

**2015 FRA**  
**Rail Program Delivery**



*Operations Simulation*  
*for Railroad Capital*  
*Project Development*

**Peter Schwartz & Richard Cogswell**  
**Federal Railroad Administration**

## What is Railroad Operations Simulation?

- **Tool for analyzing railroad operating performance**
  - Predefined set of trains
  - Predefined infrastructure configuration
  - Does NOT provide the solutions to problems
- **Consists of three basic elements**
  1. 1<sup>st</sup> Input – Train Traffic
  2. 2<sup>nd</sup> Input – Infrastructure
  3. Simulation Methodology - the model software

## Why do Operations Simulation?

- **Planning on changes to train traffic?**
  - What infrastructure is needed to meet performance goals?
- **Planning on changes to infrastructure?**
  - What will it do to train performance?
- **Relationship between operational requirements and physical resources**
- **For railroad capital projects**
  - Simulation links operational objectives to scope and design (and environmental impacts)

## What Kind of Projects Should Involve Operations Simulation?

- **Changes to line-haul railroad operations**
- **Rail lines with emphasis on unscheduled or highly-variable operations**
- **Simulation less useful for:**
  - **Highly scheduled operations**
  - **Complex operations within terminals or at major junctions**
  - **Other operations analysis tools are available**

## **When during a project's development is operating simulation done?**

- **Beginning during the Planning Phase**
  - **Key input for Service Development Planning and Service NEPA**
- **Continues through finalized Preliminary Engineering**
- **Iterative process with other elements of Service Planning**
  - **Effects of operationally relevant design refinements**
  - **Requirements for refinements in service plan**
  - **Changes in forecasts and assumptions for background traffic**
- **For passenger projects, ultimately used to support Service Outcomes Agreement**

## Who participates in operations simulation, and in what roles?

Party	Preferred Roles	Secondary Roles
<b>Project Sponsor</b>	<i>Guides overall work; Coordinates with other service planning elements; Coordinates between all parties; Helps establish inputs and assumptions</i>	
<b>FRA</b>	<i>Monitors development of model methodology, assumptions, inputs; Assesses conclusions; Reviews reports; Requests necessary changes</i>	
<b>Planning/Design Consultant</b>	<i>Works for Project Sponsor; Proposes methodology; Performs simulations work; Authors reports</i>	
<b>Host Railroads</b>	<i>Contributes input data; Helps verify and calibrate base case; Suggests possible infrastructure changes</i>	<i>Performs role of planning consultant</i>
<b>Amtrak</b>	<i>Contributes input data</i>	

F E D E R A L R A I L R O A D A D M I N I S T R A T I O N

*The Simulation Process*

## The Simulation Tool

- **Two basic elements**
  1. **Train Performance Calculator (TPC) – Pure (Ideal) Running Time for a train**
    - **No meets or overtakes**
    - **No restrictive signals**
    - **Try to operate at exactly the speed limit**
  2. **Dispatching Simulator**
    - **Optimization algorithm for mimicking decision-making of actual dispatcher**
    - **Coordinate meets and overtakes to minimize delay**
    - **All delay not created equal – varies based on priority assigned by train type**



*The Simulation Process*

## Assembling Input Data

### Train Traffic

- “Schedules”
- Consists
- Train Types (with priorities)

### Infrastructure

- Track Configuration
- Signal Design
- Grades
- Speed Limits

- Begin by developing for the existing conditions, then use as basis for alternative scenarios

*The Simulation Process*

## Scenario Development and Scenario Control

- **Base Case**
  - Existing conditions
  - Used for calibration (or setting performance targets)
- **Do-Minimum (No Build)**
  - Committed changes to infrastructure and train traffic
  - Forecasted changes to freight traffic and necessary improvements
- **Do-Something (Build)**
  - Project's intended operating changes
  - Use to identify infrastructure improvements to achieve desired performance
- **Need to control for changes individually**

*The Simulation Process*

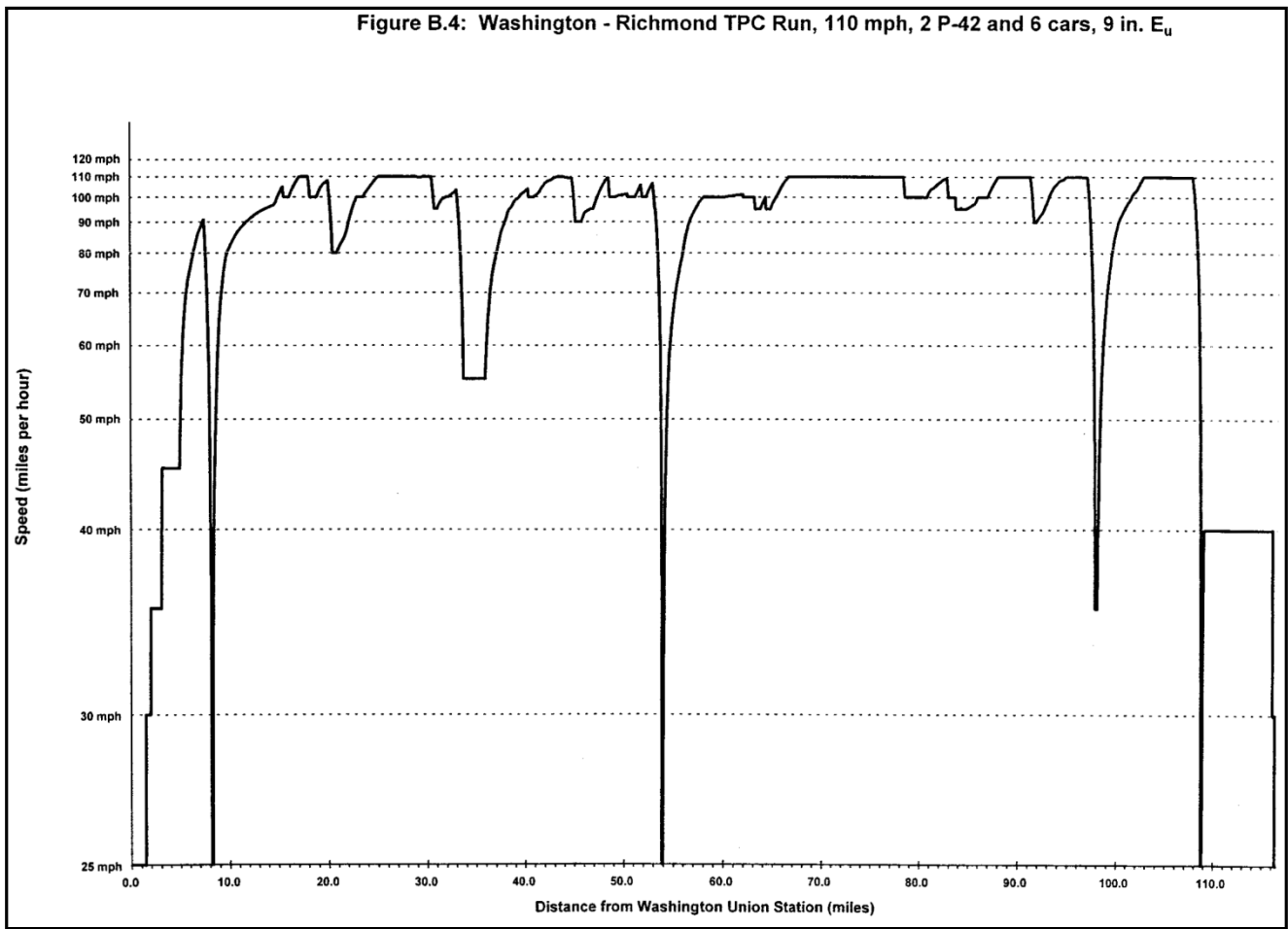
## Variability and Resiliency Testing

- **Real-world operations not consistent or always predictable**
  - Model thinks they are, unless you tell it otherwise
  - Need to demonstrate infrastructure can accommodate variability while maintaining performance
- **“Normal” Variability**
  - Unscheduled/loosely-scheduled freight trains, varied consists
  - Varied passenger timetables
- **Irregular variability**
  - En-route failures, maintenance-of-way events
- **Tools for reflecting variability and testing resiliency**
  1. Multi-day simulation
  2. Randomized train traffic input

*The Simulation Process*

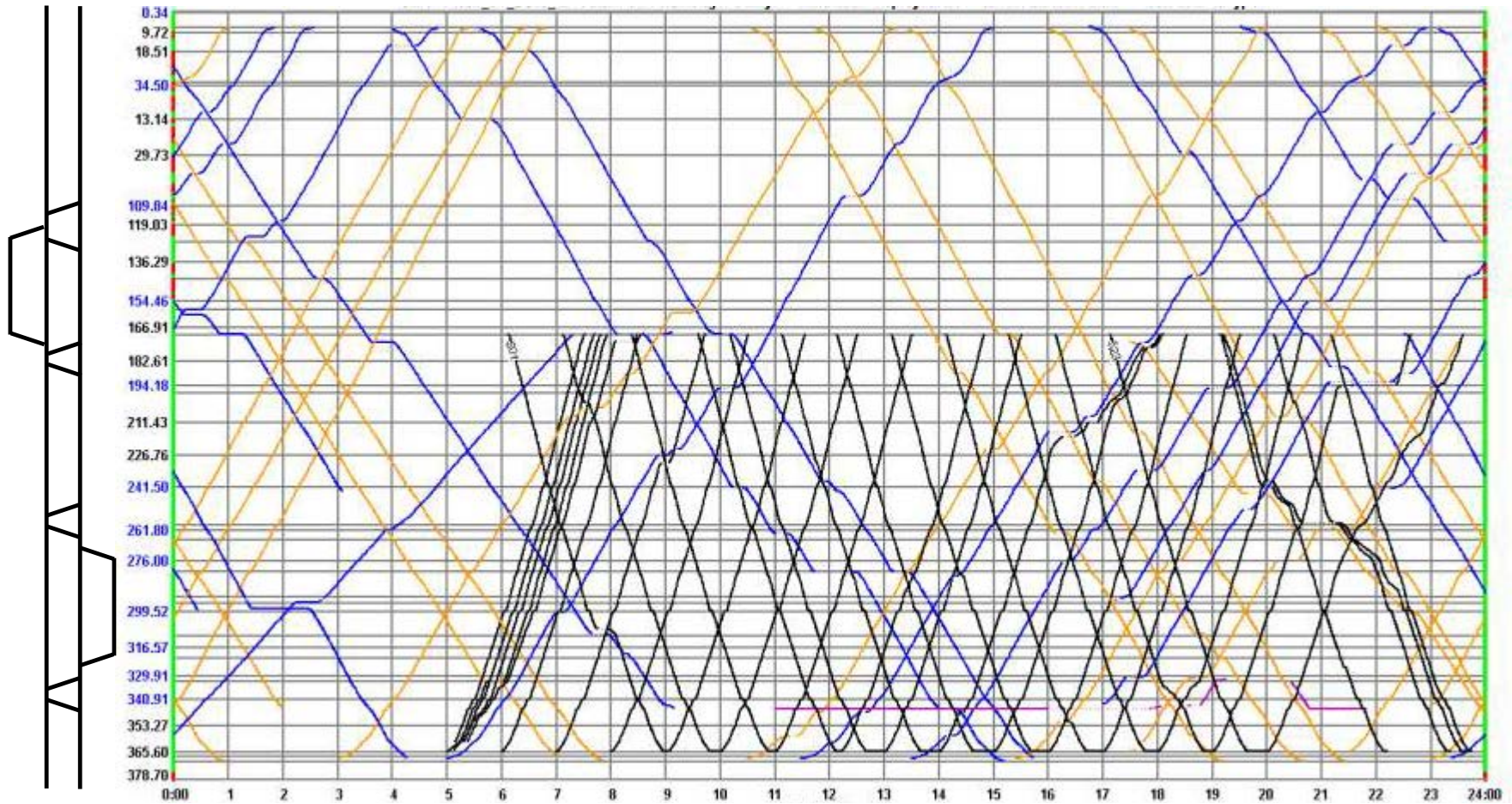
## Measuring Performance and Interpreting Results

- **Major performance metrics**
  - Minutes of Delay (per 10k train-miles)
  - Velocity
  - On-time performance
- **Stringline diagrams**
- **TPC Plots (logarithmic speed scale)**
- **Pitfalls in interpreting results**
  - Statistical significance of differences in results
  - Delay metrics accounting for changes in Pure Running Time



*The Simulation Process*

# Stringline Diagrams



F E D E R A L R A I L R O A D A D M I N I S T R A T I O N