOU 2019-409(HMT)	3	\$17,000	\$17,000	\$12,500	9/30/2020	
SOU 2019-410(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
SOU 2019-412(AD)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
SOU 2019-413(HMT)	6	\$36,000	\$36,000	\$18,000	9/30/2020	
SOU 2019-415(FCS)	4	\$8,000	\$8,000	\$4,500	9/30/2020	
SOU 2019-416(AD)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
SOU 2019-418(SA)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
SOU 2019-419(AD)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
SOU 2019-420(AD)	2	\$5,000	\$5,000	\$3,750	9/30/2020	
SOU 2019-421(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
SOU 2019-422(AD)	2	\$5,000	\$5,000	\$3,750	9/30/2020	
SOU 2019-424(SA)	1	\$5,000	\$5,000	\$3,600	9/30/2020	
SOU 2019-427(LI)	1	\$2,000	\$2,000	\$1,950	9/30/2020	
ST 2019-001(RW)	1	\$3,000	\$3,000	\$2,480	9/30/2020	
ST 2019-002(SA)	1	\$5,000	\$5,000	\$4,000	9/30/2020	
ST 2019-003(BW)	1	\$5,000	\$5,000	\$4,000	9/30/2020	
ST 2019-004(LI)	1	\$2,000	\$2,000	\$1,500	9/30/2020	
STMA 2019-001(TS)	1	\$5,000	\$5,000	\$5,000	8/6/2020	
SUOC 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	2/19/2020	
TCWR 2015-001(AR)	1	\$2,500	\$0	\$0	2/14/2020	Terminated
TCWR 2015-002(AR)	1	\$2,500	\$0	\$0	2/14/2020	Terminated
TCWR 2017-002(TS)	2	\$7,500	\$7,500	\$4,500	2/14/2020	
TCWR 2019-001(SA)	1	\$2,500	\$2,500	\$1,500	2/14/2020	
TMBL 2020-001(HMT)	1	\$3,000	\$3,000	\$1,650	8/31/2020	
TXN 2019-001(TS)	2	\$7,500	\$7,500	\$5,200	9/10/2020	
TXN 2019-004(ROP)	1	\$2,000	\$0	\$0	9/10/2020	Terminated
TXN 2019-005(RW)	1	\$2,000	\$2,000	\$1,400	9/10/2020	
TXN 2019-006(SA)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
TXN 2020-001(ROP)	1	\$7,500	\$7,500	\$5,000	9/10/2020	
UFRC 2019-001(SI)	1	\$2,500	\$2,500	\$1,850	1/7/2020	
UFRC 2020-001(GC)	1	\$5,000	\$5,000	\$3,800	4/13/2020	
UFRC 2020-002(GC)	1	\$2,500	\$2,500	\$1,925	8/10/2020	
UFRC 2020-003(GC)	1	\$5,000	\$5,000	\$3,850	8/10/2020	

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UP 2015-824(TS)	241	\$242,500	\$0	\$0	9/30/2020	Terminated
UP 2016-055(TS)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
UP 2016-1000(TS)	12	\$30,000	\$30,000	\$10,236	9/30/2020	
UP 2016-1001(TS)	9	\$22,500	\$0	\$0	9/30/2020	Terminated
UP 2016-1002(TS)	27	\$67,500	\$67,500	\$23,031	9/30/2020	
UP 2016-1003(TS)	4	\$10,000	\$0	\$0	9/30/2020	Terminated
UP 2016-1004(TS)	27	\$67,500	\$67,500	\$23,031	9/30/2020	
UP 2016-1005(TS)	34	\$85,000	\$85,000	\$29,002	9/30/2020	
UP 2016-1006(TS)	12	\$30,000	\$30,000	\$10,236	9/30/2020	
UP 2016-1007(TS)	12	\$30,000	\$30,000	\$10,236	9/30/2020	
UP 2016-1008(TS)	38	\$95,000	\$95,000	\$32,414	9/30/2020	
UP 2016-1009(TS)	30	\$75,000	\$75,000	\$25,590	9/30/2020	
UP 2016-1010(TS)	34	\$85,000	\$85,000	\$29,002	9/30/2020	
UP 2016-1013(TS)	15	\$72,500	\$0	\$0	9/30/2020	Terminated
UP 2016-1038(TS)	8	\$8,000	\$0	\$0	9/30/2020	Terminated
UP 2016-1039(TS)	6	\$25,000	\$0	\$0	9/30/2020	Terminated
UP 2016-1053(TS)	60	\$150,000	\$150,000	\$51,180	9/30/2020	
UP 2016-191(TS)	5	\$5,000	\$0	\$0	9/30/2020	Terminated
UP 2016-197(TS)	19	\$46,000	\$0	\$0	9/30/2020	Terminated
UP 2016-244(ROP)	1	\$1,000	\$1,000	\$892	9/30/2020	
UP 2016-337(TS)	25	\$93,000	\$0	\$0	9/30/2020	Terminated
UP 2016-358(TS)	10	\$25,000	\$25,000	\$6,500	9/30/2020	
UP 2016-359(TS)	25	\$62,500	\$62,500	\$16,250	9/30/2020	
UP 2016-360(TS)	36	\$90,000	\$0	\$0	9/30/2020	Terminated
UP 2016-361(TS)	30	\$30,000	\$0	\$0	9/30/2020	Terminated
UP 2016-421(TS)	14	\$17,000	\$0	\$0	9/30/2020	Terminated
UP 2016-424(TS)	28	\$140,000	\$0	\$0	9/30/2020	Terminated
UP 2016-572(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2016-648(TS)	42	\$87,000	\$0	\$0	9/30/2020	Terminated
UP 2016-698(RW)	1	\$3,000	\$3,000	\$2,170	9/30/2020	
UP 2016-764(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2016-805(TS)	4	\$15,000	\$0	\$0	9/30/2020	Terminated
UP 2016-818(EQ)	1	\$2,000	\$2,000	\$1,500	9/30/2020	
UP 2016-836(TS)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
UP 2016-966(TS)	25	\$130,000	\$70,000	\$21,235	9/30/2020	
UP 2016-992(TS)	14	\$35,000	\$0	\$0	9/30/2020	Terminated
UP 2016-994(TS)	2	\$5,000	\$0	\$0	9/30/2020	Terminated
UP 2016-998(TS)	1	\$7,500	\$0	\$0	9/30/2020	Terminated
UP 2016-999(TS)	10	\$25,000	\$0	\$0	9/30/2020	Terminated
UP 2017-1021(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2017-131(TS)	38	\$95,000	\$95,000	\$32,414	9/30/2020	
UP 2017-132(TS)	24	\$120,000	\$0	\$0	9/30/2020	Terminated
UP 2017-133(TS)	30	\$75,000	\$75,000	\$25,590	9/30/2020	
UP 2017-135(TS)	53	\$109,000	\$109,000	\$45,209	9/30/2020	
UP 2017-136(TS)	20	\$52,500	\$0	\$0	9/30/2020	Terminated
UP 2017-137(TS)	20	\$20,000	\$20,000	\$17,060	9/30/2020	
UP 2017-138(TS)	18	\$45,000	\$0	\$0	9/30/2020	Terminated
UP 2017-141(TS)	21	\$49,500	\$0	\$0	9/30/2020	Terminated
UP 2017-143(TS)	5	\$5,000	\$0	\$0	9/30/2020	Terminated
UP 2017-145(TS)	40	\$197,500	\$0	\$0	9/30/2020	Terminated
UP 2017-147(TS)	74	\$185,000	\$185,000	\$63,122	9/30/2020	
UP 2017-156(TS)	9	\$22,500	\$0	\$0	9/30/2020	Terminated
UP 2017-180(LI)	1	\$1,000	\$1,000	\$853	9/30/2020	

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UP 2017-350(TS)	20	\$50,000	\$50,000	\$36,500	9/30/2020	
UP 2017-680(LI)	1	\$1,000	\$1,000	\$853	9/30/2020	
UP 2017-827(TS)	4	\$10,000	\$10,000	\$7,390	9/30/2020	
UP 2017-877(TS)	6	\$15,000	\$0	\$0	9/30/2020	Terminated
UP 2017-894(FCS)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2018-1003(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2018-1008(FCS)	1	\$1,000	\$1,000	\$1,850	9/30/2020	
UP 2018-1013(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-1018(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-1028(HMT)	1	\$6,000	\$6,000	\$4,490	9/30/2020	
UP 2018-1033(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2018-1038(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2018-1045(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-1048(CC)	1	\$7,500	\$7,500	\$6,500	9/30/2020	
UP 2018-1049(EQ)	1	\$5,000	\$5,000	\$3,900	9/30/2020	
UP 2018-326(TS)	16	\$40,000	\$0	\$0	9/30/2020	Terminated
UP 2018-426(TS)	9	\$13,500	\$0	\$0	9/30/2020	Terminated
UP 2018-546(HS)	2	\$2,000	\$2,000	\$1,706	9/30/2020	
UP 2018-567(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-572(HS)	1	\$1,000	\$1,000	\$853	9/30/2020	
UP 2018-579(TS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
UP 2018-584(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-589(TS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
UP 2018-594(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-599(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-604(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-609(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-613(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-618(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-623(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020	
UP 2018-628(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020	
UP 2018-633(HS)	2	\$2,000	\$2,000	\$1,706	9/30/2020	
UP 2018-638(CC)	1	\$2,000	\$1,000	\$853	9/30/2020	
UP 2018-643(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-648(TS)	1	\$5,000	\$5,000	\$3,850	9/30/2020	
UP 2018-653(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-658(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-663(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-668(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-673(SA)	1	\$2,500	\$2,500	\$1,664	9/30/2020	
UP 2018-678(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-683(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-688(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-693(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-698(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-703(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020	
UP 2018-708(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-713(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-718(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-723(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2018-728(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-733(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-738(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	

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UP 2018-743(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-748(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-753(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-758(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-763(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-768(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2018-773(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-783(HMT)	1	\$7,500	\$7,500	\$5,600	9/30/2020	
UP 2018-792(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-797(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-802(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-807(SA)	1	\$2,500	\$2,500	\$1,664	9/30/2020	
UP 2018-808(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-812(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-815(HS)	2	\$10,000	\$10,000	\$6,500	9/30/2020	
UP 2018-816(HS)	2	\$10,000	\$10,000	\$6,500	9/30/2020	
UP 2018-817(HS)	2	\$10,000	\$10,000	\$6,500	9/30/2020	
UP 2018-822(TS)	4	\$10,000	\$10,000	\$7,550	9/30/2020	
UP 2018-827(TS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
UP 2018-832(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-837(ROP)	1	\$7,500	\$0	\$0	9/30/2020	Terminated
UP 2018-842(HS)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
UP 2018-847(SA)	1	\$2,500	\$2,500	\$1,664	9/30/2020	
UP 2018-852(SA)	1	\$2,500	\$2,500	\$1,664	9/30/2020	
UP 2018-857(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-862(TS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
UP 2018-872(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-877(HMT)	4	\$20,000	\$20,000	\$15,000	9/30/2020	
UP 2018-881(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-886(ROP)	1	\$7,500	\$7,500	\$4,688	9/30/2020	
UP 2018-891(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2018-896(TS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
UP 2018-899(AD)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-900(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-905(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-910(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020	
UP 2018-915(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-920(SA)	2	\$10,000	\$10,000	\$7,400	9/30/2020	
UP 2018-922(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2018-927(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-932(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-937(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-942(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-947(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-952(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2018-957(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2018-959(LI)	1	\$1,000	\$1,000	\$853	9/30/2020	
UP 2018-963(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2018-968(AD)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-973(HMT)	1	\$5,000	\$5,000	\$2,600	9/30/2020	
UP 2018-978(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2018-983(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2018-988(TS)	1	\$5,000	\$5,000	\$3,850	9/30/2020	

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UP 2018-993(HS)	2	\$2,000	\$2,000	\$1,706	9/30/2020
UP 2018-998(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-003(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-005(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-009(HMT)	1	\$2,000	\$2,000	\$1,050	9/30/2020
UP 2019-020(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-025(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-030(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020
UP 2019-035(HS)	2	\$2,000	\$2,000	\$1,706	9/30/2020
UP 2019-040(TS)	1	\$5,000	\$5,000	\$3,850	9/30/2020
UP 2019-045(LI)	1	\$1,000	\$1,000	\$853	9/30/2020
UP 2019-050(CC)	1	\$15,000	\$15,000	\$11,700	9/30/2020
UP 2019-055(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-060(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-065(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1000(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1001(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1002(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1003(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1004(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1005(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1006(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1007(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1008(TS)	2	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1010(EP)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-1011(EP)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-1012(EP)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-1013(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-1014(LI)	4	\$6,000	\$6,000	\$4,740	9/30/2020
UP 2019-1015(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-1016(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1017(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-1018(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1019(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1020(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1021(CC)	1	\$7,500	\$7,500	\$6,450	9/30/2020
UP 2019-1022(LI)	1	\$2,000	\$2,000	\$1,580	9/30/2020
UP 2019-1023(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1024(HS)	3	\$3,000	\$3,000	\$2,610	9/30/2020
UP 2019-1025(EQ)	1	\$5,000	\$5,000	\$3,900	9/30/2020
UP 2019-1026(FCS)	1	\$5,000	\$5,000	\$3,250	9/30/2020
UP 2019-1027(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1028(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1029(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1030(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1031(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1032(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1033(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1034 (SA)	1	\$2,500	\$2,025	\$1,850	9/30/2020
UP 2019-1035(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1036(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1037(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1038(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020

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UP 2019-1039(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1040(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1041(SA)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-1042(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1043(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1044(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1045(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1046(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-1047(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1048(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020
UP 2019-1049(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-1050(LI)	1	\$2,500	\$2,500	\$1,925	9/30/2020
UP 2019-1051(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-1052(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-1053(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-1054(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1055(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1056(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020
UP 2019-1057(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1058(HS)	2	\$2,000	\$2,000	\$1,784	9/30/2020
UP 2019-1059(HS)	2	\$2,000	\$2,000	\$1,784	9/30/2020
UP 2019-1060(HS)	2	\$2,000	\$2,000	\$1,784	9/30/2020
UP 2019-1061(ROP)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1062(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020
UP 2019-1063(ROP)	4	\$8,000	\$4,000	\$2,000	9/30/2020
UP 2019-1064(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-1065(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-1066(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-1067(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-1068(ROP)	1	\$4,000	\$4,000	\$2,500	9/30/2020
UP 2019-1069(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-1070(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1071(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1072(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1073(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1074(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1075(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1076(SA)	1	\$6,000	\$6,000	\$4,440	9/30/2020
UP 2019-1077(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1078(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1079(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1080(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1081(FCS)	1	\$2,500	\$2,500	\$1,625	9/30/2020
UP 2019-1082(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020
UP 2019-1083(TH)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-1084(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1085(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1086(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1087(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1088(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-1089(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1090(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1091(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020

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UP 2019-1092(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1094(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1095(LI)	1	\$2,500	\$2,500	\$1,750	9/30/2020
UP 2019-1096(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1097(HMT)	1	\$6,000	\$6,000	\$4,490	9/30/2020
UP 2019-1098(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020
UP 2019-1099(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1100(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1101(AD)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1102(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1103(SI)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1104(SA)	1	\$5,000	\$5,000	\$37,000	9/30/2020
UP 2019-1105(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1106(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1107(SA)	1	\$5,000	\$5,000	\$3,750	9/30/2020
UP 2019-1108(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1109(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1110(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1111(ROP)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1112(ROP)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1113(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1114(EQ)	1	\$5,000	\$5,000	\$3,720	9/30/2020
UP 2019-1115(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1116(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1117(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1118(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1119(SA)	1	\$5,000	\$5,000	\$3,250	9/30/2020
UP 2019-1120(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1121(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1122(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1123(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1124(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1125(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1126(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1127(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
UP 2019-1128(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1129(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1130(SA)	1	\$5,000	\$5,000	\$3,750	9/30/2020
UP 2019-1131(SI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-1132(SI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-1133(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1134(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1135(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1136(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-1137(SA)	1	\$2,500	\$2,500	\$1,875	9/30/2020
UP 2019-1139(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-1140(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1141(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1142(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-1143(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-1144(HS)	2	\$2,000	\$2,000	\$1,784	9/30/2020
UP 2019-1145(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-120(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020

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UP 2019-124(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020
UP 2019-129(HS)	2	\$2,000	\$2,000	\$1,706	9/30/2020
UP 2019-134(GC)	1	\$5,000	\$5,000	\$3,750	9/30/2020
UP 2019-139(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-144(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-149(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-153(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020
UP 2019-156(TS)	1	\$5,000	\$5,000	\$3,850	9/30/2020
UP 2019-158(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-161(HMT)	1	\$2,500	\$2,500	\$1,900	9/30/2020
UP 2019-163(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-166(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-168(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-171(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
UP 2019-173(LI)	1	\$2,500	\$2,500	\$1,800	9/30/2020
UP 2019-178(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-180(HMT)	1	\$6,000	\$6,000	\$4,490	9/30/2020
UP 2019-181(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-183(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-186(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-187(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-188(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-189(SA)	1	\$10,000	\$10,000	\$7,400	9/30/2020
UP 2019-190(SA)	1	\$10,000	\$10,000	\$7,400	9/30/2020
UP 2019-191(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-192(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-193(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-194(HMT)	1	\$2,000	\$0	\$0	9/30/2020
UP 2019-195(TS)	1	\$2,500	\$2,500	\$2,500	9/30/2020
UP 2019-196(TS)	1	\$5,000	\$5,000	\$3,850	9/30/2020
UP 2019-197(HSR)	1	\$1,000	\$1,000	\$853	9/30/2020
UP 2019-199(HS)	2	\$2,000	\$2,000	\$1,706	9/30/2020
UP 2019-200(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-201(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-202(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-203(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-204(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-205(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-206(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-207(SA)	1	\$2,500	\$2,500	\$1,664	9/30/2020
UP 2019-208(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-209(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-210(SA)	2	\$10,000	\$10,000	\$7,400	9/30/2020
UP 2019-211(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-212(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-213(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-214(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-215(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-216(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-218(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-219(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-220(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-221(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020

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UP 2019-222(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-223(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-224(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-225(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-226(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-227(FCS)	2	\$10,000	\$0	\$0	9/30/2020	Terminated
UP 2019-228(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-229(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-230(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-231(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-232(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-233(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-234(TS)	2	\$7,500	\$7,500	\$5,700	9/30/2020	
UP 2019-235(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-236(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-237(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-238(LI)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
UP 2019-239(LI)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
UP 2019-240(LI)	1	\$2,500	\$2,500	\$1,750	9/30/2020	
UP 2019-241(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-242(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-243(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2019-244(EQ)	1	\$1,000	\$1,000	\$870	9/30/2020	
UP 2019-245(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-246(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-247(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-248(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-249(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-250(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-251(FCS)	1	\$5,000	\$5,000	\$853	9/30/2020	
UP 2019-252(FCS)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
UP 2019-253(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-254(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-255(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-256(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-257(FCS)	1	\$10,000	\$10,000	\$8,500	9/30/2020	
UP 2019-258(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-259(HMT)	1	\$4,000	\$4,000	\$3,010	9/30/2020	
UP 2019-260(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-261(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-262(LI)	4	\$10,000	\$10,000	\$7,800	9/30/2020	
UP 2019-263(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020	
UP 2019-264(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-265(LI)	1	\$3,000	\$3,000	\$2,220	9/30/2020	
UP 2019-266(HMT)	1	\$2,500	\$2,500	\$1,900	9/30/2020	
UP 2019-267(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-268(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-269(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-270(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-271(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-272(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-273(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-274(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	

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UP 2019-275(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-276(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-277(AR)	1	\$2,500	\$0	\$0	9/30/2020	Terminated
UP 2019-278(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-279(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-280(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-281(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-282(AR)	1	\$2,500	\$0	\$0	9/30/2020	Terminated
UP 2019-283(AR)	1	\$2,500	\$0	\$0	9/30/2020	Terminated
UP 2019-284(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-285(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-286(SA)	2	\$7,500	\$7,500	\$5,550	9/30/2020	
UP 2019-287(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-288(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-289(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-290(SA)	2	\$10,000	\$10,000	\$7,400	9/30/2020	
UP 2019-291(TS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
UP 2019-292(TS)	1	\$2,500	\$2,500	\$1,250	9/30/2020	
UP 2019-293(TS)	1	\$2,500	\$2,500	\$2,000	9/30/2020	
UP 2019-294(RW)	1	\$3,000	\$3,000	\$2,170	9/30/2020	
UP 2019-295(RW)	1	\$2,250	\$2,250	\$1,625	9/30/2020	
UP 2019-296(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-297(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-298(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-299(LI)	1	\$1,000	\$1,000	\$870	9/30/2020	
UP 2019-300(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-301(HS)	1	\$1,000	\$1,000	\$870	9/30/2020	
UP 2019-302(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-303(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-304(AD)	3	\$15,000	\$15,000	\$12,000	9/30/2020	
UP 2019-305(AD)	1	\$1,000	\$1,000	\$892	9/30/2020	
UP 2019-306(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2019-307(HMT)	1	\$1,000	\$1,000	\$790	9/30/2020	
UP 2019-308(HMT)	1	\$1,000	\$1,000	\$790	9/30/2020	
UP 2019-309(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-310(FCS)	1	\$5,000	\$5,000	\$853	9/30/2020	
UP 2019-311(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-312(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-313(AR)	1	\$2,500	\$2,500	\$1,250	9/30/2020	
UP 2019-314(AR)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-315(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-316(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-317(SI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-318(AR)	1	\$2,500	\$0	\$0	9/30/2020	Terminated
UP 2019-319(TS)	2	\$7,500	\$7,500	\$5,700	9/30/2020	
UP 2019-320(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2019-321(HMT)	1	\$3,000	\$3,000	\$2,270	9/30/2020	
UP 2019-322(HMT)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2019-323(HMT)	1	\$4,000	\$4,000	\$3,010	9/30/2020	
UP 2019-324(HMT)	2	\$13,500	\$13,500	\$10,040	9/30/2020	
UP 2019-325(HS)	2	\$2,000	\$0	\$0	9/30/2020	Terminated
UP 2019-326(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020	
UP 2019-327(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020	

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UP 2019-328(LI)	1	\$2,500	\$2,500	\$1,437	9/30/2020
UP 2019-329(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-330(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-331(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-332(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-333(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-334(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-335(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-336(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-337(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-338(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-339(TS)	1	\$2,500	\$2,500	\$2,000	9/30/2020
UP 2019-340(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-341(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-342(EQ)	1	\$1,000	\$1,000	\$870	9/30/2020
UP 2019-343(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-344(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-345(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-346(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-347(SI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-348(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020
UP 2019-349(SA)	1	\$4,000	\$4,000	\$2,960	9/30/2020
UP 2019-350(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-351(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-352(HMT)	4	\$8,500	\$8,500	\$6,340	9/30/2020
UP 2019-353(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-354(RW)	1	\$2,000	\$2,000	\$1,450	9/30/2020
UP 2019-355(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
UP 2019-356(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-357(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-358(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-359(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-360(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-361(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-362(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-363(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-364(SI)	1	\$1,000	\$1,000	\$870	9/30/2020
UP 2019-365(SI)	1	\$1,000	\$1,000	\$870	9/30/2020
UP 2019-366(SI)	1	\$2,500	\$1,000	\$1,000	9/30/2020
UP 2019-367(SI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-368(SI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-369(SI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-370(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-371(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-372(HMT)	2	\$7,000	\$7,000	\$5,230	9/30/2020
UP 2019-373(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-374(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-375(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-376(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-377(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-378(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-379(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-380(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020

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UP 2019-381(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-382(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-383(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-384(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-385(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-386(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-387(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-388(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-389(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-390(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-391(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-392(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-393(TS)	2	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-394(HMT)	2	\$15,000	\$15,000	\$11,150	9/30/2020	
UP 2019-395(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2019-396(LI)	1	\$2,500	\$2,500	\$1,437	9/30/2020	
UP 2019-397(LI)	1	\$2,500	\$2,500	\$1,437	9/30/2020	
UP 2019-398(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020	
UP 2019-399(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-400(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-401(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-402(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-404(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-405(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-406(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-407(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-409(AR)	1	\$2,500	\$0	\$0	9/30/2020	Terminated
UP 2019-410(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-411(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-412(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2019-414(HS)	9	\$9,000	\$8,000	\$6,960	9/30/2020	
UP 2019-415(HS)	3	\$3,000	\$3,000	\$2,610	9/30/2020	
UP 2019-416(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-417(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-418(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-419(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-420(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-421(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-422(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-423(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-424(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-425(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-426(LI)	1	\$1,000	\$1,000	\$892	9/30/2020	
UP 2019-427(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020	
UP 2019-428(FCS)	1	\$2,000	\$2,000	\$1,698	9/30/2020	
UP 2019-429(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-430(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-431(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-432(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-433(HMT)	2	\$9,500	\$9,500	\$7,080	9/30/2020	
UP 2019-434(RW)	1	\$1,500	\$1,500	\$1,095	9/30/2020	
UP 2019-435(SI)	1	\$5,000	\$5,000	\$4,000	9/30/2020	
UP 2019-436(ROP)	2	\$4,000	\$2,000	\$1,500	9/30/2020	

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UP 2019-437(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-438(TS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
UP 2019-439(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-440(LI)	1	\$2,500	\$2,500	\$1,437	9/30/2020
UP 2019-441(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-442(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-443(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-444(HMT)	1	\$4,000	\$4,000	\$3,010	9/30/2020
UP 2019-445(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-446(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-447(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-448(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-449(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-450(LI)	1	\$2,500	\$2,500	\$1,497	9/30/2020
UP 2019-451(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-452(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-453(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-454(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-455(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-456(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-457(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-458(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-459(LI)	1	\$2,500	\$2,500	\$1,437	9/30/2020
UP 2019-460(LI)	1	\$2,500	\$2,500	\$1,437	9/30/2020
UP 2019-461(LI)	1	\$2,500	\$2,500	\$1,437	9/30/2020
UP 2019-462(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
UP 2019-463(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-464(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-465(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-466(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-467(SA)	1	\$1,500	\$1,500	\$1,000	9/30/2020
UP 2019-468(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-469(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-470(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-471(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-472(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-473(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-474(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-475(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-476(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-477(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-478(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-479(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-480(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-481(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-482(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-483(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-484(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-485(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-486(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-487(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-488(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-489(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020

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UP 2019-490(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-491(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-492(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-493(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-494(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-495(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-496(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-497(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-498(FCS)	1	\$3,000	\$3,000	\$2,400	9/30/2020
UP 2019-499(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-500(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-501(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-502(SI)	4	\$4,000	\$4,000	\$3,480	9/30/2020
UP 2019-503(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-504(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-505(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-506(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-507(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-508(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-509(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-510(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-511(SI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-512(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-513(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-514(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-515(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-516(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-517(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-518(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-519(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-520(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-521(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-522(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-523(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-524(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-525(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-526(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-527(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-528(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-529(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-530(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-531(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-532(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-533(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-534(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-535(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-536(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-537(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-538(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-539(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-540(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-541(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-542(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020

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UP 2019-543(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-544(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-545(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-546(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-547(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-548(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-549(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-550(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-551(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-552(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-553(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-554(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-555(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-556(SA)	1	\$5,000	\$5,000	\$3,500	9/30/2020
UP 2019-557(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-558(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-559(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-560(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-561(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-562(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-563(TS)	4	\$10,000	\$10,000	\$6,250	9/30/2020
UP 2019-564(TS)	2	\$7,500	\$7,500	\$5,290	9/30/2020
UP 2019-565(LI)	1	\$4,000	\$4,000	\$2,960	9/30/2020
UP 2019-566(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020
UP 2019-567(LI)	1	\$1,000	\$1,000	\$870	9/30/2020
UP 2019-568(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020
UP 2019-569(FCS)	2	\$5,000	\$2,500	\$2,000	9/30/2020
UP 2019-570(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-571(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-572(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-573(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-574(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-575(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-576(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-577(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-578(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-579(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-580(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-581(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-582(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-583(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-584(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-585(TS)	2	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-586(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-587(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-588(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-590(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-591(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-592(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-593(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-594(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-595(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-596(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020

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UP 2019-597(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-598(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-599(HMT)	3	\$16,500	\$16,500	\$12,260	9/30/2020
UP 2019-600(HMT)	1	\$4,000	\$4,000	\$3,010	9/30/2020
UP 2019-601(HMT)	2	\$4,000	\$4,000	\$3,010	9/30/2020
UP 2019-602(HMT)	1	\$1,000	\$0	\$0	9/30/2020
UP 2019-604(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-605(SA)	2	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-606(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-607(FCS)	1	\$5,000	\$5,000	\$853	9/30/2020
UP 2019-608(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-609(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-610(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-611(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-612(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-613(FCS)	1	\$5,000	\$5,000	\$853	9/30/2020
UP 2019-614(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-615(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-616(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
UP 2019-617(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
UP 2019-618(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
UP 2019-619(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-620(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-621(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-622(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-623(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-624(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-625(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-626(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-627(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-628(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-629(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-630(LI)	1	\$5,000	\$5,000	\$3,125	9/30/2020
UP 2019-631(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-632(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-633(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2019-634(HMT)	1	\$7,500	\$7,500	\$5,600	9/30/2020
UP 2019-636(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020
UP 2019-637(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-638(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-639(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-640(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-641(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-642(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-643(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-644(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-645(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-646(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-647(HSR)	1	\$1,000	\$1,000	\$870	9/30/2020
UP 2019-648(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-649(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-650(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-651(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020

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UP 2019-653(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2019-654(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-655(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-656(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-657(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-658(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-659(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-660(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-661(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-662(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-663(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-664(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-665(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-666(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-667(GS)	1	\$2,500	\$2,500	\$1,720	9/30/2020	
UP 2019-668(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-669(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-670(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-671(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-672(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-673(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-674(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-675(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-676(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-677(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-679(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-680(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-681(HSR)	1	\$1,000	\$1,000	\$870	9/30/2020	
UP 2019-682(HSR)	1	\$2,500	\$2,500	\$1,760	9/30/2020	
UP 2019-684(HSR)	1	\$1,000	\$1,000	\$853	9/30/2020	
UP 2019-685(HSR)	1	\$2,500	\$2,500	\$1,800	9/30/2020	
UP 2019-686(HMT)	1	\$7,500	\$7,500	\$5,600	9/30/2020	
UP 2019-687(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-688(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-689(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-690(LI)	1	\$1,000	\$1,000	\$892	9/30/2020	
UP 2019-691(HSR)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
UP 2019-692(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-694(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-695(HMT)	1	\$4,000	\$4,000	\$3,010	9/30/2020	
UP 2019-696(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-697(SI)	15	\$356,688	\$356,688	\$266,581	9/30/2020	
UP 2019-698(SI)	4	\$113,896	\$113,896	\$85,500	9/30/2020	
UP 2019-699(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2019-700(HMT)	1	\$4,000	\$4,000	\$3,010	9/30/2020	
UP 2019-701(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2019-702(HMT)	1	\$4,000	\$4,000	\$3,010	9/30/2020	
UP 2019-703(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-704(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-706(LI)	1	\$1,500	\$1,500	\$1,000	9/30/2020	
UP 2019-707(RSP)	1	\$2,500	\$2,500	\$1,720	9/30/2020	
UP 2019-708(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-709(HMT)	1	\$3,000	\$3,000	\$2,270	9/30/2020	

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UP 2019-710(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-711(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-712(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-713(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-714(RSP)	1	\$1,000	\$1,000	\$870	9/30/2020
UP 2019-715(SI)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-716(SI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-717(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-718(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-719(SI)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-720(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-721(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-722(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-723(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-724(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-725(ROP)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-726(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-727(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-728(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-729(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-730(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-731(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-732(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-733(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-734(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-735(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-736(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-737(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-738(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-739(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-740(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-741(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-742(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-743(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-744(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-745(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-746(LI)	2	\$4,000	\$4,000	\$2,960	9/30/2020
UP 2019-747(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-748(SA)	2	\$10,000	\$10,000	\$7,400	9/30/2020
UP 2019-749(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-750(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-751(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-752(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-753(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-754(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-755(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-756(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-757(SA)	1	\$1,250	\$1,250	\$925	9/30/2020
UP 2019-758(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-759(SA)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-760(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-761(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-762(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020

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UP 2019-763(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-764(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-765(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-766(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-767(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-768(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-769(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-770(FCS)	2	\$10,000	\$10,000	\$7,400	9/30/2020	
UP 2019-771(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-772(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-773(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-774(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-775(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-776(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-777(RMM)	1	\$5,000	\$0	\$0	9/30/2020	Terminated
UP 2019-778(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-779(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-780(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-781(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-782(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-783(LI)	1	\$1,500	\$1,500	\$1,000	9/30/2020	
UP 2019-784(RW)	1	\$1,000	\$1,000	\$870	9/30/2020	
UP 2019-785(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-786(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-787(LI)	1	\$1,000	\$1,000	\$892	9/30/2020	
UP 2019-788(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020	
UP 2019-789(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-790(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020	
UP 2019-793(HSR)	1	\$2,500	\$1,000	\$870	9/30/2020	
UP 2019-795(LI)	1	\$1,000	\$1,000	\$892	9/30/2020	
UP 2019-796(SA)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-797(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-798(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-799(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-800(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-801(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-802(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020	
UP 2019-803(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020	
UP 2019-804(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020	
UP 2019-805(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020	
UP 2019-806(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-807(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-808(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-809(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-810(LI)	1	\$1,500	\$1,500	\$1,000	9/30/2020	
UP 2019-811(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020	
UP 2019-812(HMT)	1	\$6,000	\$6,000	\$4,490	9/30/2020	
UP 2019-813(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-814(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-815(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-816(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-817(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-818(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	

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UP 2019-819(CC)	1	\$1,000	\$1,000	\$870	9/30/2020	
UP 2019-820(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020	
UP 2019-821(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-822(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-823(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-824(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-825(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-826(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-827(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-828(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-829(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020	
UP 2019-830(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-831(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-832(HS)	1	\$1,000	\$1,000	\$870	9/30/2020	
UP 2019-833(LI)	2	\$7,500	\$7,500	\$5,900	9/30/2020	
UP 2019-834(HS)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
UP 2019-835(HS)	1	\$1,000	\$0	\$0	9/30/2020	Terminated
UP 2019-837(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-838(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-839(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-840(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2019-841(HMT)	1	\$3,000	\$0	\$0	9/30/2020	
UP 2019-842(HMT)	3	\$9,000	\$9,000	\$6,710	9/30/2020	
UP 2019-843(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2019-844(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020	
UP 2019-845(RW)	1	\$2,000	\$2,000	\$1,460	9/30/2020	
UP 2019-846(SI)	1	\$2,500	\$1,000	\$1,000	9/30/2020	
UP 2019-847(HS)	2	\$2,000	\$2,000	\$1,740	9/30/2020	
UP 2019-848(HMT)	3	\$14,500	\$14,500	\$10,780	9/30/2020	
UP 2019-849(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-850(SI)	1	\$1,000	\$1,000	\$892	9/30/2020	
UP 2019-851(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020	
UP 2019-852(ROP)	10	\$20,000	\$10,000	\$5,000	9/30/2020	
UP 2019-853(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-854(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-856(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-857(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-858(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-859(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-860(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-861(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-862(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-863(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-864(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-865(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-866(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-867(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-868(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2019-869(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-870(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2019-871(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020	
UP 2019-872(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020	
UP 2019-873(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	

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UP 2019-874(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-875(ROP)	1	\$2,000	\$2,000	\$1,250	9/30/2020
UP 2019-876(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-877(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-878(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-879(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-880(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-881(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-882(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-883(AD)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-884(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-885(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-886(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-887(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-888(AR)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-889(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-890(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-891(HSR)	1	\$1,000	\$1,000	\$870	9/30/2020
UP 2019-892(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-893(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-894(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-895(SA)	3	\$15,000	\$15,000	\$11,100	9/30/2020
UP 2019-896(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-897(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-898(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-899(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-900(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-901(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-902(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-903(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-904(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-905(LI)	1	\$2,000	\$2,000	\$1,580	9/30/2020
UP 2019-906(TS)	4	\$4,000	\$4,000	\$2,960	9/30/2020
UP 2019-907(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-908(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-909(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-910(HS)	3	\$3,000	\$3,000	\$2,610	9/30/2020
UP 2019-911(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020
UP 2019-912(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-913(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-914(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-915(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-916(HMT)	1	\$7,500	\$7,500	\$5,600	9/30/2020
UP 2019-917(HMT)	2	\$4,000	\$0	\$0	9/30/2020
UP 2019-918(HMT)	1	\$3,000	\$3,000	\$2,270	9/30/2020
UP 2019-919(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-920(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-921(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-922(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-923(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-924(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-925(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-926(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020

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UP 2019-927(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-928(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-929(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-930(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-931(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020
UP 2019-932(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-933(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020
UP 2019-934(LI)	1	\$2,000	\$2,000	\$1,580	9/30/2020
UP 2019-935(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-936(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-937(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-938(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2019-939(HS)	2	\$2,000	\$2,000	\$1,784	9/30/2020
UP 2019-940(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-941(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-942(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-943(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-944(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-945(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-946(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-947(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-948(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-949(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-950(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-951(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020
UP 2019-952(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-953(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-954(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-955(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-956(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-957(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-958(HMT)	1	\$4,000	\$4,000	\$3,010	9/30/2020
UP 2019-959(TS)	2	\$7,500	\$7,500	\$5,500	9/30/2020
UP 2019-960(TS)	2	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2019-961(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-962(TS)	2	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-963(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-964(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-965(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-966(SI)	1	\$5,000	\$5,000	\$3,750	9/30/2020
UP 2019-967(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2019-968(HS)	3	\$3,000	\$3,000	\$2,610	9/30/2020
UP 2019-969(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-970(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-971(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-972(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-974(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-975(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-976(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-977(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-978(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-979(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-980(ROP)	1	\$9,500	\$9,500	\$7,030	9/30/2020

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UP 2019-981(CC)	1	\$4,000	\$4,000	\$3,120	9/30/2020
UP 2019-982(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-983(HSR)	1	\$1,000	\$1,000	\$853	9/30/2020
UP 2019-984(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-985(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-986(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-987(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-988(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2019-989(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-990(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-991(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-992(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-993(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-994(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-995(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-996(LI)	1	\$2,500	\$2,500	\$1,975	9/30/2020
UP 2019-997(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-998(SA)	3	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2019-999(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-001(SA)	1	\$2,500	\$2,500	\$1,875	9/30/2020
UP 2020-002(SA)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-003(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-004(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-005(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-006(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-007(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-008(SA)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-009(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
UP 2020-010(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-011(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-012(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-013(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2020-014(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-015(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-016(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-017(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-018(TS)	1	\$3,500	\$2,500	\$1,850	9/30/2020
UP 2020-020(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-021(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-022(CC)	1	\$4,000	\$4,000	\$3,092	9/30/2020
UP 2020-023(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-024(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-025(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-026(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-027(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-028(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-029(FCS)	2	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-030(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-031(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-032(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-033(SA)	3	\$7,500	\$7,500	\$2,500	9/30/2020
UP 2020-034(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-035(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020

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UP 2020-036(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-037(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-038(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-039(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-040(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-041(SA)	2	\$5,000	\$5,000	\$2,500	9/30/2020
UP 2020-042(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-043(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-044(LI)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2020-045(ROP)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-046(ROP)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-047(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-048(SA)	2	\$5,000	\$2,500	\$2,500	9/30/2020
UP 2020-049(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-050(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-051(SA)	2	\$5,000	\$2,500	\$2,500	9/30/2020
UP 2020-052(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-053(TS)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2020-054(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-055(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-056(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-057(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-058(SA)	8	\$40,000	\$27,455	\$30,000	9/30/2020
UP 2020-059(LI)	1	\$2,500	\$2,500	\$1,750	9/30/2020
UP 2020-060(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020
UP 2020-061(SA)	1	\$2,500	\$2,500	\$1,875	9/30/2020
UP 2020-062(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-063(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-064(LI)	3	\$6,500	\$6,500	\$4,810	9/30/2020
UP 2020-065(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-066(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-067(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-068(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-069(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-070(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-071(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-072(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-073(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-074(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-075(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-076(SA)	1	\$2,500	\$2,500	\$1,875	9/30/2020
UP 2020-077(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-078(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-079(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-080(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-081(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-082(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-083(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-084(HS)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2020-085(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-086(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-087(HMT)	1	\$2,000	\$2,000	\$1,530	9/30/2020
UP 2020-088(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020

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UP 2020-089(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2020-090(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-091(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-092(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-093(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-094(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-095(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-096(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-097(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-098(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-099(LI)	1	\$2,500	\$2,500	\$1,750	9/30/2020
UP 2020-100(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-102(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-104(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-105(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-106(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-109(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-110(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-111(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-112(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-113(FCS)	1	\$5,000	\$5,000	\$3,900	9/30/2020
UP 2020-114(FCS)	1	\$5,000	\$5,000	\$3,250	9/30/2020
UP 2020-116(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-117(SA)	1	\$2,500	\$2,500	\$1,950	9/30/2020
UP 2020-118(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-119(SA)	2	\$5,000	\$5,000	\$3,900	9/30/2020
UP 2020-122(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-123(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-124(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-126(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-128(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-129(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-130(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-132(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-133(TS)	2	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-134(TS)	1	\$5,000	\$5,000	\$3,900	9/30/2020
UP 2020-135(RW)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2020-136(HMT)	1	\$1,000	\$1,000	\$790	9/30/2020
UP 2020-137(HMT)	1	\$7,500	\$7,500	\$3,900	9/30/2020
UP 2020-138(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-140(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-142(LI)	1	\$2,500	\$2,500	\$2,000	9/30/2020
UP 2020-143(LI)	2	\$3,000	\$3,000	\$2,220	9/30/2020
UP 2020-144(LI)	1	\$1,500	\$1,500	\$1,110	9/30/2020
UP 2020-146(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-148(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-150(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-152(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-154(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-156(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-158(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-160(EP)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2020-164(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020

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UP 2020-166(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-168(SA)	1	\$2,500	\$2,500	\$1,875	9/30/2020
UP 2020-171(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-173(GC)	3	\$15,000	\$15,000	\$11,100	9/30/2020
UP 2020-175(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-176(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-178(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-181(ROP)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-184(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2020-188(FCS)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-191(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-194(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-196(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-197(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-199(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-201(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-202(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-204(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-206(TS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-211(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-212(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-214(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-216(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-217(LI)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-219(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-221(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-224(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2020-226(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-227(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-229(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-231(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020
UP 2020-232(TS)	2	\$10,000	\$10,000	\$7,400	9/30/2020
UP 2020-236(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-239(HMT)	3	\$6,000	\$6,000	\$4,490	9/30/2020
UP 2020-254(ROR)	1	\$9,500	\$9,500	\$7,230	9/30/2020
UP 2020-256(ROP)	1	\$7,500	\$7,500	\$5,750	9/30/2020
UP 2020-259(ROR)	1	\$9,500	\$9,500	\$7,230	9/30/2020
UP 2020-261(ROR)	1	\$9,500	\$9,500	\$7,030	9/30/2020
UP 2020-264(ROR)	1	\$9,500	\$9,500	\$7,030	9/30/2020
UP 2020-266(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-269(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-284(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-285(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-286(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020
UP 2020-288(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-290(EP)	1	\$1,000	\$1,000	\$892	9/30/2020
UP 2020-291(HS)	2	\$2,000	\$2,000	\$1,784	9/30/2020
UP 2020-295(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-296(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-298(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-300(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-301(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020
UP 2020-303(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020

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UP 2020-305(ROP)	1	\$2,000	\$2,000	\$1,480	9/30/2020	
UP 2020-307(HMT)	1	\$2,500	\$2,500	\$1,900	9/30/2020	
UP 2020-309(SI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-310(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2020-312(SA)	1	\$2,500	\$2,500	\$1,875	9/30/2020	
UP 2020-314(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-315(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-317(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-319(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2020-320(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2020-322(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-325(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-327(SA)	2	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2020-329(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-332(SA)	1	\$5,000	\$5,000	\$3,750	9/30/2020	
UP 2020-334(LI)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UP 2020-338(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-339(SA)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-341(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-343(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2020-346(SA)	1	\$7,500	\$5,000	\$5,000	9/30/2020	
UP 2020-348(GC)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2020-349(SA)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UP 2020-353(LI)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-356(FCS)	1	\$2,500	\$2,500	\$1,850	9/30/2020	
UP 2020-358(TS)	1	\$5,000	\$5,000	\$3,700	9/30/2020	
UPME 2019-001(AR)	1	\$2,500	\$2,500	\$1,950	9/30/2020	
UPME 2019-002(SA)	2	\$4,000	\$4,000	\$2,960	9/30/2020	
UTAX 2019-001(GC)	1	\$5,000	\$5,000	\$3,900	2/3/2020	
UTAX 2019-002(GC)	1	\$2,500	\$2,500	\$1,950	2/3/2020	
UTAX 2019-003(GC)	1	\$2,500	\$2,500	\$1,950	2/3/2020	
VREX 2019-001(AR)	1	\$2,500	\$2,500	\$2,500	2/24/2020	
WATX 2019-004(ROP)	1	\$9,500	\$9,500	\$6,250	9/10/2020	
WATX 2019-005(ROP)	1	\$2,000	\$0	\$0	9/10/2020	Terminated
WATX 2020-001(RW)	1	\$1,500	\$1,500	\$1,000	9/10/2020	
WE 2019-006(SA)	1	\$5,000	\$5,000	\$4,250	3/11/2020	
WE 2019-007(ROR)	1	\$7,500	\$7,500	\$6,350	3/11/2020	
WE 2019-008(ROR)	1	\$9,500	\$9,500	\$8,100	3/11/2020	
WE 2019-009(ROP)	1	\$7,500	\$7,500	\$6,500	3/11/2020	
WE 2019-010(ROR)	1	\$9,500	\$9,500	\$7,900	3/11/2020	
WE 2019-011(ROR)	1	\$9,500	\$9,500	\$7,900	3/11/2020	
WE 2020-001(SA)	1	\$2,500	\$2,500	\$2,100	3/11/2020	
WEDQ 2019-001(HMT)	1	\$2,000	\$2,000	\$1,600	10/23/2019	
WER 2019-001(TS)	2	\$10,000	\$10,000	\$8,000	12/4/2019	
WRL 2018-001(TS)	1	\$2,500	\$2,500	\$1,750	10/23/2019	
WRL 2018-002(TS)	1	\$5,000	\$5,000	\$3,500	10/23/2019	
WRL 2018-003(TS)	1	\$2,500	\$2,500	\$1,750	10/23/2019	
WRL 2018-004(TS)	1	\$2,500	\$2,500	\$1,750	10/23/2019	
WRL 2019-001(SA)	1	\$5,000	\$5,000	\$3,500	10/23/2019	
WSOR 2019-001(HMT)	1	\$10,000	\$10,000	\$7,500	9/10/2020	
WSOR 2020-001(HMT)	1	\$2,000	\$2,000	\$1,400	9/10/2020	
WSOR 2020-002(SA)	1	\$5,000	\$5,000	\$3,500	9/10/2020	
WTJR 2019-001(TS)	1	\$4,000	\$4,000	\$3,600	12/5/2019	

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XAMA 2019-001(TS)	1	\$5,000	\$5,000	\$3,500	1/9/2020	
XAMA 2019-002(TS)	2	\$32,500	\$32,500	\$19,500	1/9/2020	
XANS 2018-001(HMT)	1	\$10,000	\$0	\$0	4/27/2020	Terminated
XATN 2019-001(HMT)	3	\$220,000	\$207,500	\$103,500	1/21/2020	
XBCO 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	12/26/2019	
XBCO 2019-002(HMT)	2	\$4,500	\$4,500	\$4,500	12/26/2019	
XCHS 2019-006(HMT)	1	\$5,000	\$5,000	\$4,000	10/2/2019	
XCHS 2019-007(HMT)	1	\$5,000	\$5,000	\$4,000	12/26/2019	
XCHS 2019-008(HMT)	1	\$5,000	\$5,000	\$4,000	12/11/2019	
XLQ 2019-001(HMT)	1	\$15,000	\$15,000	\$11,250	12/10/2019	
XCPT 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	9/4/2020	
XCSK 2019001(HMT)	1	2000	2000	1470	9/25/2020	
XDPU 2020-001(GC)	1	\$1,000	\$1,000	\$1,000	2/19/2020	
XDSA 2019-001(HMT)	1	\$4,000	\$4,000	\$3,000	1/23/2020	
XDSU 2019-001(HMT)	1	\$2,000	\$2,000	\$1,500	1/15/2020	
XEXG 2020-001(HMT)	1	\$5,000	\$5,000	\$3,300	3/3/2020	
XFEF 2019-002(HMT)	1	\$7,500	\$7,500	\$4,000	12/11/2019	
XFLD 2018-001(HMT)	1	\$5,000	\$5,000	\$5,000	5/6/2020	
XFLD 2018-002(HMT)	1	\$5,000	\$5,000	\$5,000	5/6/2020	
XFMC 2016-001(HMT)	1	\$10,000	\$10,000	\$5,000	5/13/2020	
XGEO 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	3/12/2020	
XGEO 2020-002(HMT)	1	\$5,000	\$5,000	\$5,000	9/14/2020	
XGLK 2018-001(HMT)	2	\$17,500	\$17,500	\$9,625	5/20/2020	
XGLN 2019-001(HMT)	1	\$7,500	\$7,500	\$4,500	5/29/2020	
XGLO 2019-001(HMT)	4	\$17,500	\$17,500	\$12,000	5/29/2020	
XHDM 2018-001(RMM)	1	\$5,000	\$5,000	\$5,000	3/16/2020	
XICB 2019-002(HMT)	1	\$2,000	\$2,000	\$2,000	11/12/2019	
XING 2019-001(HMT)	1	\$5,000	\$5,000	\$3,000	4/27/2020	
XITS 2019-001(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019	
XITS 2019-002(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019	
XITS 2019-003(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019	
XITS 2019-004(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019	
XITS 2019-005(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019	
XITS 2019-006(AD)	1	\$2,500	\$2,500	\$1,293	12/6/2019	
XITS 2019-007(AD)	1	\$15,000	\$15,000	\$7,758	12/6/2019	
XK2P 2019-001(HMT)	1	\$15,000	\$15,000	\$12,750	11/25/2019	
XK2P 2019-002(HMT)	1	\$15,000	\$15,000	\$12,000	11/25/2019	
XMCQ 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	4/1/2020	
XMJT 2020-001(RW)	1	\$3,000	\$3,000	\$3,000	3/11/2020	
XMKW 2019-003(HMT)	1	\$10,000	\$10,000	\$8,000	12/9/2019	
XNSC 2019-001(HSR)	1	\$1,000	\$1,000	\$900	5/26/2020	
XNSM 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	11/6/2019	
XPKR 2019-001(HMT)	2	\$15,000	\$15,000	\$11,000	6/11/2020	
XROS 2019-001(RMM)	1	\$5,000	\$5,000	\$5,000	11/12/2019	
XROS 2019-002(RW)	1	\$3,000	\$3,000	\$3,000	10/22/2019	
XSCU 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	1/24/2020	
XSSP 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	2/14/2020	
XWTB 2018-001(RW)	1	\$3,000	\$3,000	\$2,400	2/18/2020	
XWTS 2020-001(HMT)	1	\$20,000	\$20,000	\$15,000	9/10/2020	
XYRC 2019-003(HMT)	1	\$5,000	\$5,000	\$4,000	11/25/2019	
XYRC 2019-004(HMT)	1	\$5,000	\$5,000	\$4,250	11/25/2019	
XYRC 2019-005(HMT)	1	\$2,000	\$2,000	\$2,000	1/13/2020	
XYRC 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	3/12/2020	

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XZTH 2019-002(HMT)	1	\$2,000	\$2,000	\$2,000	11/12/2019	
XZTH 2019-003(HMT)	1	\$10,000	\$10,000	\$10,000	10/23/2019	
YVRR 2017-001(GC)	1	\$5,000	\$5,000	\$3,000	6/24/2020	
YVRR 2017-002(AD)	1	\$7,500	\$7,500	\$4,500	6/24/2020	
ZAAO 2019-001(HMT)	1	\$10,000	\$10,000	\$8,050	1/9/2020	
ZAAO 2020-001(HMT)	1	\$5,000	\$5,000	\$3,500	1/14/2020	
ZABO 2019-001(HMT)	1	\$2,000	\$2,000	\$1,000	12/3/2019	
ZABO 2019-002(HMT)	1	\$2,000	\$2,000	\$1,000	12/3/2019	
ZACR 2019-002(HMT)	1	\$2,000	\$2,000	\$1,440	10/24/2019	
ZACR 2020-001(HMT)	1	\$5,000	\$5,000	\$3,350	6/29/2020	
ZADN 2020-001(HMT)	1	\$5,000	\$5,000	\$3,500	8/17/2020	
ZADR 2020-001(HMT)	1	\$5,000	\$5,000	\$3,400	4/20/2020	
ZAEO 2019-001(HMT)	1	\$10,000	\$5,000	\$4,050	11/21/2019	
ZAFQ 2019-001(HMT)	1	\$2,000	\$2,000	\$1,380	11/21/2019	
ZALQ 2019-001(HMT)	1	\$10,000	\$10,000	\$10,000	11/5/2019	
ZALQ 2019-002(HMT)	1	\$10,000	\$10,000	\$10,000	2/11/2020	
ZALRC 2019-001(HMT)	1	\$12,000	\$12,000	\$6,000	10/8/2019	
ZALTI 2019-001(HMT)	1	\$5,000	\$5,000	\$1,100	5/8/2020	
ZALTI 2019-002(HMT)	1	\$5,000	\$5,000	\$1,100	5/8/2020	
ZAMC 2019-002(HMT)	1	\$2,000	\$2,000	\$1,300	1/7/2020	
ZAMC 2019-003(HMT)	1	\$5,000	\$5,000	\$3,200	3/10/2020	
ZAMV 2019-002(HMT)	1	\$2,000	\$2,000	\$1,300	10/15/2019	
ZAMV 2019-003(HMT)	1	\$5,000	\$5,000	\$3,600	10/15/2019	
ZAMV 2019-004(HMT)	1	\$5,000	\$5,000	\$3,400	12/6/2019	
ZAND 2019-002(HMT)	1	\$5,000	\$5,000	\$3,400	1/7/2020	
ZAND 2020-001(HMT)	1	\$5,000	\$5,000	\$3,600	5/4/2020	
ZAND 2020-002(HMT)	1	\$5,000	\$5,000	\$3,500	5/4/2020	
ZAND 2020-003(HMT)	1	\$5,000	\$5,000	\$3,500	8/17/2020	
ZANX 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	10/24/2019	
ZARK 2019-001(HMT)	1	\$5,000	\$5,000	\$3,500	1/7/2020	
ZARK 2020-001(HMT)	1	\$10,000	\$10,000	\$8,400	3/26/2020	
ZARK 2020-002(HMT)	1	\$5,000	\$5,000	\$3,500	3/26/2020	
ZASN 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	2/11/2020	
ZASO 2019-001(HMT)	1	\$5,000	\$5,000	\$3,000	11/26/2019	
ZASY 2019-001(HMT)	1	\$10,000	\$10,000	\$8,200	1/28/2020	
ZAUP 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	3/31/2020	
ZAUP 2020-002(HMT)	1	\$2,000	\$2,000	\$2,000	3/31/2020	
ZAUX 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	5/27/2020	
ZAVX 2019-001(HMT)	1	\$5,000	\$5,000	\$3,500	10/1/2019	
ZAVX 2019-002(HMT)	1	\$15,000	\$15,000	\$13,500	3/3/2020	
ZAXI 2019-007(HMT)	1	\$5,000	\$5,000	\$3,300	11/5/2019	
ZAXI 2020-001(HMT)	1	\$5,000	\$5,000	\$4,000	9/28/2020	
ZBAK 2018-001(HMT)	1	\$2,500	\$2,500	\$2,500	4/3/2020	
ZBAR 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	2/18/2020	
ZBAS 2019-003(HMT)	1	\$1,000	\$1,000	\$1,000	10/23/2019	
ZBCI 2017-001(HMT)	2	\$6,000	\$5,000	\$3,000	3/11/2020	
ZBCZ 2019-005(HMT)	1	\$4,000	\$4,000	\$4,000	12/5/2019	
ZBDO 2014-001(HMT)	1	\$5,000	\$0	\$0	12/31/2019	Terminated
ZBDW 2019-001(HMT)	1	\$1,000	\$1,000	\$1,000	12/9/2019	
ZBIS 2019-001(HMT)	1	\$6,000	\$6,000	\$6,000	11/5/2019	
ZBKA 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	3/5/2020	
ZBKO 2019-001(HMT)	1	\$3,000	\$3,000	\$2,000	2/13/2020	
ZBOY 2017-001(HMT)	3	\$13,500	\$13,500	\$4,000	2/19/2020	

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ZBPN 2020-001(HMT)	1	\$1,000	\$1,000	\$1,000	8/13/2020	
ZBPN 2020-002(HMT)	1	\$1,000	\$1,000	\$1,000	8/13/2020	
ZBPR 2019-001(HMT)	2	\$30,000	\$30,000	\$22,500	2/19/2020	
ZBPR 2020-001(HMT)	1	\$5,000	\$5,000	\$3,750	2/19/2020	
ZBRM 2018-002(HMT)	1	\$6,000	\$6,000	\$6,000	3/9/2020	
ZBRM 2019-001(HMT)	1	\$10,000	\$10,000	\$10,000	3/9/2020	
ZBRP 2013-001(HMT)	1	\$5,000	\$0	\$0	2/20/2020	Terminated
ZBTM 2019-002(HMT)	1	\$5,000	\$5,000	\$5,000	12/19/2019	
ZBYT 2019-001(HMT)	1	\$5,000	\$5,000	\$2,500	10/8/2019	
ZBYT 2019-002(HMT)	1	\$7,500	\$7,500	\$4,400	10/8/2019	
ZBYT 2019-003(HMT)	1	\$7,500	\$0	\$0	10/8/2019	Terminated
ZBYT 2019-004(HMT)	1	\$10,000	\$10,000	\$7,500	10/8/2019	
ZBYT 2019-005(HMT)	1	\$10,000	\$10,000	\$7,500	10/8/2019	
ZCACT 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	11/7/2019	
ZCDL 2018-001(HMT)	1	\$10,000	\$10,000	\$7,500	12/23/2019	
ZCEE 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	2/20/2020	
ZCHS 2015-001(HMT)	1	\$5,000	\$5,000	\$5,000	10/28/2019	
ZCHS 2016-001(HMT)	1	\$5,000	\$5,000	\$5,000	10/30/2019	
ZCHS 2018-001(HMT)	1	\$5,000	\$5,000	\$5,000	10/30/2019	
ZCHX 2016-001(HMT)	1	\$2,500	\$2,500	\$2,500	10/30/2019	
ZCHX 2016-002(HMT)	1	\$5,000	\$5,000	\$5,000	11/4/2019	
ZCHX 2017-001(HMT)	1	\$2,000	\$2,000	\$2,000	10/30/2019	
ZCHX 2018-002(HMT)	1	\$5,000	\$5,000	\$5,000	10/30/2019	
ZCHX 2018-003(HMT)	1	\$5,000	\$5,000	\$5,000	10/30/2019	
ZCLX 2019-003(HMT)	1	\$3,000	\$3,000	\$3,000	10/24/2019	
ZCNO 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	7/10/2020	
ZCNO 2020-002(HMT)	1	\$2,000	\$2,000	\$2,000	7/10/2020	
ZCPR 2019-001(HMT)	2	\$20,000	\$10,000	\$10,000	7/15/2020	
ZCPR 2019-002(HMT)	7	\$55,500	\$55,500	\$43,500	7/14/2020	
ZCPR 2019-003(HMT)	3	\$9,000	\$6,500	\$6,500	1/15/2020	
ZCPR 2019-004(HMT)	1	\$10,000	\$10,000	\$8,000	12/10/2019	
ZCPR 2019-005(HMT)	1	\$60,000	\$60,000	\$50,000	12/10/2019	
ZCRS 2019-001(HMT)	1	\$10,000	\$10,000	\$7,500	11/4/2019	
ZCSN 2016-001(HMT)	1	\$2,000	\$2,000	\$696	10/16/2019	
ZCSX 2019-001(HMT)	1	\$4,000	\$4,000	\$2,940	9/30/2020	
ZCT 2019-001(HMT)	1	\$1,000	\$1,000	\$1,000	12/5/2019	
ZCT 2019-002(HMT)	2	\$10,000	\$10,000	\$10,000	10/24/2019	
ZCT 2019-003(HMT)	1	\$7,500	\$7,500	\$3,000	10/23/2019	
ZCT 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	8/24/2020	
ZCT 2020-002(HMT)	1	\$10,000	\$10,000	\$10,000	9/24/2020	
ZCVO 2020-001(HMT)	1	\$10,000	\$10,000	\$10,000	9/4/2020	
ZCWS 2020-001(HMT)	1	\$5,000	\$5,000	\$4,000	6/23/2020	
ZCYL 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	12/5/2019	
ZCYT 2019-001(HMT)	3	\$40,000	\$40,000	\$32,000	12/11/2019	
ZCYX 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	12/5/2019	
ZCYZ 2019-001(HMT)	1	\$8,000	\$8,000	\$8,000	10/16/2019	
ZDAN 2019-001(HMT)	1	\$1,000	\$1,000	\$750	3/2/2020	
ZDAN 2020-001(HMT)	1	\$10,000	\$10,000	\$7,500	7/8/2020	
ZDANT 2019-001(HMT)	1	\$10,000	\$10,000	\$6,000	2/10/2020	
ZDCPC 2019-001(HMT)	1	\$7,500	\$7,500	\$6,000	1/22/2020	
ZDCU 2020-001(HMT)	1	\$5,000	\$5,000	\$4,000	3/10/2020	
ZDCU 2020-002(HMT)	1	\$5,000	\$5,000	\$4,250	6/1/2020	
ZDEL 2019-001(HMT)	1	\$7,500	\$7,500	\$6,375	1/15/2020	

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ZDGZ 2020-001(HMT)	1	\$10,000	\$10,000	\$10,000	5/4/2020	
ZDNA 2019-001(HMT)	1	\$5,000	\$5,000	\$3,250	10/1/2019	
ZDPT 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	4/23/2020	
ZDUS 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	3/6/2020	
ZEACH 2019-003(HMT)	1	\$10,000	\$10,000	\$7,700	10/8/2019	
ZEACH 2019-004(HMT)	1	\$10,000	\$10,000	\$7,000	10/22/2019	
ZEACH 2019-005(HMT)	1	\$5,000	\$5,000	\$3,300	10/22/2019	
ZEACH 2019-006(HMT)	1	\$2,000	\$2,000	\$1,250	10/17/2019	
ZEACH 2019-007(HMT)	1	\$2,000	\$2,000	\$1,440	12/3/2019	
ZEACH 2020-001(HMT)	1	\$2,000	\$2,000	\$1,360	2/20/2020	
ZEACH 2020-002(HMT)	1	\$5,000	\$0	\$3,500	2/28/2020	
ZEACH 2020-003(HMT)	1	\$2,000	\$2,000	\$1,420	6/22/2020	
ZEACH 2020-004(HMT)	1	\$2,000	\$2,000	\$1,260	7/6/2020	
ZEACH 2020-005(HMT)	1	\$10,000	\$10,000	\$8,300	9/2/2020	
ZECI 2019-001(HMT)	2	\$210,000	\$210,000	\$119,000	11/14/2019	
ZELEM 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	11/7/2019	
ZEMCC 2019-001(HMT)	1	\$2,000	\$0	\$0	1/30/2020	Terminated
ZEOB 2020-001(HMT)	1	\$7,500	\$7,500	\$6,275	9/11/2020	
ZEOB 2020-002(HMT)	1	\$5,000	\$5,000	\$3,500	9/11/2020	
ZEPC 2019-001(HMT)	1	\$2,500	\$2,500	\$2,500	1/24/2020	
ZEPZ 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	1/7/2020	
ZEPZ 2020-001(HMT)	1	\$30,000	\$30,000	\$30,000	2/27/2020	
ZEQU 2020-001(HMT)	1	\$2,000	\$2,000	\$1,460	4/28/2020	
ZERD 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	1/30/2020	
ZESR 2019-001(HMT)	1	\$10,000	\$10,000	\$7,900	10/29/2019	
ZETS 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	2/28/2020	
ZEVD 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	9/24/2020	
ZEYN 2019-002(HMT)	1	\$10,000	\$10,000	\$6,600	10/22/2019	
ZFGC 2019-001(HMT)	1	\$2,000	\$2,000	\$1,500	12/18/2019	
ZFGC 2019-002(HMT)	1	\$5,000	\$5,000	\$3,500	12/18/2019	
ZFGQ 2017-001(HMT)	1	\$10,000	\$10,000	\$7,500	8/3/2020	
ZFGQ 2018-001(HMT)	1	\$4,000	\$4,000	\$2,000	8/3/2020	
ZFHR 2020-001(HMT)	1	\$10,000	\$10,000	\$10,000	3/25/2020	
ZFHR 2020-002(HMT)	1	\$10,000	\$10,000	\$10,000	3/25/2020	
ZFIC 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	1/6/2020	
ZFKP 2019-001(HMT)	1	\$5,000	\$5,000	\$3,000	3/13/2020	
ZFOR 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	4/23/2020	
ZFPC 2010-002(HMT)	1	\$5,000	\$0	\$0	5/8/2020	Terminated
ZFPC 2010-003(HMT)	1	\$5,000	\$0	\$0	5/8/2020	Terminated
ZFPC 2019-002(HMT)	1	\$5,000	\$5,000	\$3,250	8/13/2020	
ZFPC 2019-003(HMT)	1	\$7,500	\$7,500	\$6,000	8/13/2020	
ZFPC 2020-001(HMT)	1	\$2,500	\$2,500	\$1,700	8/13/2020	
ZFRI 2015-001(HMT)	1	\$5,000	\$5,000	\$3,500	12/11/2019	
ZFRQ 2019-001(HMT)	1	\$5,000	\$5,000	\$4,000	12/30/2019	
ZFTI 2015-001(HMT)	4	\$27,000	\$27,000	\$10,000	12/13/2019	
ZFTQ 2010-001(HMT)	1	\$5,000	\$0	\$0	4/29/2020	Terminated
ZFTQ 2012-001(HMT)	2	\$7,500	\$0	\$0	4/29/2020	Terminated
ZFTQ 2016-001(HMT)	1	\$5,000	\$5,000	\$3,500	8/17/2020	
ZFTR 2015-001(HMT)	1	\$2,000	\$2,000	\$1,400	12/6/2019	
ZFTR 2015-002(HMT)	1	\$5,000	\$3,000	\$1,500	12/6/2019	
ZFTR 2018-001(HMT)	1	\$4,000	\$4,000	\$3,000	12/6/2019	
ZGBL 2018-001(HMT)	1	\$10,000	\$10,000	\$7,000	3/12/2020	
ZGBL 2019-001(HMT)	1	\$5,000	\$5,000	\$3,500	3/12/2020	

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ZGEPA 2019-002(HMT)	1	\$5,000	\$5,000	\$5,000	11/8/2019	
ZGEPA 2020-002(HMT)	1	\$5,000	\$5,000	\$5,000	3/12/2020	
ZGEPA 2020-003(HMT)	1	\$5,000	\$5,000	\$5,000	5/29/2020	
ZGFV 2018-001(HMT)	1	\$10,000	\$0	\$0	5/28/2020	Terminated
ZGGE 2018-001(HMT)	1	\$5,000	\$5,000	\$4,000	5/20/2020	
ZGGI 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	11/14/2019	
ZGIL 2019-002(HMT)	1	\$5,000	\$5,000	\$5,000	11/4/2019	
ZGLE 2018-001(HMT)	1	\$4,000	\$4,000	\$3,200	3/12/2020	
ZGLY 2019-001(HMT)	2	\$12,500	\$12,500	\$6,000	5/28/2020	
ZGMN 2019-001(HMT)	1	\$5,000	\$5,000	\$3,500	5/20/2020	
ZGMN 2019-002(HMT)	1	\$5,000	\$5,000	\$3,500	5/21/2020	
ZGPE 2018-004(HMT)	1	\$5,000	\$5,000	\$3,250	5/29/2020	
ZGPE 2018-005(HMT)	1	\$7,500	\$7,500	\$5,750	5/29/2020	
ZGPE 2018-006(HMT)	1	\$10,000	\$10,000	\$6,000	5/29/2020	
ZGPE 2019-001(HMT)	1	\$15,000	\$15,000	\$10,000	5/29/2020	
ZGPE 2019-002(HMT)	1	\$5,000	\$5,000	\$3,250	5/29/2020	
ZGPE 2019-003(HMT)	1	\$10,000	\$10,000	\$7,500	5/29/2020	
ZGPE 2019-004(HMT)	1	\$10,000	\$10,000	\$7,500	5/29/2020	
ZGPE 2019-005(HMT)	1	\$5,000	\$5,000	\$3,250	5/29/2020	
ZGPF 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	3/5/2020	
ZGPH 2018-001(HMT)	1	\$5,000	\$5,000	\$3,500	5/29/2020	
ZGPJ 2019-001(HMT)	1	\$5,000	\$5,000	\$4,000	3/12/2020	
ZGPJ 2019-002(HMT)	1	\$7,500	\$7,500	\$7,500	3/5/2020	
ZGPS 2017-001(HMT)	1	\$5,000	\$5,000	\$4,000	5/20/2020	
ZGSZ 2017-006(HMT)	1	\$10,000	\$10,000	\$6,000	5/28/2020	
ZGSZ 2018-004(HMT)	1	\$5,000	\$5,000	\$5,000	5/28/2020	
ZGSZ 2018-007(HMT)	1	\$5,000	\$5,000	\$5,000	11/8/2019	
ZGSZ 2019-001(HMT)	1	\$5,000	\$5,000	\$4,000	5/28/2020	
ZGSZ 2019-002(HMT)	1	\$7,500	\$7,500	\$7,500	11/8/2019	
ZGSZ 2019-003(HMT)	1	\$5,000	\$5,000	\$5,000	11/8/2019	
ZGSZ 2019-005(HMT)	11	\$55,000	\$55,000	\$55,000	3/5/2020	
ZGSZ 2019-006(HMT)	2	\$10,000	\$10,000	\$10,000	3/5/2020	
ZGSZ 2019-007(HMT)	1	\$10,000	\$10,000	\$10,000	3/5/2020	
ZGTF 2020-001(HMT)	1	\$10,000	\$10,000	\$10,000	5/29/2020	
ZGWH 2019-001(HMT)	1	\$1,500	\$1,500	\$1,500	11/14/2019	
ZIEN 2018-001(HMT)	1	\$5,000	\$5,000	\$4,000	3/12/2020	
ZIEN 2019-001(HMT)	1	\$5,000	\$5,000	\$4,000	3/12/2020	
ZIEV 2018-001(HMT)	3	\$16,000	\$16,000	\$11,500	1/7/2020	
ZIFF 2017-001(HMT)	1	\$2,000	\$2,000	\$1,000	5/6/2020	
ZIFF 2019-001(HMT)	1	\$10,000	\$10,000	\$5,000	5/6/2020	
ZIM 2019-001(HMT)	2	\$9,500	\$9,500	\$9,500	1/7/2020	
ZIM 2019-002(HMT)	2	\$6,000	\$6,000	\$6,000	1/7/2020	
ZIMO 2019-001(HMT)	1	\$10,000	\$10,000	\$10,000	9/11/2020	
ZIMTB 2018-001(HMT)	1	\$10,000	\$10,000	\$6,900	3/18/2020	
ZIMTB 2018-002(HMT)	1	\$10,000	\$10,000	\$6,900	3/18/2020	
ZIMTB 2019-001(HMT)	1	\$5,000	\$5,000	\$3,400	3/18/2020	
ZIMTB 2019-002(HMT)	1	\$2,000	\$2,000	\$1,400	3/18/2020	
ZIMTB 2019-003(HMT)	1	\$10,000	\$10,000	\$6,900	3/18/2020	
ZIMTB 2019-004(HMT)	1	\$5,000	\$5,000	\$3,475	3/18/2020	
ZIMTB 2019-005(HMT)	1	\$5,000	\$5,000	\$3,475	3/18/2020	
ZIMTB 2019-006(HMT)	1	\$5,000	\$5,000	\$3,450	3/18/2020	
ZIMTB 2020-001(HMT)	1	\$6,000	\$6,000	\$4,100	3/18/2020	
ZING 2019-003(HMT)	1	\$5,000	\$5,000	\$3,500	11/6/2019	

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ZING 2019-004(HMT)	2	\$10,000	\$10,000	\$7,200	1/3/2020	
ZING 2019-005(HMT)	2	\$14,000	\$14,000	\$10,250	1/3/2020	
ZINS 2019-001(AR)	1	\$1,000	\$1,000	\$900	12/6/2019	
ZINS 2019-002(AR)	1	\$5,000	\$5,000	\$2,500	12/6/2019	
ZINS 2019-003(AD)	1	\$20,000	\$20,000	\$10,146	12/6/2019	
ZINS 2019-004(EQ)	1	\$5,000	\$5,000	\$2,500	12/6/2019	
ZINS 2019-007(CC)	1	\$2,500	\$2,500	\$1,293	12/6/2019	
ZINTT 2019-001(HMT)	1	\$5,000	\$5,000	\$3,750	1/3/2020	
ZINTT 2020-001(HMT)	1	\$4,000	\$4,000	\$2,500	4/29/2020	
ZIOD 2018-001(HMT)	1	\$2,000	\$2,000	\$2,000	12/16/2019	
ZIP 2018-005(HMT)	1	\$4,000	\$4,000	\$2,765	11/6/2019	
ZIP 2018-007(HMT)	1	\$5,000	\$5,000	\$3,450	11/6/2019	
ZIP 2018-008(HMT)	1	\$5,000	\$5,000	\$3,450	11/6/2019	
ZIP 2018-009(HMT)	1	\$2,000	\$2,000	\$1,380	11/6/2019	
ZIP 2019-001(HMT)	1	\$2,000	\$2,000	\$1,380	11/6/2019	
ZIP 2019-002(HMT)	1	\$5,000	\$5,000	\$3,450	11/6/2019	
ZIP 2019-003(HMT)	1	\$10,000	\$10,000	\$7,500	2/12/2020	
ZIP 2019-004(HMT)	1	\$10,000	\$10,000	\$7,500	2/12/2020	
ZIP 2019-005(HMT)	1	\$1,000	\$1,000	\$892	2/12/2020	
ZIP 2019-006(HMT)	1	\$2,000	\$2,000	\$1,550	2/11/2020	
ZIP 2019-007(HMT)	1	\$2,000	\$2,000	\$1,600	2/10/2020	
ZIP 2020-001(HMT)	1	\$2,000	\$2,000	\$1,500	5/28/2020	
ZIP 2020-002(HMT)	1	\$4,000	\$4,000	\$3,000	5/26/2020	
ZIP 2020-003(HMT)	1	\$2,000	\$2,000	\$1,500	5/26/2020	
ZIP 2020-004(HMT)	1	\$2,000	\$2,000	\$1,500	5/28/2020	
ZIPB 2018-001(HMT)	1	\$2,000	\$2,000	\$2,000	5/26/2020	
ZIPB 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	5/26/2020	
ZIPI 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	3/31/2020	
ZIPI 2020-002(HMT)	2	\$6,000	\$6,000	\$6,000	9/2/2020	
ZIRO 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	11/22/2019	
ZIRO 2019-002(HMT)	1	\$2,000	\$2,000	\$1,500	11/22/2019	
ZIRO 2020-001(HMT)	1	\$12,000	\$12,000	\$10,000	3/20/2020	
ZISY 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	2/10/2020	
ZISY 2019-003(HMT)	1	\$5,000	\$5,000	\$5,000	2/10/2020	
ZISY 2019-004(HMT)	1	\$10,000	\$10,000	\$10,000	2/10/2020	
ZISY 2020-001(HMT)	1	\$10,000	\$10,000	\$10,000	3/10/2020	
ZITF 2018-001(HMT)	1	\$5,000	\$5,000	\$2,500	5/4/2020	
ZITF 2018-002(HMT)	1	\$10,000	\$10,000	\$5,000	5/4/2020	
ZITT 2019-001(HMT)	1	\$5,000	\$5,000	\$3,500	10/29/2019	
ZITT 2019-002(HMT)	1	\$2,000	\$2,000	\$1,400	10/29/2019	
ZITT 2019-003(HMT)	1	\$10,000	\$10,000	\$7,000	10/29/2019	
ZITT 2019-004(HMT)	1	\$10,000	\$10,000	\$7,000	10/29/2019	
ZITT 2019-005(HMT)	1	\$5,000	\$5,000	\$3,500	10/29/2019	
ZITT 2019-006(HMT)	1	\$5,000	\$5,000	\$3,500	12/30/2019	
ZITT 2019-007(HMT)	1	\$5,000	\$5,000	\$3,500	12/30/2019	
ZIVE 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	12/4/2019	
ZIVE 2019-002(HMT)	1	\$2,000	\$2,000	\$2,000	12/4/2019	
ZIVS 2019-001(HMT)	1	\$10,000	\$10,000	\$7,500	2/10/2020	
ZJEZ 2019-001(HMT)	1	\$10,000	\$10,000	\$10,000	2/5/2020	
ZJEZ 2019-002(HMT)	1	\$10,000	\$10,000	\$10,000	2/5/2020	
ZJIS 2016-001(HMT)	1	\$5,000	\$0	\$0	11/20/2019	Terminated
ZJRS 2019-004(HMT)	1	\$5,000	\$5,000	\$3,500	12/3/2019	
ZJRS 2020-001(HMT)	1	\$10,000	\$10,000	\$8,500	6/1/2020	

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ZJRS 2020-002(HMT)	1	\$5,000	\$5,000	\$3,000	9/3/2020	
ZJVE 2019-001(HMT)	1	\$10,000	\$10,000	\$8,900	12/13/2019	
ZKDM 2019-001(HMT)	1	\$5,000	\$5,000	\$3,500	10/9/2019	
ZKDM 2019-002(HMT)	1	\$5,000	\$5,000	\$3,500	10/9/2019	
ZKDM 2019-003(HMT)	1	\$6,000	\$6,000	\$4,200	11/6/2019	
ZKDM 2019-004(HMT)	1	\$2,000	\$2,000	\$1,400	1/17/2020	
ZKDM 2019-005(HMT)	1	\$5,000	\$5,000	\$3,750	2/18/2020	
ZKDM 2019-006(HMT)	2	\$2,500	\$2,500	\$2,000	8/26/2020	
ZKLG 2019-001(HMT)	1	\$2,000	\$2,000	\$1,600	10/16/2019	
ZKML 2019-002(HMT)	2	\$20,000	\$20,000	\$15,500	10/9/2019	
ZKML 2019-003(HMT)	2	\$9,500	\$9,500	\$7,600	11/6/2019	
ZKML 2019-004(HMT)	1	\$5,000	\$5,000	\$3,750	4/16/2020	
ZKML 2019-005(HMT)	2	\$10,000	\$10,000	\$7,500	8/25/2020	
ZKML 2019-006(HMT)	1	\$15,000	\$15,000	\$13,500	4/13/2020	
ZKMO 2019-001(HMT)	1	\$10,000	\$0	\$0	10/30/2019	Terminated
ZKMQ 2019-002(HMT)	1	\$5,000	\$5,000	\$2,750	12/2/2019	
ZKMQ 2019-003(HMT)	1	\$5,000	\$5,000	\$2,750	12/2/2019	
ZKMQ 2019-004(HMT)	1	\$5,000	\$5,000	\$2,750	1/14/2020	
ZKMQ 2019-005(HMT)	1	\$5,000	\$5,000	\$3,500	4/16/2020	
ZKMQ 2019-006(HMT)	1	\$5,000	\$5,000	\$2,750	1/14/2020	
ZKMQ 2019-007(HMT)	1	\$2,000	\$2,000	\$1,400	8/25/2020	
ZKMT 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	2/25/2020	
ZKNC 2020-001(HMT)	1	\$5,000	\$5,000	\$4,500	4/8/2020	
ZKNN 2016-001(HMT)	1	\$10,000	\$10,000	\$5,000	1/23/2020	
ZKOR 2019-001(HMT)	1	\$10,000	\$10,000	\$8,500	1/2/2020	
ZKPI 2019-002(BW)	1	\$2,500	\$2,500	\$1,875	12/2/2019	
ZKPI 2020-001(HMT)	1	\$7,500	\$7,500	\$7,500	5/18/2020	
ZKPI 2020-002(HMT)	1	\$5,000	\$5,000	\$3,250	9/3/2020	
ZKPP 2018-001(HMT)	1	\$10,000	\$10,000	\$6,250	12/4/2019	
ZKRA 2019-004(HMT)	1	\$5,000	\$5,000	\$4,000	12/2/2019	
ZKRA 2019-005(HMT)	2	\$10,000	\$10,000	\$8,000	12/4/2019	
ZKRA 2019-006(HMT)	1	\$10,000	\$10,000	\$8,500	1/22/2020	
ZKSAQ 2019-001(HMT)	1	\$10,000	\$10,000	\$10,000	10/9/2019	
ZKUR 2019-001(HMT)	1	\$10,000	\$10,000	\$8,000	10/22/2019	
ZKUR 2020-001(HMT)	1	\$2,000	\$2,000	\$1,600	4/21/2020	
ZKWS 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	8/26/2020	
ZKYE 2019-002(HMT)	1	\$5,000	\$5,000	\$5,000	10/25/2019	
ZKYE 2019-003(HMT)	1	\$5,000	\$5,000	\$5,000	10/24/2019	
ZLBR 2019-001(HMT)	1	\$6,000	\$6,000	\$6,000	3/12/2020	
ZLDE 2019-003(HMT)	1	\$5,000	\$5,000	\$3,500	10/25/2019	
ZLUB 2019-003(HMT)	1	\$5,000	\$5,000	\$5,000	1/3/2020	
ZLUB 2019-004(HMT)	1	\$2,000	\$2,000	\$2,000	1/3/2020	
ZLUB 2020-001(HMT)	1	\$10,000	\$10,000	\$10,000	9/10/2020	
ZMAS 2019-001(HMT)	1	\$2,000	\$2,000	\$1,500	11/25/2019	
ZMED 2019-001(HMT)	2	\$9,000	\$9,000	\$7,200	12/13/2019	
ZMED 2019-002(HMT)	3	\$15,000	\$15,000	\$12,000	12/13/2019	
ZMG 2019-001(HMT)	1	\$7,500	\$7,500	\$5,250	12/19/2019	
ZMHX 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	1/24/2020	
ZMHX 2019-002(HMT)	1	\$2,000	\$2,000	\$2,000	1/29/2020	
ZMIF 2019-001(HMT)	1	\$10,000	\$10,000	\$8,000	1/29/2020	
ZMKY 2019-001(HMT)	1	\$10,000	\$10,000	\$10,000	2/25/2020	
ZMNP 2019-001(HMT)	1	\$10,000	\$10,000	\$7,500	1/6/2020	
ZMNP 2020-001(HMT)	1	\$10,000	\$10,000	\$7,500	5/29/2020	

**Federal Railroad Administration  
Safety Cases Closed in FY 2020**

ZMNP 2020-002(HMT)	1	\$50,000	\$50,000	\$39,500	5/29/2020	
ZMNZ 2020-001(HMT)	3	\$17,500	\$17,500	\$13,650	4/2/2020	
ZMQE 2020-001(HMT)	1	\$5,000	\$5,000	\$4,000	4/2/2020	
ZMTE 2019-005(HMT)	1	\$60,000	\$60,000	\$45,000	10/31/2019	
ZMTE 2019-006(HMT)	2	\$4,000	\$4,000	\$4,000	1/29/2020	
ZMXC 2019-001(HMT)	1	\$8,000	\$8,000	\$8,000	12/27/2019	
ZNCAS 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	3/3/2020	
ZNIC 2019-002(HMT)	1	\$2,000	\$2,000	\$2,000	10/24/2019	
ZNTN 2019-002(HMT)	1	\$10,000	\$10,000	\$6,000	3/3/2020	
ZNTN 2019-003(HMT)	1	\$5,000	\$5,000	\$3,500	2/27/2020	
ZNXE 2019-003(HMT)	1	\$2,000	\$2,000	\$1,400	1/14/2020	
ZOLI 2019-005(HMT)	1	\$5,000	\$5,000	\$5,000	9/30/2020	
ZOLI 2019-006(HMT)	1	\$5,000	\$5,000	\$5,000	9/30/2020	
ZOLI 2019-007(HMT)	1	\$5,000	\$5,000	\$5,000	9/30/2020	
ZOTT 2018-002(HMT)	1	\$10,000	\$10,000	\$10,000	2/5/2020	
ZOTT 2018-003(HMT)	1	\$5,000	\$5,000	\$5,000	2/5/2020	
ZPBD 2020-001(HMT)	1	\$10,000	\$10,000	\$7,950	6/8/2020	
ZPBE 2020-001(HMT)	1	\$5,000	\$5,000	\$3,600	5/1/2020	
ZPG 2019-002(HMT)	1	\$5,000	\$5,000	\$5,000	5/15/2020	
ZPGX 2018-001(HMT)	1	\$5,000	\$5,000	\$4,000	5/28/2020	
ZPMS 2019-006(HMT)	1	\$5,000	\$5,000	\$4,000	10/1/2019	
ZPVS 2020-001(HMT)	1	\$10,000	\$10,000	\$7,000	3/11/2020	
ZQCH 2019-001(HMT)	1	\$15,000	\$15,000	\$13,500	2/8/2020	
ZQMB 2020-001(HMT)	1	\$7,500	\$7,500	\$5,500	2/10/2020	
ZRCQ 2020-001(HMT)	1	\$10,000	\$10,000	\$10,000	9/22/2020	
ZREBO 2019-002(HMT)	1	\$2,000	\$2,000	\$250	10/8/2019	
ZRES 2018-002(HMT)	1	\$10,000	\$10,000	\$5,000	12/17/2019	
ZRES 2018-003(HMT)	1	\$50,000	\$50,000	\$25,000	12/17/2019	
ZRHK 2020-001(HMT)	2	\$6,500	\$0	\$0	7/23/2020	Terminated
ZRLC 2019-001(HMT)	1	\$7,500	\$7,500	\$7,500	12/12/2019	
ZRLO 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	12/5/2019	
ZRLO 2019-002(HMT)	1	\$4,000	\$4,000	\$4,000	2/24/2020	
ZRLT 2019-001(HMT)	1	\$7,500	\$7,500	\$7,500	10/16/2019	
ZRPF 2019-001(HMT)	1	\$5,000	\$5,000	\$4,000	2/7/2020	
ZRPM 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	10/31/2019	
ZRRE 2020-001(HMT)	1	\$10,000	\$10,000	\$6,500	4/10/2020	
ZRSF 2019-004(HMT)	1	\$10,000	\$10,000	\$7,500	2/11/2020	
ZRSF 2019-005(HMT)	1	\$10,000	\$10,000	\$8,000	12/3/2019	
ZRSO 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	10/1/2019	
ZRSS 2019-001(HMT)	2	\$16,000	\$8,500	\$8,500	10/8/2019	
ZSAB 2018-002(HMT)	1	\$12,000	\$12,000	\$9,000	12/19/2019	
ZSAB 2019-001(HMT)	2	\$6,000	\$6,000	\$3,600	12/19/2019	
ZSAB 2019-002(HMT)	1	\$5,000	\$5,000	\$3,600	12/19/2019	
ZSAB 2019-003(HMT)	1	\$5,000	\$5,000	\$3,150	12/19/2019	
ZSAB 2019-004(HMT)	1	\$5,000	\$5,000	\$3,900	12/19/2019	
ZSAB 2020-001(HMT)	1	\$5,000	\$5,000	\$3,600	7/7/2020	
ZSDI 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	12/3/2019	
ZSET 2020-001(HMT)	1	\$10,000	\$10,000	\$5,000	7/8/2020	
ZSEU 2019-002(HMT)	1	\$2,000	\$2,000	\$1,500	1/2/2020	
ZSHH 2019-001(HMT)	1	\$10,000	\$10,000	\$7,250	11/6/2019	
ZSHR 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	4/29/2020	
ZSI 2019-002(HMT)	2	\$7,000	\$7,000	\$25,000	10/16/2019	
ZSI 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	2/19/2020	

**Federal Railroad Administration  
Safety Cases Closed in FY 2020**

ZSKI 2019-006(HMT)	1	\$5,000	\$5,000	\$5,000	11/12/2019	
ZSKI 2019-007(HMT)	1	\$10,000	\$10,000	\$10,000	1/29/2020	
ZSKS 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	3/6/2020	
ZSMZ 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	10/30/2019	
ZSMZ 2020-001(HMT)	1	\$7,500	\$7,500	\$7,500	3/5/2020	
ZSNA 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	3/13/2020	
ZSTQ 2019-001(HMT)	1	\$7,500	\$7,500	\$5,400	12/5/2019	
ZSTQ 2019-002(HMT)	1	\$5,000	\$5,000	\$5,000	11/4/2019	
ZSTQ 2019-003(HMT)	1	\$5,000	\$5,000	\$5,000	11/4/2019	
ZSTQ 2020-001(HMT)	2	\$10,000	\$10,000	\$6,800	5/12/2020	
ZSTQ 2020-003(HMT)	1	\$5,000	\$5,000	\$5,000	7/30/2020	
ZSTQ 2020-004(HMT)	1	\$2,000	\$2,000	\$2,000	7/30/2020	
ZSTQ 2020-005(HMT)	1	\$2,000	\$2,000	\$2,000	9/17/2020	
ZSWC 2019-002(HMT)	1	\$2,000	\$2,000	\$2,000	10/16/2019	
ZTCH 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	2/19/2020	
ZTDI 2020-001(HMT)	1	\$5,000	\$0	\$0	2/5/2020	Terminated
ZTDL 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	2/25/2020	
ZTHA 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	3/23/2020	
ZTHG 2020-001(HMT)	2	\$9,000	\$0	\$0	3/25/2020	Terminated
ZTHI 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	3/23/2020	
ZTIT 2013-001(HMT)	1	\$16,000	\$0	\$0	2/19/2020	Terminated
ZTIT 2014-001(HMT)	5	\$10,000	\$0	\$0	2/19/2020	Terminated
ZTIT 2014-002(HMT)	1	\$8,000	\$0	\$0	2/19/2020	Terminated
ZTIT 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	2/19/2020	
ZTIT 2020-002(HMT)	1	\$1,000	\$1,000	\$1,000	5/13/2020	
ZTMS 2019-001(HMT)	1	\$7,500	\$7,500	\$4,500	1/7/2020	
ZTMT 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	4/1/2020	
ZTPC 2014-001(HMT)	1	\$10,000	\$0	\$0	11/13/2019	Terminated
ZTPC 2016-001(HMT)	1	\$2,000	\$2,000	\$1,200	11/13/2019	
ZTPC 2018-001(HMT)	1	\$7,500	\$7,500	\$5,250	11/13/2019	
ZTPC 2019-001(HMT)	1	\$5,000	\$5,000	\$3,000	11/13/2019	
ZTPU 2017-001(HMT)	1	\$5,000	\$5,000	\$3,800	12/31/2019	
ZTPU 2018-001(HMT)	1	\$5,000	\$5,000	\$3,200	12/31/2019	
ZTQT 2019-001(HMT)	1	\$5,000	\$5,000	\$5,000	1/8/2020	
ZTRG 2019-002(HMT)	1	\$5,000	\$5,000	\$3,000	2/12/2020	
ZTSS 2014-001(HMT)	1	\$10,000	\$0	\$0	11/15/2019	Terminated
ZTSS 2015-001(HMT)	1	\$5,000	\$5,000	\$5,000	11/15/2019	
ZTSS 2019-002(HMT)	1	\$2,000	\$2,000	\$2,000	11/12/2019	
ZTSS 2020-001(HMT)	1	\$5,000	\$5,000	\$3,000	3/30/2020	
ZTSS 2020-002(HMT)	1	\$5,000	\$5,000	\$3,000	3/30/2020	
ZTTQ 2018-001(HMT)	1	\$5,000	\$5,000	\$3,000	2/19/2020	
ZTWR 2020-001(HMT)	1	\$5,000	\$5,000	\$3,250	5/13/2020	
ZULC 2020-001(HMT)	1	\$1,000	\$1,000	\$1,000	3/9/2020	
ZUMQ 2019-001(HMT)	2	\$21,000	\$21,000	\$21,000	10/8/2019	
ZUNC 2020-001(HMT)	1	\$5,000	\$5,000	\$3,900	4/7/2020	
ZUNIV 2019-003(HMT)	2	\$10,000	\$10,000	\$8,000	10/3/2019	
ZUNIV 2019-004(HMT)	1	\$5,000	\$5,000	\$4,300	10/31/2019	
ZUNIV 2019-005(HMT)	1	\$2,000	\$2,000	\$1,700	10/31/2019	
ZUNIV 2019-006(HMT)	1	\$7,500	\$7,500	\$6,200	1/22/2020	
ZUNIV 2020-001(HMT)	2	\$9,000	\$9,000	\$7,200	3/2/2020	
ZUNIV 2020-002(HMT)	1	\$2,000	\$2,000	\$1,650	4/9/2020	
ZUNIV 2020-003(HMT)	1	\$5,000	\$5,000	\$4,000	6/4/2020	
ZUNIV 2020-004(HMT)	1	\$2,000	\$2,000	\$1,580	8/10/2020	

**Federal Railroad Administration  
Safety Cases Closed in FY 2020**

ZUNIV 2020-005(HMT)	1	\$2,000	\$2,000	\$1,600	9/8/2020
ZUOZ 2019-001(HMT)	1	\$4,000	\$4,000	\$2,800	1/9/2020
ZUPS 2020-001(HMT)	1	\$5,000	\$5,000	\$3,800	4/1/2020
ZUPS 2020-002(HMT)	1	\$4,000	\$4,000	\$3,000	6/12/2020
ZUSD 2020-001(HMT)	1	\$5,000	\$5,000	\$5,000	4/22/2020
ZUSU 2019-001(HMT)	1	\$2,000	\$2,000	\$2,000	1/9/2020
ZUTC 2020-001(HMT)	2	\$17,500	\$10,000	\$8,250	5/22/2020
ZUTC 2020-002(HMT)	1	\$7,500	\$7,500	\$5,215	9/9/2020
ZUTC 2020-003(HMT)	1	\$10,000	\$10,000	\$10,000	5/27/2020
ZVCG 2018-001(HMT)	1	\$2,000	\$2,000	\$2,000	1/9/2020
ZVNS 2018-002(HMT)	1	\$2,500	\$2,500	\$2,500	12/19/2019
ZVUS 2019-001(HMT)	1	\$4,000	\$4,000	\$4,000	4/23/2020
ZWAO 2019-001(HMT)	1	\$5,000	\$5,000	\$3,500	9/10/2020
ZWAO 2019-002(HMT)	1	\$7,500	\$7,500	\$6,750	9/10/2020
ZWATC 2019-001(HMT)	1	\$5,000	\$5,000	\$3,500	9/10/2020
ZWATC 2020-001(HMT)	1	\$10,000	\$10,000	\$9,000	9/10/2020
ZWBM 2019-001(HMT)	1	\$7,500	\$7,500	\$5,500	10/22/2019
ZWCA 2019-001(HMT)	1	\$15,000	\$15,000	\$12,750	10/14/2019
ZWCA 2019-002(HMT)	2	\$10,000	\$10,000	\$8,500	11/25/2019
ZWDO 2019-001(HMT)	1	\$10,000	\$10,000	\$7,500	2/14/2020
ZWHE 2019-001(HMT)	1	\$10,000	\$10,000	\$8,750	1/31/2020
ZWHE 2019-002(HMT)	1	\$10,000	\$10,000	\$8,750	2/25/2020
ZWLC 2019-002(HMT)	1	\$7,500	\$7,500	\$6,000	1/6/2020
ZWLC 2019-003(HMT)	1	\$10,000	\$10,000	\$8,500	1/6/2020
ZWLC 2019-004(HMT)	1	\$10,000	\$10,000	\$8,000	12/18/2019
ZWLC 2020-001(HMT)	1	\$10,000	\$10,000	\$9,000	3/6/2020
ZWLC 2020-002(HMT)	1	\$10,000	\$10,000	\$7,500	8/28/2020
ZWLC 2020-003(HMT)	1	\$10,000	\$10,000	\$8,400	9/29/2020
ZWMS 2019-002(HMT)	1	\$5,000	\$5,000	\$3,000	2/18/2020
ZWND 2019-002(HMT)	1	\$6,000	\$6,000	\$5,400	10/22/2019
ZWND 2019-003(HMT)	1	\$5,000	\$5,000	\$4,250	11/20/2019
ZWND 2020-001(HMT)	1	\$5,000	\$5,000	\$4,250	3/13/2020
ZWND 2020-002(HMT)	1	\$5,000	\$5,000	\$4,250	7/9/2020
ZWND 2020-003(HMT)	1	\$2,000	\$2,000	\$1,700	7/9/2020
ZWRC 2019-001(HMT)	1	\$7,500	\$7,500	\$7,500	2/5/2020
ZWRC 2020-001(HMT)	1	\$2,000	\$2,000	\$2,000	3/11/2020
ZWRC 2020-002(HMT)	1	\$10,000	\$10,000	\$10,000	4/6/2020
ZWRK 2020-001(HMT)	1	\$2,000	\$2,000	\$1,650	2/26/2020
ZWRR 2019-001(HMT)	1	\$5,000	\$5,000	\$4,000	11/26/2019
ZWTN 2019-001(HMT)	1	\$5,000	\$5,000	\$3,500	9/10/2020
ZWTN 2020-001(HMT)	1	\$2,000	\$2,000	\$1,400	9/10/2020
ZZAR 2020-001(HMT)	1	\$5,000	\$5,000	\$3,500	7/27/2020
ZZAS 2017-001(GC)	1	\$5,000	\$5,000	\$4,500	1/6/2020
ZZAS 2018-001(GC)	3	\$15,000	\$15,000	\$13,500	1/6/2020
ZZAS 2018-002(GC)	1	\$5,000	\$5,000	\$4,500	1/6/2020
ZZAS 2019-001(GC)	1	\$5,000	\$5,000	\$4,500	1/6/2020

**Totals**

4308	6053	\$24,413,012	\$21,745,593	\$15,774,930
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## Respondent

<u>Codes</u>	<u>Respondent Names</u>
AA	Ann Arbor Railroad
ABS	Alabama Southern Railroad
AGR	Alabama & Gulf Coast Railway Llc.
AKMD	Arkansas Midland Railroad
ALE	Alabama Export Railroad
ALS	The Alton And Southern Railway Company
ARR	Alaska Railroad Corporation
ATK	National Railroad Passenger Corporation
ATN	Alabama & Tennessee River Railway Llc
AWRR	Austin Western Railroad
AZER	Arizona Eastern Railway
BAYL	Bay Line Railroad
BGCM	Bountiful Grain & Craig Mountain
BHRR	Birmingham Terminal Railway, Llc
BLF	Brightline
BLOL	Bloomer Shippers Connecting Railroad Co.
BLU	Blue Ridge Southern Railroad, Llc
BM	Boston And Maine Corporation
BNSF	BNSF Railway Company
BPRR	Buffalo & Pittsburgh Railroad, Inc.
BRC	The Belt Railway Company Of Chicago
BVRR	Boise Valley Railroad, Llc
CAGY	Columbus & Greenville Railway
CAMY	Camp Chase Railway Company, Llc
CCT	Central California Traction Company
CFI	CF Industries Sales, LLC
CFNR	California Northern Railroad Co.
CFRC	Central Florida Rail Corridor
CIC	Cedar Rapids And Iowa City Railway Company
CLNA	Carolina Coastal Railway, Inc.
CMQX	Central Maine & Quebec Railway
CN	Canadian National Railway Company
CORP	Central Oregon & Pacific Railroad, Inc.
COSR	Connecticut Southern Railroad, Inc.
CP	Canadian Pacific Railway
CRSH	Consolidated Rail Corporation
CSX	CSX Transportation Inc.

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
CWRO	Cleveland Works Railway Co.
DGNO	Dallas, Garland And Northeastern Railroad, Inc.
DMVW	Dakota Missouri Valley And Western
DQE	Dequeen And Eastern Railroad, Llc
DREI	Decatur And Eastern Illinois Railroad
DSRC	Dakota Southern Railway Company
DSRR	Delta Southern Railroad Company
DTPN	Denver Transit Partners
EARV	Eastern Alabama Railway, Llc
EBSR	East Brookfield And Spencer Railroad
EIRR	Eastern Idaho Railroad, Llc
EMRY	Eastern Maine Railway
EVWR	Evansville Western Railroad, Inc.
EWG	Eastern Washington Gateway Railroad
FEC	Florida East Coast Railway Llc
FGA	Florida Gulf & Atlantic Railroad Llc
FGLK	Finger Lakes Railway Corporation
FMRC	Farmrail System, Inc.
GC	Georgia Central Railway
GDLK	Grand Elk Railroad, Llc
GFRR	Georgia And Florida Railway, Llc.
GLCT	Global Container Terminals
GMRC	Green Mountain Railroad Corporation
GRR	Georgetown Railroad Company
GRW	Gary Railway Company
GSWY	Gitm Savannah Wharf Yard
GTLS	Gt Logistics
HOG	Heart Of Georgia Railroad, Inc.
IAIS	Iowa Interstate Railroad, LLC
IATX	Imf-Alliance
IC	Illinois Central Railroad Company
IHB	Indiana Harbor Belt Railroad Company
INPR	Idaho Northern And Pacific Railroad
INRD	The Indiana Rail Road Company
IORY	Indiana & Ohio Railway Company
IR	Illinois Railway, LLC
ISRY	Iowa Southern Railway Company

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
KCS	The Kansas City Southern Railway Company
KFR	Kettle Falls International Railway, Llc.
KJRY	Keokuk Junction Railway Company
KNWA	Kanawha River Railroad
KO	Kansas And Oklahoma Railroad, Llc
KRR	Kiamichi Railroad Co., Llc.
KXHR	Knoxville & Holston River Railroad Co.
KYLE	KYLE Railroad Co.
LBWR	Lubbock & Western Railway, L.L.C.
LMIC	Lake Michigan & Indiana Railroad Co.
LSRC	Lake State Railway Company
MBTA	Massachusetts Bay Transportation Authority
MNA	Missouri & Northern Arkansas Railroad Co., Inc.
MNBR	Meridian And Bigbee Railroad, Llc
MNCW	Metro-North Commuter Railroad Company
MNR	Maine Northern Railway Company
MOTV	Motive Rail
MRL	Montana Rail Link
MSE	Mississippi Export Railroad Company
NDW	Napoleon, Defiance & Western Railway
NECR	New England Central Railroad, Inc.
NERR	Nashville & Eastern Railroad
NIRC	Northeast Illinois Regional Commuter Rr Corp.
NOPB	New Orleans Public Belt Railroad Corp
NWP	Northwestern Pacific Railroad Company
PAS	Pan Am Southern Llc.
PCC	Palouse River & Coulee City Railroad, Llc
PNWR	Portland And Western Railroad Inc.
PSAP	Puget Sound & Pacific Railroad
PSRR	Pacific Sun Railroad
PVS	Pecos Valley Southern Railway Company D/B/A Pecos Valley Permian Llc
PW	Providence And Worcester Rr Company
RASX	Railserve
RCPE	Rapid City, Pierre & Eastern Railroad, Inc.
RJCW	R. J. Corman Railroad Company/Western Ohio Lines
RRVW	Red River Valley & Western Railroad Company
RSR	Rochester And Southern Railroad, Inc.

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
RTDC	Regional Transportation District- Commuter
RVSC	Rio Valley Switching Company
SCAX	Southern California Regional Rail Authority
SEPA	Southeastern Pennsylvania Transportation Authority
SFRV	South Florida Regional Transportation Authority
SJVR	San Joaquin Valley Railroad Co.
SKOL	South Kansas And Oklahoma Railroad Company
SLR	St. Lawrence & Atlantic Railroad Company
SLRG	San Luis & Rio Grande Railroad
SLWC	Stillwater Central Railroad Company, Llc
SOU	Norfolk Southern Railway Company
ST	Springfield Terminal Railway Company
STMA	St. Maries River Railroad
SUOC	Superior Oil Company, Inc.
TCWR	Twin Cities & Western Railroad Company
TMBL	Tacoma Municipal Belt Line Railway
TXN	Texas New Mexico Railway, Llc.
UFRC	Utah Frontrunner Commuter Rail
UP	Union Pacific Railroad Company
UPME	Union Pacific-Metra
UTAX	Utah Transit Authority
VREX	Virginia Railway Express
WATX	Watco Switching
WE	Wheeling & Lake Erie Railway Company
WEDQ	Wedgeworth'S Inc.
WER	Washington Eastern Railroad
WRL	WRL, LLC
WSOR	Wisconsin & Southern Railroad
WTJR	Wichita, Tillman And Jackson Ry. Co., Inc.
XAMA	American Auto Works, Llc
XANS	Ascensus Specialties
XATN	Appalachian Tank Car Services
XBCO	Brandt Consolidated
XCHS	CHS, Inc.
XCLQ	Calumet Lubricant Company
XCPT	Center Point Terminal Company
XCSK	Csx Transportation Storekeeper

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
<b>XDPU</b>	<b>Department Of Public Works, Clark County Wa</b>
<b>XDSA</b>	<b>Dakota Spirit Agenergy Llc.</b>
<b>XDSU</b>	<b>Dupont Specialty Products Usa, Llc</b>
<b>XEXG</b>	<b>Excel Group</b>
<b>XFEF</b>	<b>Fedex Freight, Inc.</b>
<b>XFLD</b>	<b>Florida Distillers Company</b>
<b>XFMC</b>	<b>Freeport-Mcmoran Inc.</b>
<b>XGEO</b>	<b>Georgia Pacific, Llc.</b>
<b>XGLK</b>	<b>Great Lakes Water Authority</b>
<b>XGLN</b>	<b>Glnx Corporation</b>
<b>XGLO</b>	<b>Global Chemical Resources, Inc.</b>
<b>XHDM</b>	<b>Hd Machines Llc</b>
<b>XICB</b>	<b>Incobrasa Industries, Ltd</b>
<b>XING</b>	<b>Ingredion Incorporated</b>
<b>XITS</b>	<b>Its Technologies And Logistics</b>
<b>XK2P</b>	<b>K2 Pure Solutions</b>
<b>XMCQ</b>	<b>Martts Company</b>
<b>XMJT</b>	<b>M.J. Track Works</b>
<b>XMKW</b>	<b>Markwest Hydrocarbon, L.L.C.</b>
<b>XNSC</b>	<b>National Signal Corporation</b>
<b>XNSM</b>	<b>Northstar Midstream</b>
<b>XPKR</b>	<b>Prokar, Inc.</b>
<b>XROS</b>	<b>Rose City Rail Construction, Llc</b>
<b>XSCU</b>	<b>Ssc Industries</b>
<b>XSSP</b>	<b>Solvay Specialty Polymers Usa Llc</b>
<b>XWTB</b>	<b>W.T. Byler Company</b>
<b>XWTS</b>	<b>Watco Transportation Services</b>
<b>XYRC</b>	<b>Yrc Freight</b>
<b>XZTH</b>	<b>Zenith Energy Terminals</b>
<b>YVRR</b>	<b>Yadkin Valley Railroad Co.</b>
<b>ZAAO</b>	<b>Aoc Llc</b>
<b>ZABO</b>	<b>Agribiofuels, Llc</b>
<b>ZACR</b>	<b>Adm Corn Processing</b>
<b>ZADN</b>	<b>The Andersons, Inc.</b>
<b>ZADR</b>	<b>Adm Processing</b>
<b>ZAEO</b>	<b>Arlanxeo Canada Inc.</b>
<b>ZAFQ</b>	<b>Afton Chemical Corporation</b>

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
ZALQ	Airgas, An Air Liquide Company
ZALRC	Alaron Corp.
ZALTI	Altivia Petrochemicals, Llc
ZAMC	Archer Daniels Midland Co.
ZAMV	Amvac Chemical Corporation
ZAND	The Andersons, Inc.
ZANX	Allnex
ZARK	Arkema, Inc.
ZASN	Ashland Specialty Ingredients
ZASO	Astro Chemicals, Inc.
ZASY	Americas Styrenics
ZAUP	Austin Powder Company
ZAUX	Aux Sable Liquid Products, Inc.
ZAVX	Advansix
ZAXI	Axiall Llc
ZBAK	Baker Petrolite Corporation
ZBAR	Barton Solvent Inc.
ZBAS	Basf Corporation
ZBCI	Brainerd Chemical Company
ZBCZ	Birla Carbon Usa Inc.
ZBDO	Baird Oil
ZBDW	Bighorn, Divide, & Wyoming
ZBIS	Braskem Idesa Sapi
ZBKA	Braskem America, Inc.
ZBKO	Bakken Oil Express Llc
ZBOY	Boyle Fertilizer
ZBPN	Bp Products North America, Inc.
ZBPR	Bp Products North America Inc.
ZBRM	Blue Racer Midstream, Llc
ZBRP	Brenntag Pacific, Inc.
ZBTM	Buckeye Terminals Llc-Macungie
ZBYT	Buckeye Transfer Realty Llc
ZCACT	Celanese Acetate
ZCDL	Cardinal Ethanol, Llc
ZCEE	Centennial Energy
ZCHS	Clean Harbors Services, Inc.
ZCHX	Clean Harbors Environmental Services, Inc.

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
ZCLX	Chemtrade Logistics
ZCNO	BWC Terminals LLC
ZCPR	Citgo Petroleum Corp.
ZCRS	Carson Oil
ZCSN	Cs Mining
ZCSX	Csx Intermodal Terminals, Inc.
ZCT	Colonial Terminals
ZCVO	Chevron Oronite
ZCWS	Crestwood Services Llc
ZCYL	Cymetech, LLC
ZCYT	Cytec Industries
ZCYX	Chemical And Metals Industry
ZCYZ	Cyanco
ZDAN	Danisco Ingredients
ZDANT	Dana Transport Inc.
ZDCPC	Diversified Cpc International, Inc.
ZDCU	The Dow Chemical Company
ZDEL	Delta Chemical Corp.
ZDGZ	Dakota Gasification Co.
ZDNA	Diageo Americas Supply, Inc.
ZDPT	Deltech Polymers Corporation
ZDUS	Delek Us
ZEACH	Eastman Chemical Company
ZECI	Engineered Controls International Llc
ZELEM	Elementis Chromium Inc.
ZEMCC	Exxonmobil Corporation
ZEOB	Ecolab, Inc.
ZEPC	Enterprise Products Operating Llc
ZEPZ	Enterprise Products Operating Llc
ZEQU	Equistar Chemicals Lp
ZERD	E.Roko Distributors
ZESR	Eco Services Operations Corp.
ZETS	Enviro Tech Chemical Services, Inc.
ZEVD	Evonik Corporation
ZEYN	Ensyn Technologies
ZFGC	Ferrell Gas, Inc.
ZFGQ	Factor Gas Liquids, Inc.

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
ZFHR	Flint Hills Resources
ZFIC	Flint Group North America
ZFKP	Foremark Performance Chemical
ZFOR	Hollyfrontier El Dorado Refining Llc
ZFPC	Formosa Plastics Co.
ZFRI	Frit Car, Inc.
ZFRQ	Poet Biorefining-Gowrie
ZFTI	Federation Cooperative, N/K/A Provision Partners Cooperative
ZFTQ	Thermo Fluids, Inc.
ZFTR	Florida East Coast Transload, Llc.
ZGBL	Global Companies Llc
ZGEPA	Georgia Pacific Company
ZGFV	Gfl Environmental Inc.
ZGGE	Golden Grain Energy
ZGGI	Greenfield Global Inc.
ZGIL	Giles Chemical
ZGLE	Glacial Lakes Energy, Llc
ZGLY	Glycerin Traders
ZGMN	Chemtrade Chemicals Us Llc
ZGPE	Green Plains Logistics
ZGPF	Georgia-Pacific Chemicals Llc.
ZGPH	Grain Processing Corp.
ZGPJ	Graphic Packaging International
ZGPS	Grain Processing Corporation
ZGSZ	Sgs North America, Inc., Petroleum Service Corp.
ZGTF	Gatefront Llc
ZGWH	Gemini Warehouse
ZIEN	Ineos Nitriles
ZIEV	Inserv Environmental Services
ZIFF	International Flavors & Fragrances
ZIM	Zim-American Integrated Shipping Company
ZIMO	Imperial Oil Limited
ZIMTB	Imtt Bayonne
ZING	Ingevity Corporation
ZINS	Its Technologies & Logistics, Llc
ZINTT	International Matex Tank Terminals
ZIOD	Imperial Oil Chemical Div.

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
ZIP	International Paper Company
ZIPB	Innophos, Inc.
ZIPI	Ineos Phenol, Inc.
ZIRO	Irving Oil Company
ZISY	Ineos Styrolution America LLC
ZITF	Itafos Conda Llc
ZITT	Intercontinental Terminals Company
ZIVE	Indorama Ventures
ZIVS	Invista S.A.R.L.
ZJEZ	Jaxon Energy
ZJIS	Jacobs Industrial Services
ZJRS	J. R. Simplot Company
ZJVE	Poet Biorefining-Groton
ZKDM	Kinder Morgan Energy Partners, L.P.
ZKLG	Kleysen Group Lp.
ZKML	Kinder Morgan Liquids Terminals, LLC
ZKMO	Kmco, Inc.
ZKMQ	Kinder Morgan
ZKMT	Kmtex, Llc
ZKNC	Koch Nitrogen Company
ZKNN	Kenan Transport
ZKOR	Kern Oil And Refining Company
ZKPI	Koppers Inc.
ZKPP	Kapstone Kraft Paper & Packing Corporation
ZKRA	Kraton Corporation
ZKSAQ	Kao Specialties Americas
ZKUR	Kuraray America, Inc.
ZKWS	Kemira Water Solutions
ZKYE	Keyera Corp.
ZLBR	Lbc Baton Rouge
ZLDE	Lyondell Chemical Co.
ZLUB	Lubrizol Corporation
ZMAS	Mc Asphalt Industries Ltd.
ZMED	Mediterranean Shipping Company
ZMG	Zep-Mgf/Acuity Spec Prod
ZMHX	Mhx
ZMIF	Midwest Industrial Fuels, Inc.

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
ZMKY	Monument Chemical Kentucky, Llc.
ZMNP	Marathon Petroleum Company
ZMNZ	Meser North America, Inc.
ZMQE	Marquis Energy, Llc
ZMTE	Motiva Enterprises Llc
ZMXC	Mexicana De Cobre Sa De Cv Rr/Co
ZNCAS	Nachurs-Alpine Solutions
ZNIC	New-Indy Catawba Llc
ZNTN	Nutrien
ZNXE	Nexeo Solutions Llc
ZOLI	Olin Corporation
ZOTT	Oiltanking Texas City L.P.
ZPBD	Poet Biorefining-Chancellor
ZPBE	Poet Biorefining-Corning
ZPG	Procter & Gamble
ZPGX	Spec Gx
ZPMS	Plains Midstream Canada, Llc
ZPVS	Pvs Chemicals, Inc.
ZQCH	Quadra Chemicals, Ltd.
ZQMB	Quimobasicos
ZRCQ	Reagent Chemical & Research, Inc.
ZREBO	Rebel Oil Company
ZRES	Rescar, Inc.
ZRHK	Rohm & Haas Company
ZRLC	Greenwood Motor Line
ZRLO	Rail Loading Services, Llc
ZRLT	R & L Transportation
ZRPF	Rayonier Performance Fibers, Llc
ZRPM	Renewable Product Marketing Group, Llc
ZRRE	Red River Energy
ZRSF	Resolute Fp Us Inc.
ZRSO	Rail Solutions Llc
ZRSS	Rail Serve
ZSAB	Sabir Innovative Plastics, Us Llc.
ZSDI	Steel Dynamics Incorporated
ZSET	Sterling Ethanol Llc
ZSEU	Suncor Energy (U.S.A.) Inc.

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
ZSHH	Stolthaven Terminals Houston
ZSHR	South Hampton Refining Co.
ZSI	Southern Ionics, Inc.
ZSKI	Safety-Kleen Systems, Inc.
ZSKS	Sekisui Specialty Chemicals America, Llc
ZSMZ	Saia Motor Freight Line Llc
ZSNA	Schneider National Carriers, Inc.
ZSTQ	Stepan Company
ZSWC	Sherwin-Williams Company
ZTCH	Tilley Chemical Company
ZTDI	Total Distribution, Inc.
ZTDL	Tdc Llc
ZTHA	Thatcher Company
ZTHG	Thompson Gas
ZTHI	Thompson Industrial Services
ZTIT	Texas International Terminals
ZTMS	Targa Midstream Services
ZTMT	Transmontaigne Product Services, Inc.
ZTPC	TPC Group
ZTPU	Total Petrochemicals And Refining Usa Inc
ZTQT	Torq Transloading, Inc.
ZTRG	Toledo Refining Company Llc
ZTSS	Tessengerlo Kerley, Inc.
ZTTQ	Titan Terminal And Transport, Inc.
ZTWR	Twinco Romax
ZULC	Usalco
ZUMQ	Us Magnesium Llc
ZUNC	Union Carbide Corporation
ZUNIV	Univar Solutions Inc.
ZUOZ	United Oil
ZUPS	United Parcel Service, Inc.
ZUSD	U.S. Borax
ZUSU	United States Sugar Corp.
ZUTC	Union Tank Car Company
ZVCG	Vecenergy
ZVNS	Vantage Specialty Chemicals
ZVUS	Viscofan Usa, Inc.

## Respondent

<u>Codes</u>	<u>Respondent Names</u>
ZWAO	Watco Terminal And Port Services
ZWATC	Watco Companies Llc
ZWBM	W. M. Barr And Company
ZWCA	Westlake Vinyls, Inc.
ZWDO	West Direct Oil Llc
ZWHE	White Energy Holding Company, Llc
ZWLC	Westlake Chemical Corporation
ZWMS	Williams Midstream
ZWND	Windstar LPG Inc.
ZWRC	Wyoming Refining Company
ZWRK	WestRock Mill Company
ZWRR	Wrr Environmental Services Co., Inc.
ZWTN	Watco Transloading, Llc.
ZZAR	The Andersons, Inc.
ZZAS	Alstom