Federal Railroad Administration

Fiscal Year 2025 Enforcement Report

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I. INTRODUCTION

For several decades, the Federal Railroad Administration (FRA) has compiled an annual civil penalty report (Enforcement Report) summarizing the civil penalty claims that FRA has closed for violations of Federal railroad safety and hazardous materials (hazmat) statutes, regulations, and orders.[1] As authorized by law, FRA issues orders assessing civil penalties for violations of the hazmat statutes, regulations, and orders.[2] Also, as authorized by law, FRA negotiates settlements with railroads and other entities subject to its safety jurisdiction to resolve claims for civil penalties imposed for failures to comply with specific requirements that promote and ensure the safety of the Nation's freight and passenger railroad operations.[3] The Enforcement Report conforms with content requirements set forth most recently in the Rail Safety Improvement Act of 2008 (RSIA).[4] FRA endeavors to make the Enforcement Report available each year by December 31 for the preceding fiscal year (FY) (i.e., October 1 through September 30).

This FY 2025 Enforcement Report includes the following:

- A summary of rail safety and hazmat compliance inspections and audits FRA or State inspectors[5] conducted and enforcement actions FRA recommended.

- A summary of FRA civil penalty enforcement actions sorted by type of alleged violation and type of respondent, including railroads, hazmat shippers, contractors, and individuals.

- A discussion of the relationship between inspections and enforcement actions, the number and rate of reportable accidents and incidents and railroad safety.

- An analysis of locomotive engineer and conductor certification cases brought before FRA.

- A list of civil penalty cases FRA closed (at Appendix A to this report).

[1] *See* Title 49 of the United States Code, Chapters 201-213 and 51, respectively (49 U.S.C. Ch. 201-213 and 51, respectively); Title 49 of the Code of Federal Regulations (CFR), Subtitle B, Chapter II (Parts 209-246, 270-272) and Chapter I, Subchapter A, Hazardous Materials and Oil Transportation, and Subchapter C, Hazardous Materials Regulations; 49 U.S.C. § 103; and 49 CFR § 1.89.

[2] 49 U.S.C. Ch. 51; 49 CFR § 1.89; 49 CFR Part 209.

[3] 49 U.S.C. § 103; and 49 CFR § 1.89.

[4] This is consistent with Sections 303 and 307 of the RSIA, Pub. L. No. 110-432, Div. A (122 Stat. 4848), Oct. 16, 2008 (codified at 49 U.S.C. §§ 20120 and 103 note, respectively). *See https://railroads.dot.gov/.*

[5] FRA partners with States to investigate compliance with Federal rail safety and hazmat laws and regulations through the State Safety Participation Program. This program is authorized by 49 U.S.C. § 20105, and FRA's implementing regulations are codified at 49 CFR Part 212. In FY 2025, FRA had agreements with the majority of States and the District of Columbia to participate in these inspection and enforcement activities.

II. DISCUSSION OF RAILROAD SAFETY, ENFORCEMENT PROGRAMS, AND POLICIES – THE RELATIONSHIP OF INSPECTIONS, ENFORCEMENT, AND ACCIDENTS OR INCIDENTS

The safety of railroad operations – including the safety of railroad employees, railroad passengers, and the communities through which railroads operate – is FRA's top priority. FRA carries out its mission in many ways, notably through accident and incident investigations, extensive technical assistance, scientific research, data collection and analysis, and broad regulatory enforcement and oversight programs, including the assessment of civil penalties for violations of rail safety and hazardous materials regulations. FRA also engages and partners with both public and private stakeholders to identify and address critical safety issues that affect railroad operations.

Many variables, including the full range of FRA safety enforcement efforts, regulation, and the evolution of technology in the railroad industry, all intersect with the deterrent value of civil penalties to reduce railroad accidents and safety incidents. FRA's enforcement of rail safety and hazardous materials laws simultaneously compels compliance and aids the agency in the detection and prevention of unsafe conditions before they arise or cause harm. In this regard, FRA has increased safety in the rail industry by leveraging insights from proactive engagement with railroads, railroad workers, and other stakeholders, and the data gained through routine inspections and audits to target the most impactful areas of railroad safety. FRA's safety inspectors play a vital role in FRA's enforcement program. This year, FRA increased the overall number of inspection days from FY 2024 (see Tables A1 and A2 in Section III) and FRA will continue to prioritize increasing FRA inspection activities in the coming years.

Civil penalties are an important component of FRA's comprehensive safety efforts and a valuable tool to ensure compliance and deter future violations. Civil penalties serve several purposes in the enforcement process.[6] For example, the recommendation of a civil penalty is one direct way in which FRA inspectors communicate to regulated entities the seriousness of a violation. In addition, throughout the country, railroads and shippers correct safety violations daily because they were cited by FRA's inspectors. FRA inspectors recommend the imposition of violations, including civil penalties, for those defects that they determine pose probable and serious risks of harm. FRA's experience shows that remedial action is generally swift with respect to those violations. The civil penalties and other corrective measures FRA imposes in response to violations are a valuable public-facing mechanism for demonstrating accountability in the industry.

FRA has decades of experience enforcing railroad safety regulations and has found that the fair and professional conduct of an agency's regulatory function requires the informed exercise of discretion, beginning with the FRA inspector on the ground. This use of discretion helps ensure that the agency's exercise of enforcement power is calibrated for efficiency and effectiveness,

[6] *See* 49 CFR Part 209, App. A, for further discussion of FRA's enforcement practices, the purposes of civil penalties, and FRA jurisdiction, available at *https://www.ecfr.gov/current/title-49/part-209/appendix-Appendix A to Part 209*.

from the identification of serious safety concerns to the resolution of civil penalty assessment through settlement.[7]

In 2020, FRA significantly reorganized its Office of Railroad Safety. The 2020 reorganization shifted emphasis towards targeting trends and patterns observable in the national operations of each major railroad or system of railroads. FRA continues to rely heavily upon the knowledge and expertise of its field inspectors, who are most familiar with the unique attributes of specific railroad operations, geographic territories, facilities, and safety practices. From FRA's experience, their nuanced perceptions and judgments indicate that issuing civil penalties yields observable improvements in safety practices and compliance with the law.

FRA's enforcement program also includes use of its authority to apply the penalty provisions of the railroad safety statutes to individuals. As with acts of noncompliance by railroads, FRA's field inspectors and subject matter experts exercise discretion in deciding which enforcement method is most effective to ensure individual compliance with safety laws and regulations. FRA has a range of options for addressing instances of individual liability, including an informal warning, a more formal warning letter issued by the Office of the Chief Counsel, recommendation and assessment of a civil penalty, or disqualification or suspension from safety-sensitive service.[8] The purpose of such enforcement against individuals is to deter those who, of their free will, decide to violate the rail safety laws. The purpose is not to penalize individuals who are ordered to commit violations by those above them in the railroad chain of command. In FY 2025, FRA served notices of proposed disqualification or suspension from safety-sensitive functions in the rail industry on six individuals.

For violations assessed against regulated entities, FRA resolution of civil penalty cases through negotiated settlements ensures a measurable financial consequence that reflects the safety risks in each case, beginning with the initial assessment. For example, a single violation of the regulation prohibiting rolling stock from being left unattended too close to an adjacent track (which poses the risk that a train may sideswipe the idle equipment) typically results in an initial penalty assessment of $19,600. By contrast, some violations in other areas trigger a lesser monetary penalty. FRA may pursue multiple counts in a single case if a violation continues over multiple days or there are multiple violations of the same discipline area.

Before any settlement of a recommended violation and any accompanying civil penalty, and to avoid additional violations, railroads and shippers must correct each underlying, non-compliant condition. Generally, FRA maintains a penalty for every legally sustainable violation recommended by FRA's field inspectors, regardless of whether the violation was remedied (correcting the cited problem is a non-negotiable expectation in the enforcement process.). FRA has the authority to exercise prosecutorial discretion in enforcing the Federal railroad safety and hazmat laws, regulations, and orders to pursue enforcement actions that best advance the agency's safety program goals and use of its resources. As an example of this authority, FRA

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[7] FRA commissioned an independent assessment of the role that civil penalties play in enforcing railroad safety laws in 2009. *See* appendix to the FY 2009 Enforcement Report, available at *https://railroads.dot.gov/sites/fra.dot.gov/files/fra_net/282/Annual_Enforcement_Report_2009.pdf*.

[8] *See* 49 CFR Part 209, App. A.

may dismiss cases that ultimately prove to be merely technical in nature, and which do not entail realistic safety concerns, based on information developed by FRA or disclosed through the settlement process.[9]

Settlement negotiations provide a forum for the regulated entity to offer remedial action as mitigation, among other factors. Typically, it may entail improvements in training, processes, recordkeeping, job briefings, or the discipline of railroad management or employees – all as appropriate to the safety concerns that the inspector has highlighted in recommending the initial violation. This approach has sustained the credibility of FRA inspectors and the deterrent value of both inspections and civil penalty assessments for decades.

Civil penalties are most effective when considered as part of a comprehensive program to improve overall railroad safety. For example, FRA has long used focused inspections and audits to deploy inspector resources most efficiently and to target high-risk operations or areas of non-compliance that may become apparent through the enforcement process. FRA believes that its regulatory scheme is most effective when every point of engagement and interaction with regulated entities is leveraged to improve safety. This includes the rulemaking process and constructive engagement with railroads and shippers to maximize compliance through shifts in industry culture and incentives.

In FY 2025, FRA implemented a new, streamlined settlement negotiation process with Class I railroads to strengthen safety and accountability. The process centers on meaningful discussions in the most significant enforcement case areas while continuing to collect critical data to improve railroad safety. Under this framework, Class I railroads may receive a civil penalty when FRA inspectors identify issues such as defective wheels, with potential reductions when repairs are made and discussed with mechanical craft employees to prevent recurrence. Overall, the process reinforces safety as the top priority by driving timely corrections, improved practices, and stronger risk mitigation, and led to FRA collecting a record $15.4 million in civil penalties issued to Class I railroads.

At the same time, FRA understands that small entities in the railroad industry have significantly different characteristics than larger carriers and shippers. FRA believes that these differences necessitate careful consideration to ensure that small entities receive appropriate treatment on compliance and enforcement matters, and such treatment enhances the safety of railroad operations. FRA has discretion in determining which instances of noncompliance by small entities merit penalty recommendations and the amounts for recommended civil monetary penalties.[10] Consistent with this policy, FRA will typically reduce an initial assessed guideline penalty by 50 percent for small entities.

FRA also uses every tool and authority available to respond quickly and decisively to industry failures that have caused serious harm to the public, including the derailment disaster that occurred in East Palestine, Ohio, in February 2023. Among other actions, FRA advanced safety

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[9] *See* 91 Fed. Reg. 22727 (Apr. 28, 2026).

[10] *See* 49 CFR Part 209, App. C; *https://railroads.dot.gov/legislation-regulations/civil-penalties-schedules-guidelines*.

by implementing a focused safety inspection program on routes over which high-hazard flammable trains (HHFT) and other trains carrying large volumes of hazardous material travel[11] and a focused inspection program aimed at older tank cars. The report summarizing FRA's inspections and findings of the HHFT Route Assessment, as well as FRA's analyses of its Legacy Tank Car Focused Inspection Program, was finalized and made available on January 22, 2024.[12] In addition, FRA initiated civil penalty enforcement actions for violations of railroad and hazardous materials transportation safety requirements related to the derailment in East Palestine.[13]

FRA has continued its use of safety advisories to promote railroad safety. Following two roadway worker fatalities in the vicinity of roadway maintenance machines (RMMs), FRA issued Safety Advisory 2024-01 to emphasize the importance of rules and procedures regarding the safety of roadway workers who operate or work near RMMs.[14] FRA also issued Safety Advisory 2025-01 to address the proper configuration of certain switch machines to prevent unintended switch movement.[15]

Safety bulletins are another means to focus attention on the prevention of accidents, injuries, and fatalities. FRA issued three safety bulletins in FY 2025[16] addressing: an on-track collision involving shove movements and equipment fouling a siding, resulting in the death of a conductor;[17] a collision resulting in a serious injury to a conductor due to an improperly lined crossover switch;[18] and an employee injury from ammonium hydroxide vapor caused by improper securement of a tank car manway cover.[19]

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[11] *See https://railroads.dot.gov/railroad-safety/2023-high-hazard-flammable-train-route-assessment-hra*.

[12] *See* High-Hazard Flammable Train Route Assessment & Legacy Tank Car Focused Inspection Program Summary Report | FRA (dot.gov).

[13] As mandated by law, the Enforcement Report includes civil penalty cases settled or demands made via transmission of a case to a railroad before the end of the fiscal year. Cases assessing civil penalties for violations related to the derailment in East Palestine were not closed before September 30, 2025. Therefore, final settlement amounts will not be reflected in this FY 2025 Enforcement Report.

[14] 89 Fed. Reg. 83940 (Oct. 18, 2024).

[15] 90 Fed. Reg. 17502 (Apr. 25, 2025). In addition, FRA issued Safety Advisory 2025-02 (Dec. 12, 2025), available at *https://railroads.dot.gov/elibrary/safety-advisory-2025-02-track-clear-determination-during-shoving-or-pushing-movements*, in calendar year 2025.

[16] In addition, FRA issued Safety Bulletin 2025-04 (Oct. 29, 2025) and Safety Bulletin 2025-05 (Dec. 11, 2025) in calendar year 2025.

[17] Safety Bulletin 2025-01 (June 23, 2025), *available at https://railroads.dot.gov/elibrary/safety-bulletin-2025-01-conductor-switching-fatality*.

[18] Safety Bulletin 2025-02 (Aug. 22, 2025), *available at https://railroads.dot.gov/elibrary/safety-bulletin-2025-02-conductor-switching-injury*.

[19] Safety Bulletin 2025-03 (Sept. 24, 2025), *available at https://railroads.dot.gov/elibrary/safety-bulletin-2025-03-railroad-employee-hazardous-materials-exposure-tank-car*.

In March 2023, FRA comprehensively updated its civil penalty schedules and guidelines to account for years of inflation.[20] While FRA had periodically adjusted some categories of its minimum and maximum civil penalties to account for inflation, this represented the first, comprehensive revision since 1988 to FRA's civil penalties for specific regulatory sections or subsections.[21] FRA took into account Congress' recognition in the Federal Civil Penalties Inflation Adjustment Act Improvements Act of 2015[22] of the negative impact that inflation has on the deterrent effect of FRA's civil penalties.[23] Though the 2015 statute required FRA to adjust only its minimum and maximum civil penalties, FRA determined that adjusting each of its civil penalty schedule and guideline amounts was necessary to enhance and maintain the deterrent effect of its civil penalty program.[24] Adjusting for inflation doubled FRA's civil penalty schedule and guideline amounts. The impact of this increase is observable in this FY 2025 Enforcement Report.

Overall, in FY 2025, FRA secured total final penalty assessments of $21,107,615 on railroad safety and hazardous material cases. While many factors affect the total amount of final civil penalty assessments in a fiscal year, the total for FY 2025 represents approximately a 25 percent increase over the FY 2024 total of $16,872,568.

This FY 2025 Enforcement Report also includes, at section IX, the issuance of Emergency Order No. 34, Emergency Order Establishing Additional Requirements for Ensuring the Safe Operation of Southeastern Pennsylvania Transportation Authority [SEPTA] Passenger Trains.[25] While this order was issued on October 1, 2025, its inclusion in this report reflects that the bulk of FRA's investigative and enforcement efforts supporting issuance of this order to abate the risk of fires and other thermal incidents on SEPTA passenger trains occurred in FY 2025. Given the extraordinary nature of an emergency order, FRA has used its emergency order authority sparingly.[26]

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[20] 88 Fed. Reg. 15116 (Mar. 10, 2023).

[21] *Id.* at 15116-15117.

[22] Pub. L. No. 114-74, Sec. 701 (Nov. 2, 2015).

[23] 88 Fed. Reg. 15116.

[24] *Id.* at 15117-15118.

[25] 90 Fed. Reg. 48120 (Oct. 6, 2025).

[26] See "Extraordinary Remedies" at 49 CFR Part 209, App. A.

III. SUMMARY OF INSPECTIONS AND AUDITS PERFORMED, AND ENFORCEMENT ACTIONS RECOMMENDED IN FY 2025

A. RAILROAD SAFETY AND HAZMAT COMPLIANCE INSPECTIONS AND AUDITS

1. All Railroads and Other Entities (e.g., Hazmat Shippers) Except Individuals

Number of Inspection Reports:[27]	70,646
Defects:[28]	269,141
Units:[29]	2,734,278
Number of Observations:[30]	313,035
Number of Reports with Recommended Violation Defects:[31]	3,431
Number of Recommended Violation Defects:	6,761
Number of Inspection Days:[32]	55,432

2. Railroads Only

Number of Inspection Reports:	61,705
Defects:	249,423
Units:	2,649,178
Number of Observations:	288,164
Number of Reports with Recommended Violation Defects:	2,814
Number of Recommended Violation Defects:	5,771
Number of Inspection Days:	51,121

[27] An inspector uses the Form FRA F 6180.96 report to record his/her inspection related activities. All inspection reports include the entity inspected, location of the inspection, defects or exceptions found, FRA activity codes, and the date of the inspection. An inspector can write one or more inspection reports per day depending on the circumstances. The inspection report is provided to the railroad, hazmat shipper, contractor, or other entity following inspection.

[28] Defects refer to an inspector's observations of actions or conditions that the inspector determines to be non-compliant with Federal laws, regulations, or FRA orders. A defect can be considered a warning for an instance of non-compliance. Before each routine inspection, FRA inspectors review previous inspection reports at the same location and pay special attention to items that were previously classified as defects.

[29] A unit represents an item inspected. That item could be, for example, a railroad car, a locomotive, a mile of track walked, a turnout, or a signal system.

[30] An observation represents an inspection performed or a particular activity completed during an inspection. The inspector may record up to 11 distinct activities on each inspection report. The number of observations is not the same as the number of defects because an inspector may record an observation of an activity that is in compliance with applicable laws and regulations.

[31] Recommended violation defects refer to those defects for which an inspector has determined that the best method of prompting compliance is to recommend the assessment of a civil penalty. Violation reports are prepared separately from inspection reports.

[32] An inspection day is a measure of the number of days spent inspecting. An inspector is credited for one inspection day when one or more unique inspection reports have been written on a single day. Software is used to generate these counts and also to prevent double counting when multiple reports are written in one day.

B. SUMMARY OF RAILROAD SAFETY VIOLATIONS CITED BY INSPECTORS, BY REGULATORY OVERSIGHT DISCIPLINE OR SUBDISCIPLINE

1. Accident/Incident Reporting

Violation Type	Number of Recommended Violations
Accident Reporting	61

2. Grade Crossing Signal System Safety

Violation Type	Number of Recommended Violations
Grade Crossing Signal Safety	218

3. Hazardous Materials

Violation Type	Number of Recommended Violations
Hazardous Materials	1,300

4. Industrial Hygiene

Violation Type	Number of Recommended Violations
Occupational Noise Exposure	0

5. Motive Power and Equipment

Violation Type	Number of Recommended Violations
Freight Car Safety Standards	594
Locomotive Safety Standards	350
Passenger Equipment Safety Standards	39
Passenger Train Emergency Preparedness	0
Rear End Marking Devices	0
Safety Appliance Statutes and Regulations	1,942
Safety Glazing Standards	1
Steam Locomotive Inspection and Maintenance	1
All	2,927

6. Railroad Operating Practices

Violation Type	Number of Recommended Violations
Alcohol and Drug Use	175
Conductor Qualifications	33

Critical Incident Stress Plans	0
Engineer Qualifications	17
FRA Emergency Order(s)	1
Hours of Service Laws and Regulations	592
Hours of Service Recordkeeping	42
Railroad Communications	169
Railroad Operating Practices	594
Railroad Operating Rules	57
Railroad Safety Enforcement Procedures	24
Train Horn/Quiet Zone	5
Total	1,709

7. Signal and Train Control

Violation Type	Number of Recommended Violations
Signal Inspection Regulations	77

8. Track

Violation Type	Number of Recommended Violations
Bridge Safety Standards	46
Bridge Worker Safety Standards	0
Roadway Worker Protection	92
Track Safety Standards	384
All	522

9. Safety Management Systems

Violation Type	Number of Recommended Violations
Risk Reduction Program	0
System Safety Program	0
Training, Qualification, and Oversight for Safety-Related Railroad Employees	7
All	0

C. FRA AND STATE INSPECTIONS OF RAILROADS, SORTED BY RAILROAD TYPE

1. Class I Railroads

Number of Inspection Reports:	44,032
Defects:	189,299
Units:	2,108,439
Number of Observations:	212,014

Number of Reports with Recommended Violation Defects:	2,295
Number of Recommended Violation Defects:	4,876
Number of Inspection Days:[33]	37,306

2. Probable Class II Railroads[34]

Number of Inspection Reports:	1,217
Defects:	4,472
Units:	51,397
Number of Observations:	5,863
Number of Reports with Recommended Violation Defects:	49
Number of Recommended Violation Defects:	63
Number of Inspection Days:	1,149

3. Probable Class III Railroads

Number of Inspection Reports:	16,456
Defects:	55,652
Units:	489,342
Number of Observations:	70,287
Number of Reports with Recommended Violation Defects:	470
Number of Recommended Violation Defects:	832
Number of Inspection Days:	14,322

[33] The total number of inspection days for Class I railroads in II.C.1 of this report is less than the sum of all the individual Class I railroads' inspection days cited in II.D.1-9 of this Enforcement Report because FRA inspectors may visit more than one Class I railroad in a day. The same is true for the total number of inspection days for railroads FRA believes are Class II and Class III railroads.

[34] FRA has identified six of the seven Class I railroads in FY 2025 based on information they filed with the Surface Transportation Board (STB) for calendar year 2024—the latest full year available—regarding their annual operating revenues. See STB Web site (*http://www.stb.dot.gov*) under *https://prod.stb.gov/reports-data/economic-data/annual-report-financial-data/*, which provides a list of railroads that have filed such information by year. STB requires such filings only from Class I railroads. *See* 49 CFR § 1241.11 and Ex Parte No. 393 (Sub-No. 2), decided Oct. 28, 1988, 1988 WL 224990 (I.C.C.). Therefore, FRA identified the seventh Class I railroad, Amtrak, based on FRA research of other data. Generally, Class II and III railroads are not required to report their annual operating revenues to STB. As a result, FRA identified railroads that are likely Class II and Class III railroads based on its research of railroad revenues, which does not cover commuter railroads. FRA concludes that the following railroads are likely Class II railroads: Alaska Railroad Corp.; Buffalo & Pittsburgh Railroad, Inc.; Florida East Coast Railway Co.; Indiana Rail Road Co.; Iowa Interstate Railroad, Ltd.; Montana Rail Link; Paducah & Louisville Railway Co.; Rapid City, Pierre & Eastern Railroad, Inc.; Wheeling & Lake Erie Railway Co.; and Wisconsin & Southern Railroad Co. FRA also considers the railroads formerly held by Pan Am Railways, Inc., now a subsidiary of CSX Transportation, Inc., to be Class II railroads for purposes of this report, specifically, Boston & Maine Corp., Maine Central Railroad Co., Pan Am Southern LLC, Portland Terminal Co., and Springfield Terminal Railway Co. Note that switching and terminal railroads are, by definition, Class III railroads, without regard to their annual operating revenues. 49 CFR § 1201.1-1(d).

D. INSPECTIONS AND RECOMMENDED ENFORCEMENT ACTIONS, SORTED BY CLASS I RAILROAD

1. BNSF Railway Company

Number of Inspection Reports:	9,992
Defects:	42,141
Units:	504,172
Number of Observations:	46,425
Number of Reports with Recommended Violation Defects:	445
Number of Recommended Violation Defects:	854
Number of Inspection Days:	8,763

2. Canadian National Railway/Grand Trunk Corporation

Number of Inspection Reports:	2,067
Defects:	11,542
Units:	114,543
Number of Observations:	10,526
Number of Reports with Recommended Violation Defects:	110
Number of Recommended Violation Defects:	165
Number of Inspection Days:	1,823

3. Canadian Pacific Kansas City Limited[35]

Number of Inspection Reports:	2,565
Defects:	12,805
Units:	134,512
Number of Observations:	11,763
Number of Reports with Recommended Violation Defects:	117
Number of Recommended Violation Defects:	315
Number of Inspection Days:	2,232

4. CSX Transportation, Inc.

Number of Inspection Reports	8,169
Defects	35,359
Units	441,738
Number of Observations	41,578
Number of Reports with Recommended Violation Defects	346

[35] Canadian Pacific Kansas City Limited formed on April 14, 2023, from the merger of Canadian Pacific Railway and Kansas City Southern Railway. This table summarizes inspection data from the merged railway.

Number of Recommended Violation Defects	518
Number of Inspection Days	7,163

5. **National Railroad Passenger Corporation (Amtrak)**

Number of Inspection Reports	1,286
Defects	1,614
Units	16,421
Number of Observations	6,183
Number of Reports with Recommended Violation Defects	33
Number of Recommended Violation Defects	68
Number of Inspection Days	1,006

6. **Norfolk Southern Railway Company**

Number of Inspection Reports	7,248
Defects	33,851
Units	358,155
Number of Observations	37,566
Number of Reports with Recommended Violation Defects	365
Number of Recommended Violation Defects	647
Number of Inspection Days	6,479

7. **Union Pacific Railroad Company**

Number of Inspection Reports	12,705
Defects	51,987
Units	538,898
Number of Observations	57,973
Number of Reports with Recommended Violation Defects	879
Number of Recommended Violation Defects	2,309
Number of Inspection Days	11,147

IV. SUMMARIES OF CIVIL PENALTY INITIAL ASSESSMENTS, SETTLEMENTS, AND FINAL ASSESSMENTS IN FY 2025[36]

A. IN GENERAL

Summary 1 and Summary 2

Summary 1, section IV.B, provides a broad overview of penalties FRA initially assessed during FY 2025, the initial penalty assessment[37] for cases closed during FY 2025 and the amount of the settlement or the final assessment of civil penalty.[38] Following that summary in aggregate for all regulated entities, section IV.C shows the number of civil penalty cases and violations assessed against entity subcategories (e.g., Class I railroads), along with the monetary value in each subcategory.

Summary 2, section IV.D, shows initial assessment information only for those cases closed during FY 2025, even though the initial assessments may have occurred in a prior fiscal year. Following that summary in aggregate for all regulated entities, section IV.E shows final assessment and settlement amounts for all cases (regardless of when the case was first initiated), broken down by entity subcategory.

[36] The totals in this section exclude civil penalty cases against individuals. Those are addressed in section V.

[37] Initial penalty assessment refers to the total amount of all penalties listed on demand letters issued to the entity or class of entities for violations of Federal laws and FRA regulations and orders during the fiscal year. This is the same as the total potential collectible amount ("POCA") for those cases over the same period.

[38] FRA transitioned to a paperless enforcement system in 2020. This paperless system requires each case to be comprised of a single violation report. The prior system permitted cases to include more than one violation report in each case. As a result, comparing the number of cases issued or closed across fiscal years may be misleading. Instead, FRA suggests reviewing the number of distinct violations issued or closed will present a more meaningful comparison.

SUMMARY 1 NOTE

Summary 1, below, provides the following:

- The number of violations for which FRA assessed a civil penalty in FY 2025 (through demand letters or, in hazmat cases, notices of probable violation);
- The number of violation reports that FRA declined to enforce in FY 2025 after legal review;
- The initial amount of civil penalties assessed in FY 2025 (the amount of the civil penalty specified in FRA's demand letter or, for hazmat cases, a notice of probable violation that was transmitted to a respondent (i.e., railroad, hazmat shipper, contractor, or individual that received the penalty assessment)) regardless of whether FRA closed the cases during FY 2025;
- The civil penalties FRA initially assessed (the "potential collectible amount" or "POCA" listed in in Appendix A) in all cases FRA settled or otherwise closed during FY 2025; and
- The total amount of civil penalties assessed or settled during FY 2025.[39]

B. SUMMARY 1—BRIEF SUMMARY, WITH FOCUS ON INITIAL ASSESSMENTS TRANSMITTED

Total number of cases with civil penalties initially assessed in FY 2025	4,005
Total number of violations with civil penalties initially assessed in FY 2025	4,977
Total number of violation reports declined during legal review in FY 2025	75
Total amount of civil penalties initially assessed (POCA) for violations in cases transmitted in FY 2025	$34,713,286
Total amount of civil penalties initially assessed (POCA) for violations in cases finally assessed or settled in FY 2025	$30,571,759
Total final civil penalty assessment or settlement in FY 2025	$21,107,615

C. BREAKDOWN OF INITIAL ASSESSMENTS IN SUMMARY 1

1. For Each Class I Railroad Individually in FY 2025

AMTRAK

Number of cases with civil penalties initially assessed	33
Number of violations with civil penalties initially assessed	36
Number of violation reports declined during legal review	0
Initial amount of civil penalty assessed	$233,300

[39] In this report, FRA rounded settlement amounts to the nearest whole dollar.

BNSF RAILWAY COMPANY

Number of cases with civil penalties initially assessed	563
Number of violations with civil penalties initially assessed	778
Number of violation reports declined during legal review	6
Initial amount of civil penalty assessed	$4,773,800

CANADIAN NATIONAL RAILWAY/GRAND TRUNK CORPORATION

Number of cases with civil penalties initially assessed	138
Number of violations with civil penalties initially assessed	154
Number of violation reports declined during legal review	0
Initial amount of civil penalty assessed	$1,049,300

CANADIAN PACIFIC KANSAS CITY LIMITED

Number of cases with civil penalties initially assessed	139
Number of violations with civil penalties initially assessed	158
Number of violation reports declined during legal review	4
Initial amount of civil penalty assessed	$1,263,216

CSX TRANSPORTATION, INC.

Number of cases with civil penalties initially assessed	378
Number of violations with civil penalties initially assessed	424
Number of violation reports declined during legal review	0
Initial amount of civil penalty assessed	$3,531,916

NORFOLK SOUTHERN RAILWAY COMPANY

Number of cases with civil penalties initially assessed	527
Number of violations with civil penalties initially assessed	568
Number of violation reports declined during legal review	5
Initial amount of civil penalty assessed	$5,049,701

UNION PACIFIC RAILROAD COMPANY

Number of cases with civil penalties initially assessed	921
Number of violations with civil penalties initially assessed	1,219
Number of violation reports declined during legal review	36
Initial amount of civil penalty assessed	$8,513,915

2. For Probable Class II Railroads in the Aggregate in FY 2025

Number of cases with civil penalties initially assessed	81
Number of violations with civil penalties initially assessed	92
Number of violation reports declined during legal review	1
Initial amount of civil penalty assessed	$947,900

3. For Probable Class III Railroads in the Aggregate in FY 2025[40]

Number of cases with civil penalties initially assessed	576
Number of violations with civil penalties initially assessed	704
Number of violation reports declined during legal review	7
Initial amount of civil penalty assessed	$4,991,294

4. For Hazmat Shippers in the Aggregate in FY 2025

Number of cases with civil penalties initially assessed	565
Number of violations with civil penalties initially assessed	735
Number of violation reports declined during legal review	15
Initial amount of civil penalty assessed	$3,803,300

5. For Contractors in the Aggregate in FY 2025

Number of cases with civil penalties initially assessed	30
Number of violations with civil penalties initially assessed	42

[40] This category may be over-inclusive as STB jurisdiction may not extend to some of the commuter railroads that FRA has listed as Class III railroads. Regardless, the "Total final civil penalty assessment or settlement in FY 2025" amount remains correct as FRA includes data from enforcement actions against regulated entities that are otherwise not subject to STB jurisdiction.

Number of violation reports declined during legal review	1
Initial amount of civil penalty assessed	$258,150

6. For Other Cases in the Aggregate in FY 2025[41]

Number of cases with civil penalties initially assessed	54
Number of violations with civil penalties initially assessed	67
Number of violation reports declined during legal review	0
Initial amount of civil penalty assessed	$297,494

[41] The category of "other cases" includes all hazmat civil penalty cases against respondents that are coded in FRA's railroad compliance system as contractors rather than shippers. Some entities have been classified as contractors based on criteria unrelated to the shipment of hazmat but perform functions under hazmat regulations that are associated with shippers.

SUMMARY 2 NOTE

Summary 2, below, shows initial assessment information only for those cases closed during FY 2025. **All numbers in Summary 2 reflect the initial assessments that resulted in FY 2025 settlements or final assessments even though the initial assessments may have occurred in a prior fiscal year.** This summary shows (1) the difference between the initial amount of civil penalties assessed and the settlement or final assessment amount, and (2) the difference between the revised assessment amount (or what Appendix A describes as the "provable collectible amount" or "PRCA") and the final assessment or settlement amount. The revised assessment amount is the amount FRA calculated it could legally collect after evaluating the facts of the violation.

D. SUMMARY 2—DETAILED SUMMARY OF SETTLEMENTS AND FINAL ASSESSMENTS OF CIVIL PENALTIES IN FY 2025

Number of cases closed	3,909
Number of violations in cases closed	5,124
Initial amount of civil penalty assessed for cases closed (POCA)	$30,566,759
Final amount of civil penalty assessed or settlement for cases closed	$21,107,615
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$1,899,884
Amount of revised assessment after terminations (PRCA)	$28,666,875
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$9,448,844
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$7,559,260

E. BREAKDOWN OF SETTLEMENTS AND FINAL ASSESSMENTS IN SUMMARY 2

1. For Each Class I Railroad Individually in FY 2025

AMTRAK

Number of cases closed	27
Number of violations in cases closed	32
Initial amount of civil penalty assessed for cases closed (POCA)	$258,100

Final amount of civil penalty assessed or settlement for cases closed	$142,400
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$67,700
Amount of revised assessment after terminations (PRCA)	$190,400
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$115,700
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$48,000

BNSF RAILWAY COMPANY

Number of cases closed	585
Number of violations in cases closed	766
Initial amount of civil penalty assessed for cases closed (POCA)	$4,338,500
Final amount of civil penalty assessed or settlement for cases closed	$3,216,880[42]
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$31,100
Amount of revised assessment after terminations (PRCA)	$4,307,400
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$1,116,545
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	1,085,445

CANADIAN NATIONAL RAILWAY/GRAND TRUNK CORPORATION

Number of cases closed	104
Number of violations in cases closed	118
Initial amount of civil penalty assessed for cases closed (POCA)	$696,100
Final amount of civil penalty assessed or settlement for cases closed	$504,060
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$2,000

[42] Includes LAJ 2024-001(SA) and LAJ 2024-002(SA).

Amount of revised assessment after terminations (PRCA)	$694,100
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$192,040
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$190,040

CANADIAN PACIFIC KANSAS CITY LIMITED

Number of cases closed	85
Number of violations in cases closed	100
Initial amount of civil penalty assessed for cases closed (POCA)	$724,900
Final amount of civil penalty assessed or settlement for cases closed	$495,650
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$89,600
Amount of revised assessment after terminations (PRCA)	$635,300
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$229,250
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$139,650

CSX TRANSPORTATION, INC.

Number of cases closed	401
Number of violations in cases closed	460
Initial amount of civil penalty assessed for cases closed (POCA)	$3,464,100
Final amount of civil penalty assessed or settlement for cases closed	$2,498,180
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$42,700
Amount of revised assessment after terminations (PRCA)	$3,421,400
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$965,920
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$923,220

NORFOLK SOUTHERN RAILWAY COMPANY

Number of cases closed	517
Number of violations in cases closed	569
Initial amount of civil penalty assessed for cases closed (POCA)	$4,341,812
Final amount of civil penalty assessed or settlement for cases closed	$3,148,559
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$60,900
Amount of revised assessment after terminations (PRCA)	$4,280,912
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$1,193,253
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$1,132,353

UNION PACIFIC RAILROAD COMPANY

Number of cases closed	960
Number of violations in cases closed	1,162
Initial amount of civil penalty assessed for cases closed (POCA)	$7,751,696
Final amount of civil penalty assessed or settlement for cases closed	$5,445,664
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$338,900
Amount of revised assessment after terminations (PRCA)	$7,412,796
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$2,306,032
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$1,967,132

2. For Probable Class II Railroads in the Aggregate in FY 2025

Number of cases closed	75
Number of violations in cases closed	88
Initial amount of civil penalty assessed for cases closed (POCA)	$818,100
Final amount of civil penalty assessed or settlement for cases closed	$556,739
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$5,000
Amount of revised assessment after terminations (PRCA)	$813,100
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$261,361
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$256,361

3. For Probable Class III Railroads in the Aggregate in FY 2025

Number of cases closed	472
Number of violations in cases closed	579
Initial amount of civil penalty assessed for cases closed (POCA)	$3,809,950
Final amount of civil penalty assessed or settlement for cases closed	$2,272,220
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$432,300
Amount of revised assessment after terminations (PRCA)	$3,377,650
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$1,537,730
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$1,105,430

4. For Hazmat Shippers in the Aggregate in FY 2025

Number of cases closed	604
Number of violations in cases closed	780

Initial amount of civil penalty assessed for cases closed (POCA)	$3,736,200
Final amount of civil penalty assessed or settlement for cases closed	$2,518,733
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$575,000
Amount of revised assessment after terminations (PRCA)	$3,161,200
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$1,217,467
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$642,467

5. For Contractors in the Aggregate in FY 2025

Number of cases closed	26
Number of violations in cases closed	32
Initial amount of civil penalty assessed for cases closed (POCA)	$203,101
Final amount of civil penalty assessed or settlement for cases closed	$127,430
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$20,300
Amount of revised assessment after terminations (PRCA)	$182,801
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$75,671
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$55,371

6. For Other Cases in the Aggregate in FY 2025[43]

Number of cases closed	54
Number of violations in cases closed	440

[43] The category of "other cases" includes all hazmat civil penalty cases against respondents that are coded in FRA's railroad compliance system as contractors rather than shippers. Some entities have been classified as contractors based on criteria unrelated to the shipment of hazmat but perform functions under hazmat regulations that are associated with shippers.

Initial amount of civil penalty assessed for cases closed (POCA)	$444,500
Final amount of civil penalty assessed or settlement for cases closed	$196,325
Amount terminated (generally due to legal defenses presented during settlement negotiations)	$196,000
Amount of revised assessment after terminations (PRCA)	$248,500
Difference between initial civil penalty assessment (POCA) and final assessment or settlement amount for cases closed	$248,175
Difference between revised assessment (PRCA) and final assessment or settlement amount for cases closed	$52,175

V. ENFORCEMENT ACTIONS AGAINST INDIVIDUALS IN FY 2025

A. CIVIL PENALTY CASES AGAINST INDIVIDUALS IN THE AGGREGATE

Total number of civil penalty cases initially assessed in FY 2025	0
Total number of violations with civil penalties initially assessed in FY 2025	0
Total initial amount of civil penalty assessed in FY 2025	0
Number of civil penalty cases closed in FY 2025	0
Total number of violations in cases closed in FY 2025	0
Total initial amount of civil penalty assessed for cases closed in FY 2025	0
Total final amount of civil penalty assessed (or settlement) for cases closed in FY 2025	0
Amount terminated (generally due to legal defenses presented during settlement negotiations) for cases closed in FY 2025	0
Amount of revised assessment (PRCA) after terminations	0
Difference between revised civil penalty assessment (PRCA) and final civil penalty assessment for cases closed in FY 2025	0
Difference between initial amount of civil penalty assessed (POCA) and final settlement amount for a violation in a case closed in FY 2025	0

B. OTHER ENFORCEMENT ACTIONS AGAINST INDIVIDUALS IN THE AGGREGATE

Number of notices of proposed disqualification issued and served in FY 2025	6
Number of proposed disqualification cases closed in FY 2025	2
Number of warning letters issued by Office of the Chief Counsel in FY 2025	0

VI. SUMMARY AND ANALYSIS OF OPERATING CREW CERTIFICATION CASES[44]

A. OPERATING CREW REVIEW BOARD (OCRB)

Total petitions for relief filed with the OCRB in FY 2025 • Conductor petitions • Engineer petitions	19 5 14
Decisions issued by the OCRB in FY 2025 • Conductor decisions • Engineer decisions • NOTES: 3 conductor petitions were withdrawn before a decision was issued	20 10 10
Average length of time for decision in FY 2025 from the date petition filed[45]	349 Days
Average length of time for decision in FY 2025 from the date of the railroad's response to the petition to the date that a decision was issued[46]	257 Days

[44] Prior to January 14, 2021, FRA's Locomotive Engineer Review Board (LERB) reviewed petitions for initial review of railroad decisions denying or revoking locomotive engineer certifications (49 CFR Part 240), while the Operating Crew Review Board (OCRB) reviewed petitions for initial review of railroad decisions denying or revoking conductor certifications (49 CFR Part 242). Because both Boards share the same board members, FRA merged the two review boards. Both types of railroad crew members now have their petitions reviewed solely by the OCRB. *See* 85 Fed. Reg. 81290 (Dec. 15, 2020).

[45] The OCRB endeavors to render a decision on each petition within 180 days from the date that the railroad's response is received or from the date upon which the railroad's response period has lapsed, which equates to 240 days from the date the petition is filed. This excludes any delay issuing an interim order may cause, where one or more of the parties initially provided incomplete information.

[46] *See* preceding footnote.

B. ADMINISTRATIVE HEARINGS

Number of pending cases before the Administrative Hearing Officer (AHO) as of October 1, 2024	0
Number of requests for review by the AHO received during FY 2025	0
Number of cases closed by the AHO during FY 2025[47]	0
Number of pending AHO cases as of October 1, 2025	0
Average length of time for decision or other disposition	NA

C. APPEALS TO THE ADMINISTRATOR

FY 2025 appeals to the Administrator from AHO decisions	0
Number of appeals pending during FY 2025 • NOTE: Does not include case(s) in which a decision was issued by the Administrator during FY 2025	2
Decisions issued by the Administrator during FY 2025	1
Average length of time for decision issued in FY 2025 (from close of record to decision)	2 years 5 months

VII. SUMMARY AND ANALYSIS OF ADMINISTRATIVE HEARING CASES RELATED TO HAZMAT VIOLATIONS OR ENFORCEMENTACTIONS AGAINST INDIVIDUALS

Number of hearings requested in FY 2025	1
Number of hearing-request cases completed in FY 2025	1

VIII. NUMBER OF CASES REFERRED TO THE ATTORNEY GENERAL FOR CIVIL OR CRIMINAL ENFORCEMENT

Number of cases referred to the Attorney General for civil enforcement in FY 2025	0
Number of cases referred to the Attorney General for criminal enforcement in FY 2025	0

[47] The number of cases the AHO closed includes cases closed by decision, stipulation, or dismissal.

IX. NUMBER AND SUBJECT MATTER OF COMPLIANCE ORDERS, EMERGENCY ORDERS, OR PRECURSOR AGREEMENTS

FRA issued Emergency Order No. 34, Emergency Order Establishing Additional Requirements for Ensuring the Safe Operation of Southeastern Pennsylvania Transportation Authority [SEPTA] Passenger Trains (EO 34) on October 1, 2025.[48] FRA's inclusion of EO 34 in this report reflects the extent of FRA's investigative and enforcement efforts that occurred in FY 2025 supporting issuance of this order to compel SEPTA to take action addressing the recurrence of serious fires and other thermal events on SEPTA's Silverliner IV passenger trains.

FRA did not issue any compliance orders or precursor agreements in FY 2025.

X. ERRATA

FRA takes care to ensure the accuracy and completeness of all data in each annual enforcement report. Information in each of FRA's annual enforcement reports, such as settlement amounts, is based on information that FRA had at the time that the agency issued the report. If FRA receives more accurate or corrected information after publishing the report, FRA updates the enforcement data in its enforcement database and records. Therefore, reports FRA previously issued may not match the current data in FRA's recordkeeping system. If any such errors or discrepancies are identified, FRA will include them in the next annual enforcement report. FRA has not identified any errors in the FY 2024 report.

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[48] 90 Fed. Reg. 48120.

APPENDIX A
"Railroad Safety Civil Penalty Cases Closed Fiscal Year 2025"

Federal Railroad Administration
OFFICE OF THE CHIEF COUNSEL
Office of Safety Law

RAILROAD SAFETY CIVIL PENALTY CASES CLOSED DURING FISCAL YEAR 2025

This report summarizes the disposition of all civil penalty cases for which the Federal Railroad Administration (FRA) reached an agreement to collect a civil penalty, issued an order requiring the payment of a civil penalty, or otherwise closed under the Federal railroad safety statutes and regulations and the hazardous materials transportation laws (HMT) during fiscal year 2025. FRA closed cases under most of these statutes by settlement, and under the HMT generally by final orders of assessment. Total penalty settlements and final assessments against companies, summarized in this report, were as follows:

Railroad safety statutes (except HMT)	$17,920,519
HMT	$3,187,096
Total Civil Penalties	$21,107,615

FRA collected no civil penalty settlements or final assessments from individuals for alleged violations of the railroad safety laws.

The Federal Railroad Safety Statutes and Regulations

In the 1890s, Congress began regulating the railroad industry for safety purposes by enacting narrowly drawn laws to deal with discrete rail safety issues such as safety appliances and locomotive inspection. Having determined the need for more comprehensive regulation, Congress enacted the Federal Railroad Safety Act of 1970 (FRSA), which granted rulemaking authority over "all areas of railroad safety...." FRA has exercised this authority by issuing a wide variety of rail safety regulations. See Parts 209 through 246, 270 through 272, and 299 of Title 49 of the Code of Federal Regulations. Civil penalties are an important means of enforcing those regulations and the safety statutes.

In 1975, the Hazardous Materials Transportation Act (HMTA) added civil penalties to the criminal penalties already available for hazardous materials violations. HMTA regulations, although issued by the Department of Transportation's (DOT) Pipeline and Hazardous Materials Safety Administration, are generally enforced by the DOT administration responsible for each mode of transportation, e.g., by FRA in cases involving the transportation or shipment of hazardous materials by rail. In 1994, Congress repealed the FRSA, HMTA, and other Federal railroad safety statutes and recodified them in Title 49 of the United States Code, Chapters 51 and 201-213.

The Civil Penalty Process

To promote safety compliance, FRA inspectors and participating States submit reports alleging violations of Federal safety and HMT laws and regulations to the Office of Safety Law of the Office of the Chief Counsel. This Office reviews the reports for legal sufficiency, aggregates those of one type containing one or more alleged violations into a single case, generally assesses a penalty for each alleged violation, and transmits the case to the railroad, shipper, individual, or other entity in a penalty demand letter or, if an HMT case, a Notice of Probable Violation. After a respondent in such a case has conducted its own investigation, and unless the respondent pays the full amount of the initial assessment, negotiations are held, during which the respondent presents defenses or arguments for mitigation and offers of settlement or final assessment are exchanged. In these negotiations, FRA focuses on applying the statutory assessment criteria to the facts of each case. When an agreement is reached, payment is generally due within 30 days of its execution. A more detailed statement of this process and FRA's enforcement policies are included in 49 CFR Part 209, Appendix A. Where settlement cannot be reached, FRA may refer cases to the Department of Justice for litigation. The Attorney General, with FRA's active participation, may bring suit to enforce the penalty assessed in the appropriate Federal court.

HMT cases are normally concluded by FRA issuing an order of assessment predicated on a finding of a knowing violation. If an HMT case is not resolved informally, a respondent may request a formal hearing on FRA's allegations before a hearing officer designated by the Chief Counsel and then appeal an adverse decision to the Administrator of FRA before judicial litigation.

FRA ordinarily closes the vast majority of its civil penalty cases without need for litigation, consistent with the rail safety statutory scheme that promotes compromise based on specified settlement criteria. The negotiation process takes into account the strengths and weaknesses of each case and the overall goals of the safety program. We believe that collection of these penalties, while just one of many compliance tools FRA uses, contributes significantly to improving regulatory compliance and achieving safety program goals.

Veronica Chittim
Assistant Chief Counsel for Safety

Abbreviations and Explanations of Terms Used in this Report

The type of violation alleged in each civil penalty case can be identified using the following codes, one of which appears as a suffix to each case number:

AD	Alcohol and Drug Use
AR	Accident Reports Regulations
BSS	Bridge Safety Standards
BW	Bridge Worker Safety Standards
CC	Conductor Certification Regulations
CIS	Critical Incident Stress
EP	Railroad Safety Enforcement
EQ	Engineer Qualifications
FCS	Freight Car Safety Standards
GC	Grade Crossing Signal Safety
GS	Safety Glazing Standards
HMT	Hazardous Materials Regulations
HS	Hours of Service Laws
HSR	Hours of Service Recordkeeping
LI	Locomotive Safety Standards
PEP	Passenger Train Emergency Preparedness
PEQ	Passenger Equipment Safety Standards
RMM	Roadway Maintenance Machines
ROP	Railroad Operating Practices
ROR	Railroad Operating Rules
RSP	Railroad Communications Regulations
RW	Roadway Worker Protection
SA	Safety Appliance and Brake Standards and Regulations
SI	Signal Inspection Regulations, Positive Train Control
TH	Train Horn/Quiet Zone
TS	Track Safety Standards

FRA identifies railroads and other respondents by a respondent code (see the legend provided at the end of this report), which appears as the letters in the case number after “FRA No.” FRA generally identifies hazardous materials and emergency order cases involving shippers by a respondent code beginning with the letter “Z”. FRA generally identifies cases involving contractors by a respondent code beginning with the letter “X”.

The column labeled “POCA” shows the initial penalty assessed in the case. The column labeled “PRCA” shows the total amount of the initial assessment for alleged violations that FRA concluded it would be able to sustain if the case were litigated. There are two situations when PRCA does not equal to POCA. The first would be if FRA concluded one or more of the violations initially alleged could likely not be sustained. FRA considers such violations “terminated.” The second would be if FRA decided the violation could be sustained but the initial penalty assessment for the violation was incorrect. For such cases, FRA corrects the penalty amount and the violation is considered “partially terminated.”

Cases against individuals, if any, are identified by the prefix “Indpen” and are listed separately.

The “Comments” column shows the violation number of terminated or partially terminated violations.

FRA Civil Penalty Cases against Individuals
Closed in Fiscal Year 2025

FRA No.	Initial Penalty	Settlement Amount	Settlement Date
None			

Federal Railroad Administration
Safety Cases Closed in FY 2025

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ADCX 2024-001(TS)	1	$10,000	$10,000	$4,100	3/26/2025	
AGR 2024-004(SA)	2	$10,400	$10,400	$8,400	9/26/2025	
AGR 2024-005(SA)	2	$10,400	$10,400	$8,400	9/26/2025	
AGR 2024-006(SA)	1	$5,200	$5,200	$4,250	9/26/2025	
AGR 2024-007(SA)	1	$5,200	$5,200	$4,250	9/26/2025	
AGR 2024-008(SA)	1	$5,200	$5,200	$4,250	9/26/2025	
AGR 2024-009(LI)	1	$5,200	$5,200	$4,250	9/26/2025	
AGR 2024-010(SA)	1	$5,200	$5,200	$4,250	9/26/2025	
AGR 2025-001(ROP)	1	$15,500	$15,500	$11,625	9/26/2025	
AR 2024-001(ROP)	1	$15,500	$15,500	$8,525	4/2/2025	
ARR 2024-001(ROP)	1	$15,500	$15,500	$10,650	1/17/2025	
ARR 2024-002(ROP)	1	$15,500	$15,500	$10,650	1/17/2025	
ARR 2024-003(HSR)	1	$2,100	$2,100	$1,052	3/31/2025	
ARR 2024-004(TS)	1	$10,300	$10,300	$6,180	3/31/2025	
ARR 2024-005(TS)	1	$10,300	$10,300	$6,180	3/31/2025	
ARZC 2024-001(TS)	3	$30,900	$30,900	$23,175	9/26/2025	
ARZC 2024-002(TS)	2	$20,600	$20,600	$15,450	9/26/2025	
ATK 2024-004(PEQ)	1	$10,300	$5,000	$3,750	9/24/2025	Partially Terminated Violation(s): No. 1
ATK 2024-005(AR)	1	$5,200	$5,200	$3,900	9/24/2025	
ATK 2024-006(AR)	1	$5,200	$5,200	$3,900	9/24/2025	
ATK 2024-007(AR)	1	$5,200	$5,200	$3,900	9/24/2025	
ATK 2024-008(HSR)	4	$20,800	$10,400	$7,800	9/24/2025	Terminated Violation(s): Nos. 3-4
ATK 2024-009(AR)	1	$5,000	$5,000	$4,350	9/24/2025	
ATK 2024-010(AR)	1	$5,200	$5,200	$4,500	9/24/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ATK 2024-011(TS)	1	$10,300	$5,000	$3,750	9/24/2025	Partially Terminated Violation(s): No. 1
ATK 2024-012(ROR)	1	$19,600	$9,500	$6,100	9/24/2025	Partially Terminated Violation(s): No. 1
ATK 2024-013(ROR)	1	$19,600	$9,500	$6,100	9/24/2025	Partially Terminated Violation(s): No. 1
ATK 2024-014(ROR)	1	$19,600	$9,500	$6,100	9/24/2025	Partially Terminated Violation(s): No. 1
ATK 2024-015(LI)	1	$5,000	$5,000	$3,750	9/24/2025	
ATK 2024-016(LI)	1	$5,000	$5,000	$3,750	9/24/2025	
ATK 2024-017(GC)	1	$10,300	$10,300	$7,725	9/24/2025	
ATK 2024-018(HSR)	1	$5,200	$5,200	$3,900	9/24/2025	
ATK 2024-019(TS)	1	$2,000	$2,000	$1,500	9/24/2025	
ATK 2024-020(RW)	2	$10,300	$10,300	$8,950	9/24/2025	
ATK 2024-021(RW)	1	$10,300	$10,300	$8,950	9/24/2025	
ATK 2024-022(RW)	1	$4,100	$4,100	$3,600	9/24/2025	
ATK 2024-023(HSR)	2	$10,400	$10,400	$9,000	9/24/2025	
ATK 2025-001(ROP)	1	$9,500	$9,500	$7,125	9/24/2025	
ATK 2025-002(RSP)	1	$19,600	$11,400	$6,900	9/24/2025	Partially Terminated Violation(s): No. 1
ATK 2025-003(SI)	1	$5,200	$5,200	$3,900	9/24/2025	
ATK 2025-004(HSR)	1	$5,200	$5,200	$3,900	9/24/2025	
ATK 2025-005(HSR)	1	$5,200	$5,200	$4,500	9/24/2025	
ATK 2025-006(PEQ)	1	$5,200	$5,200	$3,900	9/24/2025	
ATK 2025-007(RSP)	1	$19,600	$11,400	$6,900	9/24/2025	Partially Terminated Violation(s): No. 1
AWRR 2024-005(TS)	3	$6,300	$6,300	$4,851	9/3/2025	
AWRR 2025-001(SA)	1	$5,200	$5,200	$4,004	9/3/2025	
AWRR 2025-002(AD)	1	$5,200	$5,200	$4,108	9/3/2025	
AWRR 2025-003(SA)	1	$5,200	$5,200	$4,420	9/3/2025	
BAYL 2024-001(TS)	1	$5,200	$5,200	$4,200	9/26/2025	
BAYL 2024-002(HMT)	6	$18,000	$18,000	$13,500	9/26/2025	
BEAR 2024-004(TS)	1	$10,300	$10,300	$6,600	9/26/2025	
BEAR 2024-005(TS)	1	$10,300	$10,300	$6,600	9/26/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BEAR 2024-006(ROR)	1	$19,600	$19,600	$13,500	9/26/2025	
BEAR 2024-007(LI)	1	$5,200	$5,200	$3,300	9/26/2025	
BEAR 2024-008(PEP)	1	$5,200	$5,200	$3,300	9/26/2025	
BEAR 2024-009(TS)	1	$2,000	$2,000	$1,500	9/26/2025	
BEAR 2025-001(ROP)	1	$19,600	$19,600	$13,500	9/26/2025	
BHRR 2025-001(AD)	1	$5,000	$5,000	$3,800	9/3/2025	
BHRR 2025-002(AD)	1	$5,200	$5,200	$3,900	9/3/2025	
BIP 2024-002(TS)	1	$10,300	$10,300	$5,150	10/29/2024	
BNSF 2022-096(TH)	2	$10,000	$10,000	$8,500	9/30/2025	
BNSF 2022-439(GC)	2	$10,000	$10,000	$8,000	9/30/2025	
BNSF 2023-014(SI)	1	$2,500	$2,500	$1,875	9/30/2025	
BNSF 2023-191(AR)	1	$2,500	$2,500	$1,875	9/30/2025	
BNSF 2023-193(SI)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2023-195(AR)	1	$2,500	$2,500	$1,875	9/30/2025	
BNSF 2023-199(AR)	1	$2,500	$2,500	$1,875	9/30/2025	
BNSF 2023-227(AR)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2023-265(TS)	1	$10,000	$10,000	$8,500	9/30/2025	
BNSF 2023-313(TS)	1	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-009(TS)	1	$5,000	$5,000	$5,000	9/30/2025	
BNSF 2024-011(HMT)	1	$10,000	$10,000	$5,000	9/30/2025	
BNSF 2024-015(GC)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-019(GC)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-038(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-044(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-048(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-052(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-056(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-060(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-062(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-063(RW)	1	$4,000	$4,000	$3,000	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-064(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-068(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-070(TS)	3	$9,000	$9,000	$6,750	9/30/2025	
BNSF 2024-072(TS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-074(TS)	4	$8,000	$8,000	$6,000	9/30/2025	
BNSF 2024-075(TS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-076(TS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-078(TS)	4	$8,000	$8,000	$5,600	9/30/2025	
BNSF 2024-079(TS)	4	$8,000	$8,000	$6,400	9/30/2025	
BNSF 2024-080(TS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-083(TS)	1	$10,000	$10,000	$8,000	9/30/2025	
BNSF 2024-084(ROP)	1	$15,000	$15,000	$11,250	9/30/2025	
BNSF 2024-087(TS)	2	$4,000	$4,000	$2,800	9/30/2025	
BNSF 2024-088(TS)	1	$2,000	$2,000	$1,400	9/30/2025	
BNSF 2024-091(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-092(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-096(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-100(RW)	1	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-104(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-108(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-112(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-116(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-119(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-120(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-121(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-123(TS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-124(SI)	1	$2,000	$2,000	$2,000	9/30/2025	
BNSF 2024-128(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-132(TS)	1	$2,000	$2,000	$1,500	9/30/2025	
BNSF 2024-135(TS)	1	$2,000	$2,000	$1,400	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-136(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-137(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-138(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-139(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-140(HMT)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-141(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-142(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-143(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-144(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-145(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-146(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-147(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-148(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-149(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-150(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-151(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-152(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-153(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-154(TS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-155(TS)	3	$6,000	$6,000	$4,500	9/30/2025	
BNSF 2024-156(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-157(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-158(SI)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-159(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-160(TS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-161(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-162(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-163(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-164(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-165(SA)	1	$5,000	$5,000	$3,750	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-166(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-167(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-168(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-169(RSP)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-170(TS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-171(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-173(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-174(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-175(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-176(TS)	1	$5,200	$0	$0	9/30/2025	Case Terminated
BNSF 2024-177(TS)	12	$36,000	$36,000	$27,000	9/30/2025	
BNSF 2024-178(TS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-180(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-181(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-182(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-184(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-185(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-186(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-187(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-188(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-189(HS)	3	$6,000	$6,000	$4,500	9/30/2025	
BNSF 2024-190(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-191(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-192(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-193(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-194(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-195(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-196(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-197(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-198(SA)	1	$10,000	$10,000	$7,500	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-199(SA)	2	$20,000	$20,000	$15,000	9/30/2025	
BNSF 2024-200(SA)	1	$5,000	$5,000	$5,000	9/30/2025	
BNSF 2024-201(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-202(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-203(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-204(TS)	3	$17,000	$17,000	$12,750	9/30/2025	
BNSF 2024-205(TS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-206(TS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-207(GC)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-208(HMT)	1	$2,000	$2,000	$1,500	9/30/2025	
BNSF 2024-209(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-210(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-211(ROP)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-212(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-213(SA)	1	$5,200	$5,200	$3,640	9/30/2025	
BNSF 2024-214(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-215(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-216(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-217(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-218(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-219(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-220(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-221(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-222(ROP)	1	$19,600	$19,600	$14,700	9/30/2025	
BNSF 2024-223(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-224(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-225(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-226(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-227(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-228(TS)	4	$8,000	$8,000	$6,000	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-229(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-230(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-231(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-232(TS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-233(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2024-234(TS)	2	$20,000	$20,000	$15,000	9/30/2025	
BNSF 2024-235(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-236(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-237(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-238(SA)	2	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-239(TS)	1	$2,000	$2,000	$1,500	9/30/2025	
BNSF 2024-240(TS)	1	$2,100	$2,100	$1,785	9/30/2025	
BNSF 2024-241(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-243(TS)	1	$5,200	$5,200	$4,420	9/30/2025	
BNSF 2024-244(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-245(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-246(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-247(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-248(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-249(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-250(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-251(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-252(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-253(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-254(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-255(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-256(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-257(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-258(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-260(SA)	1	$10,000	$10,000	$7,500	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-261(HS)	2	$10,400	$10,400	$6,240	9/30/2025	
BNSF 2024-262(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-263(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-264(TS)	1	$2,000	$2,000	$1,600	9/30/2025	
BNSF 2024-266(GC)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-267(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2024-268(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-269(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-270(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-271(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-272(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-273(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-274(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-275(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-276(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-277(SA)	2	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-278(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-279(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-280(FCS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-281(FCS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-282(FCS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-283(FCS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-284(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-286(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-287(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-288(FCS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-289(FCS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-290(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-291(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-292(LI)	1	$5,200	$5,200	$3,900	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-293(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-294(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-295(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-296(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-297(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-298(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-299(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-300(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-301(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-302(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-303(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-304(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-306(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-307(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-308(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-309(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-310(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-311(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-312(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-313(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-314(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-315(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-316(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-317(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-318(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-319(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-320(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-321(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-322(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-323(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-324(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-326(TS)	7	$70,000	$70,000	$52,500	9/30/2025	
BNSF 2024-327(EP)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-328(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-329(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-330(EP)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-331(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-332(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-333(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-336(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-337(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-338(LI)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-339(EP)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-340(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-341(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-343(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-344(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-346(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-347(TS)	5	$10,500	$10,500	$7,350	9/30/2025	
BNSF 2024-348(TS)	1	$2,500	$2,500	$1,875	9/30/2025	
BNSF 2024-349(TS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-352(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-353(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-354(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-355(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-356(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-357(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-358(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-359(ROP)	1	$19,000	$19,000	$14,250	9/30/2025	
BNSF 2024-360(ROP)	1	$4,100	$4,100	$3,075	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-361(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-362(SA)	1	$5,200	$0	$0	9/30/2025	Case Terminated
BNSF 2024-363(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2024-364(HS)	3	$6,300	$6,300	$4,725	9/30/2025	
BNSF 2024-366(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-367(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-368(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-369(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-372(HS)	1	$2,000	$2,000	$1,500	9/30/2025	
BNSF 2024-373(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-374(SA)	2	$20,000	$20,000	$15,000	9/30/2025	
BNSF 2024-375(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-376(GC)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-377(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-378(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-379(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-380(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-381(ROP)	1	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-382(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-383(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-384(SA)	1	$5,200	$0	$0	9/30/2025	Case Terminated
BNSF 2024-385(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-386(ROP)	1	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-388(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-389(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-390(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-391(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-393(TS)	2	$7,300	$7,300	$5,475	9/30/2025	
BNSF 2024-394(TS)	4	$8,400	$8,400	$5,880	9/30/2025	
BNSF 2024-395(TS)	1	$10,300	$10,300	$8,240	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-396(TS)	1	$10,300	$0	$0	9/30/2025	Case Terminated
BNSF 2024-397(ROP)	1	$4,100	$4,100	$3,075	9/30/2025	
BNSF 2024-398(SI)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-399(SI)	1	$2,000	$2,000	$1,500	9/30/2025	
BNSF 2024-400(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-401(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-403(TS)	5	$51,500	$51,500	$10,500	9/30/2025	
BNSF 2024-404(SA)	1	$5,200	$5,200	$4,160	9/30/2025	
BNSF 2024-405(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-406(TS)	2	$7,300	$7,300	$5,685	9/30/2025	
BNSF 2024-408(RW)	1	$2,000	$2,000	$1,600	9/30/2025	
BNSF 2024-409(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-411(TS)	1	$2,100	$2,100	$1,680	9/30/2025	
BNSF 2024-412(ROP)	1	$4,100	$4,100	$3,075	9/30/2025	
BNSF 2024-413(HMT)	1	$7,500	$7,500	$5,625	9/30/2025	
BNSF 2024-414(HS)	2	$4,000	$4,000	$4,000	9/30/2025	
BNSF 2024-416(SA)	1	$5,000	$5,000	$4,000	9/30/2025	
BNSF 2024-418(SA)	1	$5,000	$5,000	$4,000	9/30/2025	
BNSF 2024-419(SA)	1	$5,200	$5,200	$4,160	9/30/2025	
BNSF 2024-420(ROP)	1	$17,500	$17,500	$13,125	9/30/2025	
BNSF 2024-421(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-422(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-423(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-424(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-425(HS)	2	$4,200	$4,200	$3,150	9/30/2025	
BNSF 2024-426(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-428(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-429(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-431(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-432(HS)	2	$4,000	$4,000	$3,000	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-433(HS)	2	$4,200	$4,200	$3,150	9/30/2025	
BNSF 2024-434(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-435(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-436(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-438(ROP)	1	$4,100	$4,100	$3,075	9/30/2025	
BNSF 2024-439(HS)	1	$2,000	$2,000	$1,500	9/30/2025	
BNSF 2024-441(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-442(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-443(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-444(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-445(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-446(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-447(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-448(HS)	3	$6,000	$6,000	$4,500	9/30/2025	
BNSF 2024-449(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-451(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-452(HS)	3	$15,600	$15,600	$11,700	9/30/2025	
BNSF 2024-453(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2024-454(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2024-455(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-456(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-457(TS)	4	$8,400	$8,400	$6,300	9/30/2025	
BNSF 2024-458(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-459(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-460(GC)	1	$10,300	$10,300	$8,240	9/30/2025	
BNSF 2024-461(GC)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-462(GC)	1	$10,300	$10,300	$8,240	9/30/2025	
BNSF 2024-463(GC)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-464(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-465(TS)	1	$2,100	$2,100	$1,575	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-466(TS)	2	$4,200	$4,200	$2,940	9/30/2025	
BNSF 2024-468(TS)	4	$28,800	$28,800	$20,160	9/30/2025	
BNSF 2024-469(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-470(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-472(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-473(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-474(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-476(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-477(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-478(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-479(ROP)	1	$15,500	$15,500	$10,075	9/30/2025	
BNSF 2024-480(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-481(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-482(TS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-483(TS)	2	$7,300	$7,300	$5,475	9/30/2025	
BNSF 2024-484(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-485(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-487(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-488(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-489(ROP)	1	$19,600	$19,600	$14,700	9/30/2025	
BNSF 2024-490(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2024-491(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-492(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-493(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-494(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-495(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-496(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2024-497(ROP)	1	$19,600	$19,600	$14,700	9/30/2025	
BNSF 2024-498(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-499(ROP)	1	$15,000	$15,000	$11,250	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-500(ROP)	1	$19,600	$19,600	$14,700	9/30/2025	
BNSF 2024-501(ROP)	1	$15,000	$15,000	$11,250	9/30/2025	
BNSF 2024-502(ROP)	1	$4,100	$4,100	$4,100	9/30/2025	
BNSF 2024-503(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-504(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-505(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-506(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-507(CC)	1	$15,000	$15,000	$11,250	9/30/2025	
BNSF 2024-508(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-509(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-510(HMT)	1	$7,500	$7,500	$5,625	9/30/2025	
BNSF 2024-511(HMT)	1	$7,500	$7,500	$5,625	9/30/2025	
BNSF 2024-512(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2024-513(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-514(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-515(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-516(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-517(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-518(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-519(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-520(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-521(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-522(HS)	2	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-523(ROP)	1	$2,000	$2,000	$1,500	9/30/2025	
BNSF 2024-524(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-525(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-526(ROP)	1	$15,500	$15,500	$10,075	9/30/2025	
BNSF 2024-527(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-528(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-529(HS)	2	$10,400	$10,400	$6,760	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-530(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-531(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-532(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2024-533(HS)	3	$15,600	$15,600	$11,700	9/30/2025	
BNSF 2024-534(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2024-535(ROP)	1	$4,000	$4,000	$3,000	9/30/2025	
BNSF 2024-537(TS)	1	$2,100	$2,100	$2,100	9/30/2025	
BNSF 2024-538(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-539(RSP)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-540(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-541(TS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-542(TS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-543(RW)	1	$4,100	$4,100	$3,075	9/30/2025	
BNSF 2024-544(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-545(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-546(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-547(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-548(SA)	1	$7,000	$7,000	$5,250	9/30/2025	
BNSF 2024-549(TS)	1	$2,100	$2,100	$2,100	9/30/2025	
BNSF 2024-550(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-551(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2024-552(HS)	3	$15,600	$15,600	$11,700	9/30/2025	
BNSF 2024-553(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2024-554(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-555(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-556(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2024-557(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-558(EP)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-559(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-560(SA)	1	$5,000	$5,000	$3,750	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-561(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-562(SA)	1	$5,200	$5,200	$4,160	9/30/2025	
BNSF 2024-563(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-564(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-565(SA)	2	$15,000	$15,000	$11,250	9/30/2025	
BNSF 2024-566(RW)	1	$4,100	$4,100	$3,075	9/30/2025	
BNSF 2024-567(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-568(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-569(LI)	1	$4,100	$4,100	$3,075	9/30/2025	
BNSF 2024-570(LI)	1	$4,100	$4,100	$3,075	9/30/2025	
BNSF 2024-571(LI)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-572(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-573(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-574(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-577(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-579(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-580(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-581(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-582(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-584(SA)	1	$5,200	$5,200	$5,200	9/30/2025	
BNSF 2024-585(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-586(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-588(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-589(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-590(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-591(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-592(FCS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-593(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-594(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-595(TS)	1	$10,300	$10,300	$7,725	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2024-596(LI)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-597(RSP)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-598(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-599(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2024-600(TS)	1	$10,300	$10,300	$6,180	9/30/2025	
BNSF 2024-601(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-602(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-603(TS)	2	$4,200	$4,200	$3,150	9/30/2025	
BNSF 2024-604(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-605(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-606(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2024-607(TS)	2	$4,200	$4,200	$3,150	9/30/2025	
BNSF 2024-608(TS)	1	$5,200	$5,200	$4,160	9/30/2025	
BNSF 2024-609(SI)	1	$2,000	$2,000	$1,500	9/30/2025	
BNSF 2024-610(SI)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-611(LI)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-612(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-613(TS)	1	$5,200	$5,200	$2,600	9/30/2025	
BNSF 2024-615(EP)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2024-617(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2024-618(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2024-619(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2024-621(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-001(TS)	2	$20,600	$20,600	$15,450	9/30/2025	
BNSF 2025-002(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-004(TS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-006(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2025-007(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2025-008(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-009(TS)	1	$5,200	$5,200	$3,900	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2025-010(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-011(TS)	1	$10,300	$10,300	$6,695	9/30/2025	
BNSF 2025-012(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-013(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-014(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-017(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-018(SI)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-020(ROP)	1	$4,100	$4,100	$3,075	9/30/2025	
BNSF 2025-021(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-022(SA)	2	$20,600	$20,600	$15,450	9/30/2025	
BNSF 2025-023(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-024(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-025(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-026(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-027(TS)	1	$2,100	$2,100	$1,470	9/30/2025	
BNSF 2025-028(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-029(HS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-030(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-031(TS)	2	$10,000	$10,000	$8,000	9/30/2025	
BNSF 2025-032(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-033(HS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-034(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2025-035(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-036(TS)	1	$5,200	$5,200	$4,160	9/30/2025	
BNSF 2025-037(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-038(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-039(ROP)	1	$15,500	$15,500	$12,400	9/30/2025	
BNSF 2025-041(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-043(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2025-044(HS)	2	$10,400	$10,400	$7,800	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2025-045(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-046(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-047(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2025-048(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2025-050(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-051(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2025-052(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-053(HS)	1	$5,200	$0	$0	9/30/2025	Case Terminated
BNSF 2025-055(HS)	2	$4,200	$4,200	$3,150	9/30/2025	
BNSF 2025-057(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-058(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-059(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2025-060(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-061(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-063(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
BNSF 2025-064(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-066(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-067(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2025-068(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-069(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-070(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-071(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-072(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-073(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2025-074(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-075(HS)	2	$4,200	$4,200	$4,200	9/30/2025	
BNSF 2025-076(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-077(LI)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-078(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-079(SA)	2	$20,600	$20,600	$15,450	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BNSF 2025-080(LI)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-081(LI)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-082(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-083(RSP)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2025-084(RW)	1	$6,200	$6,200	$4,650	9/30/2025	
BNSF 2025-086(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-087(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
BNSF 2025-088(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-089(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
BNSF 2025-090(GC)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-091(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-092(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-093(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
BNSF 2025-094(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-095(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-099(SI)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-101(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
BNSF 2025-103(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-105(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-107(SA)	3	$30,900	$30,900	$23,175	9/30/2025	
BNSF 2025-108(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
BNSF 2025-109(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BNSF 2025-112(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
BRC 2024-016(FCS)	1	$10,300	$10,300	$7,725	12/12/2024	
BRC 2024-017(SA)	1	$5,200	$5,200	$3,900	12/12/2024	
BRC 2024-018(SA)	1	$10,300	$10,300	$7,725	12/12/2024	
BRC 2024-019(SA)	1	$10,300	$10,300	$7,725	1/13/2025	
BRC 2024-020(FCS)	1	$5,200	$5,200	$3,900	5/9/2025	
BRC 2025-001(SA)	1	$10,300	$10,300	$7,725	5/9/2025	
BRC 2025-002(FCS)	1	$5,200	$5,200	$4,200	5/9/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
BRC 2025-003(FCS)	1	$10,300	$10,300	$8,200	5/9/2025	
BRC 2025-004(SA)	1	$10,300	$10,300	$7,725	5/9/2025	
BRC 2025-005(FCS)	1	$5,200	$5,200	$3,900	5/9/2025	
BRC 2025-006(FCS)	1	$10,300	$10,300	$8,200	5/9/2025	
BRC 2025-007(SA)	1	$5,200	$5,200	$4,000	5/9/2025	
BRC 2025-008(SA)	1	$10,300	$10,300	$7,725	5/9/2025	
BRC 2025-009(SA)	1	$5,200	$5,200	$4,000	5/9/2025	
BRC 2025-010(SA)	1	$5,200	$5,200	$3,900	5/9/2025	
BRC 2025-011(FCS)	1	$5,200	$5,200	$3,900	5/9/2025	
BRC 2025-012(FCS)	1	$5,200	$5,200	$3,750	8/14/2025	
BRC 2025-013(FCS)	1	$5,200	$5,200	$3,750	8/14/2025	
BRC 2025-014(FCS)	1	$5,200	$5,200	$3,750	8/14/2025	
BRC 2025-015(SA)	1	$5,200	$5,200	$3,750	8/14/2025	
BRC 2025-016(FCS)	1	$5,200	$5,200	$3,750	8/14/2025	
BRC 2025-017(FCS)	1	$5,200	$5,200	$3,750	8/14/2025	
BRC 2025-018(FCS)	1	$10,300	$10,300	$7,500	8/14/2025	
BRC 2025-019(FCS)	1	$5,200	$5,200	$3,900	9/9/2025	
BRC 2025-020(FCS)	1	$5,200	$5,200	$3,900	9/9/2025	
BRC 2025-021(FCS)	1	$5,200	$5,200	$3,900	9/9/2025	
BRC 2025-022(FCS)	1	$5,200	$5,200	$3,900	9/9/2025	
BRC 2025-023(FCS)	1	$5,200	$5,200	$3,900	9/9/2025	
BRC 2025-024(FCS)	1	$5,200	$5,200	$3,900	9/9/2025	
BRC 2025-025(SA)	1	$10,300	$10,300	$7,416	9/9/2025	
BRC 2025-026(FCS)	1	$5,200	$5,200	$3,900	9/9/2025	
BVRR 2024-001(TS)	1	$2,100	$2,100	$1,617	9/3/2025	
BVRY 2024-001(AR)	1	$2,500	$2,500	$1,750	11/7/2024	
CA 2024-001(GC)	1	$10,300	$10,300	$7,725	9/26/2025	
CA 2024-002(TS)	1	$5,000	$5,000	$3,900	9/26/2025	
CAGY 2024-001(TS)	1	$10,300	$10,300	$7,725	9/26/2025	
CAGY 2024-002(SA)	1	$5,200	$5,200	$3,900	9/26/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CAGY 2024-003(SA)	1	$5,200	$5,200	$3,900	9/26/2025	
CAGY 2024-004(TS)	1	$10,300	$10,300	$7,725	9/26/2025	
CAMD 2024-001(TS)	1	$10,000	$10,000	$7,500	5/15/2025	
CAMY 2024-001(GC)	1	$2,100	$2,100	$1,600	7/16/2025	
CBR 2023-004(EQ)	1	$2,500	$2,500	$1,875	8/4/2025	
CBR 2023-005(EQ)	1	$2,500	$2,500	$1,875	8/4/2025	
CBR 2024-001(GC)	1	$2,000	$2,000	$1,875	8/4/2025	
CBR 2024-002(GC)	1	$10,000	$10,000	$7,500	8/4/2025	
CBR 2024-003(BSS)	10	$95,000	$95,000	$63,375	8/4/2025	
CBR 2024-004(SI)	1	$2,100	$2,100	$1,575	8/4/2025	
CBR 2024-005(SI)	1	$10,300	$10,300	$7,725	8/4/2025	
CCT 2024-001(LI)	1	$5,000	$5,000	$3,750	3/28/2025	
CERA 2024-001(LI)	1	$5,200	$5,200	$3,900	9/26/2025	
CERA 2024-002(SA)	1	$5,200	$5,200	$3,900	9/26/2025	
CERA 2024-003(SA)	1	$5,200	$5,200	$3,900	9/26/2025	
CFI 2024-001(HMT)	1	$5,000	$5,000	$5,000	4/7/2025	
CFI 2024-002(HMT)	2	$12,500	$12,500	$12,500	4/7/2025	
CFNR 2025-001(ROP)	1	$15,500	$15,500	$11,625	9/26/2025	
CFNR 2025-002(SA)	1	$10,300	$10,300	$7,725	9/26/2025	
CIND 2024-001(GC)	1	$2,100	$2,100	$1,575	9/26/2025	
CIND 2025-001(GC)	1	$5,200	$5,200	$3,900	9/26/2025	
CLNA 2024-001(GC)	1	$10,000	$10,000	$7,750	10/30/2024	
CLNA 2024-002(RW)	1	$6,000	$6,000	$4,800	12/5/2024	
CLNA 2025-001(TS)	1	$2,100	$2,100	$2,100	5/19/2025	
CN 2024-001(FCS)	1	$5,000	$5,000	$3,550	9/9/2025	
CN 2024-002(FCS)	1	$5,000	$5,000	$3,600	9/9/2025	
CN 2024-003(GC)	1	$2,000	$2,000	$1,560	9/9/2025	
CN 2024-004(TS)	1	$5,000	$5,000	$3,700	9/9/2025	
CN 2024-005(GC)	1	$10,000	$10,000	$7,800	9/9/2025	
CN 2024-006(SA)	1	$10,000	$10,000	$7,400	9/9/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CN 2024-007(LI)	1	$5,000	$5,000	$3,650	9/9/2025	
CN 2024-008(SA)	1	$10,000	$10,000	$7,400	9/9/2025	
CN 2024-010(HMT)	1	$6,000	$6,000	$4,680	9/9/2025	
CN 2024-011(SA)	1	$5,000	$5,000	$3,650	9/9/2025	
CN 2024-012(RW)	1	$3,000	$3,000	$2,250	9/9/2025	
CN 2024-013(SA)	1	$5,000	$5,000	$3,550	9/9/2025	
CN 2024-014(LI)	1	$3,000	$3,000	$2,220	9/9/2025	
CN 2024-015(SA)	1	$5,000	$5,000	$3,550	9/9/2025	
CN 2024-016(SA)	1	$10,000	$10,000	$7,100	9/9/2025	
CN 2024-017(SA)	1	$5,000	$5,000	$3,450	9/9/2025	
CN 2024-018(LI)	1	$5,000	$5,000	$3,600	9/9/2025	
CN 2024-019(LI)	1	$5,000	$5,000	$3,650	9/9/2025	
CN 2024-020(SA)	1	$5,000	$5,000	$3,600	9/9/2025	
CN 2024-021(SA)	1	$10,000	$10,000	$7,400	9/9/2025	
CN 2024-022(LI)	1	$5,000	$5,000	$3,650	9/9/2025	
CN 2024-023(LI)	1	$5,000	$5,000	$3,400	9/9/2025	
CN 2024-024(LI)	1	$2,500	$2,500	$1,700	9/9/2025	
CN 2024-025(SA)	1	$2,500	$2,500	$1,750	9/9/2025	
CN 2024-026(TS)	1	$10,000	$10,000	$7,300	9/9/2025	
CN 2024-028(SA)	1	$10,000	$10,000	$7,500	9/9/2025	
CN 2024-029(SA)	1	$5,000	$5,000	$3,450	9/9/2025	
CN 2024-030(SA)	1	$5,000	$5,000	$3,700	9/9/2025	
CN 2024-031(FCS)	1	$5,000	$5,000	$3,550	9/9/2025	
CN 2024-032(SA)	1	$5,000	$5,000	$3,550	9/9/2025	
CN 2024-033(SA)	1	$5,000	$5,000	$3,550	9/9/2025	
CN 2024-034(LI)	1	$5,000	$5,000	$3,650	9/9/2025	
CN 2024-036(SA)	1	$10,000	$10,000	$7,400	9/9/2025	
CN 2024-037(SA)	1	$10,300	$10,300	$7,519	9/9/2025	
CN 2024-038(LI)	1	$4,000	$4,000	$2,960	9/9/2025	
CN 2024-039(RMM)	1	$2,000	$2,000	$1,440	9/9/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CN 2024-040(TS)	1	$5,000	$5,000	$3,700	9/9/2025	
CN 2024-041(FCS)	1	$5,000	$5,000	$3,550	9/9/2025	
CN 2024-042(SA)	1	$10,300	$10,300	$7,622	9/9/2025	
CN 2024-043(SA)	1	$10,300	$10,300	$7,622	9/9/2025	
CN 2024-044(AD)	4	$10,000	$10,000	$7,200	9/9/2025	
CN 2024-045(RMM)	1	$2,000	$2,000	$1,440	9/9/2025	
CN 2024-046(LI)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-047(LI)	1	$4,100	$2,100	$1,500	9/9/2025	Partially Terminated Violation(s): No. 1
CN 2024-048(SA)	1	$10,300	$10,300	$7,725	9/9/2025	
CN 2024-049(LI)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-050(SA)	1	$10,300	$10,300	$7,622	9/9/2025	
CN 2024-051(SA)	1	$10,300	$10,300	$7,519	9/9/2025	
CN 2024-052(SA)	1	$10,300	$10,300	$7,622	9/9/2025	
CN 2024-054(SA)	1	$5,200	$5,200	$3,744	9/9/2025	
CN 2024-055(TS)	1	$10,300	$10,300	$7,416	9/9/2025	
CN 2024-056(TS)	1	$10,300	$10,300	$7,416	9/9/2025	
CN 2024-057(TS)	1	$10,300	$10,300	$7,416	9/9/2025	
CN 2024-058(TS)	1	$10,300	$10,300	$7,416	9/9/2025	
CN 2024-059(TS)	1	$10,300	$10,300	$7,416	9/9/2025	
CN 2024-060(LI)	1	$2,100	$2,100	$1,512	9/9/2025	
CN 2024-061(SA)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-062(SA)	1	$5,200	$5,200	$3,744	9/9/2025	
CN 2024-063(SI)	1	$2,100	$2,100	$1,533	9/9/2025	
CN 2024-064(TS)	1	$10,300	$10,300	$7,416	9/9/2025	
CN 2024-065(TS)	1	$10,300	$10,300	$7,416	9/9/2025	
CN 2024-066(LI)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-067(SA)	1	$10,300	$10,300	$7,622	9/9/2025	
CN 2024-068(HMT)	1	$4,000	$4,000	$3,040	9/9/2025	
CN 2024-069(HMT)	1	$2,000	$2,000	$1,260	9/9/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CN 2024-070(SA)	1	$5,200	$5,200	$3,432	9/9/2025	
CN 2024-071(SA)	1	$10,300	$10,300	$7,725	9/9/2025	
CN 2024-072(LI)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-073(HS)	1	$5,200	$5,200	$3,744	9/9/2025	
CN 2024-074(LI)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-075(LI)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-076(SA)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-077(SA)	1	$10,300	$10,300	$7,622	9/9/2025	
CN 2024-078(HMT)	2	$4,000	$4,000	$3,000	9/9/2025	
CN 2024-079(SA)	1	$10,000	$10,000	$7,300	9/9/2025	
CN 2024-080(LI)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-081(SA)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-082(TS)	1	$2,100	$2,100	$1,512	9/9/2025	
CN 2024-083(FCS)	1	$5,200	$5,200	$3,744	9/9/2025	
CN 2024-084(GC)	1	$10,300	$10,300	$7,313	9/9/2025	
CN 2024-085(HSR)	3	$6,300	$6,300	$4,221	9/9/2025	
CN 2024-086(HSR)	2	$4,200	$4,200	$2,814	9/9/2025	
CN 2024-087(HSR)	3	$6,300	$6,300	$4,221	9/9/2025	
CN 2024-088(HSR)	4	$8,400	$8,400	$5,628	9/9/2025	
CN 2024-089(HS)	1	$5,200	$5,200	$3,484	9/9/2025	
CN 2024-090(HS)	2	$10,400	$10,400	$6,968	9/9/2025	
CN 2024-091(HS)	2	$10,400	$10,400	$7,488	9/9/2025	
CN 2024-092(ROP)	1	$15,500	$15,500	$11,470	9/9/2025	
CN 2024-093(AD)	1	$5,000	$5,000	$3,600	9/9/2025	
CN 2024-094(LI)	1	$5,200	$5,200	$3,900	9/9/2025	
CN 2024-095(LI)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-096(SA)	1	$10,300	$10,300	$7,725	9/9/2025	
CN 2024-097(SA)	1	$10,300	$10,300	$7,622	9/9/2025	
CN 2024-098(SA)	1	$10,300	$10,300	$7,622	9/9/2025	
CN 2024-099(SA)	1	$10,300	$10,300	$7,725	9/9/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CN 2024-100(SA)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-101(LI)	1	$5,200	$5,200	$3,744	9/9/2025	
CN 2024-102(SA)	1	$10,300	$10,300	$7,622	9/9/2025	
CN 2024-103(SA)	1	$10,300	$10,300	$7,416	9/9/2025	
CN 2024-104(FCS)	1	$5,200	$5,200	$3,744	9/9/2025	
CN 2024-105(SA)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-106(LI)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-107(TS)	1	$5,200	$5,200	$3,796	9/9/2025	
CN 2024-108(SA)	1	$5,200	$5,200	$3,796	9/9/2025	
CPKC 2024-029(SA)	1	$10,000	$10,000	$7,000	9/19/2025	
CPKC 2024-030(SA)	1	$5,000	$5,000	$3,750	9/19/2025	
CPKC 2024-031(SA)	1	$10,300	$10,300	$10,300	9/19/2025	
CPKC 2024-032(FCS)	1	$10,000	$10,000	$7,500	9/19/2025	
CPKC 2024-033(TS)	1	$4,000	$4,000	$3,000	9/19/2025	
CPKC 2024-034(FCS)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-035(FCS)	1	$5,000	$5,000	$3,750	9/19/2025	
CPKC 2024-036(LI)	1	$2,500	$2,500	$2,125	9/19/2025	
CPKC 2024-037(LI)	1	$5,000	$5,000	$3,750	9/19/2025	
CPKC 2024-038(SA)	1	$10,000	$10,000	$8,500	9/19/2025	
CPKC 2024-039(SA)	1	$5,000	$5,000	$5,000	9/19/2025	
CPKC 2024-040(TS)	1	$4,000	$4,000	$3,000	9/19/2025	
CPKC 2024-041(HS)	2	$10,400	$0	$0	9/19/2025	Case Terminated
CPKC 2024-042(FCS)	1	$5,200	$5,200	$4,160	9/19/2025	
CPKC 2024-043(AR)	1	$5,000	$5,000	$3,750	9/19/2025	
CPKC 2024-044(SA)	1	$10,300	$5,200	$5,200	9/19/2025	Partially Terminated Violation(s): No. 1
CPKC 2024-045(LI)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-046(LI)	2	$6,200	$6,200	$4,650	9/19/2025	
CPKC 2024-047(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-048(LI)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-050(HS)	2	$10,400	$10,400	$7,800	9/19/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CPKC 2024-051(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-052(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-053(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-055(SA)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-056(SA)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-057(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-058(FCS)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-059(LI)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-060(TS)	1	$2,100	$2,100	$1,680	9/19/2025	
CPKC 2024-062(HS)	1	$2,000	$2,000	$1,500	9/19/2025	
CPKC 2024-063(HS)	1	$2,000	$2,000	$1,300	9/19/2025	
CPKC 2024-064(FCS)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-065(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-066(LI)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-067(SA)	2	$10,400	$10,400	$7,800	9/19/2025	
CPKC 2024-068(GC)	1	$15,000	$15,000	$13,500	9/19/2025	
CPKC 2024-069(GC)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-070(FCS)	1	$5,200	$5,200	$4,160	9/19/2025	
CPKC 2024-071(HSR)	1	$2,000	$2,000	$1,500	9/19/2025	
CPKC 2024-072(RSP)	1	$19,600	$11,400	$11,400	9/19/2025	Partially Terminated Violation(s): No. 1
CPKC 2024-073(HSR)	1	$2,000	$2,000	$2,000	9/19/2025	
CPKC 2024-074(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-075(LI)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-076(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-077(LI)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-078(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-079(GC)	1	$10,300	$10,300	$9,270	9/19/2025	
CPKC 2024-080(GC)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-081(GC)	3	$30,000	$30,000	$22,500	9/19/2025	
CPKC 2024-082(FCS)	1	$10,300	$10,300	$7,725	9/19/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CPKC 2024-083(SA)	1	$15,500	$15,500	$13,950	9/19/2025	
CPKC 2024-084(LI)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-085(LI)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-086(LI)	1	$5,000	$5,000	$3,750	9/19/2025	
CPKC 2024-087(LI)	1	$10,300	$10,300	$8,755	9/19/2025	
CPKC 2024-088(GC)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-090(SA)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2024-091(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2024-092(HMT)	1	$2,000	$2,000	$1,500	9/19/2025	
CPKC 2024-093(TS)	1	$10,300	$10,300	$8,755	9/19/2025	
CPKC 2024-094(HS)	2	$10,400	$10,400	$8,840	9/19/2025	
CPKC 2025-001(SA)	1	$10,300	$10,300	$6,695	9/19/2025	
CPKC 2025-002(SA)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2025-003(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2025-004(ROP)	1	$15,500	$15,500	$11,625	9/19/2025	
CPKC 2025-005(CC)	1	$4,100	$0	$0	9/19/2025	Case Terminated
CPKC 2025-006(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2025-007(LI)	1	$2,100	$2,100	$1,365	9/19/2025	
CPKC 2025-008(SA)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2025-009(GC)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2025-010(FCS)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2025-011(SA)	1	$10,300	$10,300	$6,695	9/19/2025	
CPKC 2025-012(FCS)	3	$30,900	$0	$0	9/19/2025	Case Terminated
CPKC 2025-013(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2025-014(HMT)	1	$2,000	$2,000	$1,500	9/19/2025	
CPKC 2025-015(SA)	1	$10,300	$10,300	$7,725	9/19/2025	
CPKC 2025-016(LI)	3	$15,600	$15,600	$11,700	9/19/2025	
CPKC 2025-017(LI)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2025-018(SA)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2025-019(SA)	1	$5,200	$5,200	$3,900	9/19/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CPKC 2025-020(LI)	4	$41,200	$10,300	$10,300	9/19/2025	Terminated Violation(s): Nos. 2-4
CPKC 2025-021(SA)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2025-023(FCS)	1	$5,200	$5,200	$3,900	9/19/2025	
CPKC 2025-024(LI)	2	$10,400	$10,400	$7,800	9/19/2025	
CRRX 2024-001(AD)	2	$10,000	$10,000	$10,000	10/29/2024	
CRRX 2024-002(AD)	2	$10,000	$10,000	$10,000	10/29/2024	
CRRX 2024-003(AD)	1	$2,500	$2,500	$2,500	10/29/2024	
CRSM 2024-014(ROP)	1	$15,500	$15,500	$10,075	9/30/2025	
CRSM 2024-015(ROP)	1	$15,500	$15,500	$10,230	9/30/2025	
CRSM 2024-016(FCS)	1	$5,200	$5,200	$3,450	9/30/2025	
CRSM 2024-017(SA)	1	$5,200	$5,200	$3,380	9/30/2025	
CRSM 2024-018(SA)	1	$10,000	$10,000	$6,600	9/30/2025	
CRSM 2024-021(LI)	1	$5,200	$5,200	$3,380	9/30/2025	
CRSM 2024-022(TS)	1	$10,300	$10,300	$7,210	9/30/2025	
CRSM 2024-023(TS)	1	$2,100	$2,100	$1,600	9/30/2025	
CRSM 2024-024(ROP)	1	$15,500	$15,500	$10,695	9/30/2025	
CRSM 2024-025(LI)	1	$5,200	$5,200	$3,380	9/30/2025	
CRSM 2024-026(LI)	1	$5,200	$5,200	$3,550	9/30/2025	
CRSM 2024-027(SA)	1	$10,300	$10,300	$7,150	9/30/2025	
CRSM 2025-001(LI)	1	$5,200	$5,200	$3,380	9/30/2025	
CRSM 2025-002(SI)	1	$5,200	$5,200	$3,550	9/30/2025	
CRSM 2025-003(SI)	1	$5,200	$5,200	$3,550	9/30/2025	
CRSM 2025-004(LI)	1	$2,100	$2,100	$1,390	9/30/2025	
CRSM 2025-005(SA)	1	$5,200	$5,200	$3,450	9/30/2025	
CRSM 2025-006(GC)	1	$10,000	$10,000	$7,000	9/30/2025	
CRSM 2025-007(LI)	1	$2,100	$2,100	$1,390	9/30/2025	
CRSM 2025-008(LI)	1	$2,100	$2,100	$1,390	9/30/2025	
CRSM 2025-009(LI)	1	$5,200	$5,200	$3,380	9/30/2025	
CRSM 2025-010(SA)	1	$10,300	$10,300	$7,210	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CRSM 2025-011(HS)	2	$10,400	$10,400	$7,100	9/30/2025	
CRSM 2025-012(FCS)	1	$5,200	$5,200	$3,650	9/30/2025	
CRSM 2025-013(LI)	1	$2,100	$2,100	$1,390	9/30/2025	
CRSM 2025-014(ROP)	1	$15,500	$15,500	$10,700	9/30/2025	
CRSM 2025-015(LI)	1	$5,200	$5,200	$3,400	9/30/2025	
CRSM 2025-016(HMT)	3	$22,500	$22,500	$14,900	9/30/2025	
CRSM 2025-017(ROP)	1	$19,600	$19,600	$13,600	9/30/2025	
CRSM 2025-018(SA)	1	$5,200	$5,200	$3,600	9/30/2025	
CRSM 2025-019(RSP)	1	$10,300	$10,300	$8,000	9/30/2025	
CRSM 2025-020(ROP)	1	$15,500	$15,500	$12,300	9/30/2025	
CRSM 2025-021(LI)	1	$4,100	$4,100	$2,750	9/30/2025	
CSCD 2024-001(AD)	1	$10,300	$10,300	$7,725	9/26/2025	
CSS 2024-001(SA)	1	$5,200	$5,200	$5,200	4/3/2025	
CSX 2023-319(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-052(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-073(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-074(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-075(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-076(LI)	1	$5,000	$5,000	$3,000	9/30/2025	
CSX 2024-077(SA)	2	$10,000	$10,000	$6,750	9/30/2025	
CSX 2024-078(SA)	2	$10,000	$10,000	$6,750	9/30/2025	
CSX 2024-079(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-080(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-081(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-082(TS)	1	$5,000	$5,000	$3,250	9/30/2025	
CSX 2024-083(SA)	1	$20,000	$20,000	$14,000	9/30/2025	
CSX 2024-084(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-085(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-086(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-087(SA)	1	$5,000	$5,000	$3,500	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-088(LI)	2	$7,000	$7,000	$5,250	9/30/2025	
CSX 2024-089(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-090(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-091(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-092(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-093(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-094(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-095(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-096(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-097(HMT)	1	$3,000	$3,000	$2,250	9/30/2025	
CSX 2024-098(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-099(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-100(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-101(SA)	1	$10,000	$10,000	$7,000	9/30/2025	
CSX 2024-102(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-103(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-104(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-105(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-106(LI)	2	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-107(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-109(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-110(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-111(SA)	1	$5,000	$5,000	$3,700	9/30/2025	
CSX 2024-112(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-113(SA)	2	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-114(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-115(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-116(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-117(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-118(SA)	1	$20,000	$20,000	$15,000	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-119(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-120(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-121(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-122(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-123(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-124(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-125(SA)	1	$10,000	$10,000	$6,850	9/30/2025	
CSX 2024-126(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-127(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-128(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-129(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-130(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-131(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-132(TS)	1	$5,000	$5,000	$3,250	9/30/2025	
CSX 2024-133(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-134(AD)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-135(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-136(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-137(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-138(ROP)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-139(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-140(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-141(ROP)	1	$15,000	$15,000	$11,250	9/30/2025	
CSX 2024-142(ROP)	1	$15,000	$15,000	$9,000	9/30/2025	
CSX 2024-143(TS)	3	$9,000	$4,000	$3,000	9/30/2025	Terminated Violation(s): No. 1
CSX 2024-144(ROP)	2	$30,000	$30,000	$22,500	9/30/2025	
CSX 2024-145(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-146(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-147(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-148(SA)	1	$5,000	$5,000	$3,750	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-149(SA)	1	$5,000	$2,500	$2,500	9/30/2025	Partially Terminated Violation(s): No. 1
CSX 2024-150(FCS)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-151(TS)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-152(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-153(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-154(ROP)	1	$15,000	$15,000	$11,250	9/30/2025	
CSX 2024-155(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-156(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-157(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-158(HMT)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-159(TS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-160(ROP)	1	$19,000	$19,000	$14,250	9/30/2025	
CSX 2024-161(ROP)	1	$15,000	$15,000	$11,250	9/30/2025	
CSX 2024-162(HMT)	1	$6,000	$6,000	$4,200	9/30/2025	
CSX 2024-163(TS)	1	$2,000	$2,000	$1,500	9/30/2025	
CSX 2024-164(GC)	1	$10,000	$10,000	$6,500	9/30/2025	
CSX 2024-165(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-166(LI)	1	$2,500	$2,500	$1,875	9/30/2025	
CSX 2024-167(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-168(LI)	1	$2,500	$2,500	$1,875	9/30/2025	
CSX 2024-169(TS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-170(SA)	1	$10,000	$10,000	$7,000	9/30/2025	
CSX 2024-171(SA)	1	$10,000	$10,000	$6,500	9/30/2025	
CSX 2024-172(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-173(HMT)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-174(SA)	1	$10,000	$10,000	$5,000	9/30/2025	
CSX 2024-175(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-176(LI)	1	$2,500	$2,500	$1,875	9/30/2025	
CSX 2024-177(LI)	1	$1,000	$1,000	$980	9/30/2025	
CSX 2024-178(SA)	1	$5,200	$5,200	$3,900	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-179(HMT)	4	$12,000	$12,000	$8,400	9/30/2025	
CSX 2024-180(GC)	3	$30,000	$30,000	$22,500	9/30/2025	
CSX 2024-181(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-182(TS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-183(HMT)	1	$4,000	$4,000	$3,000	9/30/2025	
CSX 2024-184(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-185(SI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-186(SI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-187(HMT)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-188(GC)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-189(GC)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-190(GC)	1	$10,000	$0	$0	9/30/2025	Case Terminated
CSX 2024-191(RSP)	1	$19,600	$19,600	$14,700	9/30/2025	
CSX 2024-192(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2024-193(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2024-194(ROP)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-195(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-196(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-197(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-198(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-199(SA)	1	$10,000	$5,000	$3,750	9/30/2025	Partially Terminated Violation(s): No. 1
CSX 2024-200(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-201(SA)	9	$45,000	$45,000	$33,750	9/30/2025	
CSX 2024-202(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-203(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-204(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-205(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-206(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-207(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-208(LI)	1	$5,200	$5,200	$3,900	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-209(SA)	1	$10,000	$10,000	$6,750	9/30/2025	
CSX 2024-210(SA)	1	$10,000	$10,000	$6,750	9/30/2025	
CSX 2024-211(SA)	1	$10,000	$10,000	$6,750	9/30/2025	
CSX 2024-212(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-213(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-214(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-215(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-216(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-217(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-218(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-219(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-220(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-221(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-222(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-223(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-224(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-225(SA)	1	$5,200	$5,200	$3,640	9/30/2025	
CSX 2024-226(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-227(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-228(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-229(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-230(SA)	1	$2,500	$2,500	$1,875	9/30/2025	
CSX 2024-231(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-232(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-233(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-234(SA)	1	$20,000	$20,000	$15,000	9/30/2025	
CSX 2024-235(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-236(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-237(FCS)	1	$10,000	$10,000	$6,800	9/30/2025	
CSX 2024-238(SA)	1	$5,000	$5,000	$3,750	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-239(SA)	1	$10,000	$5,000	$3,750	9/30/2025	Partially Terminated Violation(s): No. 1
CSX 2024-240(SA)	1	$10,000	$5,000	$3,750	9/30/2025	Partially Terminated Violation(s): No. 1
CSX 2024-241(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-242(SA)	1	$20,000	$20,000	$13,000	9/30/2025	
CSX 2024-243(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-244(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-245(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-246(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-247(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-248(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-249(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-250(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-251(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-252(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-253(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-254(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-255(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-256(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-257(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-258(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-259(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-260(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-261(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-262(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-263(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-264(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-265(SA)	1	$2,500	$2,500	$1,750	9/30/2025	
CSX 2024-266(FCS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-267(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-268(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-269(SA)	1	$10,300	$10,300	$4,200	9/30/2025	
CSX 2024-270(RSP)	1	$19,600	$19,600	$13,720	9/30/2025	
CSX 2024-271(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-272(HS)	2	$10,400	$10,400	$7,800	9/30/2025	
CSX 2024-273(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-274(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-275(RW)	1	$6,000	$6,000	$3,600	9/30/2025	
CSX 2024-276(GC)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-277(GC)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-278(HSR)	1	$2,000	$2,000	$1,500	9/30/2025	
CSX 2024-279(GC)	1	$2,000	$2,000	$1,500	9/30/2025	
CSX 2024-280(HMT)	1	$2,000	$2,000	$1,500	9/30/2025	
CSX 2024-281(HMT)	2	$4,000	$4,000	$3,000	9/30/2025	
CSX 2024-282(RSP)	1	$11,400	$11,400	$7,980	9/30/2025	
CSX 2024-283(LI)	2	$10,400	$10,400	$7,800	9/30/2025	
CSX 2024-284(SA)	1	$10,300	$5,200	$4,000	9/30/2025	Partially Terminated Violation(s): No. 1
CSX 2024-285(HMT)	4	$20,000	$20,000	$14,000	9/30/2025	
CSX 2024-286(GC)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-287(ROP)	1	$19,600	$19,600	$14,700	9/30/2025	
CSX 2024-288(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-289(RSP)	1	$19,600	$19,600	$13,720	9/30/2025	
CSX 2024-290(RW)	1	$10,000	$10,000	$6,500	9/30/2025	
CSX 2024-291(RW)	1	$4,100	$4,100	$3,075	9/30/2025	
CSX 2024-292(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-293(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-294(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-295(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-296(CC)	1	$15,500	$15,500	$10,075	9/30/2025	
CSX 2024-297(EQ)	1	$15,000	$15,000	$9,750	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-298(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
CSX 2024-299(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-300(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-301(SA)	1	$10,300	$10,300	$6,000	9/30/2025	
CSX 2024-302(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-303(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-304(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-305(SA)	1	$20,000	$20,000	$15,000	9/30/2025	
CSX 2024-306(FCS)	1	$4,000	$4,000	$3,000	9/30/2025	
CSX 2024-307(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-308(SA)	1	$20,600	$20,600	$15,450	9/30/2025	
CSX 2024-310(HMT)	2	$15,000	$15,000	$11,250	9/30/2025	
CSX 2024-311(RMM)	1	$10,000	$10,000	$6,500	9/30/2025	
CSX 2024-312(RMM)	1	$10,000	$10,000	$6,500	9/30/2025	
CSX 2024-313(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-315(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-316(SA)	1	$10,300	10,300	$6,695	9/30/2025	
CSX 2024-317(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-318(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-319(RSP)	1	$19,600	$19,600	$14,700	9/30/2025	
CSX 2024-320(ROP)	1	$19,600	$19,600	$14,700	9/30/2025	
CSX 2024-321(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2024-322(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2024-323(ROP)	1	$15,500	$15,500	$10,075	9/30/2025	
CSX 2024-324(ROP)	1	$15,500	$15,500	$10,850	9/30/2025	
CSX 2024-325(SI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-326(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-327(FCS)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-328(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-329(RSP)	1	$10,300	$10,300	$7,000	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-330(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-331(LI)	1	$4,100	$4,100	$3,075	9/30/2025	
CSX 2024-332(LI)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-334(RW)	1	$6,300	$6,300	$4,725	9/30/2025	
CSX 2024-335(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-336(SA)	1	$10,000	$10,000	$6,000	9/30/2025	
CSX 2024-337(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2024-338(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-339(RW)	2	$16,500	$16,500	$10,725	9/30/2025	
CSX 2024-340(RSP)	1	$11,400	$11,400	$8,550	9/30/2025	
CSX 2024-342(ROP)	1	$19,000	$19,000	$14,250	9/30/2025	
CSX 2024-343(ROP)	1	$5,200	$5,200	$3,380	9/30/2025	
CSX 2024-344(HMT)	1	$2,000	$2,000	$1,400	9/30/2025	
CSX 2024-345(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-346(SA)	1	$10,300	$5,200	$3,900	9/30/2025	Partially Terminated Violation(s): No. 1
CSX 2024-347(SA)	1	$10,000	$10,000	$6,650	9/30/2025	
CSX 2024-348(RSP)	1	$11,400	$11,400	$8,550	9/30/2025	
CSX 2024-349(TS)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-350(RSP)	1	$19,600	$19,600	$14,700	9/30/2025	
CSX 2024-351(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-352(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-353(HMT)	4	$20,000	$20,000	$14,000	9/30/2025	
CSX 2024-354(AR)	1	$2,500	$2,500	$1,875	9/30/2025	
CSX 2024-355(AR)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-356(AR)	1	$5,000	$5,000	$2,000	9/30/2025	
CSX 2024-357(SI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-358(ROP)	1	$5,200	$5,200	$3,600	9/30/2025	
CSX 2024-359(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-360(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-361(GC)	1	$10,000	$10,000	$7,500	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-362(ROP)	1	$19,600	$19,600	$14,700	9/30/2025	
CSX 2024-363(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2024-364(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-365(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-366(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2024-367(RSP)	1	$2,100	$2,100	$1,575	9/30/2025	
CSX 2024-368(ROP)	1	$17,500	$17,500	$13,125	9/30/2025	
CSX 2024-369(GC)	1	$10,000	$10,000	$7,500	9/30/2025	
CSX 2024-370(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-371(SI)	2	$4,200	$4,200	$2,700	9/30/2025	
CSX 2024-372(SI)	5	$10,500	$10,500	$6,720	9/30/2025	
CSX 2024-373(SI)	1	$2,100	$2,100	$1,575	9/30/2025	
CSX 2024-374(SI)	1	$2,100	$2,100	$1,425	9/30/2025	
CSX 2024-375(SI)	1	$2,100	$2,100	$1,575	9/30/2025	
CSX 2024-376(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-377(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
CSX 2024-378(SA)	1	$20,000	$20,000	$15,000	9/30/2025	
CSX 2024-379(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-380(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-381(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-382(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-383(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-384(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-385(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-386(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-387(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2024-388(RSP)	1	$19,600	$19,600	$14,700	9/30/2025	
CSX 2024-390(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-391(LI)	4	$20,800	$20,800	$15,600	9/30/2025	
CSX 2024-392(LI)	1	$5,200	$5,200	$3,900	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-393(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-394(SA)	2	$41,200	$41,200	$28,500	9/30/2025	
CSX 2024-395(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-396(SA)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-397(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-398(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-399(SA)	1	$5,200	$5,200	$3,550	9/30/2025	
CSX 2024-400(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-401(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-402(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-403(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-404(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-405(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-406(SA)	1	$5,200	$5,200	$3,550	9/30/2025	
CSX 2024-407(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-408(EQ)	1	$15,500	$15,500	$10,900	9/30/2025	
CSX 2024-409(CC)	1	$15,500	$15,500	$10,075	9/30/2025	
CSX 2024-410(SA)	1	$10,300	$10,300	$7,000	9/30/2025	
CSX 2024-411(SA)	1	$5,200	$5,200	$3,550	9/30/2025	
CSX 2024-412(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-413(HMT)	1	$7,500	$7,500	$5,625	9/30/2025	
CSX 2024-414(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-415(RSP)	1	$10,300	$10,300	$7,210	9/30/2025	
CSX 2024-416(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-417(RSP)	1	$10,300	$10,300	$7,210	9/30/2025	
CSX 2024-418(HMT)	2	$15,000	$15,000	$11,250	9/30/2025	
CSX 2024-419(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-420(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-421(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-422(SA)	1	$5,200	$5,200	$3,900	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-423(RW)	1	$6,200	$6,200	$4,650	9/30/2025	
CSX 2024-424(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2024-425(HMT)	2	$7,000	$7,000	$5,250	9/30/2025	
CSX 2024-426(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-427(SA)	1	$5,200	$5,200	$3,550	9/30/2025	
CSX 2024-428(RSP)	1	$11,400	$11,400	$8,550	9/30/2025	
CSX 2024-430(SA)	1	$20,600	$20,600	$14,500	9/30/2025	
CSX 2024-432(LI)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-433(LI)	2	$10,400	$10,400	$6,770	9/30/2025	
CSX 2024-434(SA)	4	$25,900	$25,900	$17,900	9/30/2025	
CSX 2024-435(SA)	1	$5,200	$5,200	$3,600	9/30/2025	
CSX 2024-436(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-437(SA)	1	$20,600	$20,600	$15,450	9/30/2025	
CSX 2024-438(SA)	1	$10,300	$10,300	$7,210	9/30/2025	
CSX 2024-439(SA)	2	$10,400	$10,400	$7,280	9/30/2025	
CSX 2024-440(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-441(SA)	1	$15,500	$15,500	$11,000	9/30/2025	
CSX 2024-442(LI)	2	$7,300	$7,300	$5,475	9/30/2025	
CSX 2024-443(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-444(LI)	1	$2,100	$2,100	$1,575	9/30/2025	
CSX 2024-445(SA)	1	$20,600	$20,600	$15,450	9/30/2025	
CSX 2024-448(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-449(LI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-450(FCS)	3	$12,300	$12,300	$9,225	9/30/2025	
CSX 2024-451(SA)	1	$5,200	$5,200	$3,500	9/30/2025	
CSX 2024-452(FCS)	1	$10,300	$10,300	$7,000	9/30/2025	
CSX 2024-453(RW)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-454(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2024-455(SI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-456(SA)	1	$10,300	$10,300	$7,000	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CSX 2024-457(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-458(HMT)	4	$20,000	$20,000	$15,000	9/30/2025	
CSX 2024-459(SA)	1	$5,200	$5,200	$3,640	9/30/2025	
CSX 2024-460(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-461(HMT)	1	$5,000	$5,000	$3,750	9/30/2025	
CSX 2024-462(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-463(SA)	1	$5,000	$5,000	$3,500	9/30/2025	
CSX 2024-464(GC)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-465(SI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-466(SI)	1	$2,100	$2,100	$1,575	9/30/2025	
CSX 2024-467(SI)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-468(GC)	1	$2,100	$2,100	$1,575	9/30/2025	
CSX 2024-469(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2024-470(TS)	1	$2,100	$2,100	$1,575	9/30/2025	
CSX 2024-471(SA)	3	$30,900	$30,900	$23,175	9/30/2025	
CSX 2025-001(ROP)	1	$15,500	$15,500	$10,500	9/30/2025	
CSX 2025-003(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2025-004(SA)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2025-005(ROP)	1	$15,500	$15,500	$11,625	9/30/2025	
CSX 2025-007(HMT)	4	$40,000	$40,000	$28,500	9/30/2025	
CSX 2025-008(RW)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2025-009(TS)	1	$10,300	$10,300	$7,725	9/30/2025	
CSX 2025-011(FCS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2025-012(TS)	1	$5,200	$5,200	$3,900	9/30/2025	
CSX 2025-013(ROP)	1	$15,000	$15,000	$11,625	9/30/2025	
CUOH 2024-001(RW)	1	$3,000	$3,000	$2,700	9/26/2025	
CVR 2024-001(AD)	1	$5,000	$5,000	$3,500	4/11/2025	
CVSX 2024-001(RSP)	1	$10,300	$10,300	$8,300	5/22/2025	
CVSX 2024-002(AR)	1	$2,500	$2,500	$1,875	5/22/2025	
CVSX 2024-003(AR)	1	$5,000	$5,000	$4,000	4/2/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
CW 2024-001(AD)	1	$2,500	$2,500	$2,500	11/5/2024	
CWRO 2024-001(AD)	1	$10,000	$10,000	$7,500	6/18/2025	
CWRO 2024-002(EQ)	1	$15,000	$15,000	$11,250	6/18/2025	
CWRO 2024-003(EQ)	1	$5,000	$5,000	$3,750	6/18/2025	
CWRO 2024-004(EQ)	1	$2,000	$2,000	$1,500	6/18/2025	
CWRO 2025-001(AR)	1	$5,000	$2,500	$1,750	8/5/2025	Partially Terminated Violation(s): No. 1
CWRO 2025-002(AR)	1	$5,000	$5,000	$3,500	8/5/2025	
CWRY 2024-001(TS)	1	$10,300	$10,300	$7,725	9/26/2025	
CWRY 2025-001(RSP)	1	$19,600	$19,600	$14,700	9/26/2025	
CWRY 2025-002(RSP)	1	$19,600	$19,600	$14,700	9/26/2025	
CWW 2024-001(HMT)	1	$7,500	$7,500	$5,500	4/24/2025	
CXRG 2024-001(TS)	1	$10,300	$10,300	$7,500	12/5/2024	
DCR 2024-002(RW)	1	$4,100	$4,100	$2,500	1/21/2025	
DEAD 2025-001(HMT)	1	$2,000	$2,000	$2,000	5/14/2025	
DGNO 2024-001(TS)	1	$10,300	$10,300	$8,700	9/26/2025	
DGNO 2025-001(ROP)	1	$15,500	$15,500	$11,625	9/26/2025	
DREI 2025-001(AD)	1	$5,200	$5,200	$4,108	9/3/2025	
EBSR 2025-001(ROP)	1	$4,100	$4,100	$4,100	9/4/2025	
EJR 2024-001(TS)	1	$10,300	$10,300	$5,500	4/15/2025	
ELS 2023-001(SA)	1	$5,000	$5,000	$2,000	4/30/2025	
ELS 2023-002(SA)	1	$10,000	$10,000	$2,500	4/30/2025	
ELS 2023-003(ROP)	1	$15,000	$0	$0	3/12/2025	Case Terminated
ELS 2024-001(SA)	2	$20,000	$20,000	$4,900	4/30/2025	
ELS 2024-002(EQ)	1	$15,000	$15,000	$3,500	4/30/2025	
ELS 2024-003(SA)	1	$10,000	$10,000	$4,000	4/30/2025	
ELS 2024-004(RSP)	1	$5,500	$5,500	$2,500	4/30/2025	
ELS 2024-005(TS)	1	$2,100	$2,100	$1,100	4/30/2025	
ELS 2025-001(TS)	1	$5,200	$5,200	$1,300	4/30/2025	
ELS 2025-002(TS)	4	$20,800	$20,800	$6,100	4/30/2025	
ELS 2025-003(TS)	1	$10,300	$10,300	$2,800	4/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ELS 2025-004(SA)	1	$5,200	$5,200	$1,750	4/30/2025	
ELS 2025-005(SA)	1	$5,200	$5,200	$2,100	4/30/2025	
ELS 2025-006(SA)	1	$10,300	$10,300	$4,000	4/30/2025	
FEC 2024-014(SA)	1	$10,300	$10,300	$7,250	9/30/2025	
FEC 2024-015(SA)	1	$10,300	$10,300	$7,320	9/30/2025	
FEC 2024-016(SI)	5	$10,500	$10,500	$7,675	9/30/2025	
FEC 2024-018(GC)	1	$10,300	$10,300	$7,450	9/30/2025	
FEC 2024-019(GC)	1	$20,600	$20,600	$10,300	9/30/2025	
FEC 2024-020(TS)	1	$5,200	$5,200	$3,640	9/30/2025	
FEC 2024-021(SA)	1	$10,300	$10,300	$6,800	9/30/2025	
FEC 2024-022(SA)	1	$10,300	$10,300	$6,800	9/30/2025	
FEC 2024-023(SA)	1	$10,300	$10,300	$6,800	9/30/2025	
FEC 2024-024(FCS)	1	$4,100	$4,100	$2,870	9/30/2025	
FEC 2024-025(SA)	1	$10,300	$10,300	$7,450	9/30/2025	
FEC 2024-026(FCS)	1	$4,100	$4,100	$2,870	9/30/2025	
FEC 2024-027(SA)	1	$10,300	$10,300	$7,450	9/30/2025	
FEC 2024-028(FCS)	1	$4,100	$4,100	$3,075	9/30/2025	
FEC 2024-030(TS)	1	$10,300	$10,300	$7,400	9/30/2025	
FEC 2024-031(AD)	1	$5,200	$5,200	$3,900	9/30/2025	
FEC 2024-032(EP)	1	$2,100	$2,100	$1,470	9/30/2025	
FEC 2025-001(ROP)	1	$9,500	$9,500	$6,825	9/30/2025	
FEC 2025-002(HSR)	1	$2,100	$2,100	$1,470	9/30/2025	
FEC 2025-003(ROP)	1	$19,600	$19,600	$14,310	9/30/2025	
FEC 2025-004(GC)	1	$10,300	$10,300	$7,250	9/30/2025	
FEC 2025-005(SA)	1	$10,300	$10,300	$7,250	9/30/2025	
FEC 2025-006(SA)	2	$20,600	$20,600	$14,420	9/30/2025	
FEC 2025-007(SA)	1	$5,200	$5,200	$3,720	9/30/2025	
FEC 2025-008(ROP)	1	$19,600	$19,600	$14,110	9/30/2025	
FEC 2025-009(SA)	2	$15,500	$15,500	$10,850	9/30/2025	
FEC 2025-010(SA)	1	$5,200	$5,200	$3,640	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
FEC 2025-011(SA)	1	$5,200	$5,200	$3,640	9/30/2025	
FEC 2025-012(SA)	1	$5,200	$5,200	$3,720	9/30/2025	
FEC 2025-013(SA)	1	$5,200	$5,200	$3,640	9/30/2025	
FEC 2025-014(GC)	1	$10,300	$10,300	$7,375	9/30/2025	
FEC 2025-015(ROP)	1	$19,600	$19,600	$14,210	9/30/2025	
FEC 2025-016(ROP)	1	$19,600	$19,600	$14,210	9/30/2025	
FEC 2025-017(ROP)	1	$19,600	$19,600	$14,210	9/30/2025	
FEC 2025-018(SA)	2	$10,400	$10,400	$7,350	9/30/2025	
FEC 2025-019(SA)	1	$10,300	$10,300	$7,295	9/30/2025	
FEC 2025-020(SA)	1	$10,300	$10,300	$7,250	9/30/2025	
FEC 2025-021(ROP)	1	$19,600	$19,600	$14,160	9/30/2025	
FEC 2025-022(SA)	1	$10,300	$10,300	$7,250	9/30/2025	
FEC 2025-023(SA)	1	$10,300	$10,300	$7,380	9/30/2025	
FEC 2025-024(SA)	1	$10,300	$10,300	$7,250	9/30/2025	
FEC 2025-025(SA)	1	$10,300	$10,300	$7,250	9/30/2025	
FEC 2025-026(ROP)	1	$19,600	$19,600	$13,920	9/30/2025	
FEC 2025-027(TS)	3	$30,900	$30,900	$19,500	9/30/2025	
FGLK 2020-001(LI)	1	$2,500	$2,500	$1,750	6/10/2025	
FGLK 2022-001(TS)	1	$5,000	$5,000	$3,250	6/10/2025	
FGLK 2022-002(TS)	1	$5,000	$5,000	$3,250	6/10/2025	
FGLK 2023-001(SA)	1	$10,000	$10,000	$6,500	6/10/2025	
FGLK 2023-002(AR)	1	$2,500	$2,500	$1,250	6/10/2025	
FGLK 2023-003(AR)	1	$2,500	$2,500	$1,250	6/10/2025	
FGLK 2023-004(AR)	1	$2,500	$2,500	$1,250	6/10/2025	
FGLK 2023-005(SA)	1	$10,000	$10,000	$6,500	6/10/2025	
FGLK 2023-006(SA)	1	$5,000	$5,000	$3,250	6/10/2025	
FOXY 2024-002(LI)	1	$5,200	$5,200	$3,640	9/3/2025	
FOXY 2024-003(ROP)	1	$15,500	$15,500	$10,850	9/3/2025	
FOXY 2024-004(SA)	1	$5,200	$5,200	$3,640	9/3/2025	
GC 2025-001(TS)	2	$20,600	$20,600	$16,400	9/26/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
GDLK 2025-001(TS)	1	$10,300	$10,300	$6,386	9/3/2025	
GFRR 2024-001(TS)	1	$10,300	$0	$0	4/2/2025	Case Terminated
GFRR 2025-001(TS)	2	$20,600	$20,600	$15,450	5/30/2025	
GLC 2024-001(SA)	1	$5,000	$5,000	$2,900	5/27/2025	
GLC 2024-002(LI)	1	$5,200	$5,200	$3,250	5/27/2025	
GLC 2024-003(HS)	1	$5,200	$5,200	$3,250	5/27/2025	
GMRC 2024-001(GC)	1	$10,300	$10,300	$6,800	5/27/2025	
GNWR 2024-001(SA)	1	$10,300	$10,300	$7,725	9/26/2025	
GNWR 2024-002(AD)	3	$30,900	$20,600	$2,200	9/26/2025	Terminated Violation(s): Nos. 2-3
GNWR 2024-003(AD)	3	$30,900	$0	$0	9/26/2025	Case Terminated
GNWR 2024-004(AD)	3	$30,900	$0	$0	9/26/2025	Case Terminated
GRW 2025-001(AD)	1	$5,000	$5,000	$3,800	6/20/2025	
HOB 2025-001(ROP)	1	$19,600	$19,600	$1,086	9/3/2025	
IHB 2024-006(SA)	1	$5,000	$5,000	$3,650	5/20/2025	
IHB 2024-007(SA)	1	$5,200	$5,200	$3,800	5/20/2025	
IHB 2025-001(LI)	1	$5,200	$5,200	$3,650	5/20/2025	
IHB 2025-002(FCS)	1	$10,300	$10,300	$7,500	5/20/2025	
IHB 2025-003(SA)	1	$5,200	$5,200	$3,800	5/20/2025	
IHB 2025-004(SA)	1	$5,200	$5,200	$3,800	5/20/2025	
IHB 2025-005(LI)	1	$5,200	$5,200	$3,800	5/20/2025	
IHB 2025-006(LI)	1	$5,200	$5,200	$3,800	5/20/2025	
IORY 2024-007(GC)	1	$2,100	$2,100	$1,500	9/26/2025	
IORY 2024-008(ROP)	1	$9,500	$0	$0	9/26/2025	Case Terminated
IORY 2024-009(GC)	1	$10,300	$10,300	$6,000	9/26/2025	
IORY 2024-010(GC)	1	$10,300	$10,300	$6,000	9/26/2025	
IORY 2025-001(SA)	1	$5,000	$5,000	$3,750	9/26/2025	
IORY 2025-002(GC)	1	$10,300	$10,300	$6,000	9/26/2025	
IORY 2025-003(GC)	1	$10,300	$10,300	$6,000	9/26/2025	
ITHR 2025-001(TS)	1	$10,300	$10,300	$5,150	9/3/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
KNWA 2024-001(RSP)	1	$19,600	$19,600	$12,740	9/3/2025	
KNWA 2024-002(RSP)	1	$19,600	$19,600	$12,740	9/3/2025	
KO 2025-001(AD)	1	$5,200	$5,200	$4,160	9/3/2025	
KO 2025-002(AD)	1	$5,000	$5,000	$3,950	9/3/2025	
KRM 2024-001(TS)	1	$5,200	$5,200	$2,800	4/10/2025	
KRR 2024-003(TS)	4	$36,100	$36,100	$27,075	9/26/2025	
KRR 2024-004(TS)	9	$18,900	$18,900	$14,175	9/26/2025	
KRR 2024-005(SA)	1	$10,300	$10,300	$7,725	9/26/2025	
KRR 2024-006(TS)	1	$2,100	$2,100	$1,575	9/26/2025	
KRR 2024-007(TS)	1	$10,300	$10,300	$7,725	9/26/2025	
KRR 2025-001(TS)	1	$5,200	$5,200	$3,900	9/26/2025	
KRR 2025-002(TS)	1	$10,300	$10,300	$7,725	9/26/2025	
KRR 2025-003(TS)	1	$10,300	$10,300	$7,725	9/26/2025	
KRR 2025-004(TS)	1	$5,200	$5,200	$3,900	9/26/2025	
KRR 2025-005(TS)	1	$5,200	$5,200	$3,900	9/26/2025	
KT 2025-001(ROP)	1	$15,500	$0	$0	7/29/2025	Case Terminated
KYLE 2024-001(TS)	1	$5,200	$5,200	$4,300	9/26/2025	
KYLE 2024-002(TS)	1	$5,200	$5,200	$4,300	9/26/2025	
KYLE 2024-003(TS)	1	$2,100	$2,100	$1,750	9/26/2025	
KYLE 2024-004(TS)	1	$5,200	$5,200	$4,300	9/26/2025	
KYLE 2024-005(TS)	1	$5,200	$5,200	$4,300	9/26/2025	
KYLE 2024-006(TS)	1	$10,300	$10,300	$8,500	9/26/2025	
KYLE 2024-007(TS)	1	$10,300	$10,300	$8,500	9/26/2025	
KYLE 2024-008(TS)	1	$5,200	$5,200	$4,300	9/26/2025	
KYLE 2025-001(TS)	1	$5,200	$5,200	$4,300	9/26/2025	
LAJ 2024-001(SA)	1	$10,000	$10,000	$7,500	9/30/2025	
LAJ 2024-002(SA)	1	$10,300	$10,300	$7,725	9/30/2025	
LAL 2021-001(SA)	1	$2,500	$2,500	$2,500	12/16/2024	
LAL 2022-001(TS)	1	$5,000	$5,000	$5,000	12/16/2024	
LAL 2022-002(TS)	1	$5,000	$5,000	$5,000	12/16/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
LAL 2022-003(CC)	1	$2,000	$2,000	$2,000	12/16/2024	
LAL 2024-001(SA)	1	$5,000	$5,000	$5,000	5/13/2025	
LAMX 2020-001(GC)	1	$1,000	$1,000	$1,000	1/8/2025	
LAMX 2020-002(GC)	1	$5,000	$5,000	$5,000	5/16/2025	
LAS 2024-001(ROR)	1	$20,000	$20,000	$13,000	9/3/2025	
LAS 2025-001(AD)	1	$5,200	$5,200	$3,900	9/3/2025	
LAS 2025-002(AD)	1	$5,200	$5,200	$3,640	9/3/2025	
LBWR 2024-002(TS)	1	$10,300	$10,300	$7,416	9/3/2025	
LC 2017-001(TS)	1	$5,000	$0	$0	5/16/2025	Case Terminated
LC 2018-001(RMM)	1	$2,500	$0	$0	5/16/2025	Case Terminated
LC 2019-001(ROP)	1	$7,500	$0	$0	5/17/2025	Case Terminated
LC 2019-002(TS)	1	$5,000	$0	$0	5/17/2025	Case Terminated
LC 2020-001(SA)	1	$5,000	$0	$0	5/17/2025	Case Terminated
LEMZ 2025-001(HMT)	1	$5,000	$5,000	$5,000	5/29/2025	
LI 2023-001(AR)	1	$2,500	$2,500	$1,250	11/11/2024	
LI 2023-002(ROP)	1	$9,500	$9,500	$6,900	11/11/2024	
LI 2023-003(ROP)	1	$9,500	$9,500	$6,900	11/11/2024	
LI 2023-004(AR)	1	$5,000	$5,000	$3,000	11/11/2024	
LI 2023-005(AR)	1	$2,500	$2,500	$1,875	11/11/2024	
LI 2023-006(AR)	1	$2,500	$2,500	$1,875	11/11/2024	
LI 2023-007(AR)	1	$2,500	$2,500	$1,875	11/11/2024	
LI 2023-008(AR)	1	$2,500	$2,500	$1,750	11/11/2024	
LI 2023-009(AR)	1	$2,500	$2,500	$1,825	11/11/2024	
LI 2023-010(AR)	1	$2,500	$2,500	$1,750	11/11/2024	
LI 2023-011(AR)	1	$2,500	$2,500	$1,800	11/11/2024	
LI 2023-012(AR)	1	$2,500	$2,500	$1,800	11/11/2024	
LIRC 2024-001(ROP)	1	$15,500	$15,500	$8,500	3/27/2025	
LMIX 2024-001(RW)	2	$13,000	$13,000	$13,000	5/13/2025	
LRPA 2021-001(ROP)	1	$7,500	$7,500	$7,500	1/29/2025	
LRPA 2021-002(ROP)	1	$7,500	$7,500	$7,500	1/29/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
LRPA 2021-003(TS)	2	$7,500	$7,500	$7,500	6/9/2025	
LRPA 2022-001(ROP)	1	$9,500	$9,500	$9,500	6/9/2025	
LRPA 2022-002(TS)	1	$2,500	$2,500	$2,500	6/9/2025	
LRPA 2024-001(TS)	1	$10,000	$10,000	$10,000	6/9/2025	
LSRC 2024-001(SA)	1	$5,000	$5,000	$4,000	10/23/2024	
LSRC 2024-002(SA)	1	$10,000	$10,000	$7,500	10/23/2024	
LSRC 2024-004(FCS)	1	$2,000	$2,000	$1,700	10/23/2024	
LSRC 2024-005(SA)	1	$5,000	$5,000	$4,000	10/30/2024	
LSRC 2024-006(ROP)	1	$13,000	$13,000	$9,750	10/30/2024	
LSRC 2024-007(SA)	1	$10,000	$10,000	$7,000	10/30/2024	
LSRC 2024-008(SA)	1	$5,200	$5,200	$4,000	10/30/2024	
LSRC 2024-009(ROP)	1	$15,500	$15,500	$10,000	11/19/2024	
LSRC 2024-010(EQ)	1	$15,500	$15,500	$8,800	3/7/2025	
LSRC 2024-011(SA)	1	$5,200	$5,200	$2,750	12/10/2024	
LVR 2020-001(HMT)	3	$6,000	$6,000	$3,000	12/11/2024	
LVRR 2024-001(SA)	1	$10,000	$10,000	$6,500	3/27/2025	
LVRR 2025-001(SA)	1	$10,300	$10,300	$5,150	9/19/2025	
MCRL 2024-007(TS)	4	$8,400	$8,400	$5,800	3/25/2025	
MCRL 2025-001(GC)	1	$5,150	$5,150	$3,300	7/28/2025	
MDW 2025-001(SA)	1	$10,300	$10,300	$6,695	1/17/2025	
MER 2024-001(TS)	2	$20,600	$20,600	$12,360	11/19/2024	
MNC 2025-001(EQ)	1	$2,100	$2,100	$2,100	7/17/2025	
MNCW 2024-004(ROP)	1	$15,500	$15,500	$10,075	8/15/2025	
MNCW 2024-005(RSP)	1	$10,300	$10,300	$7,004	8/15/2025	
MNCW 2024-006(SI)	1	$10,300	$10,300	$7,004	8/15/2025	
MNCW 2025-001(ROP)	1	$15,500	$15,500	$10,540	8/15/2025	
MNCW 2025-002(ROP)	1	$15,500	$15,500	$10,540	8/15/2025	
MNCW 2025-003(ROP)	1	$15,500	$15,500	$10,540	8/15/2025	
MNCW 2025-004(RSP)	1	$10,300	$10,300	$7,004	8/15/2025	
MNN 2024-001(TS)	1	$2,000	$2,000	$1,200	10/21/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
MNN 2024-002(TS)	3	$15,600	$15,600	$9,984	3/13/2025	
MQT 2024-001(SA)	1	$5,000	$5,000	$3,750	9/26/2025	
MQT 2025-001(SA)	1	$10,300	$10,300	$7,725	9/26/2025	
MQT 2025-002(FCS)	1	$2,100	$2,100	$1,575	9/26/2025	
MRL 2024-002(HSR)	1	$2,100	$2,100	$2,100	12/11/2024	
MZQ 2024-001(HMT)	1	$5,000	$5,000	$3,750	7/11/2025	
NCIR 2025-001(SA)	1	$10,300	$10,300	$1,114	4/16/2025	
NIRC 2024-002(HS)	1	$10,000	$10,000	$5,000	9/23/2025	
NIRC 2024-003(ROP)	1	$15,500	$15,500	$7,750	9/23/2025	
NIRC 2024-004(AD)	2	$20,600	$20,600	$10,300	9/23/2025	
NIRC 2024-005(AD)	1	$10,300	$10,300	$5,150	9/23/2025	
NIRC 2024-006(AD)	1	$10,000	$10,000	$5,000	9/23/2025	
NIRC 2024-007(AD)	1	$10,000	$10,000	$5,000	9/23/2025	
NIRC 2025-001(AD)	1	$10,000	$10,000	$5,000	9/23/2025	
NIRC 2025-002(AD)	1	$10,000	$10,000	$5,000	9/23/2025	
NIRC 2025-003(AD)	1	$10,000	$10,000	$5,000	9/23/2025	
NIRC 2025-004(GC)	1	$10,300	$10,300	$5,150	9/23/2025	
NSSX 2025-001(EQ)	1	$15,500	$15,500	$1,086	7/2/2025	
NSSX 2025-002(CC)	1	$15,500	$15,500	$1,086	7/2/2025	
NYSW 2023-001(LI)	1	$2,500	$2,500	$1,500	8/19/2025	
NYSW 2023-002(SA)	1	$2,500	$2,500	$1,052	8/19/2025	
NYSW 2025-001(GC)	1	$5,200	$5,200	$3,120	8/19/2025	
NYSW 2025-002(SA)	1	$10,300	$5,200	$2,600	9/15/2025	Partially Terminated Violation(s): No. 1
OHCR 2024-001(AD)	1	$5,200	$5,200	$3,900	9/26/2025	
PCC 2024-001(GC)	1	$10,000	$10,000	$6,500	9/3/2025	
PHRR 2023-001(HS)	4	$8,000	$8,000	$6,000	3/17/2025	
PHRR 2023-002(HS)	2	$4,000	$4,000	$2,000	3/17/2025	
PHRR 2024-001(SA)	1	$10,300	$10,300	$6,000	3/17/2025	
PHRR 2024-002(SA)	1	$10,000	$10,000	$6,000	3/17/2025	
PNR 2024-001(ROP)	1	$15,000	$15,000	$11,000	12/4/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
PNWR 2024-001(HMT)	1	$6,000	$6,000	$4,500	9/26/2025	
PNWR 2025-001(TS)	1	$5,200	$5,200	$3,900	9/26/2025	
PNWR 2025-002(TS)	1	$10,300	$10,300	$7,725	9/26/2025	
PTO 2022-001(ROP)	1	$9,500	$9,500	$3,750	12/3/2024	
PTO 2023-001(ROP)	1	$7,500	$7,500	$4,500	12/2/2024	
PTO 2023-004(EQ)	1	$15,000	$15,000	$9,000	12/2/2024	
PTO 2023-005(CC)	1	$10,000	$10,000	$6,000	12/2/2024	
PTRA 2017-001(HMT)	2	$9,500	$9,500	$6,000	11/15/2024	
PTRA 2018-001(HMT)	3	$10,000	$2,500	$2,500	11/15/2024	Partially Terminated Violation(s): No. 1; Terminated Violation(s): Nos. 2-3
PTRA 2018-002(ROP)	1	$2,000	$2,000	$1,700	11/15/2024	
PTRA 2018-003(SA)	1	$2,500	$2,500	$1,900	11/15/2024	
PTRA 2019-002(HMT)	1	$7,000	$7,000	$5,750	11/15/2024	
PTRA 2020-001(ROP)	1	$7,500	$7,500	$5,750	11/15/2024	
PTRA 2020-002(ROP)	1	$2,000	$2,000	$1,750	11/15/2024	
PTRA 2020-003(SA)	1	$5,000	$5,000	$3,750	11/15/2024	
PTRA 2020-004(ROP)	1	$2,000	$2,000	$1,750	11/15/2024	
PTRA 2020-005(ROP)	1	$2,000	$2,000	$1,750	11/15/2024	
PTRA 2020-006(ROP)	1	$2,000	$2,000	$1,750	11/15/2024	
PTRA 2022-001(EQ)	1	$6,000	$6,000	$4,750	11/15/2024	
PTRA 2024-001(HMT)	1	$5,000	$5,000	$4,000	11/25/2024	
PW 2025-001(EQ)	1	$10,300	$0	$0	9/26/2025	Case Terminated
PW 2025-002(ROR)	1	$19,600	$0	$0	9/26/2025	Case Terminated
PW 2025-003(CC)	1	$5,200	$5,200	$4,250	9/26/2025	
RCPE 2024-001(SA)	1	$10,300	$10,300	$7,725	9/26/2025	
RJCC 2024-002(AD)	1	$5,000	$5,000	$1,250	12/5/2024	
RJCC 2024-003(AD)	1	$5,000	$5,000	$1,250	12/5/2024	
RJCC 2024-004(AD)	1	$5,000	$5,000	$1,250	12/5/2024	
RJCK 2024-001(AD)	1	$5,000	$5,000	$1,250	12/5/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
RJCK 2024-002(AD)	1	$2,000	$2,000	$2,000	12/5/2024	
RJCM 2024-001(AD)	1	$5,000	$5,000	$1,250	12/5/2024	
RJCM 2024-002(AD)	1	$5,000	$5,000	$5,000	12/5/2024	
RJCP 2024-002(AD)	1	$5,000	$5,000	$1,250	12/5/2024	
RJCS 2024-001(AD)	1	$2,500	$2,500	$1,750	12/5/2024	
RJCS 2024-002(AD)	1	$5,200	$5,200	$5,200	12/5/2024	
RVSC 2025-001(GC)	1	$5,200	$5,200	$2,600	7/31/2025	
RVSC 2025-002(GC)	1	$2,100	$2,100	$2,100	6/26/2025	
RVSC 2025-003(GC)	1	$2,100	$0	$0	7/22/2025	Case Terminated
RYIZ 2025-001(HMT)	1	$5,000	$5,000	$5,000	8/12/2025	
SB 2024-001(FCS)	1	$5,200	$5,200	$3,900	9/26/2025	
SCBG 2020-001(GC)	1	$5,000	$0	$0	9/30/2025	Case Terminated
SCBG 2022-001(GC)	1	$2,500	$2,500	$976	9/30/2025	
SCBG 2022-002(GC)	1	$2,500	$2,500	$976	9/30/2025	
SCCT 2020-001(GC)	1	$5,000	$0	$0	9/29/2025	Case Terminated
SCRF 2025-001(RSP)	1	$2,100	$2,100	$1,575	9/26/2025	
SCXF 2020-001(AD)	1	$5,000	$5,000	$2,750	7/10/2025	
SCXF 2024-001(GC)	1	$5,200	$5,200	$3,745	7/10/2025	
SCXF 2025-001(GC)	1	$10,300	$10,300	$6,695	7/10/2025	
SCXY 2019-001(TS)	1	$5,000	$0	$0	9/29/2025	Case Terminated
SFRV 2024-001(TS)	5	$10,000	$10,000	$7,000	3/17/2025	
SFRV 2024-002(ROP)	1	$15,500	$15,500	$10,850	3/17/2025	
SFRV 2024-003(TS)	1	$10,300	$10,300	$10,300	11/25/2024	
SFRV 2024-004(TS)	1	$10,300	$10,300	$10,300	11/25/2024	
SFS 2018-001(GC)	2	$5,000	$0	$0	9/29/2025	Case Terminated
SKOL 2024-001(GC)	1	$10,300	$10,300	$7,210	9/3/2025	
SKOL 2025-001(GC)	1	$5,200	$5,200	$4,056	9/3/2025	
SKOL 2025-002(GC)	1	$2,100	$2,100	$1,638	9/3/2025	
SKOL 2025-003(GC)	1	$2,100	$2,100	$1,638	9/3/2025	
SKOL 2025-004(GC)	1	$5,200	$5,200	$4,056	9/3/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SKOL 2025-005(GC)	1	$5,200	$5,200	$4,056	9/3/2025	
SKOL 2025-006(AD)	1	$5,000	$5,000	$3,800	9/3/2025	
SKOL 2025-007(AD)	1	$5,200	$5,200	$3,900	9/3/2025	
SKOL 2025-008(AD)	1	$5,200	$5,200	$3,900	9/3/2025	
SKOL 2025-009(AD)	1	$5,000	$5,000	$3,850	9/3/2025	
SKOL 2025-010(AD)	1	$5,000	$5,000	$3,950	9/3/2025	
SKOL 2025-011(EQ)	1	$15,000	$15,000	$10,200	9/3/2025	
SLC 2019-001(TS)	1	$5,000	$0	$0	9/29/2025	Case Terminated
SMRR 2019-001(TS)	1	$5,000	$0	$0	9/29/2025	Case Terminated
SOU 2024-082(FCS)	1	$4,000	$4,000	$3,000	9/23/2025	
SOU 2024-086(HMT)	2	$4,000	$4,000	$3,000	9/23/2025	
SOU 2024-087(SA)	1	$10,000	$10,000	$7,000	9/23/2025	
SOU 2024-088(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-089(FCS)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-090(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-091(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-092(HMT)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-093(HSR)	2	$4,000	$4,000	$3,000	9/23/2025	
SOU 2024-094(LI)	1	$4,000	$4,000	$3,000	9/23/2025	
SOU 2024-095(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-096(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-097(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-098(HS)	1	$2,000	$2,000	$1,500	9/23/2025	
SOU 2024-099(HS)	1	$2,000	$2,000	$1,500	9/23/2025	
SOU 2024-100(HS)	1	$2,000	$2,000	$1,500	9/23/2025	
SOU 2024-101(FCS)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-102(GC)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-102(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-103(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-103(SA)	1	$10,000	$10,000	$7,500	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-104(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-105(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-105(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-106(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-106(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-107(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-108(SA)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-109(SA)	1	$10,000	$10,000	$7,500	9/23/2025	Partially Terminated Violation(s): No. 1
SOU 2024-110(FCS)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-111(SA)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-112(SA)	1	$10,000	$10,000	$7,500	9/23/2025	Partially Terminated Violation(s): No. 1
SOU 2024-113(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-114(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-115(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-116(FCS)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-117(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-118(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-119(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-120(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-121(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-122(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-123(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-124(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-125(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-126(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-127(SA)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-128(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-129(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-130(ROP)	1	$15,000	$15,000	$11,250	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-131(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-132(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-133(ROP)	1	$15,000	$15,000	$12,750	9/23/2025	
SOU 2024-134(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-135(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-136(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-137(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-138(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-139(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-140(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-141(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-142(SA)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-143(FCS)	1	$10,000	$10,000	$1,114	9/23/2025	
SOU 2024-144(SA)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-145(FCS)	1	$5,000	$5,000	$3,500	9/23/2025	
SOU 2024-146(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-147(SA)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-148(FCS)	1	$5,000	$5,000	$3,500	9/23/2025	
SOU 2024-149(FCS)	1	$2,500	$2,500	$1,750	9/23/2025	
SOU 2024-150(FCS)	1	$5,000	$5,000	$3,500	9/23/2025	
SOU 2024-151(FCS)	1	$5,000	$5,000	$3,500	9/23/2025	
SOU 2024-152(FCS)	1	$5,000	$5,000	$3,500	9/23/2025	
SOU 2024-153(LI)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-154(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-155(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-156(FCS)	1	$5,000	$5,000	$3,500	9/23/2025	
SOU 2024-157(FCS)	1	$5,000	$5,000	$3,500	9/23/2025	
SOU 2024-158(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-159(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-160(SA)	1	$10,000	$10,000	$7,500	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-161(FCS)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-162(FCS)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-163(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-164(FCS)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-165(FCS)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-166(ROP)	1	$4,000	$4,000	$3,000	9/23/2025	
SOU 2024-167(FCS)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-168(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-169(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-170(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-171(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-172(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-173(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-174(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-175(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-176(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-177(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-178(GC)	1	$5,000	$5,000	$4,250	9/23/2025	
SOU 2024-179(GC)	1	$10,000	$0	$0	9/23/2025	Case Terminated
SOU 2024-180(GC)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-181(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-182(SA)	1	$10,000	$10,000	$7,400	9/23/2025	
SOU 2024-183(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-184(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-185(FCS)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-186(ROP)	1	$15,500	$15,500	$13,175	9/23/2025	
SOU 2024-187(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-188(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-189(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-190(ROP)	1	$19,600	$19,600	$16,650	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-191(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-192(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-193(SA)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-194(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-195(SA)	1	$5,000	$5,000	$3,700	9/23/2025	
SOU 2024-196(RSP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2024-197(ROP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2024-198(FCS)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-199(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-200(GC)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-201(LI)	1	$5,000	$5,000	$1,052	9/23/2025	
SOU 2024-202(SI)	1	$5,000	$5,000	$1,052	9/23/2025	
SOU 2024-203(FCS)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-204(SA)	1	$5,000	$5,000	$3,700	9/23/2025	
SOU 2024-205(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-206(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-207(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-208(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-209(LI)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-210(LI)	1	$2,000	$2,000	$1,500	9/23/2025	
SOU 2024-211(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-212(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-213(SA)	1	$10,000	$10,000	$7,000	9/23/2025	
SOU 2024-215(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-216(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-217(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-218(HMT)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-219(LI)	1	$10,300	$10,300	$7,100	9/23/2025	
SOU 2024-221(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-222(SA)	1	$10,000	$10,000	$7,500	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-223(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-224(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-225(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-226(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-227(LI)	1	$4,100	$4,100	$3,075	9/23/2025	
SOU 2024-228(LI)	1	$4,000	$4,000	$3,000	9/23/2025	
SOU 2024-229(LI)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-230(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-231(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-232(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-233(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-234(SA)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-235(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-236(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-237(ROP)	1	$15,500	$15,500	$13,175	9/23/2025	
SOU 2024-238(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-239(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-240(SI)	1	$5,200	$5,200	$3,120	9/23/2025	
SOU 2024-241(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-242(LI)	1	$5,200	$0	$0	9/23/2025	Case Terminated
SOU 2024-243(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-244(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-245(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-246(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-247(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-248(TS)	2	$10,400	$10,400	$7,800	9/23/2025	
SOU 2024-249(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-250(SI)	1	$5,200	$5,200	$3,120	9/23/2025	
SOU 2024-251(ROP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2024-252(LI)	1	$4,100	$4,100	$3,075	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-253(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-254(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-255(AR)	1	$10,000	$10,000	$6,200	9/23/2025	
SOU 2024-256(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-257(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-258(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-259(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-260(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-261(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-262(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-263(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-264(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-265(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-266(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-267(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-268(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-269(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-270(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-271(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-272(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-273(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-274(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-275(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-276(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-277(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-278(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-279(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-280(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-281(CC)	1	$2,100	$2,100	$1,300	9/23/2025	
SOU 2024-282(ROP)	1	$15,500	$15,500	$13,175	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-283(RMM)	1	$4,100	$4,100	$3,075	9/23/2025	
SOU 2024-284(GC)	1	$10,000	$0	$0	9/23/2025	Case Terminated
SOU 2024-285(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-286(LI)	1	$2,100	$2,100	$1,575	9/23/2025	
SOU 2024-287(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-288(LI)	3	$15,600	$15,600	$11,700	9/23/2025	
SOU 2024-289(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-290(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-291(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-292(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-293(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-294(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-295(SA)	1	$10,300	$10,300	$7,250	9/23/2025	
SOU 2024-296(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-297(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-298(AR)	1	$5,200	$5,000	$3,224	9/23/2025	Partially Terminated Violation(s): No. 1
SOU 2024-299(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-300(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-301(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-302(AR)	1	$5,200	$5,000	$3,224	9/23/2025	Partially Terminated Violation(s): No. 1
SOU 2024-303(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-304(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-305(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-306(AR)	1	$5,200	$5,000	$3,224	9/23/2025	Partially Terminated Violation(s): No. 1
SOU 2024-307(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-308(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-309(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-310(AR)	1	$5,200	$5,200	$3,380	9/23/2025	
SOU 2024-311(AR)	1	$5,000	$5,000	$3,250	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-312(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-313(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-314(AR)	1	$5,200	$5,200	$3,380	9/23/2025	
SOU 2024-315(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-316(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-317(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-318(AR)	1	$35,516	$35,516	$22,020	9/23/2025	
SOU 2024-319(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-320(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-321(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-322(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-323(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-324(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-325(AR)	1	$10,000	$10,000	$6,200	9/23/2025	
SOU 2024-326(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-327(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-328(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-329(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-330(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-331(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-332(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-333(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-334(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-335(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-336(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-337(AR)	1	$10,000	$10,000	$6,200	9/23/2025	
SOU 2024-338(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-339(AR)	1	$10,000	$10,000	$6,200	9/23/2025	
SOU 2024-340(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-341(AR)	1	$10,000	$10,000	$6,500	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-342(AR)	1	$5,000	$5,000	$3,250	9/23/2025	
SOU 2024-343(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-344(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-345(AR)	1	$10,000	$10,000	$6,500	9/23/2025	
SOU 2024-346(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-347(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-348(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-349(SA)	2	$20,600	$20,600	$15,450	9/23/2025	
SOU 2024-350(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-351(FCS)	1	$10,300	$10,300	$7,500	9/23/2025	
SOU 2024-352(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-353(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-354(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-355(LI)	2	$10,400	$10,400	$7,800	9/23/2025	
SOU 2024-356(LI)	5	$25,000	$25,000	$18,750	9/23/2025	
SOU 2024-357(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-358(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-359(LI)	2	$10,400	$10,400	$7,800	9/23/2025	
SOU 2024-360(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-361(FCS)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-362(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-363(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-364(ROP)	3	$46,500	$46,500	$34,875	9/23/2025	
SOU 2024-365(FCS)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-366(ROP)	2	$3,996	$3,996	$2,997	9/23/2025	
SOU 2024-367(ROR)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2024-368(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-369(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-370(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-371(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-372(HSR)	1	$2,000	$2,000	$1,052	9/23/2025	
SOU 2024-373(HSR)	1	$2,000	$0	$0	9/23/2025	Case Terminated
SOU 2024-374(HMT)	1	$7,500	$7,500	$5,625	9/23/2025	
SOU 2024-375(ROP)	1	$15,000	$15,000	$11,250	9/23/2025	
SOU 2024-376(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-377(ROP)	1	$4,100	$4,100	$3,075	9/23/2025	
SOU 2024-378(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-379(ROP)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-380(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-381(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-383(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-384(ROP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-385(RSP)	1	$2,100	$2,100	$1,575	9/23/2025	
SOU 2024-386(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-387(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-388(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-389(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-390(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-391(RMM)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-392(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-393(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-394(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-395(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-396(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-397(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-398(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-399(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-400(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-401(FCS)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-402(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-403(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-404(TS)	1	$2,100	$2,100	$1,575	9/23/2025	
SOU 2024-405(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-406(ROP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2024-407(ROP)	1	$15,500	$0	$0	9/23/2025	Case Terminated
SOU 2024-408(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-409(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-410(TS)	1	$10,300	$10,300	$7,800	9/23/2025	
SOU 2024-411(LI)	2	$10,400	$10,400	$7,725	9/23/2025	
SOU 2024-412(ROP)	2	$31,000	$31,000	$23,250	9/23/2025	
SOU 2024-413(LI)	1	$2,500	$2,500	$1,875	9/23/2025	
SOU 2024-414(ROP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2024-415(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-416(SA)	1	$20,000	$20,000	$15,000	9/23/2025	
SOU 2024-419(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-420(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-422(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-423(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-424(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-425(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-426(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-427(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-428(HSR)	1	$2,000	$2,000	$1,500	9/23/2025	
SOU 2024-429(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-430(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-431(RSP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2024-432(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-433(TS)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-434(ROP)	1	$7,500	$7,500	$6,000	9/23/2025	
SOU 2024-435(SA)	1	$5,200	$5,200	$3,900	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-436(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-437(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-438(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-439(RSP)	1	$2,100	$2,100	$1,575	9/23/2025	
SOU 2024-440(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-441(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-442(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-443(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-444(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-445(LI)	10	$21,000	$21,000	$11,000	9/23/2025	
SOU 2024-446(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-447(ROP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2024-448(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-449(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-450(SA)	4	$20,800	$20,800	$15,600	9/23/2025	
SOU 2024-451(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-452(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-453(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-454(RSP)	1	$2,100	$2,100	$1,575	9/23/2025	
SOU 2024-455(ROP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-456(LI)	2	$10,400	$10,400	$7,800	9/23/2025	
SOU 2024-457(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-458(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-459(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-460(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-461(ROP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-462(RSP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-463(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-464(HMT)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-465(SA)	1	$10,300	$10,300	$7,725	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-466(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-467(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-468(RSP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-469(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2024-470(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-471(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-472(HMT)	1	$2,000	$2,000	$1,500	9/23/2025	
SOU 2024-473(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-474(HS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-475(ROP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-476(ROP)	2	$20,000	$20,000	$15,000	9/23/2025	
SOU 2024-477(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2024-478(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-479(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-480(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-481(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-482(FCS)	1	$5,200	$5,200	$3,100	9/23/2025	
SOU 2024-483(FCS)	1	$5,200	$5,200	$3,100	9/23/2025	
SOU 2024-484(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-485(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-486(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-487(LI)	1	$4,000	$4,000	$3,000	9/23/2025	
SOU 2024-488(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-489(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-490(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-491(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-492(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-493(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-494(ROP)	1	$15,500	$15,500	$13,175	9/23/2025	
SOU 2024-495(ROP)	1	$10,300	$10,300	$7,725	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-496(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-497(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-498(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-499(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-500(LI)	7	$36,400	$36,400	$27,300	9/23/2025	
SOU 2024-501(LI)	3	$15,600	$15,600	$11,700	9/23/2025	
SOU 2024-502(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-505(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-506(LI)	2	$10,400	$10,400	$7,800	9/23/2025	
SOU 2024-507(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-508(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-509(LI)	3	$15,600	$15,600	$11,700	9/23/2025	
SOU 2024-510(ROP)	1	$18,000	$18,000	$15,300	9/23/2025	
SOU 2024-511(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-512(RSP)	1	$19,600	$19,600	$16,500	9/23/2025	
SOU 2024-513(ROP)	1	$19,600	$19,600	$16,650	9/23/2025	
SOU 2024-514(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-515(GC)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-516(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-517(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-518(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-519(LI)	1	$3,000	$3,000	$2,250	9/23/2025	
SOU 2024-520(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-521(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-522(RSP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-523(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2024-524(RSP)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-525(ROP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2024-526(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-527(LI)	1	$5,200	$5,200	$3,900	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2024-528(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-529(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2024-530(TS)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-531(TS)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2024-532(ROP)	1	$4,100	$4,100	$3,075	9/23/2025	
SOU 2025-001(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2025-003(TS)	1	$10,300	$10,300	$7,200	9/23/2025	
SOU 2025-004(ROP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2025-005(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-007(ROP)	1	$4,100	$4,100	$3,075	9/23/2025	
SOU 2025-008(TS)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-009(HS)	2	$10,400	$10,400	$7,800	9/23/2025	
SOU 2025-010(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-011(TS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-012(TS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-013(RW)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-015(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-016(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2025-017(ROP)	1	$15,500	$15,500	$11,625	9/23/2025	
SOU 2025-019(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-020(ROP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-021(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-023(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-024(RSP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2025-026(ROP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2025-027(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-029(HSR)	1	$2,100	$0	$0	9/23/2025	Case Terminated
SOU 2025-030(TS)	1	$10,300	$0	$0	9/23/2025	Case Terminated
SOU 2025-032(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-033(RSP)	1	$19,600	$19,600	$14,700	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2025-035(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-036(HMT)	1	$2,000	$2,000	$1,500	9/23/2025	
SOU 2025-038(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-039(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2025-041(LI)	1	$2,100	$2,100	$1,575	9/23/2025	
SOU 2025-042(TS)	1	$5,200	$5,200	$3,600	9/23/2025	
SOU 2025-044(RSP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2025-045(RSP)	1	$2,100	$2,100	$1,575	9/23/2025	
SOU 2025-047(RSP)	1	$2,100	$2,100	$1,950	9/23/2025	
SOU 2025-048(HMT)	2	$10,000	$10,000	$7,500	9/23/2025	
SOU 2025-050(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-051(RSP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-053(HMT)	2	$10,000	$10,000	$7,500	9/23/2025	
SOU 2025-054(HMT)	5	$20,000	$20,000	$15,000	9/23/2025	
SOU 2025-056(SA)	1	$10,000	$10,000	$7,500	9/23/2025	
SOU 2025-057(SA)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2025-059(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-062(HMT)	1	$3,000	$3,000	$2,250	9/23/2025	
SOU 2025-063(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-065(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-066(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-068(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-069(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-071(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-072(SA)	1	$20,600	$20,600	$15,450	9/23/2025	
SOU 2025-074(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-077(LI)	2	$7,300	$7,300	$5,475	9/23/2025	
SOU 2025-078(LI)	2	$10,400	$5,200	$3,900	9/23/2025	Terminated Violation(s): No. 2
SOU 2025-080(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-081(SA)	1	$10,300	$10,300	$7,725	9/23/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
SOU 2025-083(ROP)	2	$31,000	$31,000	$23,250	9/23/2025	
SOU 2025-084(RW)	1	$6,200	$6,200	$4,650	9/23/2025	
SOU 2025-086(HMT)	1	$5,000	$5,000	$3,750	9/23/2025	
SOU 2025-089(AD)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-090(AD)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-093(ROP)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2025-095(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-096(FCS)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-098(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-099(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-101(HMT)	1	$2,000	$2,000	$1,500	9/23/2025	
SOU 2025-102(SA)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-104(ROP)	1	$10,300	$10,300	$7,725	9/23/2025	
SOU 2025-105(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-107(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-108(SA)	1	$5,200	$5,200	$3,900	9/23/2025	
SOU 2025-111(ROR)	1	$19,600	$19,600	$14,700	9/23/2025	
SOU 2025-113(LI)	1	$5,200	$5,200	$3,900	9/23/2025	
SPP 2020-001(BSS)	2	$19,000	$0	$0	9/29/2025	Case Terminated
SPP 2020-002(GC)	1	$2,500	$0	$0	9/29/2025	Case Terminated
SPV 2024-001(ROP)	1	$15,500	$15,500	$5,500	3/31/2025	
SRC 2025-001(ROP)	1	$10,300	$10,300	$3,150	8/20/2025	
STE 2024-001(GC)	1	$10,300	$10,300	$8,240	4/23/2025	
STE 2024-002(GC)	1	$5,200	$5,200	$4,160	4/23/2025	
STPP 2020-001(TS)	1	$5,000	$0	$0	8/20/2025	Case Terminated
STPP 2024-001(TS)	3	$30,900	$30,900	$10,815	8/20/2025	
STPP 2025-001(EQ)	1	$2,000	$2,000	$1,300	8/20/2025	
SUOC 2021-001(HMT)	1	$2,000	$2,000	$1,150	4/16/2025	
SVHO 2022-001(HMT)	4	$28,500	$28,500	$14,250	9/3/2025	
TCRZ 2012-001(HSR)	1	$1,000	$0	$0	4/28/2025	Case Terminated

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
TRE 2017-001(TS)	1	$2,500	$0	$0	3/24/2025	Case Terminated
TRE 2020-001(AD)	1	$2,500	$0	$0	3/24/2025	Case Terminated
TRE 2020-002(AD)	1	$2,500	$0	$0	3/24/2025	Case Terminated
TRE 2022-001(GC)	1	$5,000	$5,000	$3,000	3/24/2025	
TRRA 2024-001(TS)	1	$5,000	$5,000	$2,500	8/12/2025	
TRRA 2025-001(AD)	1	$5,000	$5,000	$2,500	3/14/2025	
TSU 2021-001(TS)	1	$5,000	$5,000	$2,750	3/20/2025	
TSU 2025-001(RW)	2	$6,000	$6,000	$3,600	3/20/2025	
TSWS 2024-001(SA)	1	$10,300	$10,300	$10,300	7/9/2025	
TSWS 2024-002(SA)	1	$5,200	$5,200	$5,200	7/1/2025	
TSWS 2024-003(SA)	1	$10,300	$10,300	$10,300	7/2/2025	
TSWS 2025-001(SA)	1	$10,300	$10,300	$10,300	7/2/2025	
TTXJ 2024-002(FCS)	1	$2,500	$2,500	$1,500	7/11/2025	
TTXJ 2025-001(FCS)	1	$5,200	$5,200	$5,200	5/27/2025	
TTXJ 2025-002(FCS)	1	$5,200	$0	$0	6/16/2025	Case Terminated
TTXJ 2025-003(SA)	1	$5,200	$5,200	$5,200	5/27/2025	
UFRC 2024-004(GC)	1	$10,300	$10,300	$7,725	4/11/2025	
UFRC 2025-001(TS)	1	$10,300	$10,300	$7,700	6/11/2025	
UFRC 2025-002(SI)	1	$10,300	$10,300	$7,200	6/11/2025	
UFRC 2025-003(TS)	1	$2,100	$2,100	$1,114	8/15/2025	
UFRC 2025-004(TS)	2	$4,200	$4,200	$2,900	8/15/2025	
UP 2020-1014(GC)	1	$1,000	$0	$0	9/18/2025	Case Terminated
UP 2020-183(HMT)	1	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2020-257(GC)	1	$5,000	$0	$0	9/18/2025	Case Terminated
UP 2020-469(HMT)	1	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2020-562(HMT)	1	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2020-660(ROP)	1	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2020-690(TS)	1	$5,000	$0	$0	9/18/2025	Case Terminated
UP 2020-694(HMT)	1	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2020-705(EQ)	2	$10,000	$0	$0	9/18/2025	Case Terminated

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2020-802(SA)	3	$15,000	$0	$0	9/18/2025	Case Terminated
UP 2020-822(SA)	1	$29,192	$0	$0	9/18/2025	Case Terminated
UP 2020-842(ROP)	1	$4,000	$0	$0	9/18/2025	Case Terminated
UP 2020-851(ROP)	1	$7,500	$0	$0	9/18/2025	Case Terminated
UP 2020-880(SA)	4	$20,000	$0	$0	9/18/2025	Case Terminated
UP 2020-944(SA)	1	$5,000	$0	$0	9/18/2025	Case Terminated
UP 2020-958(ROP)	1	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2021-071(FCS)	1	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2021-091(TS)	1	$29,192	$0	$0	9/18/2025	Case Terminated
UP 2021-1066(TS)	1	$2,500	$0	$0	9/18/2025	Case Terminated
UP 2021-154(FCS)	1	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2021-164(SA)	10	$25,000	$0	$0	9/18/2025	Case Terminated
UP 2021-288(LI)	1	$2,500	$0	$0	9/18/2025	Case Terminated
UP 2021-409(HSR)	1	$1,000	$0	$0	9/18/2025	Case Terminated
UP 2021-501(HMT)	1	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2021-624(FCS)	1	$2,500	$0	$0	9/18/2025	Case Terminated
UP 2021-897(SA)	1	$2,500	$0	$0	9/18/2025	Case Terminated
UP 2021-937(ROP)	1	$5,000	$0	$0	9/18/2025	Case Terminated
UP 2021-951(HSR)	2	$2,000	$0	$0	9/18/2025	Case Terminated
UP 2022-011(FCS)	1	$2,500	$0	$0	9/18/2025	Case Terminated
UP 2022-1000(FCS)	1	$2,500	$2,500	$1,875	9/18/2025	
UP 2022-726(SA)	1	$2,500	$2,500	$1,875	9/18/2025	
UP 2023-095(LI)	8	$16,000	$16,000	$11,520	9/18/2025	
UP 2023-125(HMT)	1	$7,500	$7,500	$5,625	9/18/2025	
UP 2023-129(SA)	1	$2,500	$2,500	$1,875	9/18/2025	
UP 2023-154(GC)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-194(SA)	1	$2,500	$2,500	$1,875	9/18/2025	
UP 2023-199(FCS)	1	$2,500	$2,500	$1,875	9/18/2025	
UP 2023-204(SA)	1	$2,500	$2,500	$1,875	9/18/2025	
UP 2023-209(FCS)	1	$2,500	$2,500	$1,875	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2023-214(SA)	1	$2,500	$2,500	$1,875	9/18/2025	
UP 2023-219(SA)	1	$2,500	$2,500	$1,875	9/18/2025	
UP 2023-224(EP)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2023-229(SA)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-248(SA)	2	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-283(LI)	1	$1,500	$1,500	$1,125	9/18/2025	
UP 2023-288(SA)	2	$5,000	$5,000	$3,700	9/18/2025	
UP 2023-293(GC)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-298(GC)	1	$5,000	$5,000	$2,500	9/18/2025	
UP 2023-303(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-327(SA)	1	$2,500	$2,500	$1,850	9/18/2025	
UP 2023-345(TH)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2023-350(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-355(SA)	1	$10,000	$10,000	$7,400	9/18/2025	
UP 2023-360(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-365(ROP)	1	$9,500	$9,500	$7,125	9/18/2025	
UP 2023-370(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-374(LI)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2023-378(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-383(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-388(GC)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-393(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-398(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-403(ROP)	1	$19,000	$19,000	$14,060	9/18/2025	
UP 2023-408(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-413(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-418(TS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-423(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-431(HMT)	1	$2,500	$2,500	$1,875	9/18/2025	
UP 2023-436(SA)	1	$10,000	$10,000	$7,500	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2023-441(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-446(LI)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2023-451(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-456(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-466(ROP)	1	$4,000	$4,000	$2,000	9/18/2025	
UP 2023-471(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-476(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-481(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-486(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-491(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-496(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-501(ROP)	1	$19,000	$19,000	$14,060	9/18/2025	
UP 2023-506(LI)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2023-511(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-516(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-521(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-526(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-531(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-536(ROP)	1	$15,000	$15,000	$11,100	9/18/2025	
UP 2023-540(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-545(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-550(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-555(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-560(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-565(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-570(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-575(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-580(SA)	4	$40,000	$40,000	$30,000	9/18/2025	
UP 2023-585(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-590(SA)	1	$5,000	$5,000	$3,700	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2023-595(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-605(HMT)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-610(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-615(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-617(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-620(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-625(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-630(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-635(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-640(RSP)	1	$11,000	$11,000	$8,250	9/18/2025	
UP 2023-645(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-650(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2023-655(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-660(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-665(ROP)	1	$15,000	$15,000	$11,250	9/18/2025	
UP 2023-670(LI)	1	$5,000	$5,000	$3,000	9/18/2025	
UP 2023-675(AR)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-691(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2023-714(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-775(HMT)	2	$9,500	$9,500	$7,125	9/18/2025	
UP 2023-830(HMT)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2023-835(EP)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2023-845(SA)	1	$5,000	$5,000	$3,700	9/18/2025	
UP 2023-850(HMT)	1	$6,000	$6,000	$4,500	9/18/2025	
UP 2023-855(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2023-860(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-002(HMT)	2	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-007(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-012(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-024(SA)	1	$10,000	$10,000	$7,500	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-026(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-036(SA)	1	$5,000	$5,000	$3,700	9/18/2025	
UP 2024-042(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-048(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-053(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-057(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-059(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-063(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-069(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-081(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-087(SA)	1	$10,000	$10,000	$7,000	9/18/2025	
UP 2024-093(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1000(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-1001(SA)	1	$20,000	$20,000	$15,000	9/18/2025	
UP 2024-1003(FCS)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-1005(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-1006(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1011(RSP)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1013(RSP)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-1014(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1015(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1016(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1018(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1019(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1020(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1021(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1023(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1025(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1026(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1028(HS)	2	$20,600	$20,600	$15,450	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-1030(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1031(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1033(FCS)	1	$5,200	$5,200	$3,848	9/18/2025	
UP 2024-1035(FCS)	1	$5,200	$5,200	$3,848	9/18/2025	
UP 2024-1037(TH)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1039(HS)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-1040(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1042(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1043(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1044(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1047(HS)	2	$4,200	$4,200	$3,150	9/18/2025	
UP 2024-1049(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-1050(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1052(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1054(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1055(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1057(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1059(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1060(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1062(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-1064(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1065(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-1067(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1069(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1070(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1072(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1073(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1074(ROP)	1	$15,500	$0	$0	9/18/2025	Case Terminated
UP 2024-1075(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1077(HS)	2	$20,600	$20,600	$15,450	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-1078(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1079(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1080(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1082(ROP)	1	$15,500	$15,500	$11,625	9/18/2025	
UP 2024-1084(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1085(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1087(HS)	3	$30,900	$30,900	$23,175	9/18/2025	
UP 2024-1088(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1089(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1090(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1092(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1093(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1094(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1095(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-1097(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1098(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1099(ROP)	1	$15,500	$4,100	$4,100	9/18/2025	Partially Terminated Violation(s): No. 1
UP 2024-1100(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1102(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1104(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1106(FCS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1108(FCS)	1	$5,200	$5,200	$3,848	9/18/2025	
UP 2024-1109(FCS)	1	$5,200	$5,200	$2,600	9/18/2025	
UP 2024-111(SA)	2	$20,000	$20,000	$15,000	9/18/2025	
UP 2024-1111(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1113(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1114(LI)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-1116(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1118(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1119(SA)	1	$5,200	$5,200	$3,900	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-1121(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1123(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1124(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1126(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1128(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1129(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1131(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1133(SA)	1	$5,200	$5,200	$3,848	9/18/2025	
UP 2024-1134(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1136(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1138(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1139(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1141(GS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1143(GC)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1144(GC)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1146(HS)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-1147(HS)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-1148(HMT)	1	$6,000	$6,000	$4,500	9/18/2025	
UP 2024-1149(HMT)	1	$6,000	$6,000	$4,500	9/18/2025	
UP 2024-1153(RSP)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-1156(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1158(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1159(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1161(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1163(TS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1164(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1166(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1167(FCS)	1	$35,516	$35,516	$26,637	9/18/2025	
UP 2024-1168(SA)	1	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-1169(SA)	1	$10,300	$10,300	$7,725	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-117(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1171(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1172(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1173(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1174(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1176(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1177(TS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1179(HMT)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-1181(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1183(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1184(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1185(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1186(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1188(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1189(GC)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-1193(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-1194(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1196(TS)	2	$20,600	$20,600	$15,244	9/18/2025	
UP 2024-1198(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-1199(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-129(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-135(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-138(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-141(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-142(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-153(LI)	1	$5,000	$5,000	$3,500	9/18/2025	
UP 2024-165(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-166(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-171(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-172(SA)	1	$4,000	$4,000	$3,000	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-174(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-178(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-183(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-189(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-190(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-195(LI)	1	$5,000	$5,000	$3,500	9/18/2025	
UP 2024-196(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-202(HMT)	1	$7,000	$7,000	$5,250	9/18/2025	
UP 2024-208(HMT)	4	$23,500	$23,500	$17,625	9/18/2025	
UP 2024-214(HMT)	4	$16,000	$16,000	$12,000	9/18/2025	
UP 2024-219(TS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-220(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-225(TS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-232(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-239(RW)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-243(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-244(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-246(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-249(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-250(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-252(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-256(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-258(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-261(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-262(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-263(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-264(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-265(HS)	2	$10,400	$10,400	$3,000	9/18/2025	
UP 2024-266(ROP)	1	$19,600	$19,600	$14,700	9/18/2025	
UP 2024-267(LI)	1	$4,100	$4,100	$3,075	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-268(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-269(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-270(TH)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-271(TH)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-272(HMT)	2	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-273(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-274(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-275(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-276(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-277(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-278(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-279(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-280(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-281(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-282(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-283(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-284(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-285(FCS)	1	$5,000	$5,000	$3,700	9/18/2025	
UP 2024-286(FCS)	1	$5,000	$5,000	$3,700	9/18/2025	
UP 2024-287(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-288(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-289(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-290(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-291(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-292(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-293(GC)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-294(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-295(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-297(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-298(LI)	4	$12,000	$12,000	$9,000	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-299(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-300(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-301(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-302(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-303(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-304(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-305(FCS)	1	$5,000	$5,000	$3,600	9/18/2025	
UP 2024-306(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-307(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-308(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-309(ROP)	1	$4,000	$4,000	$2,960	9/18/2025	
UP 2024-310(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-311(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-312(LI)	1	$3,000	$3,000	$2,250	9/18/2025	
UP 2024-313(LI)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-314(LI)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-315(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-317(ROP)	1	$7,500	$7,500	$5,625	9/18/2025	
UP 2024-318(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-319(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-320(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-321(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-322(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-323(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-324(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-325(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-326(FCS)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-327(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-328(EP)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-329(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-330(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-331(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-332(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-333(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-334(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-335(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-336(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-338(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-339(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-340(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-341(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-342(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-343(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-344(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-345(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-346(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-347(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-348(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-349(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-350(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-352(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-353(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-354(LI)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-355(LI)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-356(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-357(TS)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-359(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-360(ROP)	5	$20,000	$20,000	$15,000	9/18/2025	
UP 2024-361(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-362(SA)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-363(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-364(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-365(TS)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-366(ROP)	1	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-367(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-368(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-369(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-370(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-371(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-372(ROP)	1	$19,600	$19,600	$14,504	9/18/2025	
UP 2024-373(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-374(HMT)	1	$9,000	$9,000	$6,750	9/18/2025	
UP 2024-375(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-376(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-377(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-378(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-379(ROP)	1	$10,300	$10,300	$7,622	9/18/2025	
UP 2024-380(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-381(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-382(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-383(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-384(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-385(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-386(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-387(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-388(GC)	1	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-389(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-390(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-391(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-392(SA)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-393(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-394(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-395(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-396(LI)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-397(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-398(RW)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-399(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-400(RW)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-401(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-402(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-403(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-404(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-405(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-406(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-407(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-408(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-409(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-410(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-411(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-412(RW)	1	$6,000	$6,000	$4,500	9/18/2025	
UP 2024-413(HMT)	1	$6,000	$6,000	$4,500	9/18/2025	
UP 2024-415(HMT)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-416(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-417(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-418(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-419(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-420(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-421(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-422(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-423(FCS)	1	$10,000	$10,000	$7,200	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-424(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-425(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-426(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-427(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-428(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-430(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-431(LI)	1	$5,200	$5,200	$3,640	9/18/2025	
UP 2024-432(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-433(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-434(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-435(TS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-436(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-437(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-438(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-439(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-440(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-441(ROP)	1	$15,500	$15,500	$11,470	9/18/2025	
UP 2024-442(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-443(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-444(FCS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-445(SA)	1	$10,200	$10,200	$7,650	9/18/2025	
UP 2024-446(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-447(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-448(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-450(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-451(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-452(FCS)	4	$20,000	$20,000	$15,000	9/18/2025	
UP 2024-453(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-454(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-455(SA)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-457(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-458(FCS)	4	$20,000	$20,000	$15,000	9/18/2025	
UP 2024-459(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-460(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-461(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-462(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-464(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-465(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-466(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-467(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-468(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-469(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-470(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-471(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-472(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-473(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-474(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-475(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-476(SA)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-477(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-478(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-479(LI)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-480(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-481(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-482(RW)	1	$3,100	$3,100	$2,325	9/18/2025	
UP 2024-483(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-484(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-485(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-486(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-487(SA)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-488(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-489(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-490(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-492(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-493(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-494(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-495(SA)	3	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-496(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-497(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-499(EP)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-500(SA)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-501(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-502(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-503(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-504(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-505(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-506(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-507(EP)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-508(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-509(SA)	4	$20,000	$20,000	$15,000	9/18/2025	
UP 2024-510(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-511(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-513(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-514(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-515(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-516(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-517(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-518(EP)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-520(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-521(RW)	5	$10,500	$10,500	$7,875	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-522(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-523(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-524(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-525(HMT)	1	$6,000	$6,000	$4,500	9/18/2025	
UP 2024-526(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-527(HMT)	2	$9,000	$9,000	$6,750	9/18/2025	
UP 2024-528(GC)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-529(TS)	4	$8,400	$8,400	$6,132	9/18/2025	
UP 2024-532(TS)	3	$6,000	$6,000	$4,380	9/18/2025	
UP 2024-533(TS)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-535(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-536(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-537(SA)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-538(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-539(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-540(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-541(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-542(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-543(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-544(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-545(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-546(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-547(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-548(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-549(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-550(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-551(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-552(FCS)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-553(TS)	1	$5,200	$5,200	$3,796	9/18/2025	
UP 2024-554(SA)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-555(TS)	1	$4,100	$4,100	$2,460	9/18/2025	
UP 2024-556(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-557(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-558(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-559(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-560(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-561(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-562(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-563(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-564(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-565(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-566(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-567(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-568(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-569(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-570(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-571(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-572(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-573(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-574(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-575(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-576(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-577(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-578(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-579(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-580(FCS)	2	$20,000	$20,000	$15,000	9/18/2025	
UP 2024-581(SA)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-582(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-583(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-584(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-585(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-586(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-587(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-588(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-589(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-590(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-591(FCS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-592(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-593(SA)	1	$10,300	$10,300	$7,622	9/18/2025	
UP 2024-594(SA)	1	$10,300	$10,300	$7,622	9/18/2025	
UP 2024-595(SA)	1	$10,300	$10,300	$7,622	9/18/2025	
UP 2024-596(FCS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-597(ROP)	1	$4,100	$4,100	$3,075	9/18/2025	
UP 2024-598(TS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-599(RW)	1	$4,100	$4,100	$3,075	9/18/2025	
UP 2024-600(FCS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-601(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-602(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-603(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-604(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-605(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-606(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-607(FCS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-608(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-609(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-610(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-611(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-612(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-613(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-614(SA)	1	$10,300	$10,300	$7,725	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-615(LI)	4	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-616(LI)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-617(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-618(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-619(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-620(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-621(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-622(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-623(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-624(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-625(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-626(ROP)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-627(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-628(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-629(LI)	1	$4,000	$4,000	$2,960	9/18/2025	
UP 2024-630(LI)	1	$4,000	$4,000	$2,960	9/18/2025	
UP 2024-631(LI)	1	$4,000	$4,000	$2,960	9/18/2025	
UP 2024-632(LI)	1	$4,000	$4,000	$2,960	9/18/2025	
UP 2024-633(LI)	1	$4,100	$4,100	$3,034	9/18/2025	
UP 2024-634(LI)	1	$4,000	$4,000	$2,960	9/18/2025	
UP 2024-635(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-636(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-637(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-638(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-639(EP)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-640(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-641(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-642(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-643(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-644(HS)	2	$4,000	$4,000	$3,000	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-645(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-646(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-647(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-648(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-649(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-650(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-651(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-652(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-653(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-654(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-656(SA)	1	$5,200	$5,200	$3,640	9/18/2025	
UP 2024-657(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-658(GC)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-659(FCS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-660(GC)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-661(SI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-662(TS)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-663(GC)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-665(HS)	1	$2,000	$2,000	$1,400	9/18/2025	
UP 2024-666(GC)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-667(GC)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-668(SI)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-669(TS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-671(HMT)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-672(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-673(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-674(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-675(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-677(ROP)	1	$15,000	$4,000	$2,000	9/18/2025	Partially Terminated Violation(s): No. 1
UP 2024-678(GC)	1	$10,300	$10,300	$7,725	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-679(GC)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-680(GC)	1	$10,000	$0	$0	9/18/2025	Case Terminated
UP 2024-682(SI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-683(SI)	1	$5,000	$5,000	$3,250	9/18/2025	
UP 2024-684(GC)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-685(GC)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-687(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-688(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-689(ROP)	1	$19,000	$0	$0	9/18/2025	Case Terminated
UP 2024-690(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-691(ROP)	1	$1,998	$1,998	$1,498	9/18/2025	
UP 2024-692(ROP)	1	$19,000	$0	$0	9/18/2025	Case Terminated
UP 2024-693(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-694(ROP)	1	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-695(TS)	2	$4,000	$4,000	$2,960	9/18/2025	
UP 2024-696(ROP)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-697(TS)	4	$20,800	$20,800	$15,392	9/18/2025	
UP 2024-698(ROP)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-699(TS)	1	$5,200	$5,200	$3,848	9/18/2025	
UP 2024-700(SA)	1	$10,000	$10,000	$7,200	9/18/2025	
UP 2024-701(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-702(ROP)	1	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-703(ROP)	1	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-704(ROP)	1	$19,000	$19,000	$14,060	9/18/2025	
UP 2024-706(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-707(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-708(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-709(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-711(LI)	1	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-712(LI)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-713(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-714(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-716(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-717(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-718(SA)	1	$10,000	$10,000	$7,200	9/18/2025	
UP 2024-719(ROP)	1	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-721(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-722(HSR)	1	$10,300	$10,300	$7,622	9/18/2025	
UP 2024-724(HSR)	1	$2,000	$2,000	$1,480	9/18/2025	
UP 2024-726(HSR)	1	$2,000	$2,000	$1,480	9/18/2025	
UP 2024-727(HSR)	1	$2,000	$2,000	$1,480	9/18/2025	
UP 2024-732(HSR)	1	$2,000	$2,000	$1,480	9/18/2025	
UP 2024-733(GC)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-734(HS)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-736(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-737(SA)	2	$20,000	$20,000	$15,000	9/18/2025	
UP 2024-738(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-739(ROP)	1	$19,000	$19,000	$14,060	9/18/2025	
UP 2024-741(SA)	1	$1,998	$1,998	$1,498	9/18/2025	
UP 2024-742(ROP)	1	$9,500	$9,500	$7,030	9/18/2025	
UP 2024-743(ROP)	1	$19,000	$19,000	$14,250	9/18/2025	
UP 2024-744(ROP)	1	$4,000	$4,000	$2,000	9/18/2025	
UP 2024-746(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-747(ROP)	10	$20,000	$0	$0	9/18/2025	Case Terminated
UP 2024-748(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-749(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-751(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-752(SA)	5	$50,000	$50,000	$37,500	9/18/2025	
UP 2024-753(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-754(LI)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-756(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-757(ROP)	7	$14,000	$0	$0	9/18/2025	Case Terminated
UP 2024-758(ROP)	1	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-759(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-761(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-762(ROP)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-763(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-764(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-766(ROP)	1	$10,000	$10,000	$7,400	9/18/2025	
UP 2024-767(LI)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-768(SA)	3	$30,000	$30,000	$22,500	9/18/2025	
UP 2024-769(ROR)	1	$19,000	$19,000	$9,500	9/18/2025	
UP 2024-771(ROR)	1	$19,600	$19,600	$9,800	9/18/2025	
UP 2024-772(ROR)	1	$19,000	$19,000	$9,500	9/18/2025	
UP 2024-773(ROR)	1	$19,000	$19,000	$9,500	9/18/2025	
UP 2024-774(ROR)	1	$19,000	$19,000	$9,500	9/18/2025	
UP 2024-777(ROR)	1	$19,000	$19,000	$9,500	9/18/2025	
UP 2024-778(ROR)	1	$19,000	$19,000	$9,500	9/18/2025	
UP 2024-779(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-781(ROP)	1	$19,000	$19,000	$9,500	9/18/2025	
UP 2024-782(ROP)	1	$19,000	$19,000	$9,500	9/18/2025	
UP 2024-783(ROP)	1	$19,000	$19,000	$14,250	9/18/2025	
UP 2024-784(RSP)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-786(ROP)	1	$4,100	$4,100	$2,000	9/18/2025	
UP 2024-787(SI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-788(LI)	1	$3,000	$3,000	$2,250	9/18/2025	
UP 2024-789(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-791(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-792(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-793(SA)	1	$10,300	$10,300	$7,725	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-794(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-796(ROR)	1	$19,600	$19,600	$9,800	9/18/2025	
UP 2024-797(ROR)	1	$19,600	$0	$0	9/18/2025	Case Terminated
UP 2024-798(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-799(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-802(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-803(RSP)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-804(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-806(SI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-807(GC)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-809(TS)	2	$7,300	$7,300	$5,475	9/18/2025	
UP 2024-811(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-812(HS)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-813(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-814(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-816(HS)	2	$4,200	$4,200	$3,150	9/18/2025	
UP 2024-817(HS)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-818(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-819(ROP)	1	$19,600	$0	$0	9/18/2025	Case Terminated
UP 2024-821(ROP)	1	$15,500	$15,500	$11,470	9/18/2025	
UP 2024-822(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-823(GC)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-824(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-826(FCS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-827(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-828(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-829(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-831(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-832(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-833(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-834(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-836(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-837(RW)	1	$4,100	$4,100	$3,075	9/18/2025	
UP 2024-838(HMT)	1	$7,500	$7,500	$5,625	9/18/2025	
UP 2024-839(HMT)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-841(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-842(HS)	2	$10,000	$10,000	$7,000	9/18/2025	
UP 2024-843(HS)	2	$10,000	$10,000	$7,000	9/18/2025	
UP 2024-844(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-846(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-847(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-848(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-849(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-851(HS)	2	$4,200	$4,200	$3,150	9/18/2025	
UP 2024-852(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-853(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-854(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-856(HS)	2	$4,200	$4,200	$3,150	9/18/2025	
UP 2024-857(HS)	3	$30,900	$30,900	$23,175	9/18/2025	
UP 2024-858(HS)	3	$30,900	$30,900	$23,175	9/18/2025	
UP 2024-859(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-862(HS)	2	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-863(HS)	2	$15,000	$15,000	$11,250	9/18/2025	
UP 2024-864(ROP)	1	$19,600	$19,600	$14,700	9/18/2025	
UP 2024-866(HS)	2	$4,200	$4,200	$3,150	9/18/2025	
UP 2024-867(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-868(HS)	1	$2,000	$2,000	$1,400	9/18/2025	
UP 2024-869(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-871(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-872(HS)	2	$10,000	$10,000	$7,000	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-873(HS)	2	$4,000	$4,000	$3,000	9/18/2025	
UP 2024-874(ROP)	1	$4,100	$4,100	$3,075	9/18/2025	
UP 2024-876(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-877(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-878(HS)	3	$6,000	$6,000	$4,500	9/18/2025	
UP 2024-879(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-881(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-882(LI)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-883(ROP)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-884(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-886(HS)	2	$4,200	$4,200	$3,150	9/18/2025	
UP 2024-887(ROP)	2	$31,000	$31,000	$23,250	9/18/2025	
UP 2024-888(HSR)	2	$4,200	$0	$0	9/18/2025	Case Terminated
UP 2024-892(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-893(RSP)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-894(ROR)	1	$19,600	$19,600	$9,800	9/18/2025	
UP 2024-897(ROR)	1	$19,600	$19,600	$9,800	9/18/2025	
UP 2024-898(ROR)	1	$19,600	$19,600	$9,800	9/18/2025	
UP 2024-899(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-901(ROR)	1	$19,600	$19,600	$14,700	9/18/2025	
UP 2024-902(ROR)	1	$19,600	$19,600	$14,700	9/18/2025	
UP 2024-903(ROR)	1	$19,600	$19,600	$14,700	9/18/2025	
UP 2024-904(ROR)	1	$19,600	$19,600	$14,700	9/18/2025	
UP 2024-906(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-907(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-908(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-909(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-911(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-912(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-913(SA)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-914(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-916(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-917(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-918(ROP)	1	$15,500	$15,500	$11,470	9/18/2025	
UP 2024-919(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-921(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-922(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-923(ROP)	1	$15,500	$15,500	$11,625	9/18/2025	
UP 2024-924(TH)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-926(TS)	1	$2,100	$2,100	$1,575	9/18/2025	
UP 2024-927(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-928(ROP)	1	$19,600	$19,600	$14,700	9/18/2025	
UP 2024-929(TS)	1	$2,100	$2,100	$1,554	9/18/2025	
UP 2024-931(TS)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-932(ROP)	1	$15,500	$15,500	$11,470	9/18/2025	
UP 2024-933(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-934(RW)	1	$3,100	$3,100	$2,325	9/18/2025	
UP 2024-936(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-937(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-938(ROP)	1	$15,500	$15,500	$11,470	9/18/2025	
UP 2024-939(ROP)	1	$15,500	$15,500	$11,470	9/18/2025	
UP 2024-941(AR)	1	$5,000	$5,000	$3,850	9/18/2025	
UP 2024-943(HS)	2	$4,200	$4,200	$3,150	9/18/2025	
UP 2024-944(GC)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-945(GC)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-946(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-948(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-949(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-950(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-951(SA)	1	$5,000	$5,000	$3,750	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-953(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-954(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-955(LI)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-956(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-958(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-959(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-960(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-961(FCS)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-963(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-964(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-965(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-966(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-968(SA)	1	$5,000	$5,000	$3,750	9/18/2025	
UP 2024-969(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-970(SA)	1	$10,000	$10,000	$7,500	9/18/2025	
UP 2024-971(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-973(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-974(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-975(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-976(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-978(FCS)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-980(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-981(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-983(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-985(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-986(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2024-988(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2024-990(HS)	2	$10,400	$10,400	$7,800	9/18/2025	
UP 2024-991(HS)	2	$4,200	$4,200	$3,150	9/18/2025	
UP 2024-993(HS)	2	$4,200	$4,200	$3,150	9/18/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
UP 2024-994(HS)	2	$20,600	$20,600	$15,450	9/18/2025	
UP 2024-995(ROP)	1	$19,600	$10,300	$8,000	9/18/2025	Partially Terminated Violation(s): No. 1
UP 2024-996(HMT)	1	$2,000	$2,000	$1,500	9/18/2025	
UP 2024-998(CC)	1	$15,000	$15,000	$11,250	9/18/2025	
UP 2025-003(SA)	1	$5,200	$5,200	$3,640	9/18/2025	
UP 2025-004(GC)	1	$5,200	$5,200	$3,745	9/18/2025	
UP 2025-008(ROR)	1	$19,600	$19,600	$14,700	9/18/2025	
UP 2025-009(GC)	1	$5,200	$5,200	$3,745	9/18/2025	
UP 2025-014(GC)	1	$5,200	$5,200	$3,745	9/18/2025	
UP 2025-015(GC)	1	$5,200	$5,200	$3,745	9/18/2025	
UP 2025-019(GC)	1	$5,200	$5,200	$3,745	9/18/2025	
UP 2025-020(GC)	1	$5,200	$5,200	$3,745	9/18/2025	
UP 2025-021(GC)	1	$5,200	$5,200	$3,745	9/18/2025	
UP 2025-026(GC)	1	$20,600	$20,600	$15,450	9/18/2025	
UP 2025-027(GC)	1	$10,300	$0	$0	9/18/2025	Case Terminated
UP 2025-032(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2025-033(SA)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2025-036(SA)	1	$10,300	$10,300	$7,725	9/18/2025	
UP 2025-038(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2025-039(LI)	1	$5,200	$5,200	$3,900	9/18/2025	
UP 2025-042(FCS)	1	$10,300	$10,300	$7,725	9/18/2025	
URR 2025-001(AR)	1	$5,000	$5,000	$3,500	5/19/2025	
URR 2025-002(AR)	1	$5,000	$5,000	$3,500	5/19/2025	
URR 2025-003(AD)	1	$5,000	$5,000	$3,500	5/19/2025	
UTAX 2024-004(RW)	2	$14,400	$14,400	$10,800	4/11/2025	
VCY 2024-001(ROP)	1	$19,600	$0	$0	9/26/2025	Case Terminated
VCY 2024-002(SA)	1	$10,300	$10,300	$7,725	9/26/2025	
VREX 2010-001(PEQ)	1	$5,000	$0	$0	3/27/2025	Case Terminated
VREX 2010-002(SA)	1	$2,500	$0	$0	3/27/2025	Case Terminated
VREX 2010-003(LI)	1	$2,500	$0	$0	3/27/2025	Case Terminated

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
VREX 2011-001(ROP)	1	$5,000	$0	$0	3/27/2025	Case Terminated
VREX 2013-001(PEQ)	1	$5,000	$0	$0	3/27/2025	Case Terminated
VREX 2015-001(ROP)	1	$9,500	$0	$0	3/27/2025	Case Terminated
VREX 2016-001(EQ)	2	$4,000	$0	$0	3/27/2025	Case Terminated
VREX 2018-001(SI)	4	$5,000	$0	$0	3/31/2025	Case Terminated
VTIZ 2020-001(HMT)	1	$2,000	$0	$0	4/21/2025	Case Terminated
VTR 2009-001(HMT)	11	$5,000	$0	$0	3/31/2025	Case Terminated
VTR 2009-002(SA)	1	$2,500	$0	$0	4/1/2025	Case Terminated
VTR 2009-003(TS)	2	$5,000	$0	$0	3/31/2025	Case Terminated
VTR 2009-004(SA)	2	$10,000	$0	$0	4/1/2025	Case Terminated
VTR 2010-001(TS)	2	$10,000	$0	$0	4/1/2025	Case Terminated
VTR 2011-001(SA)	1	$5,000	$0	$0	3/26/2025	Case Terminated
VTR 2011-002(ROP)	1	$7,500	$0	$0	3/31/2025	Case Terminated
VTR 2012-001(AD)	4	$20,000	$0	$0	4/1/2025	Case Terminated
VTR 2013-001(ROP)	2	$15,000	$0	$0	3/31/2025	Case Terminated
VTR 2014-001(SA)	1	$5,000	$0	$0	4/2/2025	Case Terminated
VTR 2018-001(ROP)	1	$7,500	$0	$0	3/31/2025	Case Terminated
VTR 2019-001(SA)	1	$5,000	$0	$0	3/31/2025	Case Terminated
VTR 2021-001(ROP)	1	$9,500	$9,500	$5,700	8/27/2025	
VTR 2021-002(SA)	1	$2,500	$2,500	$1,450	8/27/2025	
VTR 2021-003(SA)	1	$5,000	$5,000	$2,500	8/27/2025	
VTR 2022-001(SA)	1	$5,000	$5,000	$2,500	8/27/2025	
VTR 2023-001(FCS)	1	$10,000	$10,000	$2,700	8/27/2025	
VTR 2023-002(FCS)	1	$5,000	$5,000	$2,750	8/27/2025	
VTR 2023-003(FCS)	1	$10,000	$10,000	$2,800	8/27/2025	
VTR 2023-004(FCS)	1	$10,000	$10,000	$2,800	8/27/2025	
VTR 2024-001(AD)	1	$10,000	$10,000	$2,600	8/27/2025	
VTR 2024-002(AD)	1	$5,000	$5,000	$2,600	8/27/2025	
WATX 2025-001(AD)	3	$15,600	$15,600	$12,324	9/3/2025	
WATX 2025-002(AD)	4	$10,000	$10,000	$7,500	9/3/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
WATX 2025-003(AD)	2	$10,400	$10,400	$7,800	9/3/2025	
WATX 2025-004(AD)	1	$2,500	$2,500	$1,900	9/3/2025	
WE 2024-002(SA)	1	$5,200	$5,200	$3,600	7/1/2025	
WE 2024-003(SA)	1	$10,300	$10,300	$5,500	7/1/2025	
WE 2024-004(SA)	1	$10,300	$10,300	$5,500	7/1/2025	
WE 2024-005(SA)	1	$10,300	$10,300	$7,000	7/1/2025	
WE 2024-006(SA)	2	$10,400	$10,400	$6,800	7/1/2025	
WE 2024-007(SA)	1	$10,300	$10,300	$7,000	7/1/2025	
WE 2024-008(FCS)	1	$4,100	$4,100	$2,900	7/1/2025	
WE 2024-009(ROP)	1	$15,500	$15,500	$8,900	7/1/2025	
WE 2024-010(ROP)	2	$31,000	$31,000	$20,000	7/1/2025	
WE 2024-011(GC)	1	$10,300	$10,300	$8,000	7/1/2025	
WE 2024-012(AD)	1	$2,500	$2,500	$1,750	7/1/2025	
WE 2024-013(RW)	1	$20,000	$20,000	$19,000	7/1/2025	
WER 2024-001(AD)	1	$2,500	$2,500	$1,900	5/2/2025	
WER 2024-002(AD)	1	$2,500	$2,500	$1,900	5/2/2025	
WER 2024-003(GC)	1	$10,300	$10,300	$7,850	5/2/2025	
WGNS 2019-001(TS)	1	$5,000	$0	$0	3/17/2025	Case Terminated
WGNS 2019-002(TS)	1	$5,000	$0	$0	3/17/2025	Case Terminated
WGNS 2019-003(TS)	1	$5,000	$0	$0	3/17/2025	Case Terminated
WSOR 2024-003(SA)	1	$5,200	$5,200	$4,004	9/3/2025	
WSOR 2025-001(AD)	1	$5,200	$5,200	$4,108	9/3/2025	
WSOR 2025-002(RSP)	1	$19,600	$19,600	$13,500	9/3/2025	
WSOR 2025-003(RSP)	1	$19,600	$19,600	$14,500	9/3/2025	
WTNN 2025-001(ROP)	1	$15,500	$15,500	$9,500	3/10/2025	
WTNN 2025-002(CC)	1	$15,500	$15,500	$9,500	3/10/2025	
WTNN 2025-003(ROP)	1	$7,500	$7,500	$4,700	9/2/2025	
WTNN 2025-004(RSP)	1	$6,000	$6,000	$3,780	9/2/2025	
WTNN 2025-005(RSP)	1	$6,000	$6,000	$6,000	8/27/2025	
WTRY 2025-001(GC)	1	$5,200	$5,200	$4,300	9/26/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
WTRY 2025-002(ROP)	1	$4,100	$4,100	$3,400	9/26/2025	
WTRY 2025-003(ROP)	1	$10,300	$10,300	$8,550	9/26/2025	
XAPI 2025-001(SA)	1	$10,300	$10,300	$5,665	6/3/2025	
XATG 2025-001(RW)	1	$6,200	$6,200	$6,200	7/17/2025	
XBGT 2025-001(HMT)	1	$7,500	$7,500	$6,000	7/28/2025	
XBVE 2019-001(HMT)	1	$7,500	$7,500	$3,750	4/8/2025	
XBVE 2020-001(HMT)	1	$2,000	$2,000	$2,000	4/8/2025	
XBVE 2020-002(HMT)	4	$16,500	$5,000	$3,750	4/8/2025	
XBYC 2024-001(RW)	1	$4,000	$4,000	$4,000	5/20/2025	
XCAI 2025-001(HMT)	1	$5,000	$5,000	$3,750	4/3/2025	
XCDL 2024-001(GC)	1	$10,000	$10,000	$7,500	10/16/2024	
XCDL 2024-002(RW)	1	$3,100	$3,100	$2,300	4/11/2025	
XCNB 2025-001(HMT)	1	$2,000	$2,000	$1,400	6/18/2025	
XCRH 2025-001(SA)	1	$5,200	$5,200	$5,200	9/26/2025	
XCRH 2025-002(SA)	1	$5,200	$5,200	$5,200	9/26/2025	
XCWP 2025-001(HMT)	3	$6,000	$6,000	$4,800	9/17/2025	
XCWP 2025-002(HMT)	3	$6,000	$6,000	$4,800	9/17/2025	
XCWP 2025-003(HMT)	1	$2,000	$2,000	$1,600	9/17/2025	
XCYA 2025-001(HMT)	1	$2,000	$2,000	$2,000	7/10/2025	
XCYA 2025-002(HMT)	1	$5,000	$5,000	$5,000	7/10/2025	
XCYA 2025-003(HMT)	2	$4,000	$4,000	$4,000	8/12/2025	
XDER 2025-001(RW)	1	$1,500	$1,500	$1,500	5/1/2025	
XEEL 2024-001(HMT)	2	$7,500	$7,500	$5,250	5/15/2025	
XEXC 2024-001(HMT)	1	$5,000	$5,000	$3,500	10/1/2024	
XFMC 2025-001(HMT)	1	$2,000	$2,000	$2,000	5/1/2025	
XFWC 2024-001(HMT)	2	$6,000	$6,000	$6,000	11/25/2024	
XGBR 2019-001(HMT)	2	$30,000	$0	$0	5/29/2025	Case Terminated
XGBR 2024-001(ROP)	1	$10,300	$0	$0	5/21/2025	Case Terminated
XGCE 2024-001(RW)	2	$10,100	$10,100	$5,500	5/26/2025	
XGGH 2024-001(RW)	1	$5,000	$0	$0	5/20/2025	Case Terminated

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
XGGR 2020-001(RW)	2	$5,000	$0	$0	4/16/2025	Case Terminated
XHFN 2024-001(HMT)	1	$10,000	$10,000	$7,250	4/1/2025	
XHFN 2024-002(HMT)	1	$15,000	$15,000	$11,000	4/1/2025	
XHGE 2025-001(RW)	1	$4,100	$4,100	$1,114	9/16/2025	
XHJV 2024-001(GC)	1	$10,000	$10,000	$5,000	10/30/2024	
XK2P 2024-001(HMT)	1	$5,000	$5,000	$3,500	3/27/2025	
XK2P 2025-001(HMT)	1	$5,000	$5,000	$3,500	6/11/2025	
XKHM 2024-001(HMT)	1	$5,000	$5,000	$5,000	1/7/2025	
XKHM 2025-001(HMT)	1	$5,000	$5,000	$5,000	5/19/2025	
XKHM 2025-002(HMT)	1	$5,000	$5,000	$5,000	5/21/2025	
XKHM 2025-003(HMT)	1	$2,000	$2,000	$2,000	7/18/2025	
XLBW 2020-001(TS)	1	$2,500	$2,500	$2,500	12/9/2024	
XLGP 2024-001(RW)	1	$6,200	$6,200	$6,200	10/10/2024	
XLSS 2018-001(HMT)	1	$5,000	$0	$0	5/17/2025	Case Terminated
XMCQ 2024-001(HMT)	2	$4,000	$4,000	$4,000	11/13/2024	
XMDD 2024-001(ROP)	1	$15,000	$15,000	$10,500	7/23/2025	
XMDD 2024-002(AD)	1	$10,000	$10,000	$5,000	7/23/2025	
XMDD 2024-003(RW)	1	$34,401	$34,401	$24,401	7/23/2025	
XNAI 2024-001(HMT)	1	$2,000	$2,000	$1,000	7/3/2025	
XNPC 2024-001(HMT)	2	$7,000	$7,000	$7,000	10/30/2024	
XNUS 2023-001(HMT)	2	$8,000	$8,000	$4,000	12/17/2024	
XRJC 2024-001(AD)	2	$5,000	$5,000	$2,750	12/5/2024	
XRJC 2025-002(AD)	1	$10,000	$10,000	$5,000	4/25/2025	
XRPO 2025-002(RW)	1	$10,300	$10,300	$10,300	7/17/2025	
XSBK 2019-001(HMT)	1	$2,000	$0	$0	9/24/2025	Case Terminated
XSER 2019-001(HMT)	16	$10,000	$0	$0	9/24/2025	Case Terminated
XSFL 2015-001(HMT)	2	$4,000	$0	$0	9/24/2025	Case Terminated
XSNA 2024-004(HMT)	2	$4,000	$4,000	$2,750	12/9/2024	
XSNA 2024-005(HMT)	2	$4,000	$4,000	$3,000	12/9/2024	
XSNA 2024-006(HMT)	1	$2,000	$2,000	$1,375	12/9/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
XSNA 2025-001(HMT)	1	$2,000	$2,000	$2,000	9/29/2025	
XSNA 2025-002(HMT)	1	$2,000	$2,000	$2,000	9/10/2025	
XSNP 2019-001(HMT)	353	$122,500	$0	$0	9/24/2025	Case Terminated
XSRG 2020-001(RW)	2	$5,000	$5,000	$2,750	4/23/2025	
XSRG 2021-001(RW)	2	$5,000	$5,000	$3,000	4/23/2025	
XSRG 2022-001(BW)	1	$2,500	$2,500	$1,500	4/23/2025	
XSSP 2021-001(HMT)	1	$2,000	$2,000	$1,200	7/7/2025	
XSSP 2022-001(HMT)	2	$4,000	$4,000	$2,700	7/7/2025	
XSSP 2022-002(HMT)	1	$10,000	$10,000	$7,000	7/7/2025	
XSSP 2022-003(HMT)	1	$5,000	$5,000	$3,450	7/7/2025	
XSSP 2022-004(HMT)	1	$2,000	$2,000	$1,400	7/7/2025	
XSSP 2024-001(HMT)	1	$20,000	$20,000	$14,750	7/7/2025	
XSSX 2024-002(HMT)	1	$10,000	$10,000	$7,000	4/8/2025	
XTGS 2025-001(RW)	2	$7,200	$7,200	$4,350	3/25/2025	
XTRN 2025-001(HMT)	1	$15,000	$15,000	$15,000	9/25/2025	
XVEO 2018-002(HMT)	1	$5,000	$0	$0	5/17/2025	Case Terminated
XVLR 2024-001(HMT)	1	$2,000	$2,000	$2,000	3/19/2025	
XVSC 2020-001(HMT)	2	$4,000	$0	$0	5/17/2025	Case Terminated
XVSP 2019-001(HMT)	1	$2,000	$0	$0	5/17/2025	Case Terminated
XWAP 2024-001(HMT)	1	$2,000	$2,000	$2,000	4/7/2025	
XWTS 2025-001(HMT)	1	$5,000	$5,000	$3,850	9/3/2025	
XZCT 2024-001(HMT)	1	$10,000	$10,000	$7,000	12/5/2024	
ZAAK 2024-001(HMT)	1	$2,000	$2,000	$2,000	3/21/2025	
ZAAK 2025-001(HMT)	1	$5,000	$5,000	$5,000	3/21/2025	
ZABS 2025-001(HMT)	1	$7,500	$7,500	$7,500	4/28/2025	
ZACR 2024-005(HMT)	1	$5,000	$5,000	$3,700	3/11/2025	
ZADG 2025-001(TS)	1	$2,100	$2,100	$2,100	8/6/2025	
ZAFA 2025-001(HMT)	1	$5,000	$5,000	$5,000	7/9/2025	
ZAFZ 2024-001(HMT)	2	$10,000	$10,000	$10,000	3/27/2025	
ZAG 2024-001(HMT)	1	$2,000	$2,000	$2,000	12/2/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZAG 2025-001(HMT)	1	$5,000	$5,000	$5,000	6/27/2025	
ZAGQ 2023-001(HMT)	1	$5,000	$5,000	$5,000	11/25/2024	
ZAGT 2024-001(HMT)	1	$2,000	$2,000	$2,000	10/10/2024	
ZAGT 2024-003(HMT)	1	$3,000	$3,000	$3,000	11/25/2024	
ZALD 2024-001(HMT)	1	$15,000	$15,000	$11,000	12/9/2024	
ZALD 2025-001(HMT)	1	$10,000	$10,000	$7,500	7/7/2025	
ZALD 2025-002(HMT)	2	$20,000	$20,000	$14,400	7/7/2025	
ZALD 2025-003(HMT)	1	$10,000	$10,000	$7,200	7/7/2025	
ZALD 2025-004(HMT)	1	$10,000	$10,000	$7,500	7/7/2025	
ZALD 2025-005(HMT)	1	$10,000	$10,000	$7,200	7/7/2025	
ZAMC 2025-001(HMT)	1	$5,000	$5,000	$3,500	7/23/2025	
ZAMC 2025-002(HMT)	1	$2,000	$2,000	$1,410	7/23/2025	
ZAMC 2025-003(HMT)	1	$2,000	$2,000	$1,410	8/18/2025	
ZAND 2025-001(HMT)	1	$5,000	$5,000	$5,000	1/6/2025	
ZAND 2025-002(HMT)	1	$10,000	$10,000	$8,100	5/1/2025	
ZASY 2024-002(HMT)	1	$10,000	$10,000	$8,400	4/23/2025	
ZASY 2025-001(HMT)	1	$5,000	$5,000	$3,500	5/20/2025	
ZAVX 2024-002(HMT)	1	$2,000	$2,000	$1,500	4/23/2025	
ZAVX 2024-003(HMT)	4	$20,000	$20,000	$12,600	4/23/2025	
ZAVX 2025-001(HMT)	1	$5,000	$5,000	$3,500	7/28/2025	
ZAVX 2025-002(HMT)	1	$5,000	$5,000	$3,600	7/28/2025	
ZAVX 2025-003(HMT)	1	$5,000	$5,000	$3,600	7/28/2025	
ZAVX 2025-004(HMT)	1	$10,000	$10,000	$8,000	7/28/2025	
ZAXA 2021-001(HMT)	1	$10,000	$10,000	$8,500	9/3/2025	
ZBAC 2025-001(HMT)	2	$6,000	$6,000	$6,000	4/22/2025	
ZBAS 2024-001(HMT)	1	$15,000	$15,000	$12,000	1/23/2025	
ZBAS 2024-002(HMT)	2	$6,000	$6,000	$6,000	2/5/2025	
ZBGL 2024-001(HMT)	1	$2,000	$2,000	$1,600	12/11/2024	
ZBGM 2024-001(HMT)	1	$2,000	$2,000	$2,000	11/7/2024	
ZBMQ 2024-001(HMT)	1	$2,500	$2,500	$2,500	12/20/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZBMS 2025-001(HMT)	1	$4,000	$4,000	$4,000	4/15/2025	
ZBMS 2025-002(HMT)	1	$2,000	$2,000	$2,000	4/15/2025	
ZBMS 2025-003(HMT)	4	$14,000	$14,000	$12,600	6/13/2025	
ZBMS 2025-004(HMT)	5	$18,000	$18,000	$14,000	6/13/2025	
ZBMS 2025-006(HMT)	1	$2,000	$2,000	$2,000	5/29/2025	
ZBPN 2024-003(HMT)	2	$8,500	$8,500	$8,500	12/12/2024	
ZBSW 2025-001(HMT)	1	$2,000	$2,000	$2,000	6/13/2025	
ZBUD 2024-001(HMT)	1	$5,000	$5,000	$4,500	1/7/2025	
ZBUJ 2025-001(HMT)	1	$5,000	$5,000	$4,200	4/24/2025	
ZBUJ 2025-002(HMT)	1	$5,000	$5,000	$4,200	6/12/2025	
ZBUJ 2025-003(HMT)	1	$5,000	$5,000	$4,500	6/12/2025	
ZBVV 2025-001(HMT)	1	$8,750	$8,750	$5,000	4/9/2025	
ZBWC 2024-005(HMT)	1	$5,000	$5,000	$4,000	11/1/2024	
ZBWC 2025-001(HMT)	1	$1,000	$1,000	$1,000	3/21/2025	
ZBWC 2025-002(HMT)	1	$5,000	$5,000	$4,000	4/14/2025	
ZBWC 2025-003(HMT)	1	$2,000	$2,000	$1,600	9/11/2025	
ZBWG 2025-001(HMT)	1	$15,000	$15,000	$13,000	7/29/2025	
ZBWW 2025-001(HMT)	1	$2,000	$2,000	$1,400	6/17/2025	
ZCACT 2025-001(HMT)	4	$14,000	$14,000	$12,000	8/14/2025	
ZCBK 2024-001(HMT)	1	$5,000	$5,000	$5,000	3/28/2025	
ZCCC 2024-001(HMT)	1	$2,000	$2,000	$2,000	4/11/2025	
ZCCC 2025-001(HMT)	2	$4,000	$4,000	$4,000	4/23/2025	
ZCCC 2025-002(HMT)	1	$2,000	$2,000	$2,000	8/12/2025	
ZCCQ 2024-001(HMT)	1	$5,000	$5,000	$3,750	5/19/2025	
ZCED 2024-003(HMT)	1	$2,000	$2,000	$2,000	10/16/2024	
ZCED 2025-001(HMT)	1	$2,000	$2,000	$2,000	3/17/2025	
ZCEF 2024-001(HMT)	1	$5,000	$5,000	$5,000	10/17/2024	
ZCEF 2025-001(HMT)	1	$5,000	$5,000	$5,000	3/31/2025	
ZCEF 2025-002(HMT)	1	$10,000	$10,000	$10,000	3/31/2025	
ZCEF 2025-003(HMT)	1	$5,000	$5,000	$5,000	8/12/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZCEF 2025-004(HMT)	1	$15,000	$15,000	$15,000	9/24/2025	
ZCEW 2023-001(HMT)	1	$2,000	$2,000	$1,500	8/28/2025	
ZCFD 2021-006(HMT)	1	$5,000	$5,000	$5,000	5/15/2025	
ZCFER 2024-001(GC)	1	$2,100	$2,100	$1,575	9/26/2025	
ZCFER 2024-002(GC)	1	$2,100	$2,100	$1,575	9/26/2025	
ZCFER 2024-003(LI)	1	$5,200	$5,200	$3,900	9/26/2025	
ZCFX 2024-001(HMT)	1	$2,000	$2,000	$2,000	5/27/2025	
ZCFX 2025-001(HMT)	1	$2,000	$2,000	$2,000	5/27/2025	
ZCHEV 2025-001(HMT)	1	$10,000	$10,000	$7,500	5/1/2025	
ZCHF 2025-001(HMT)	1	$2,000	$2,000	$2,000	3/28/2025	
ZCHF 2025-002(HMT)	1	$5,000	$5,000	$5,000	3/28/2025	
ZCHS 2025-001(HMT)	1	$15,000	$15,000	$15,000	8/12/2025	
ZCHX 2025-001(HMT)	1	$2,000	$2,000	$2,000	6/5/2025	
ZCIK 2024-001(HMT)	1	$2,500	$2,500	$1,500	1/13/2025	
ZCLX 2025-001(HMT)	1	$5,000	$5,000	$3,500	4/2/2025	
ZCM2 2025-001(HMT)	1	$5,000	$5,000	$3,000	9/11/2025	
ZCME 2024-003(HMT)	1	$5,000	$5,000	$4,000	10/22/2024	
ZCME 2024-004(HMT)	2	$10,000	$10,000	$8,000	3/31/2025	
ZCME 2025-001(HMT)	2	$12,500	$12,500	$10,000	5/15/2025	
ZCME 2025-002(HMT)	1	$10,000	$10,000	$8,000	4/3/2025	
ZCME 2025-003(HMT)	1	$10,000	$10,000	$8,000	4/3/2025	
ZCMN 2025-001(HMT)	1	$1,500	$1,500	$1,500	9/5/2025	
ZCNG 2024-002(HMT)	1	$5,000	$5,000	$5,000	5/21/2025	
ZCO2 2021-001(HMT)	1	$7,500	$7,500	$1,500	4/9/2025	
ZCPCL 2024-001(HMT)	1	$10,000	$10,000	$10,000	10/16/2024	
ZCPCL 2025-001(HMT)	1	$5,000	$5,000	$3,750	4/3/2025	
ZCPCL 2025-002(HMT)	2	$5,000	$5,000	$4,000	5/30/2025	
ZCRZ 2024-001(HMT)	1	$10,000	$10,000	$10,000	8/13/2025	
ZCSB 2024-001(HMT)	1	$2,000	$2,000	$2,000	10/22/2024	
ZCSF 2025-001(HMT)	1	$2,500	$2,500	$2,500	9/30/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZCSK 2025-001(HMT)	1	$10,000	$10,000	$10,000	5/27/2025	
ZCTN 2025-001(HMT)	3	$6,000	$6,000	$6,000	4/1/2025	
ZCZX 2025-001(HMT)	2	$5,000	$5,000	$3,500	3/31/2025	
ZCZX 2025-002(HMT)	2	$8,750	$8,750	$7,000	3/31/2025	
ZDET 2025-001(HMT)	1	$10,000	$10,000	$7,500	9/26/2025	
ZDGT 2023-001(HMT)	2	$4,000	$4,000	$4,000	10/2/2024	
ZDKE 2025-001(HMT)	1	$7,500	$7,500	$7,500	1/31/2025	
ZDKL 2025-001(HMT)	1	$5,000	$5,000	$5,000	9/19/2025	
ZDKT 2024-001(HMT)	1	$5,000	$5,000	$5,000	9/19/2025	
ZDKT 2025-001(HMT)	1	$2,000	$2,000	$2,000	9/16/2025	
ZDNA 2025-001(HMT)	2	$4,000	$4,000	$4,000	5/8/2025	
ZDUK 2024-001(HMT)	1	$1,000	$1,000	$1,000	1/13/2025	
ZDXL 2023-001(HMT)	1	$7,500	$7,500	$6,000	1/10/2025	
ZDYI 2023-004(HMT)	1	$20,000	$20,000	$5,000	4/16/2025	
ZDYI 2025-001(HMT)	2	$10,000	$10,000	$10,000	4/8/2025	
ZDYI 2025-002(HMT)	4	$8,000	$8,000	$8,000	4/15/2025	
ZDYI 2025-003(HMT)	1	$5,000	$5,000	$5,000	4/8/2025	
ZDYI 2025-004(HMT)	1	$10,000	$10,000	$10,000	8/28/2025	
ZDYI 2025-005(HMT)	1	$2,000	$2,000	$2,000	8/20/2025	
ZDYI 2025-006(HMT)	1	$10,000	$10,000	$10,000	8/28/2025	
ZEACH 2024-002(HMT)	1	$5,000	$5,000	$3,500	10/2/2024	
ZEAO 2024-002(HMT)	1	$2,000	$2,000	$1,400	10/8/2024	
ZEAO 2024-003(HMT)	1	$5,000	$5,000	$3,600	5/13/2025	
ZEEA 2024-001(HMT)	2	$10,000	$10,000	$5,500	3/24/2025	
ZEMER 2023-002(HMT)	1	$5,000	$5,000	$5,000	1/22/2025	
ZEMER 2023-003(HMT)	1	$5,000	$5,000	$5,000	1/22/2025	
ZEMER 2025-001(HMT)	1	$5,000	$5,000	$5,000	3/21/2025	
ZENS 2025-001(HMT)	1	$5,000	$5,000	$3,500	4/14/2025	
ZEOB 2024-001(HMT)	1	$2,000	$2,000	$1,400	10/16/2024	
ZEOB 2025-001(HMT)	1	$7,500	$7,500	$6,050	8/15/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZEPC 2024-001(HMT)	1	$5,000	$5,000	$5,000	10/24/2024	
ZEPZ 2025-001(HMT)	1	$10,000	$10,000	$10,000	5/30/2025	
ZESR 2024-001(HMT)	1	$5,000	$5,000	$3,500	10/2/2024	
ZESR 2024-002(HMT)	2	$10,000	$10,000	$10,000	3/11/2025	
ZEVD 2024-001(HMT)	1	$5,000	$5,000	$3,400	10/18/2024	
ZEVD 2025-001(HMT)	1	$5,000	$5,000	$3,600	8/4/2025	
ZEVD 2025-002(HMT)	1	$5,000	$5,000	$2,950	8/4/2025	
ZFGC 2025-001(HMT)	2	$6,000	$6,000	$4,200	5/30/2025	
ZFHR 2004-001(HMT)	1	$10,000	$0	$0	5/12/2025	Case Terminated
ZFHR 2009-001(HMT)	1	$10,000	$0	$0	5/12/2025	Case Terminated
ZFHR 2009-002(HMT)	1	$10,000	$0	$0	5/12/2025	Case Terminated
ZFHR 2009-003(HMT)	1	$6,000	$0	$0	5/12/2025	Case Terminated
ZFHR 2011-001(HMT)	1	$5,000	$0	$0	5/12/2025	Case Terminated
ZFHR 2011-002(HMT)	1	$5,000	$0	$0	5/14/2025	Case Terminated
ZFHR 2012-003(HMT)	1	$5,000	$0	$0	5/14/2025	Case Terminated
ZFHR 2012-004(HMT)	1	$5,000	$0	$0	5/14/2025	Case Terminated
ZFHR 2024-002(HMT)	1	$5,000	$5,000	$3,500	4/9/2025	
ZFHR 2025-001(HMT)	1	$15,000	$15,000	$15,000	5/30/2025	
ZFLS 2024-001(HMT)	1	$5,000	$5,000	$5,000	10/22/2024	
ZFRQ 2024-001(HMT)	1	$5,000	$5,000	$3,650	3/25/2025	
ZGBL 2023-001(HMT)	5	$25,000	$25,000	$17,000	5/15/2025	
ZGCC 2022-001(HMT)	1	$5,000	$5,000	$2,750	5/29/2025	
ZGCC 2022-002(HMT)	1	$5,000	$5,000	$3,100	5/29/2025	
ZGCL 2024-001(HMT)	2	$10,000	$10,000	$6,600	4/16/2025	
ZGCL 2025-001(HMT)	1	$5,000	$5,000	$3,400	4/16/2025	
ZGFC 2023-001(HMT)	1	$5,000	$5,000	$3,250	5/29/2025	
ZGFC 2024-001(HMT)	1	$5,000	$5,000	$3,250	5/29/2025	
ZGFC 2024-002(HMT)	1	$5,000	$5,000	$3,250	5/29/2025	
ZGFV 2024-001(HMT)	1	$5,000	$5,000	$3,500	5/28/2025	
ZGGE 2024-001(HMT)	2	$10,000	$10,000	$10,000	5/19/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZGGI 2024-001(HMT)	1	$5,000	$5,000	$3,000	5/29/2025	
ZGGI 2024-002(HMT)	1	$5,000	$5,000	$3,250	5/29/2025	
ZGGI 2024-003(HMT)	1	$5,000	$5,000	$3,250	5/29/2025	
ZGLB 2024-001(HMT)	1	$5,000	$5,000	$3,600	5/19/2025	
ZGLB 2024-002(HMT)	1	$5,000	$5,000	$3,600	5/16/2025	
ZGLG 2024-001(HMT)	1	$5,000	$5,000	$3,250	5/8/2025	
ZGLG 2025-001(HMT)	3	$10,000	$10,000	$6,750	5/8/2025	
ZGLM 2024-001(HMT)	1	$10,000	$10,000	$7,600	5/20/2025	
ZGOJ 2023-001(HMT)	2	$12,500	$12,500	$8,750	5/22/2025	
ZGPG 2024-001(HMT)	1	$10,000	$10,000	$10,000	5/28/2025	
ZGPG 2025-001(HMT)	1	$5,000	$5,000	$5,000	5/28/2025	
ZGPJ 2024-001(HMT)	1	$5,000	$5,000	$5,000	3/7/2025	
ZGRE 2024-001(HMT)	1	$4,000	$4,000	$2,800	5/12/2025	
ZGTR 2022-001(HMT)	1	$10,000	$10,000	$7,500	5/19/2025	
ZGUF 2025-001(HMT)	1	$10,000	$10,000	$7,200	5/30/2025	
ZGUV 2022-001(HMT)	1	$5,000	$5,000	$5,000	5/14/2025	
ZHAR 2024-001(HMT)	1	$2,000	$2,000	$2,000	3/21/2025	
ZHCP 2024-001(HMT)	2	$10,000	$10,000	$10,000	3/21/2025	
ZHFR 2024-001(HMT)	1	$2,000	$2,000	$2,000	4/23/2025	
ZHFR 2024-002(HMT)	7	$14,000	$14,000	$14,000	4/23/2025	
ZHFR 2025-001(HMT)	1	$5,000	$5,000	$5,000	4/25/2025	
ZHIO 2025-001(HMT)	1	$2,000	$2,000	$2,000	5/16/2025	
ZHOV 2025-001(HMT)	1	$10,000	$10,000	$7,000	6/25/2025	
ZHOY 2023-001(HMT)	2	$10,000	$10,000	$10,000	11/6/2024	
ZHTP 2025-001(HMT)	1	$10,000	$10,000	$10,000	5/15/2025	
ZHTP 2025-002(HMT)	1	$10,000	$10,000	$10,000	5/15/2025	
ZHTZ 2024-001(HMT)	1	$2,000	$2,000	$2,000	12/16/2024	
ZHTZ 2025-001(HMT)	1	$5,000	$5,000	$5,000	8/19/2025	
ZHUC 2023-001(HMT)	1	$5,000	$5,000	$5,000	3/21/2025	
ZHWE 2024-001(HMT)	1	$5,000	$5,000	$5,000	3/21/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZHWK 2025-001(HMT)	1	$5,000	$5,000	$5,000	7/3/2025	
ZHYC 2024-002(HMT)	1	$4,000	$4,000	$4,000	5/30/2025	
ZHYC 2025-001(HMT)	1	$2,000	$2,000	$2,000	6/13/2025	
ZICP 2024-001(HMT)	2	$10,000	$10,000	$10,000	11/15/2024	
ZIFT 2024-001(HMT)	1	$2,000	$2,000	$2,000	12/14/2024	
ZIM 2022-001(HMT)	2	$12,500	$12,500	$12,500	5/28/2025	
ZIM 2022-002(HMT)	1	$1,000	$1,000	$1,000	5/28/2025	
ZIMA 2024-002(HMT)	1	$4,000	$4,000	$3,000	11/18/2024	
ZIMTB 2024-001(HMT)	1	$5,000	$5,000	$5,000	10/16/2024	
ZIP 2024-002(HMT)	1	$5,000	$5,000	$3,700	11/18/2024	
ZIP 2024-003(HMT)	1	$5,000	$5,000	$3,700	11/18/2024	
ZIPI 2024-001(HMT)	1	$10,000	$10,000	$10,000	1/13/2025	
ZIRO 2024-001(HMT)	1	$2,000	$2,000	$1,500	11/6/2024	
ZITF 2025-001(HMT)	1	$10,000	$10,000	$10,000	8/14/2025	
ZITGC 2021-001(HMT)	1	$5,000	$5,000	$5,000	4/1/2025	
ZITGC 2023-001(HMT)	3	$7,000	$7,000	$7,000	4/1/2025	
ZITT 2024-004(HMT)	1	$5,000	$5,000	$3,500	2/25/2025	
ZITT 2024-005(HMT)	2	$10,000	$10,000	$7,000	2/25/2025	
ZIVE 2025-001(HMT)	1	$5,000	$5,000	$5,000	3/26/2025	
ZJBB 2024-001(HMT)	1	$2,000	$2,000	$1,300	12/10/2024	
ZJBB 2024-002(HMT)	2	$4,000	$4,000	$2,600	12/10/2024	
ZJEZ 2020-001(HMT)	1	$10,000	$0	$0	5/17/2025	Case Terminated
ZJHO 2025-001(HMT)	1	$2,000	$2,000	$2,000	6/9/2025	
ZKEL 2024-001(HMT)	2	$10,000	$10,000	$6,500	4/14/2025	
ZKEM 2025-001(HMT)	2	$10,000	$10,000	$10,000	8/12/2025	
ZKEN 2025-001(HMT)	1	$7,500	$7,500	$7,500	7/31/2025	
ZKEN 2025-002(HMT)	1	$5,000	$5,000	$5,000	7/31/2025	
ZKEY 2025-001(HMT)	1	$2,000	$2,000	$1,700	5/22/2025	
ZKLI 2020-001(HMT)	3	$15,000	$15,000	$15,000	6/18/2025	
ZKMB 2024-002(HMT)	1	$2,000	$2,000	$1,400	4/7/2025	

FRA Number	**No. Viol.**	**POCA**	**PRCA**	**Settlement Amount**	**Settlement Date**	**Comments**
ZKMB 2024-003(HMT)	6	$12,000	$12,000	$8,400	12/16/2024	
ZKML 2025-001(HMT)	1	$10,000	$10,000	$8,000	9/22/2025	
ZKML 2025-002(HMT)	1	$5,000	$5,000	$3,500	8/14/2025	
ZKML 2025-003(HMT)	3	$6,000	$6,000	$4,800	8/21/2025	
ZKMP 2024-001(HMT)	1	$15,000	$15,000	$12,000	4/11/2025	
ZKMP 2024-002(HMT)	1	$15,000	$15,000	$12,000	4/23/2025	
ZKMQ 2024-002(HMT)	1	$10,000	$10,000	$8,000	12/18/2024	
ZKMQ 2024-003(HMT)	1	$5,000	$5,000	$3,750	12/18/2024	
ZKMQ 2025-001(HMT)	1	$10,000	$10,000	$7,000	8/29/2025	
ZKMQ 2025-002(HMT)	1	$10,000	$10,000	$7,000	8/29/2025	
ZKMS 2024-001(HMT)	2	$4,000	$4,000	$4,000	3/21/2025	
ZKMS 2025-001(HMT)	2	$4,000	$4,000	$4,000	3/21/2025	
ZKPA 2024-001(HMT)	2	$10,000	$10,000	$6,500	4/10/2025	
ZKPI 2024-002(HMT)	1	$5,000	$5,000	$5,000	3/31/2025	
ZKRA 2024-002(HMT)	1	$2,000	$2,000	$1,700	10/21/2024	
ZKRA 2025-001(HMT)	1	$15,000	$10,000	$10,000	8/5/2025	Partially Terminated Violation(s): No. 1
ZKRD 2024-001(HMT)	1	$2,000	$2,000	$1,400	3/25/2025	
ZKSF 2024-001(HMT)	1	$2,000	$2,000	$2,000	11/14/2024	
ZKWS 2024-001(HMT)	1	$5,000	$5,000	$5,000	11/25/2024	
ZLBR 2023-001(HMT)	1	$2,000	$2,000	$1,500	3/27/2025	
ZLDE 2021-001(HMT)	1	$5,000	$5,000	$3,250	12/18/2024	
ZLDE 2021-002(HMT)	1	$10,000	$10,000	$8,500	3/25/2025	
ZLDE 2021-003(HMT)	1	$5,000	$5,000	$3,250	12/11/2024	
ZLDE 2021-004(HMT)	1	$10,000	$10,000	$8,300	12/11/2024	
ZLDE 2021-005(HMT)	1	$5,000	$5,000	$3,300	4/29/2025	
ZLDE 2022-001(HMT)	1	$5,000	$5,000	$3,850	12/18/2024	
ZLDE 2023-002(HMT)	1	$5,000	$5,000	$4,250	3/25/2025	
ZLDE 2023-003(HMT)	1	$5,000	$5,000	$3,600	10/17/2024	
ZLDE 2024-002(HMT)	1	$3,750	$3,750	$3,000	12/11/2024	
ZLDE 2025-001(HMT)	1	$5,000	$5,000	$3,300	4/29/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZLDE 2025-002(HMT)	1	$5,000	$5,000	$3,500	6/24/2025	
ZLDE 2025-003(HMT)	1	$10,000	$10,000	$8,100	8/27/2025	
ZLDI 2022-001(HMT)	1	$10,000	$10,000	$8,200	4/7/2025	
ZLDI 2024-001(HMT)	1	$2,000	$2,000	$1,450	3/31/2025	
ZLDI 2025-001(HMT)	1	$5,000	$5,000	$5,000	8/7/2025	
ZLDI 2025-002(HMT)	1	$5,000	$5,000	$3,400	9/7/2025	
ZLDR 2022-001(HMT)	1	$5,000	$5,000	$3,500	4/11/2025	
ZLEG 2022-001(HMT)	1	$2,000	$2,000	$2,000	3/25/2025	
ZLFI 2020-001(HMT)	1	$2,500	$0	$0	5/17/2025	Case Terminated
ZLFZ 2021-001(HMT)	1	$4,000	$4,000	$4,000	3/25/2025	
ZLGD 2019-001(HMT)	1	$12,000	$0	$0	5/17/2025	Case Terminated
ZLGZ 2021-001(HMT)	1	$4,000	$4,000	$3,000	3/31/2025	
ZLHP 2019-001(HMT)	1	$10,000	$10,000	$7,800	10/3/2024	
ZLHP 2019-002(HMT)	1	$5,000	$5,000	$3,700	10/3/2024	
ZLHP 2019-003(HMT)	1	$5,000	$5,000	$3,250	10/3/2024	
ZLHP 2020-001(HMT)	1	$10,000	$10,000	$8,500	10/3/2024	
ZLHP 2020-002(HMT)	1	$5,000	$5,000	$3,500	10/3/2024	
ZLHP 2023-001(HMT)	1	$3,000	$3,000	$2,250	10/3/2024	
ZLHT 2024-001(HMT)	5	$10,000	$10,000	$9,000	3/24/2025	
ZLIT 2021-001(HMT)	1	$2,000	$2,000	$2,000	10/31/2024	
ZLIT 2022-001(HMT)	1	$2,000	$2,000	$2,000	10/31/2024	
ZLON 2020-001(HMT)	1	$5,000	$0	$0	5/17/2025	Case Terminated
ZLON 2020-002(HMT)	1	$5,000	$0	$0	5/17/2025	Case Terminated
ZLQT 2018-001(HMT)	1	$2,000	$0	$0	3/25/2025	Case Terminated
ZLSP 2024-001(HMT)	2	$10,000	$10,000	$10,000	3/25/2025	
ZLUB 2024-001(HMT)	1	$500	$500	$500	5/29/2025	
ZLUB 2025-001(HMT)	1	$15,000	$15,000	$15,000	5/29/2025	
ZLUIN 2019-002(HMT)	1	$5,000	$5,000	$3,400	10/2/2024	
ZLWE 2021-001(HMT)	1	$10,000	$10,000	$10,000	3/26/2025	
ZLWE 2024-001(HMT)	1	$5,000	$5,000	$3,500	3/26/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZLWE 2025-001(HMT)	1	$5,000	$5,000	$5,000	6/27/2025	
ZMAB 2025-001(HMT)	1	$2,000	$2,000	$1,500	6/17/2025	
ZMFQ 2025-001(HMT)	1	$2,000	$2,000	$2,000	5/22/2025	
ZMGL 2024-001(HMT)	1	$15,000	$15,000	$11,250	1/17/2025	
ZMHX 2025-001(HMT)	2	$10,000	$10,000	$7,500	6/11/2025	
ZMIE 2024-001(HMT)	1	$5,000	$5,000	$3,700	12/9/2024	
ZMIO 2024-002(HMT)	1	$5,000	$5,000	$5,000	1/8/2025	
ZMKO 2024-001(HMT)	1	$5,000	$5,000	$5,000	12/6/2024	
ZMNP 2024-001(HMT)	2	$5,000	$5,000	$3,750	1/17/2025	
ZMNP 2025-001(HMT)	1	$2,500	$2,500	$1,875	6/18/2025	
ZMNP 2025-002(HMT)	1	$10,000	$10,000	$7,500	9/24/2025	
ZMNZ 2024-003(HMT)	1	$15,000	$15,000	$15,000	3/17/2025	
ZMOP 2024-001(HMT)	2	$5,000	$5,000	$3,750	11/14/2024	
ZMQE 2025-001(HMT)	1	$10,000	$10,000	$10,000	4/10/2025	
ZMRK 2025-001(HMT)	1	$7,500	$7,500	$7,500	8/26/2025	
ZMTT 2025-001(HMT)	1	$2,000	$2,000	$2,000	5/14/2025	
ZMUN 2024-002(HMT)	2	$10,000	$10,000	$10,000	11/6/2024	
ZMUSK 2025-001(HMT)	2	$4,000	$4,000	$4,000	6/6/2025	
ZMWH 2025-001(HMT)	2	$7,500	$7,500	$4,900	4/9/2025	
ZNCAS 2024-001(HMT)	1	$2,000	$2,000	$2,000	4/23/2025	
ZNDM 2024-002(HMT)	2	$4,000	$4,000	$4,000	11/6/2024	
ZNDM 2024-003(HMT)	1	$2,000	$2,000	$2,000	3/21/2025	
ZNOS 2025-001(HMT)	1	$10,000	$10,000	$10,000	4/23/2025	
ZNSA 2023-001(HMT)	1	$5,000	$5,000	$2,500	7/1/2025	
ZNSL 2025-001(HMT)	1	$2,000	$2,000	$2,000	9/23/2025	
ZNTM 2025-001(HMT)	1	$5,000	$5,000	$2,500	7/24/2025	
ZNTN 2025-001(HMT)	1	$5,000	$5,000	$3,000	4/25/2025	
ZNUS 2023-001(HMT)	1	$2,000	$2,000	$1,000	4/29/2025	
ZNYN 2024-002(HMT)	1	$2,000	$2,000	$1,000	12/16/2024	
ZNYN 2024-003(HMT)	1	$5,000	$5,000	$2,500	12/16/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZOCP 2024-001(HMT)	1	$10,000	$10,000	$5,000	9/25/2025	
ZOCP 2024-002(HMT)	1	$10,000	$10,000	$5,000	9/25/2025	
ZOUS 2022-001(HMT)	1	$5,000	$5,000	$3,250	4/30/2025	
ZOUS 2022-002(HMT)	2	$4,000	$4,000	$2,400	4/30/2025	
ZOUS 2023-001(HMT)	1	$2,000	$2,000	$1,200	4/30/2025	
ZOUS 2023-002(HMT)	1	$5,000	$5,000	$3,250	4/30/2025	
ZPBN 2025-001(HMT)	1	$15,000	$15,000	$10,800	5/23/2025	
ZPCSS 2024-002(HMT)	1	$5,000	$5,000	$4,500	6/30/2025	
ZPCSS 2024-003(HMT)	1	$5,000	$5,000	$3,000	6/30/2025	
ZPCSS 2025-001(HMT)	2	$4,000	$4,000	$2,400	6/30/2025	
ZPCSS 2025-002(HMT)	1	$5,000	$5,000	$3,000	6/30/2025	
ZPCSS 2025-003(HMT)	1	$5,000	$5,000	$3,000	6/30/2025	
ZPCU 2024-001(HMT)	1	$2,000	$2,000	$2,000	3/20/2025	
ZPCU 2025-001(HMT)	1	$1,000	$1,000	$1,000	7/9/2025	
ZPG 2025-001(HMT)	1	$2,000	$2,000	$2,000	5/21/2025	
ZPG 2025-002(HMT)	1	$5,000	$5,000	$5,000	5/21/2025	
ZPG 2025-003(HMT)	1	$2,000	$2,000	$2,000	6/18/2025	
ZPG 2025-004(HMT)	1	$2,000	$2,000	$2,000	9/30/2025	
ZPG 2025-005(HMT)	1	$5,000	$5,000	$5,000	9/30/2025	
ZPGQ 2024-001(HMT)	1	$2,000	$2,000	$2,000	5/16/2025	
ZPGQ 2024-002(HMT)	2	$7,000	$7,000	$7,000	5/16/2025	
ZPGQ 2024-003(HMT)	3	$15,000	$15,000	$15,000	5/16/2025	
ZPGQ 2024-004(HMT)	1	$5,000	$5,000	$5,000	5/16/2025	
ZPGQ 2025-001(HMT)	1	$5,000	$5,000	$5,000	5/16/2025	
ZPGQ 2025-002(HMT)	1	$5,000	$5,000	$5,000	5/16/2025	
ZPHO 2020-001(HMT)	1	$2,000	$0	$0	4/28/2025	Case Terminated
ZPHO 2024-001(HMT)	1	$1,000	$1,000	$800	6/30/2025	
ZPIL 2025-001(HMT)	2	$4,000	$4,000	$4,000	6/4/2025	
ZPMO 2024-001(HMT)	1	$5,000	$5,000	$3,600	12/9/2024	
ZPOK 2024-001(HMT)	1	$10,000	$10,000	$7,600	12/9/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZPOK 2024-002(HMT)	1	$5,000	$5,000	$3,750	3/25/2025	
ZPSC 2024-011(HMT)	1	$5,000	$5,000	$3,875	6/17/2025	
ZPSC 2024-012(HMT)	1	$5,000	$5,000	$4,000	6/17/2025	
ZPSC 2024-013(HMT)	1	$5,000	$5,000	$4,000	7/17/2025	
ZPSC 2024-014(HMT)	1	$5,000	$5,000	$4,000	6/17/2025	
ZPSC 2024-015(HMT)	1	$2,500	$2,500	$2,000	6/17/2025	
ZPSC 2024-016(HMT)	1	$10,000	$10,000	$7,750	6/17/2025	
ZPSC 2024-017(HMT)	1	$5,000	$5,000	$4,000	6/17/2025	
ZPSC 2025-001(HMT)	1	$10,000	$10,000	$7,750	6/17/2025	
ZPSC 2025-002(ROP)	1	$15,500	$15,500	$1,114	6/17/2025	
ZPSC 2025-003(TS)	2	$15,500	$15,500	$2,228	6/17/2025	
ZPSC 2025-004(HMT)	5	$10,000	$10,000	$8,000	6/17/2025	
ZPSC 2025-005(HMT)	6	$12,000	$12,000	$9,100	6/17/2025	
ZPSC 2025-006(HMT)	1	$5,000	$5,000	$3,875	6/17/2025	
ZPSC 2025-007(HMT)	1	$5,000	$5,000	$3,875	6/17/2025	
ZPSC 2025-008(HMT)	2	$10,000	$10,000	$7,750	6/17/2025	
ZPSC 2025-009(HMT)	1	$15,000	$15,000	$11,375	6/17/2025	
ZPSC 2025-010(HMT)	2	$4,000	$4,000	$3,100	6/17/2025	
ZPSC 2025-011(HMT)	1	$10,000	$10,000	$7,443	6/17/2025	
ZPSC 2025-012(HMT)	1	$5,000	$5,000	$3,443	6/17/2025	
ZPSY 2025-001(HMT)	1	$5,000	$5,000	$3,650	8/19/2025	
ZQCH 2024-001(HMT)	1	$2,000	$2,000	$2,000	11/8/2024	
ZRFC 2025-001(HMT)	1	$15,000	$15,000	$11,500	4/11/2025	
ZRFC 2025-002(HMT)	1	$5,000	$5,000	$3,000	4/14/2025	
ZRFC 2025-003(HMT)	1	$2,500	$2,500	$2,500	9/11/2025	
ZRLC 2024-001(HMT)	3	$8,500	$8,500	$8,500	11/12/2024	
ZRLC 2025-001(HMT)	1	$8,750	$8,750	$8,750	1/29/2025	
ZRRQ 2024-003(SA)	1	$5,200	$5,200	$3,500	11/15/2024	
ZRSH 2024-003(HMT)	1	$2,000	$2,000	$1,350	10/26/2024	
ZRSH 2024-004(HMT)	1	$2,000	$2,000	$1,350	11/21/2024	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZRSH 2024-005(HMT)	1	$2,000	$2,000	$1,350	3/21/2025	
ZRSH 2025-001(HMT)	3	$11,000	$11,000	$7,500	4/16/2025	
ZRSNL 2025-001(HMT)	1	$2,000	$2,000	$2,000	3/18/2025	
ZRSO 2025-001(HMT)	2	$6,000	$6,000	$3,000	9/26/2025	
ZRWW 2024-001(HMT)	1	$7,500	$7,500	$7,500	10/8/2024	
ZSAB 2025-001(HMT)	1	$2,000	$2,000	$1,200	8/19/2025	
ZSAT 2017-001(HMT)	1	$2,500	$0	$0	9/24/2025	Case Terminated
ZSAT 2018-001(HMT)	4	$18,000	$0	$0	9/24/2025	Case Terminated
ZSAV 2024-001(HMT)	1	$2,000	$2,000	$1,300	4/8/2025	
ZSAV 2024-002(HMT)	2	$7,000	$7,000	$4,550	4/8/2025	
ZSAV 2024-003(HMT)	1	$2,000	$2,000	$1,300	4/8/2025	
ZSAV 2025-001(HMT)	1	$5,000	$5,000	$3,375	8/1/2025	
ZSAV 2025-002(HMT)	1	$2,000	$2,000	$1,250	8/1/2025	
ZSAV 2025-003(HMT)	1	$10,000	$10,000	$7,150	8/1/2025	
ZSAV 2025-004(HMT)	1	$2,000	$2,000	$1,350	8/1/2025	
ZSAV 2025-005(HMT)	1	$2,000	$2,000	$1,300	9/23/2025	
ZSBN 2018-001(HMT)	2	$8,000	$0	$0	9/24/2025	Case Terminated
ZSBS 2015-001(HMT)	1	$10,000	$0	$0	4/15/2025	Case Terminated
ZSBS 2015-002(HMT)	2	$10,000	$0	$0	4/15/2025	Case Terminated
ZSCT 2020-001(HMT)	1	$2,000	$2,000	$1,100	7/11/2025	
ZSCT 2021-001(HMT)	1	$5,000	$5,000	$2,850	7/11/2025	
ZSCT 2025-001(HMT)	1	$5,000	$5,000	$3,000	7/11/2025	
ZSCT 2025-002(HMT)	1	$15,000	$15,000	$10,200	9/25/2025	
ZSDI 2014-001(HMT)	1	$2,000	$0	$0	9/24/2025	Case Terminated
ZSGC 2013-001(HMT)	1	$5,000	$0	$0	9/23/2025	Case Terminated
ZSHL 2024-001(HMT)	3	$6,000	$6,000	$6,000	4/24/2025	
ZSIL 2015-001(HMT)	1	$5,000	$0	$0	3/26/2025	Case Terminated
ZSIL 2017-001(HMT)	2	$9,500	$0	$0	3/26/2025	Case Terminated
ZSIL 2018-001(HMT)	1	$5,000	$0	$0	3/26/2025	Case Terminated
ZSIL 2023-001(HMT)	1	$10,000	$10,000	$10,000	3/26/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZSKI 2022-001(HMT)	2	$4,000	$4,000	$2,700	4/15/2025	
ZSKI 2022-002(HMT)	1	$5,000	$5,000	$3,300	4/15/2025	
ZSKI 2023-001(HMT)	1	$5,000	$5,000	$3,200	4/15/2025	
ZSKI 2023-002(HMT)	1	$5,000	$5,000	$3,400	4/15/2025	
ZSKI 2024-001(HMT)	1	$5,000	$5,000	$3,400	4/15/2025	
ZSKI 2024-002(HMT)	1	$15,000	$15,000	$11,000	4/15/2025	
ZSKS 2021-001(HMT)	1	$10,000	$10,000	$7,250	4/17/2025	
ZSKS 2024-001(HMT)	1	$15,000	$15,000	$11,000	4/17/2025	
ZSKS 2024-002(HMT)	2	$10,000	$10,000	$7,000	4/17/2025	
ZSLA 2017-001(HMT)	1	$2,000	$0	$0	8/5/2025	Case Terminated
ZSLA 2017-002(HMT)	1	$5,000	$0	$0	8/5/2025	Case Terminated
ZSLA 2023-001(HMT)	1	$5,000	$5,000	$3,125	8/5/2025	
ZSLA 2024-001(HMT)	1	$5,000	$5,000	$3,250	8/5/2025	
ZSLA 2024-002(HMT)	1	$5,000	$5,000	$3,500	8/5/2025	
ZSLA 2024-003(HMT)	1	$5,000	$5,000	$3,250	8/5/2025	
ZSLA 2024-004(HMT)	1	$5,000	$5,000	$3,125	8/5/2025	
ZSLY 2016-001(HMT)	1	$10,000	$0	$0	7/7/2025	Case Terminated
ZSLY 2018-001(HMT)	1	$5,000	$0	$0	7/7/2025	Case Terminated
ZSLY 2018-002(HMT)	1	$7,500	$0	$0	7/7/2025	Case Terminated
ZSLY 2020-001(HMT)	1	$2,000	$2,000	$1,200	7/7/2025	
ZSLY 2021-001(HMT)	3	$12,500	$12,500	$8,750	7/7/2025	
ZSME 2016-001(HMT)	3	$19,000	$0	$0	9/23/2025	Case Terminated
ZSNL 2025-001(HMT)	1	$5,000	$5,000	$3,250	8/19/2025	
ZSOC 2023-001(HMT)	2	$4,000	$4,000	$4,000	4/23/2025	
ZSOS 2011-002(HMT)	3	$30,000	$0	$0	8/12/2025	Case Terminated
ZSRS 2024-001(HMT)	2	$6,500	$6,500	$4,000	9/29/2025	
ZSSCO 2024-003(HMT)	2	$4,000	$4,000	$3,000	10/29/2024	
ZSSCO 2024-004(HMT)	1	$5,000	$5,000	$3,900	10/29/2024	
ZSSCO 2024-005(HMT)	1	$2,000	$2,000	$1,400	4/8/2025	
ZSSCO 2024-006(HMT)	1	$5,000	$5,000	$3,500	4/8/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZSSP 2015-001(HMT)	1	$10,000	$0	$0	4/30/2025	Case Terminated
ZSSP 2020-001(HMT)	2	$4,000	$4,000	$2,500	4/30/2025	
ZSSP 2020-002(HMT)	1	$2,000	$2,000	$1,200	4/30/2025	
ZSSP 2021-002(HMT)	1	$2,000	$2,000	$1,200	4/30/2025	
ZSSP 2022-001(HMT)	1	$2,000	$2,000	$1,200	4/30/2025	
ZSSP 2022-002(HMT)	1	$5,000	$5,000	$3,350	4/30/2025	
ZSSP 2023-001(HMT)	1	$2,000	$2,000	$1,200	4/30/2025	
ZSSP 2024-001(HMT)	1	$2,000	$2,000	$1,200	4/30/2025	
ZSSY 2013-001(HMT)	1	$4,000	$0	$0	9/24/2025	Case Terminated
ZSUB 2015-001(HMT)	1	$5,000	$0	$0	4/2/2025	Case Terminated
ZSUB 2020-001(HMT)	1	$2,000	$2,000	$1,200	7/8/2025	
ZSUB 2021-001(HMT)	1	$5,000	$5,000	$1,500	7/8/2025	
ZSUB 2023-001(HMT)	1	$5,000	$5,000	$3,000	7/8/2025	
ZSWC 2020-001(HMT)	1	$2,000	$2,000	$1,300	6/3/2025	
ZSWC 2020-002(HMT)	2	$4,000	$4,000	$2,750	6/3/2025	
ZSWC 2020-003(HMT)	2	$4,000	$4,000	$2,750	6/3/2025	
ZSWC 2021-001(HMT)	2	$4,000	$4,000	$2,750	6/3/2025	
ZSWL 2017-001(HMT)	1	$2,000	$0	$0	8/20/2025	Case Terminated
ZSWL 2019-001(HMT)	1	$2,000	$0	$0	8/20/2025	Case Terminated
ZSWL 2020-001(HMT)	1	$5,000	$5,000	$2,750	8/20/2025	
ZSWL 2020-002(HMT)	1	$2,000	$2,000	$1,200	8/20/2025	
ZSWM 2018-001(HMT)	1	$5,000	$0	$0	7/28/2025	Case Terminated
ZSWR 2024-001(HMT)	1	$5,000	$5,000	$5,000	12/31/2024	
ZSWR 2025-001(HMT)	1	$2,000	$2,000	$2,000	4/16/2025	
ZSWR 2025-002(HMT)	1	$5,000	$5,000	$5,000	9/10/2025	
ZSWU 2019-001(HMT)	1	$2,000	$2,000	$1,400	6/3/2025	
ZSWU 2022-001(HMT)	2	$4,000	$4,000	$2,800	6/3/2025	
ZSWU 2024-001(HMT)	1	$2,000	$2,000	$1,200	6/3/2025	
ZSYN 2020-001(HMT)	1	$2,000	$2,000	$1,200	7/7/2025	
ZSYN 2021-001(HMT)	2	$13,500	$13,500	$9,000	7/7/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZSYN 2024-002(HMT)	2	$10,000	$10,000	$7,000	7/7/2025	
ZSYN 2025-001(HMT)	1	$7,500	$7,500	$5,000	7/7/2025	
ZTDW 2018-001(HMT)	1	$2,500	$0	$0	6/16/2025	Case Terminated
ZTDW 2021-001(HMT)	1	$10,000	$10,000	$5,000	6/16/2025	
ZTDW 2024-001(HMT)	1	$10,000	$10,000	$5,000	6/16/2025	
ZTEC 2025-001(HMT)	1	$20,000	$0	$0	9/16/2025	Case Terminated
ZTHA 2012-001(HMT)	1	$10,000	$0	$0	5/20/2025	Case Terminated
ZTHA 2013-001(HMT)	3	$9,000	$0	$0	5/20/2025	Case Terminated
ZTHA 2016-001(HMT)	2	$6,000	$0	$0	5/20/2025	Case Terminated
ZTHA 2016-002(HMT)	1	$7,500	$0	$0	5/20/2025	Case Terminated
ZTHA 2017-001(HMT)	1	$4,000	$0	$0	5/20/2025	Case Terminated
ZTHA 2017-002(HMT)	1	$5,000	$0	$0	5/20/2025	Case Terminated
ZTHA 2018-001(HMT)	1	$2,000	$0	$0	5/20/2025	Case Terminated
ZTHA 2020-002(HMT)	1	$4,000	$4,000	$4,000	5/20/2025	
ZTHA 2022-001(HMT)	1	$4,000	$4,000	$4,000	5/20/2025	
ZTHA 2022-002(HMT)	1	$4,000	$4,000	$4,000	5/20/2025	
ZTHA 2024-001(HMT)	1	$5,000	$5,000	$5,000	5/20/2025	
ZTLA 2016-001(HMT)	3	$15,000	$0	$0	3/12/2025	Case Terminated
ZTLA 2017-001(HMT)	2	$10,000	$0	$0	4/30/2025	Case Terminated
ZTLA 2025-001(HMT)	2	$25,000	$25,000	$25,000	5/22/2025	
ZTMS 2024-002(HMT)	1	$10,000	$10,000	$6,750	12/2/2024	
ZTMS 2025-001(HMT)	1	$2,000	$2,000	$1,400	4/9/2025	
ZTMS 2025-002(HMT)	1	$5,000	$5,000	$3,250	8/11/2025	
ZTPC 2025-001(HMT)	1	$5,000	$5,000	$3,250	5/8/2025	
ZTRB 2018-001(HMT)	1	$10,000	$0	$0	4/28/2025	Case Terminated
ZTRB 2018-002(HMT)	2	$7,000	$0	$0	4/28/2025	Case Terminated
ZTRB 2018-003(HMT)	1	$2,000	$0	$0	4/28/2025	Case Terminated
ZTRB 2019-001(HMT)	5	$25,000	$0	$0	4/28/2025	Case Terminated
ZTRG 2025-001(HMT)	1	$5,000	$5,000	$3,500	4/10/2025	
ZTRG 2025-002(HMT)	1	$10,000	$10,000	$6,750	7/16/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZTRH 2013-001(HMT)	1	$5,000	$0	$0	3/27/2025	Case Terminated
ZTRH 2016-001(HMT)	1	$2,000	$0	$0	3/27/2025	Case Terminated
ZTRH 2016-002(HMT)	1	$5,000	$0	$0	3/27/2025	Case Terminated
ZTRH 2016-003(HMT)	1	$2,000	$0	$0	3/27/2025	Case Terminated
ZTRH 2017-001(HMT)	1	$10,000	$0	$0	3/27/2025	Case Terminated
ZTRH 2017-002(HMT)	1	$2,000	$0	$0	3/27/2025	Case Terminated
ZTRH 2018-001(HMT)	1	$2,000	$0	$0	3/27/2025	Case Terminated
ZTRH 2019-001(HMT)	1	$2,000	$0	$0	3/27/2025	Case Terminated
ZTRH 2020-001(HMT)	1	$2,000	$2,000	$1,600	3/27/2025	
ZTRH 2023-001(HMT)	1	$2,000	$2,000	$1,600	3/27/2025	
ZTSB 2020-001(HMT)	1	$2,000	$1,000	$1,000	5/6/2025	Partially Terminated Violation(s): No. 1
ZTSB 2020-002(HMT)	1	$2,000	$1,000	$1,000	5/6/2025	Partially Terminated Violation(s): No. 1
ZTSB 2021-001(HMT)	1	$1,000	$1,000	$1,000	5/6/2025	
ZTSB 2023-001(HMT)	1	$2,000	$1,000	$1,000	5/6/2025	Partially Terminated Violation(s): No. 1
ZTSB 2024-001(HMT)	1	$1,000	$1,000	$1,000	5/6/2025	
ZTSS 2025-001(HMT)	1	$2,000	$2,000	$1,600	3/12/2025	
ZTT 2018-001(HMT)	1	$2,000	$0	$0	5/1/2025	Case Terminated
ZTT 2018-002(HMT)	1	$5,000	$0	$0	5/1/2025	Case Terminated
ZTT 2023-001(HMT)	1	$5,000	$5,000	$3,500	5/1/2025	
ZTUK 2025-002(HMT)	1	$5,000	$5,000	$2,500	6/16/2025	
ZUCL 2025-001(HMT)	1	$2,000	$2,000	$1,500	9/24/2025	
ZUEO 2025-001(HMT)	2	$10,000	$0	$0	7/18/2025	Case Terminated
ZUNIV 2024-003(HMT)	2	$7,000	$7,000	$5,000	1/14/2025	
ZUNIV 2025-001(HMT)	1	$4,000	$4,000	$2,950	5/12/2025	
ZUNIV 2025-002(HMT)	1	$2,000	$2,000	$1,500	9/24/2025	
ZUNIV 2025-003(HMT)	1	$4,000	$4,000	$3,000	9/24/2025	
ZUNIV 2025-004(HMT)	1	$2,000	$2,000	$1,500	9/24/2025	
ZURC 2024-001(HMT)	1	$5,000	$5,000	$3,750	3/25/2025	
ZURC 2025-001(HMT)	1	$10,000	$10,000	$6,900	5/20/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZUSQ 2025-001(HMT)	1	$15,000	$10,000	$8,000	6/16/2025	Partially Terminated Violation(s): No. 1
ZUSQ 2025-002(HMT)	1	$15,000	$10,000	$8,000	6/16/2025	Partially Terminated Violation(s): No. 1
ZUSS 2025-001(HMT)	1	$5,000	$5,000	$3,100	3/27/2025	
ZUSS 2025-002(HMT)	2	$10,000	$10,000	$6,900	3/27/2025	
ZUSS 2025-003(HMT)	1	$15,000	$15,000	$10,000	4/15/2025	
ZUSS 2025-004(HMT)	1	$5,000	$5,000	$5,000	7/14/2025	
ZUSS 2025-005(HMT)	2	$15,000	$15,000	$11,500	9/15/2025	
ZVAL 2018-001(HMT)	1	$2,500	$0	$0	3/24/2025	Case Terminated
ZVALE 2024-001(HMT)	1	$5,000	$5,000	$3,400	8/22/2025	
ZVALE 2024-002(HMT)	1	$5,000	$5,000	$3,500	8/22/2025	
ZVALE 2025-001(HMT)	1	$10,000	$10,000	$8,000	8/22/2025	
ZVALM 2022-001(HMT)	1	$7,500	$7,500	$5,025	8/22/2025	
ZVALM 2022-003(HMT)	1	$5,000	$5,000	$3,350	8/22/2025	
ZVALM 2023-001(HMT)	1	$5,000	$5,000	$3,450	8/22/2025	
ZVALM 2024-001(HMT)	1	$5,000	$5,000	$3,500	8/22/2025	
ZVALR 2021-001(HMT)	2	$10,000	$10,000	$6,500	8/22/2025	
ZVALR 2023-001(HMT)	1	$5,000	$5,000	$3,450	8/22/2025	
ZVALR 2024-001(HMT)	3	$9,000	$9,000	$6,300	8/22/2025	
ZVCL 2018-001(HMT)	1	$5,000	$0	$0	3/25/2025	Case Terminated
ZVCL 2020-001(HMT)	1	$5,000	$0	$0	4/21/2025	Case Terminated
ZVCP 2025-001(HMT)	1	$10,000	$10,000	$8,000	4/14/2025	
ZVCP 2025-002(HMT)	1	$5,000	$5,000	$3,600	5/1/2025	
ZVCP 2025-003(HMT)	1	$2,000	$2,000	$1,440	9/22/2025	
ZVES 2018-001(HMT)	1	$5,000	$0	$0	3/24/2025	Case Terminated
ZVES 2019-001(HMT)	1	$5,000	$0	$0	3/26/2025	Case Terminated
ZVES 2019-002(HMT)	1	$5,000	$0	$0	3/26/2025	Case Terminated
ZVGT 2018-001(HMT)	1	$2,000	$0	$0	3/25/2025	Case Terminated
ZVGT 2019-001(HMT)	1	$2,000	$0	$0	3/26/2025	Case Terminated
ZVLI 2022-001(HMT)	1	$5,000	$5,000	$3,350	8/22/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZVLI 2022-002(HMT)	1	$5,000	$5,000	$3,375	8/22/2025	
ZVLI 2023-001(HMT)	5	$25,000	$25,000	$17,500	8/22/2025	
ZVLQ 2020-001(HMT)	1	$5,000	$0	$0	7/31/2025	Case Terminated
ZVLQ 2021-001(HMT)	2	$4,000	$4,000	$2,400	8/22/2025	
ZVLQ 2021-002(HMT)	1	$2,500	$2,500	$1,575	8/22/2025	
ZVLQ 2022-001(HMT)	1	$2,000	$2,000	$1,340	8/22/2025	
ZVLQ 2023-001(HMT)	1	$5,000	$5,000	$3,500	8/22/2025	
ZVLQ 2024-001(HMT)	1	$10,000	$10,000	$8,000	8/22/2025	
ZVLQ 2025-001(HMT)	1	$5,000	$5,000	$3,500	8/22/2025	
ZVNS 2018-001(HMT)	1	$2,500	$0	$0	3/25/2025	Case Terminated
ZVRG 2019-001(HMT)	1	$7,500	$0	$0	3/26/2025	Case Terminated
ZVRG 2020-001(HMT)	1	$5,000	$0	$0	4/1/2025	Case Terminated
ZVRG 2020-002(HMT)	1	$2,000	$0	$0	5/17/2025	Case Terminated
ZVRG 2024-001(HMT)	1	$2,000	$2,000	$1,400	3/31/2025	
ZVRG 2024-002(HMT)	1	$5,000	$5,000	$3,500	3/31/2025	
ZVRG 2024-003(HMT)	1	$5,000	$5,000	$3,400	5/13/2025	
ZVRI 2018-001(HMT)	1	$4,000	$0	$0	3/25/2025	Case Terminated
ZVRI 2019-001(HMT)	1	$7,500	$0	$0	3/25/2025	Case Terminated
ZVTC 2020-002(HMT)	1	$2,500	$0	$0	4/1/2025	Case Terminated
ZVTD 2020-002(HMT)	1	$5,000	$0	$0	5/17/2025	Case Terminated
ZVTD 2020-003(HMT)	1	$2,000	$0	$0	7/31/2025	Case Terminated
ZVTM 2018-001(HMT)	1	$2,000	$0	$0	3/24/2025	Case Terminated
ZVTZ 2019-001(HMT)	1	$10,000	$0	$0	3/26/2025	Case Terminated
ZVTZ 2023-003(HMT)	1	$5,000	$5,000	$5,000	5/8/2025	
ZVTZ 2023-004(HMT)	1	$10,000	$10,000	$10,000	5/8/2025	
ZVTZ 2023-005(HMT)	1	$10,000	$10,000	$10,000	5/8/2025	
ZVTZ 2023-006(HMT)	1	$5,000	$5,000	$5,000	5/8/2025	
ZVTZ 2024-001(HMT)	1	$5,000	$5,000	$5,000	5/8/2025	
ZVTZ 2024-003(HMT)	1	$10,000	$10,000	$10,000	5/15/2025	
ZVTZ 2025-001(HMT)	1	$10,000	$10,000	$10,000	5/13/2025	

FRA Number	No. Viol.	POCA	PRCA	Settlement Amount	Settlement Date	Comments
ZVYP 2024-001(HMT)	1	$5,000	$5,000	$3,950	3/26/2025	
ZWAO 2024-002(HMT)	1	$2,000	$2,000	$1,400	9/3/2025	
ZWATC 2024-003(HMT)	1	$15,000	$15,000	$11,250	9/3/2025	
ZWATC 2025-001(HMT)	1	$10,000	$10,000	$7,500	9/3/2025	
ZWLC 2025-001(HMT)	1	$5,000	$5,000	$4,250	6/9/2025	
ZWMS 2025-001(HMT)	1	$5,000	$0	$0	7/22/2025	Case Terminated
ZWTN 2024-002(HMT)	2	$4,000	$4,000	$2,800	9/3/2025	
ZWTN 2024-003(HMT)	6	$15,000	$15,000	$10,800	9/3/2025	
ZWTN 2024-004(HMT)	3	$6,000	$6,000	$4,200	9/3/2025	
ZWTN 2025-001(HMT)	1	$2,000	$2,000	$1,400	9/3/2025	
ZWTN 2025-002(HMT)	3	$6,000	$6,000	$4,200	9/3/2025	
ZXCE 2025-001(HMT)	1	$2,000	$2,000	$1,650	8/25/2025	
ZXCH 2025-001(HMT)	1	$1,500	$1,500	$1,500	9/16/2025	
ZZBT 2020-001(HMT)	1	$2,000	$0	$0	5/30/2025	Case Terminated
ZZBT 2020-002(HMT)	1	$2,000	$0	$0	5/30/2025	Case Terminated
ZZBT 2025-001(HMT)	1	$4,000	$4,000	$1,000	5/30/2025	
ZZBT 2025-002(HMT)	4	$8,000	$8,000	$4,000	5/30/2025	
ZZCT 2019-002(HMT)	2	$3,500	$0	$0	3/17/2025	Case Terminated
ZZCT 2024-001(HMT)	1	$2,000	$2,000	$1,250	3/17/2025	
ZZCT 2025-001(HMT)	2	$6,000	$6,000	$3,780	5/28/2025	
ZZEM 2025-001(HMT)	1	$5,000	$5,000	$5,000	6/9/2025	
ZZIC 2023-001(HMT)	3	$11,500	$11,500	$11,500	10/22/2024	
ZZIC 2024-001(HMT)	1	$2,500	$2,500	$2,500	10/22/2024	
ZZMD 2024-001(HMT)	1	$5,000	$5,000	$3,750	10/18/2024	
ZZMD 2025-001(HMT)	1	$10,000	$10,000	$10,000	3/11/2025	
ZZPA 2025-001(HMT)	1	$10,000	$10,000	$7,300	8/4/2025	
ZZSI 2025-001(HMT)	1	$4,000	$4,000	$2,750	4/16/2025	
Total:	5,124	$30,566,759	$28,666,875	$21,107,615		

Codes	Respondent Names
ADCX	Adirondack Scenic Railroad
AGR	Alabama & Gulf Coast Railway LLC
AR	Aberdeen and Rockfish Railroad Company
ARR	Alaska Railroad Corporation
ARZC	Arizona & California Railroad Co.
ATK	National Railroad Passenger Corporation
AWRR	Austin Western Railroad
BAYL	Bay Line Railroad
BEAR	Berkshire & Eastern Railroad
BHRR	Birmingham Terminal Railway, LLC
BIP	Belpre Industrial Parkersburg Railroad
BNSF	BNSF Railway Company
BRC	The Belt Railway Company of Chicago
BVRR	Boise Valley Railroad, LLC
BVRY	Brandywine Valley Railroad Company
CA	Chesapeake & Albemarle Railroad Company, Inc.
CAGY	Columbus & Greenville Railway
CAMD	Camden & Southern Railroad
CAMY	Camp Chase Railway Company, LLC
CBR	Coos Bay Rail Line
CCT	Central California Traction Company
CERA	Central Railroad Company of Indianapolis
CFI	CF Industries, Sales, LLC
CFNR	California Northern Railroad Co.
CIND	The Central Railroad Company of Indiana
CLNA	Carolina Coastal Railway, Inc.
CN	Canadian National Railway Company
CPKC	Canadian Pacific Kansas City
CRRX	Canon City Royal Gorge Railroad
CRSM	Consolidated Rail Corporation
CSCD	Cascade and Columbia River Railroad Co.
CSS	Chicago Southshore & South Bend Railroad
CSX	CSX Transportation Inc.
CUOH	The Columbus & Ohio River RailRoad Co.

CVR	Cimarron Valley Railroad
CVSX	Cuyahoga Valley Scenic Railway
CW	Colorado & Wyoming Railway Company
CWRO	Cleveland-Cliffs Steelworks Railway, Inc.
CWRY	Commonwealth Railway, Incorporated
CWW	CWW, LLC
CXRG	Colorado Pacific Rio Grande Railroad LLC
DCR	Delmarva Central Railroad
DEAD	DEAD River Company
DGNO	Dallas, Garland and Northeastern Railroad, Inc.
DREI	Decatur and Eastern Illinois Railroad
EBSR	East Brookfield and Spencer Railroad
EJR	East Jersey Railroad and Terminal Company
ELS	Escanaba and Lake Superior Railroad Co.
FEC	Florida East Coast Railway
FGLK	Finger Lakes Railway Corporation
FOXY	Fox Valley & Lake Superior Rail System, LLC
GC	Georgia Central Railway
GDLK	Grand Elk Railroad, LLC
GFRR	Georgia and Florida Railway, LLC
GLC	Great Lakes Central Railroad
GMRC	Green Mountain Railroad Corporation
GNWR	Genesee and Wyoming Railroad Company
GRW	Gary Railway Company
HOB	Henderson Overton Branch
IHB	Indiana Harbor Belt Railroad Company
IORY	Indiana & Ohio Railway Company
ITHR	Ithaca Central Railroad
KNWA	Kanawha River Railroad
KO	Kansas and Oklahoma Railroad, LLC
KRM	Kentucky Railway Museum
KRR	Kiamichi Railroad Co., LLC.
KT	Kentucky and Tennessee Railway
KYLE	KYLE Railroad Co.
LAJ	Los Angeles Junction Railway Company
LAL	Livonia, Avon & Lakeville Railroad Corporation
LAMX	Los Angeles County Metropolitan Trans. Authority

LAS	Louisiana Southern Railroad
LBWR	Lubbock & Western Railway, L.L.C.
LC	Lancaster & Chester Railroad, LLC
LEMZ	Lemm Corporation
LI	Long Island Railroad
LIRC	Louisville & Indiana Railroad Company
LMIX	LORAM Maintenance of Way, Inc.
LRPA	Little Rock Port Authority Railroad
LSRC	Lake State Railway Company
LVR	Landisville Railroad
LVRR	Lycoming Valley Railroad
MCRL	Massachusetts Coastal Railroad, LLC
MDW	Minnesota, Dakota and Western Railway Company
MER	Missouri Eastern Railroad, LLC
MNC	Missouri North Central Railroad
MNCW	Metro-North Commuter Railroad Company
MNN	Minnesota Northern Railway
MQT	Marquette Rail, LLC
MRL	Montana Rail Link
MZQ	MICRONUTRIENTS
NCIR	New Castle Industrial Railroad
NIRC	Northeast Illinois Regional Commuter RR Corp.
NSSX	North Shore Scenic Railroad
NYSW	The New York Susquehanna and Western Railway Corp.
OHCR	Ohio Central Railroad, Inc.
PCC	Palouse River & Coulee City Railroad, LLC
PHRR	Port Harbor Railroad, Inc.
PNR	Panhandle Northern
PNWR	Portland and Western Railroad Inc.
PTO	Portland Terminal Railroad Company
PTRA	Port Terminal Railroad Association
PW	Providence and Worcester Railroad Company
RCPE	Rapid City, Pierre & Eastern Railroad, Inc.
RJCC	R. J. Corman Railroad Company/Central Kentucky Lines
RJCK	R. J. Corman Railroad Company/Tennessee Terminal
RJCM	R. J. Corman Railroad Company/Memphis Line
RJCP	R. J. Corman Railroad Company/Pennsylvania Lines

RJCS	R. J. Corman Railroad Company/Carolina Lines
RVSC	Rio Valley Switching Company
RYIZ	Runyon Industries
SB	South Buffalo Railway Company
SCBG	Santa Cruz, Big Tree & Pacific Railroad
SCCT	Santa Clara County Transit District
SCRF	South Carolina Central Railroad Co., LLC
SCXF	South Central Florida Express, Inc.
SCXY	St. Croix Valley Railroad
SFRV	South Florida Regional Transportation Authority
SFS	Santa Fe Southern Railway, Inc.
SKOL	South Kansas and Oklahoma Railroad Company
SLC	San Luis Central Railroad Company
SMRR	Sisseton Milbank Railroad
SOU	Norfolk Southern Railway Company
SPP	Saint Paul & Pacific Railroad
SPV	San Pedro Valley Railroad
SRC	Strasburg Railroad Company
STE	Stockton Terminal and Eastern Railroad
STPP	Saint Paul & Pacific Northwest Railroad
SUOC	Superior Oil Company, Inc.
SVHO	Savannah & Old Fort Railroad, LLC
TCRZ	Tri-City Railroad Company
TRE	Trinity Rail Express
TRRA	Terminal Railroad Association of St. Louis
TSU	Tulsa-Sapulpa Union Railway Company
TSWS	Terminal Switching Services
TTXJ	TTX Company
UFRC	Utah Frontrunner Commuter Rail
UP	Union Pacific Railroad Company
URR	Union Railroad Company
UTAX	Utah Transit Authority
VCY	Ventura County Railroad Company
VREX	Virginia Railway Express
VTIZ	Valleytank, Inc.
VTR	Vermont Railway, Inc.
WATX	Watco Switching

WE	Wheeling & Lake Erie Railway Company
WER	Washington Eastern Railroad
WGNS	Wisconsin Great Northern Railroad, Inc.
WSOR	Wisconsin & Southern Railroad
WTNN	West Tennessee Railroad LLC
WTRY	Wilmington Terminal Railroad, Inc.
XAPI	Accessories Plus, Inc.
XATG	AMERICAN TRACK GENERATIONS, LLC
XBGT	Bridgetown Enterprises
XBVE	Botavia Energy, LLC
XBYC	Bay Crane
XCAI	Cargill, Inc.
XCDL	CDL Electric Company
XCNB	CONGLOBAL INDUSTRIES
XCRH	Cathcart Rail Holding LLC
XCWP	Clearwater Paper Corp.
XCYA	CRYSTAL CLEAN, LLC
XDER	DOUBLE E RAILROAD CONTRACTING, Inc.
XEEL	Estes Express Lines
XEXC	Excel Construction Maintenance
XFMC	Freeport-Mcmoran Inc.
XFWC	Farmward Cooperative
XGBR	Greenbrier Rail Services
XGCE	GRACE RAILROAD CONTRACTORS, Inc.
XGGH	GEORGE HARMS CONSTRUCTION CO., Inc.
XGGR	Georgetown Rail
XHFN	HF SINCLAIR NAVAJO REFINING, LLC
XHGE	HG EROSION CONTROL
XHJV	HWR, A JOINT VENTURE
XK2P	K2 Pure Solutions
XKHM	Koch Methanol, LLC
XLBW	Lamb Weston
XLGP	L.G. Pike Construction Company
XLSS	Lone Star Specialties LLC
XMCQ	Martts Company
XMDD	Middlesex Corporation
XNAI	Nufarm Americas, Inc.

XNPC	Nestle' Purina Company
XNUS	Nuset
XRJC	R. J. Corman Construction
XRPO	RAILPROS, Inc.
XSBK	Superior Bulk Logistics, Inc.
XSER	Sem Minerals
XSFL	Superior Carriers, Inc.
XSNA	Sazerac North America
XSNP	Enviroserve
XSRG	Simmons Railroad Group, LLC
XSSP	Solvay Specialty Polymers USA, LLC
XSSX	Savage Services
XTGS	Trans-Global Solutions, Inc.
XTRN	Trinity Rail
XVEO	Veolia Unity-Envirotec
XVLR	Valor, LLC
XVSC	Valtris Specialty Chemicals
XVSP	Verso Paper
XWAP	Wright Asphalt Products Company
XWTS	Watco Transportation Services
XZCT	Chemserve Terminal of Wilmington, LLC
ZAAK	American Kraft Paper Industries, LLC
ZABS	ABF Freight System, Inc.
ZACR	ADM Corn Processing
ZADG	The Andersons Grain
ZAFA	Altagas Facilities US, Inc.
ZAFZ	Al-Corn Clean Fuel, LLC
ZAG	Ash Grove Cement Company
ZAGQ	Airgas Specialty Products Inc.
ZAGT	Amerigas Propane, LP
ZALD	Poet Biorefining-Cloverdale
ZAMC	Archer Daniels Midland Co.
ZAND	The Andersons, Inc.
ZASY	Americas Styrenics
ZAVX	Advansix
ZAXA	Axalta Coating Systems
ZBAC	Base, Inc.

ZBAS	BASF Corporation
ZBGL	Brenntag Great Lakes, LLC
ZBGM	Brenntag Mexico
ZBMQ	Bitumar Inc.
ZBMS	Brenntag Mid-South, Inc.
ZBPN	BP Products North America (bpPNA)
ZBSW	Brenntag Southwest
ZBUD	Biourja New Town Terminal, LLC.
ZBUJ	Biourja Renewables, LLC
ZBVV	BVV (Building Vision and Variety)
ZBWC	BWC Terminals
ZBWG	Blue Wing Midstream
ZBWW	Buttonwillow Warehouse Company
ZCACT	Celanese Acetate
ZCBK	C&C Bulk Liquid Transfer, Inc.
ZCCC	Coastal Chemical Company
ZCCQ	Coastline Chemical, Inc.
ZCED	Clean Harbors El Dorado, LLC
ZCEF	Chief Ethanol Fuels
ZCEW	Canadian Rail Equipment Works and Services Inc.
ZCFD	CF Industries
ZCFER	Chicago, Ft. Wayne & Eastern Railroad
ZCFX	CN CargoFlo
ZCHEV	Chevron Products Company
ZCHF	Chemical South Transport
ZCHS	Clean Harbors Environmental Services, Inc.
ZCIK	Central Ink Corporation
ZCLX	Chemtrade Logistics Inc.
ZCM2	CAM2 International, LLC
ZCME	Calumet Montana Refining, LLC
ZCMN	Construction Resources Management, Inc.
ZCNG	The Cleaning Guys LLC
ZCO2	Chemical Solvents, Inc.
ZCPCL	Chevron Phillips Chemical Company, LP
ZCRZ	Cornerstone Chemical Company
ZCSB	CSL Behring
ZCSF	Chemical Systems of Florida, Inc.

ZCSK	Carbonfree Chemicals
ZCTN	Consolidated Terminal & Logistics
ZCZX	CAI, Inc.
ZDET	Didion Ethanol
ZDGT	Dakota Gold Transfer
ZDKE	Dakota Ethanol
ZDKL	Delek Logistics
ZDKT	DK Trading & Supply, LLC.
ZDNA	Diageo Americas Supply, Inc.
ZDUK	Duke Energy
ZDXL	Dixie Land Oil Company LLC
ZDYI	Dyno Nobel, Inc.
ZEACH	Eastman Chemical Company, Inc.
ZEAO	Evonik Active Oxygens, LLC
ZEEA	E Energy Adams
ZEMER	Emerald Services, Inc.
ZENS	Enersul, Inc.
ZEOB	Ecolab, Inc.
ZEPC	Enterprise Products Operating, LLC
ZESR	Eco Services Operations Corp.
ZEVD	Evonik Corporation
ZFGC	Ferrell Gas, Inc.
ZFHR	Flint Hills Resources
ZFLS	Frontier Logistical Services
ZFRQ	Poet Biorefining-Gowrie
ZGBL	Global Companies, LLC
ZGCC	Georgia Gulf Sulfur Corporation
ZGCL	Geocycle, LLC
ZGFC	Greenergy Fuels Canada, Inc.
ZGFV	GFL Environmental, Inc.
ZGGE	Golden Grain Energy
ZGGI	Greenfield Global Inc.
ZGLB	Global Companies, LLC
ZGLG	Grammar Logistics
ZGLM	Norfalco Sales, Glencore Canada Corporation
ZGOJ	Gojo Industries, Inc.
ZGPG	Green Plains Trade Group, LLC

ZGPJ	Graphic Packaging International
ZGRE	Granite Falls Energy
ZGTR	The Goodyear Tire & Rubber Co.
ZGUF	Gulfstar Industries
ZGUV	GUNVOR USA, LLC
ZHAR	Harcros Chemicals Inc.
ZHCP	Heartland Corn Products
ZHFR	Hollyfrontier Refining & Marketing, LLC
ZHIO	Hilco Transport Inc.
ZHOV	HOOVER CS
ZHOY	HF Sinclair Tulsa Refining, LLC
ZHTP	HTP ENERGY
ZHTZ	Heniff Transportation Systems, LLC
ZHUC	Helm U.S. Corporation
ZHWE	Highwater Ethanol, LLC
ZHWK	Hawkins, Inc.
ZHYC	Hydrite Chemical Co.
ZICP	Ineos Composites US, LLC
ZIFT	Inland Fuel Terminals, Inc.
ZIM	Zim-American Integrated Shipping Company
ZIMA	Interplastic Corporation
ZIMTB	IMTT Bayonne
ZIP	International Paper Company
ZIPI	Ineos Phenol, Inc.
ZIRO	Irving Oil Company
ZITF	Itafos Conda, LLC
ZITGC	Intergulf Corporation
ZITT	Intercontinental Terminals Company
ZIVE	Indorama Ventures
ZJBB	Jim Beam Brands
ZJEZ	Jaxon Energy
ZJHO	Jones-Hamilton Company
ZKEL	Kaapa Ethanol, LLC
ZKEM	Kemira Water Solutions
ZKEN	Kennecott Corp.
ZKEY	Keystone Cooperative
ZKLI	KL Chempak, Inc.

ZKMB	Kinder Morgan Bulk Terminals, Inc.
ZKML	Kinder Morgan Liquids Terminals, LLC
ZKMP	Kinder Morgan Galena Park West, LLC
ZKMQ	Kinder Morgan
ZKMS	Kinder Morgan Southeast Terminals, LLC
ZKPA	KAAPA Partners Aurora
ZKPI	Koppers, Inc.
ZKRA	Kraton Corporation
ZKRD	Kordsa
ZKSF	Kansas Feeds, Inc.
ZKWS	Kemira Water Solutions
ZLBR	LBC Baton Rouge
ZLDE	Lyondell Chemical Company
ZLDI	Linde, Inc.
ZLDR	Louis Dreyfus Company, LLC
ZLEG	L. Energy International, LLC
ZLFI	Lamplight Farms, Inc.
ZLFZ	Land View, Inc.
ZLGD	Legend LLC
ZLGZ	LOTTE GLOBAL LOGISTICS (NA), Inc.
ZLHP	LBC Houston
ZLHT	Lucknow-Highspire Terminals LLC
ZLIT	LITCO TRANSPORTS LLC DBA PTI
ZLON	Lonza, Inc.
ZLQT	Liquids Transloading
ZLRI	Lianyungang Runhou International Trading Co., Ltd.
ZLSP	Little Sioux Corn Processors
ZLUB	Lubrizol Corporation
ZLUIN	Mitsubishi Chemical America, Inc.
ZLWE	Lincolnway Energy, LLC
ZMAB	Mark Anthony Brewing
ZMFQ	Mosaic Fertilizer, LLC
ZMGL	Magellan Pipeline Company, LP
ZMHX	Mhx
ZMIE	Poet Biorefining-Laddonia
ZMIO	Imperial Oil Limited
ZMKO	Mielke Oil Company

ZMNP	Marathon Petroleum Company
ZMNZ	Meser North America, Inc.
ZMOP	Martin Operating Terminal
ZMQE	Marquis Energy, LLC
ZMRK	Maersk Line
ZMTT	Midwest Terminals of Toledo International, Inc.
ZMUN	The Mundy Company
ZMUSK	Musket Corporation
ZMWH	Markwest Hydrocarbon
ZNCAS	Nachurs-Alpine Solutions
ZNDM	Domtar Paper Company LLC
ZNOS	NorFalco, Inc.
ZNSA	Northwest Seaport Alliance
ZNSL	Ngl Supply Company, Ltd.
ZNTM	Natrium
ZNTN	Nutrien
ZNUS	Nustar Terminals Operations Partnership, LP
ZNYN	Nouryon
ZOCP	OKC Rail Park, LLC
ZOUS	Southern State Chemical, Inc.
ZPBN	Poet Biorefining-North Manchester
ZPCSS	PCS Sales (USA), Inc.
ZPCU	Polynt Composites USA, Inc.
ZPG	The Procter & Gamble Company
ZPGQ	Georgia Pacific Corp.
ZPHO	PCS Phosphate Company, Inc.
ZPIL	Pilot Chemicals
ZPMO	POET Biorefining, LLC, Menlo
ZPOK	POET Biorefining, LLC, Shell Rock
ZPSC	Petroleum Service Corporation
ZPSY	POET Biorefining LLC, Shelbyville
ZQCH	Quadra Chemicals, Ltd.
ZRFC	Superior Refining Company
ZRIO	Rio Tinto
ZRLC	R + L Carrier, Inc.
ZRRQ	Road & Rail Services, Inc.
ZRSH	RSI Logistics, Inc.

ZRSNL	Resinall Corp.
ZRSO	Rail Solutions, LLC
ZRWW	Reworld Waste
ZSAB	SABIC Innovative Plastics US, LLC
ZSAT	Span Alaska Transportation, LLC
ZSAV	Savage Services Corporation
ZSBN	Sabin Metal West Corporation
ZSBS	Seattle Bulk Shipping, Inc.
ZSCT	South Coast Terminals
ZSDI	Steel Dynamics Incorporated
ZSGC	Superior Graphite Co.
ZSHL	Shell Canada Products
ZSIL	Silver Eagle Refining, Inc.
ZSKI	Safety-Kleen Systems, Inc.
ZSKS	Sekisui Specialty Chemicals America, LLC
ZSLA	Shintech Louisiana, LLC
ZSLY	Syensqo USA, LLC
ZSME	Sanimax Energy, Inc.
ZSNL	Sunoco NLR, LLC
ZSOC	Shell Oil Company
ZSOS	Silverman Oilfield Services
ZSRS	Separation and Recovery Systems, LLC
ZSSCO	Savage Service Corporation
ZSSP	Southern States Chemical
ZSSY	Superskinsystems, Inc.
ZSUB	Suburban Propane, LP
ZSWC	The Sherwin-Williams Company
ZSWL	South/Win, LLC
ZSWM	Sherwin Williams Paint Company
ZSWR	Holly Frontier/HF Sinclair
ZSWU	Sherwin-Williams Automotive Finishes
ZSYN	Synergy Recycling, LLC
ZTDW	Tidewater Terminal Company
ZTEC	Teck Metals, Ltd.
ZTHA	Thatcher Company
ZTLA	Associated Asphalt
ZTMS	Targa Transport, LLC

ZTPC	TPC Group
ZTRB	Strobel Energy Logistics
ZTRG	Toledo Refining Company, LLC
ZTRH	Truck-Rail Handling, Inc.
ZTSB	Tiger-Sul Products, LLC
ZTSS	Tessenderlo Kerley, Inc.
ZTT	Tetra Technologies
ZTUK	Tucker Chemical, LLC
ZUCL	Univar Canada, Ltd.
ZUEO	Utica East Ohio
ZUNIV	Univar Solutions, LLC
ZURC	United Refining Company
ZUSQ	US Amines
ZUSS	United States Steel Corporation
ZVAL	The Valspar Corporation
ZVALE	Valero Energy Corp.
ZVALM	Valero Marketing and Supply Company
ZVALR	Valero Refinery
ZVCL	Vale Canada Limited
ZVCP	Vantage Corn Processors, LLC
ZVES	Veolia Es Technical Solutions, LLC
ZVGT	Van-G Logistics
ZVLI	Valero Marketing & Supply
ZVLQ	Valero Renewable Fuel Company
ZVNS	Vantage Specialty Chemicals
ZVRG	Veolia North America Regeneration Services
ZVRI	Virginia International Terminals, Inc.
ZVTC	Ventura Transfer Company
ZVTD	Valero Terminaling and Distribution Company
ZVTM	Vopak Terminals of Canada
ZVTZ	Vopak Terminal Deer Park
ZVYP	Vanderyacht Propane
ZWAO	Watco Terminal and Port Services
ZWATC	Watco Companies, LLC
ZWLC	Westlake Corporation
ZWMS	Williams Midstream
ZWTN	Watco Transloading, LLC

ZXCE	Celta Chemical, Inc.
ZXCH	Clean Harbors Recycling Services of Chicago, LLC
ZZBT	Bulk Transfer, LLC
ZZCT	CTI, Inc.
ZZEM	Exxon Mobil Corporation
ZZIC	ICP Group, Inc.
ZZMD	METALURGICA DE COBRE
ZZPA	POET Biorefining, LLC, Arthur
ZZSI	Superior Industrial Solutions, Inc.