

Federal Railroad Administration Office of Safety Headquarters Assigned Accident Investigation Report HQ-2012-41

CSX Transportation (CSX) St. Charles, VA December 9, 2012

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

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DEPARTMENT FEDERAL RAILF	OF TRA ROAD A	NSPORT DMINIST	TATIC RATI	ON ON	FRA FA	ACTU	AL RA	ILF	ROAD AG	CCIE	DENT :	REPO	ORT	]	FRA Fi	ile #	<u>HQ-201</u>	2-41
1.Name of Railroad Operating Train #1 CSX Transportation [CSX ]									1a. Alphabetic Code					b. Railroad Accident/Incident No.				
2.Name of Railroad Operating Train #2									2a. Alphabetic Code N/A					2b. Railroad Accident/Incident No.				
3.Name of Railroad (	Operating	Train #3						3a	. Alphabetic	Code			3b.	N/A b. Railroad Accident/Incident No.				
N/A 4 Name of Railroad I	Responsil	ale for Trac	k Mair	tenan	ce.			49	Alphabetic	N/A Code			4h	Railroad A	N/A	t/Inci	dent No	
Norfolk Southern	Corp. [N	S ]	K IVIAII	nenan	ce.			44	. Aipilabetic	NS			40.	102473				
5. U.S. DOT_AAR C	Grade Cro	ssing Ident	ificatio	on Nui	nber			6. M	Date of Acc onth 12	ident/I Day	ncident v 09	Year 2	012	11:37	ccident/		AM	🗸 PM
8. Type of Accident/Indicent 1. Derailment 4. Side collision									. Hwy-rail c	rossing	10	. Explo	sion-deto	nation 13. Other				Code
(single entry in code box) 2. Head on collision 5. Rak							on	8	8. RR grade cro		ossing 11. Fire/violent r			oture	(aesc narra	tive)	n	01
9. Cars Carrying		3. Rear er	MAT C	lsion Cars	6. Broke	n Train   11	. Cars Re	leasir	ng		12. Other impact			13. Div			1	
HAZMAT	ZMAT Damaged/Derailed					HAZMAT			N/A		Evacua	ted		0	0		Pocahonta	is
14. Nearest City/Tow	'n					15. M	15. Milepost			16. Sta	te Abbi	· Co	le 1	17. County				
	St	. Charles				(10	(to nearest ter TB		2		VA 51		1		LEE			
18. Temperature (F)		19. Visib	ility	(sing	gle entry)	Code	de 20. We		ner (single	entry)		C	ode	21. Type of Trac		ack		Code
(specify if minus) 55	) ; F	1.1	Dawn Day	3.D 4.I	)usk Dark	4		1. Cle 2. Cle	ear 3. Rain 5. Sleet loudy 4. Fog 6. Sno		.Sleet 5.Snow	4		1. Main 3. Siding 2. Yard 4. Indust		ng Istry	1	
22. Track Name/Nu	mber					23. FRA Track			Code	24. An	24. Annual Track Density		sity	25. Time Table		e Direction		Code
		Ke	mmer	Gem I	Lead	Cl	ass (1-9,	<sup>X)</sup>	1	(g m	ross ton: illions)	s in	25		1. North 3. East			
							OPE		ING TRA	IN #1			-		2. 5000	4.	west	
26. Type of Equipme	ent 1.	Freight tra	un	4. W	ork train 7	. Yard/s	witching	A	Spec. MoV	V Equi	p. Code	27.	Was Equi	pment (	Code	28.	Train Nur	nber/Symbol
Consist (single en	ntry) 2.	Passenger	train	5. Sir	ngle car 8	. Light l	oco(s).					1	Attended	?				
3. Commuter train 6. Cut of cars 9. Maint./inspect.ca									1 ( )		1		1. Yes	2. No 1 C82109				
29. Speed (recorded speed, if available) Code 31. Method(s) of Operation (enter code(s) that apply) 31a. Remotely Controlled Local Controlled											omotive?							
E - Estimated 9 MPH R a. ATCS g. Autor b Auto train control h. Curre									traffic	n. Othe	er than m	ain trac	k	1 = Rem	ote con	trol p	ortable	
30. Trailing Tops (gross toppage) c. Auto train stop i. Time t									train orders	o. Posi	tive train	n contro	01	2 = Rem	ote con	trol to	ower	
excluding power units) d. Cab j.Track								varra t traff	nt control	p. oui	Code	ufy in n e(s)	arrative)	transmi	itter - m	nore th	han one	
5000 f. Interlocking 1. Yard limits 1 N/A N/										N/A N	/A N/A	remote	control	trans	mitter	0		
32. Principal Car/Uni	t	a. Initial a	and Nu	mber	b. Positi	on in Tra	in c.	Load	led(yes/no)	33. If	railroad	employ	ee(s) tes	ted for drug	g/alcoho	ol use	,	I
(1) First involved	. )	CSX	T3781	09		25			yes		enter the	number	that wer	e positive i	n	F	Alcohol	Drugs
(derailed, struck, d	etc) chanical	1					_		-	24	Woo thic		transpor	ting passon	ano? (		0	0
cause reported	) )		0			0		]	N/A	54.	was uns	s consis	transpor	N N				N
35. Locomotive Uni	ts	a. Head End	b. Ma	Mid 7 nual 1	Frain c. Remote	I d. Manı	Rear End	mote	36. Cars				L a. Freigh	oaded t b. Pass.	c. Fre	Emp ight	pty d. Pass.	e. Caboose
(1) Total in Train	n	3		0	0	0	(	)	(1) Total	in Equi	pment C	consist	53	0	(	D	0	0
(2) Total Deraile	d	0		0	0	0	(	)	(2) Total	Deraile	d		0	0	(	0	0	0
37. Equipment Dama	age		3	8. Tra	ick, Signal, V	Way,			39. Prima	ry Cau	se			40. Cont	ributing	g Cau	ise	
This Consist		\$59,955.00		& Stru	ucture Dama	ge	\$55,520	.00	Code			T2	10	Code		5 cut		N/A
41 Engineen/	42 Ein	Number	r of Cre	$\frac{13}{43}$	embers	144 1	Irakemen		Leng			Length of	of Time on Duty					
Operators 1	42. FID	o		+J. C(	1		44. Drakemen		45. Engineer/Operator			15	Hrs 2 Mi 3			Mi 37		
Casualties to:	Casualties to: 47 Pailroad Employees 4				I in Passenger	·s 49	0 40 Other		50 EOT Device?			15	51. Was	EOT D	Device	e Properly	Armed?	
Fatal		0		0. 114	0	.3 -72	49. Ouler		- 1. Yes 2. No 2			2	1. Yes 2. No N/A					
								52. Caboose Occupied by Crew?			?					1		
Nonfatal		1 0 0					0		1. Yes 2. No N/.							N/A		
						(	OPERA	TIN	G TRAIN	#2								
53. Type of Equipme	ent 1.	Freight tra	in troin	4. Wo	ork train $7$ .	Yard/sv	vitching	A.	. Spec. MoW	V Equip	o. Code	54. V	Vas Equi	pment C	Code	55.1	Frain Nun	nber/Symbol
Consist (single en	<i>utry</i> ) 2. 3.	Commuter	train	6. Cu	t of cars 9.	Maint./	inspect.ca	ır			N/A		1. Yes	2. No N/A N/A				
56. Speed (recorded	speed, if	available)	Code	58	. Method(s)	of Opera	tion	(ente	er code(s) t	that ap	ply)			58a. Rem	otely C	Contro	olled Loco	omotive?
R - Recorded	0	1	NT/ A	a.	ATCS	control	g. Autor	natic	block	m.Spec	cial instru	uctions	1-	0 = Not a remotely controlled				
E - Estimated	0	MPH	IN/A		. Auto train	CONTROL	n. Curre	ut OI 1	uarric	n. Othe	r than m	iain trac	ĸ	1 = Rem	ote con	ttrol p	ortable	

DEPARTMENT	OF TRA	NSPORT DMINIST	TATIC TRATI	ON ON	FRA FA	CTUAL	RAILR	OAD AC	CIDENT REP	ORT	F	RA File	# <u>HQ-201</u>	2-41		
57. Trailing Tons (gross tonnage, excluding power units)					c. Auto train stop i. Time table/tr d. Cab j.Track warran e. Traffic k. Direct traffi				ain orders o. Positive train control t control p. Other (Specify in narrative) c control				2 = Remote control tower 3 = Remote control transmitter - more than one			
		N/A		f.	Interlocking	1.Y	ard limits		N/A N/A N/A	N/A N/A	remote c	N/A				
59. Principal Car/Unit a. Initial and Nur				umber	b. Positio	n in Train	c. Load	led(yes/no)     60. If railroad employee(s)			sted for drug/alcohol use,					
(1) First involved (derailed, struck, etc) 0				0		N	J/A	the appropriate	e box.			Alcohol N/A	Drugs N/A			
(2) Causing (if me	chanical	!	0		0		N		61. Was this consist transp		rting passengers? (Y/N)			10/1		
62. Locomotive Units a. Head			Mid T	rain	Rear	End	63 Cars		La	aded	F	Empty	N/A			
(1) Total in Train		End	b. Ma	nual	c. Remote	d. Manual c. Rem		(1) Total is	- Fouriement Consist	a. Freight	b. Pass.	c. Freig	ht d. Pass.	e. Caboose		
		0		0	0	0	0	(1) Total In Equipment Consist		0	0	0	0	0		
	u	0	(	)	0		0 0			0	0	0	0	0		
64. Equipment Dama This Consist	age	\$0.00	0	65. Tra & St	ck, Signal, V ructure Dam	/ay, age	\$0.00	66. Primai Code	y Cause	N/A	67. Contr Code	ributing (	Cause	N/A		
		Numbe	r of Cr	ew Me	w Members				I	Length of	Time on D	uty				
68. Engineer/	69. Fire	emen		70. Co	nductors	71. Brak	71. Brakemen 0		eer/Operator		73. Con	ductor		M		
Operators 0		0			0				Hrs 0 Mi			Hrs	s 0	Mi 0		
Casualties to:	74. Railr	oad Emplo	oyees 7	5. Trai	n Passengers	76. Othe	r	77. EOT I	Device?	NI/A	78. Was	78. Was EOT Device Properly				
Fatal		0			0		0		1. TCS 2. NO N/A			103	2.10	IN/A		
Nonfatal		0			0		0		1. Yes 2. No					N/A		
						OI	PERATIN	G TRAIN	[ #3					1		
80. Type of Equipme Consist (single en	nt 1. l htry) 2. l	Freight tra Passenger	in train	4. Wor 5. Sing	tk train 7. gle car 8. 1	Yard/switcl Light loco(	ning A. s).	Spec. MoW Equip. Code     81. Was Equipment     Code     82. Train Number/Symbol       Attended?     N/A     N/A     N/A								
83. Speed (recorded	3. Commuter train 6. Cut of cars 9. Maint./inspect.car 83. Speed (recorded speed if available) Code 85 Method(s) of Operation (entre								nat apply)	1. Tes .	2. NO 85a. Remo	otely Con	ntrolled Loco	motive?		
R - Recorded	1 , 5	,		a.	ATCS	g. /	Automatic b	lock <sup>n</sup>	n.Special instructions		0 = Not a	remotely	controlled			
E - Estimated	N/A	MPH	0	b.	Auto train c	ontrol h. (	Current of the	raffic <sup>n</sup>	<ul> <li>Other than main tra</li> <li>Positive train contr</li> </ul>	ol	1 = Remo	ote contro	ol portable			
84. Trailing Tons	gross ton	nage,		d.	Cab	j.T	rack warran	t control 1	o. Other (Specify in a	arrative)	3 = Remo	ote contro	ol			
excluding powe		e.	Traffic	k. l	Direct traffi	c control	Code(s)		transmit remote c	ter - mor	e than one					
		IN/A		1.		1.1			N/A N/A N/A	N/A N/A				IN/A		
86. Principal Car/Unit a. Initial and Nu					mber b. Position in Train C. Load				87. If railroad empl enter the numb	oyee(s) test er that were	ed for drug e positive i	g/alcohol n	use,	Drugs		
(1) First involved (derailed, struck,	etc)		0			)		N/A	the appropriate	e box.	F		N/A	N/A		
(2) Causing ( <i>if mechanical</i> 0				(	)	]	N/A	88. Was this cons	ist transport	ing passen	gers? (Y	/N)	N/A			
89 Locomotive Uni	its	a. Head		Mid T	rain	Rear	End	90 Cars	I	Lo	aded	E	Empty			
		End	b. Ma	nual	c. Remote	d. Manual	c. Remote	90. Cars		a. Freight	b. Pass.	c. Freig	ht d. Pass.	e. Caboose		
(1) Total in Train	n	0		0	0	0	0	(1) Total ir	Equipment Consist	0	0	0	0	0		
(2) Total Deraile	ed	0	(	)	0	0	0	(2) Total E	Derailed	0	0	0	0	0		
91. Equipment Dama This Consist	age	\$0.00	ģ	92. Tra	ck, Signal, W	/ay,	\$0.00	93. Primar	y Cause Code	N/A	94. Contr Code	ributing (	Cause	N/A		
		Numbe	r of Cr	ew Members				Length of Time on Duty								
95. Engineer/	96. Fire	emen		97. C	onductors	98. Brak	emen	99. Engin	eer/Operator		100. Conductor Hrs 0 Mi 0					
Operators 0		0			0		0		Hrs 0 M	i O						
Casualties to:	101. Rail	ailroad Employees			102. Train		103. Other		104. EOT 105. Was EOT Device Properly							
Fatal		0			0		0		1. 1 cs         2. NO         N/A         1. Yes         2. NO         N           106. Caboose Occupied by Crew?							
Nonfatal 0					0		0	1. Yes 2. No N/						N/A		
		Highw	ay Use	er Invo	olved			Rail Equipment Involved								
107. C. Truck-7	Frailer. F	F. Bus	J	. Other	Motor Vehi	ele	Code	111. Equip	oment 3.Train	(standing)	6.Light	Loco(s)	(moving)	Code		
A. Auto D. Pick-Up Truck G. School Bus K. B. Truck E. Van H. Motorovele M.					Pedestrian Other (spec in parrative)   N/A				1.Train(units pulling)     4.Car(s)(moving)     7.Light(s) (standing)       2.Train(units pushing)     5.Car(s) (standing)     8.Other (macific in paragraphic)							
108. Vehicle Speed	-		109.		geographic	al)	Code	112. Position of Car Unit in								
(est. MPH at impact) N/A 1.North 2.South 3.East 4.West N/A								0								

DEPARTMENT OF TRANSPORTATION       FRA FACTUAL RAILROAD ACCIDENT REPORT       FRA File # <u>HQ-2012-41</u> FEDERAL RAILROAD ADMINISTRATION       FRA FACTUAL RAILROAD ACCIDENT REPORT       FRA File # <u>HQ-2012-41</u>												<u>41</u>		
110. Position   Code   113. Circumstance												Code		
1. Stalled on Crossing 2.Stopped on Crossing 3.Moving Over Crossing       1. Rail Equipment Struck Highway User         4. Trapped       N/A												N/A		
114a. Was the	114a. Was the highway user and/or rail equipment involved Code 114b. Was there a hazardous materials release												Code	
in the impact transporting hazardous materials?												N/A		
1. Highway User 2. Rail Equipment 3. Both 4. Neither														
114c. State here the name and quantity of the hazardous materials released, if any. N/A														
115. Type 1. Gates 4 Wig Wags 7. Crossbucks 10. Flagged by crew 116. Signaled Crossing Code 117 Whistle Ban												Code		
Crossing       2.Cantilever FLS       5.Hwy. traffic signals       8.Stop signs       10.the get of control of the signal of the si														
Code(s)	N/A	N/A	N	I/A	N/A	N/A	N/A	N/A 3. Unknown						
118. Location of Warning     Code     119. Crossing Warning     Code     120. Crossing Illuminated by Street       1. Both Sides     with Highway Signals     Lights or Special Lights											Code			
2. Side of					1. Yes			1. Ye	es					
3. Opposite Side of Vehicle Approach N/A 2. N									N/A 2. No 3. Unknown				N/A	
121.	122. Driver's	Gender	Code	123.	Driver Drov	ve Behind o	or in Front of	Code	124. Driv	124. Driver				
Age	1. Male				and Struck o	r was Struc	k by Second	Frain	1. Drov	e around or thru	the Gate	4. Stopped on Crossing		
0	2. Female	e	N/A		1. Yes	2. No	3. Unknown	N/A	2. Stopj 3. Did 1	not Stop	ceeded	5. Other (specify in narrative)	N/A	
125. Driver Pa	ssed	Cod	e 12	6. Viev	w of Track C	bscured by	(primary ob	struction)	-				Code	
Highway V	ehicle	1		1. Pe	ermanent Str	ucture	3. Passi	ng Train 5. '	Vegetation	7. Other	(specify in	narrative)	1	
1. Yes 2. No	3. Unknown	N/	A	2. St	tanding Railı	oad Equip	ment 4. Topo	graphy 6. l	Highway Veh	cle 8. Not obs	structed		N/A	
Casualties to: Killed Injured 127. Driver									Cod	e 128. Wa	s Driver in t	he Vehicle?	Code	
						I. Kille	d 2.Injured 3.	Uninjured Proporty Do	Uninjured IN/A		1. Yes 2. No			
129. Highway-Rail Crossing Users 0 0						(est.	(est. dollar damage) 0 131. 10tal					0 0 0 0 0	g Users	
132. Locomotive Auxiliary Lights?   Code   133. Locomotive Auxiliary Lights Operational?												Code		
1. Yes 2. No							N/A 1. Yes 2. No				N/A			
134. Locomot	ive Headlight I	lluminat	ed?				Code	135. Locor	notive Audibl	e Warning Sour	ided?		Code	
1. Y	es	2.	No				N/A	1.	Yes	2. No			N/A	

136. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.



## 137. SYNOPSIS OF THE ACCIDENT

At 11:37 p.m. on December 09, 2012, CSX Transportation (CSX) train symbol No. C-82109 operating west on Norfolk Southern (NS) track derailed. The derailment occurred at Milepost TB-23.2 on the NS Clinch Valley Extension District in St. Charles, VA. Six loaded coal gondolas derailed; one of the derailed rail cars came to rest on a crew transport van positioned adjacent to the track. The crew transport van was partially crushed, resulting in the death of the van driver and serious injury to a CSX conductor. Damages are reported to be \$55,595.00 mechanical and \$55,520.00 track and structure.

At the time of the accident it was dark and foggy. The temperature was 55 degrees F.

The accident was caused by a rail failure. Approximately 13 feet of the head of the rail was separated from the web. A head and web separation was identified on the north rail at the point of derailment.

## 138. NARRATIVE

Circumstances Prior to the Accident

The crew of the CSX C-82109 included a locomotive engineer and a conductor. They first went on duty at 3:30 p.m. December 09, 2012, in Loyall, Kentucky. This was the home terminal for the train crew members, and both received more than statutory off-duty period prior to reporting for duty.

A relief train crew for the CSX C-82109 was also involved in the train movements leading up to the accident. The two member relief crew for the CSX C-82109 included a locomotive engineer and a conductor. They first went on duty at 9:00 p.m. December 09, 2012, in Loyall, KY. This was the home terminal for the train crew members, and both received more than statutory off-duty period prior to reporting for duty.

The original crew was assigned to CSX C-82109. The train consisted of three locomotives and 109 empty coal cars. They boarded the train near Pennington Gap, VA, milepost TB 19.5, NS Pocket Block. After boarding the train at "Pocket" they preceded west 2.7 miles to the St. Charles wye. The crew then cut 53 cars and turned the train on the wye at St. Charles; they traveled west to the Lone Mountain coal load out to load the cars. After loading the cut of 53 cars, the train traveled east beyond the switch accessing the Kemmer Gem lead.

The transport van that was waiting for the operating train crew (Cab A) was positioned on the north side of the track, approximately 18 feet from the field side of the north rail. The transport van hauling the relieving crew (Cab B) arrived at a location near the Kemmer Gem lead switch. The relieving engineer exited Cab B and placed his grip in Cab A. Prior to the arrival of the C-82109, the relief conductor traveled to the west end of the Kemmer Gem lead; here he lit a fusee to mark the location where the rail cars would be spotted. The relief conductor returned to the Kemmer Gem lead switch, he briefed with the assigned conductor and it was decided that the relief conductor would protect the shove move into the track. Following the job briefing, the assigned conductor walked to Cab A.

Cab A was positioned north of the track, approximately 18 feet from the field side of the nearest rail and 125

feet west of a gravel crossing. Cab B was driven to the west end of the Kemmer Gem lead to await the relief conductor. The cab driver and the assigned conductor were sitting in Cab A which was positioned facing west with the driver's side nearest the track. The relief engineer was standing outside of Cab A, close enough to converse with the driver and conductor.

As the west bound train approached the accident area the relief conductor was on the north side of the rear car and the assigned engineer was seated at the controls of the lead locomotive.

## The Accident

The train was being operated at 8 mph approaching the accident area. This speed was recorded by the event recorder on the lead locomotive. The maximum authorized speed for all trains on the Kemmer Gem lead is 10 mph, as designated in the current NS System Timetable No.1.

The CSX C-82109 consisted of three locomotives and 53 loaded coal cars. At the time of the derailment, the train was being shoved in a west direction from the St. Charles Branch main track into the Kemmer Gem lead. The operating engineer described his actions as the shove move was initiated. The engineer stated he placed the throttle in No. 5 notch to get the 53 loaded cars moving. When the cars started to move, he moved to throttle position No. 6, as the speed increased he moved the throttle to position No. 7. At this time the engines "lunged badly" according to the engineer. He moved the throttle down to position No. 5 and looked back at the train; he stated everything looked "okay". The engineer radioed the relief conductor on the rear of the 53 car cut and asked if the lunge was felt, the conductor verified that he had felt the lunge. The train continued west for 20 to 25 car lengths, the engineer returned the throttle to position No. 7. At this time, the engines lunged again and moments later the train experienced an undesired emergency brake application.

Twenty-six cars had traversed over a private highway-rail grade crossing; as car No. 378109 traveled over the wheels encountered a discontinuity in the rail. As a result of the rail discontinuity, Car No. 378109 and five additional rail cars derailed. Car No. 378109 impacted an embankment on the north side of the track, as the movement continued west, Car No. 378462 departed the track to the north side and impacted Cab A.

According to NS dispatcher digital recording, the relief conductor lined the route and was in place on the rear of the cars at 11:34 and 08 seconds. At 11:34 and 27 seconds, the train started moving west into the Kemmer Gem lead. The conductor continued to give a car count as the move was made. At 11:37 and 39 seconds, the conductor asked "what was that all about", the engineer stated that he did not know "they just lunged bad". At 11:38 and 08 seconds the relief conductor attempted to reach the conductor positioned in Cab A. The relief conductor then departed the rear of the train and traveled via Cab B back to the location that Cab A was parked. At 11:39 the relief conductor radioed the engineer, and told him to "hit 911", he proceeded to tell him about the derailment. At this time the engineer notified the NS train dispatcher of the accident and need for emergency medical assistance, the dispatcher notified emergency medical service.

Cab A was positioned north of the track, approximately 18 feet from the field side of the nearest rail and 125 feet west of the gravel crossing. The driver of Cab A and the assigned conductor where sitting in the cab. Cab A was positioned facing west with the driver's side nearest the track. The relief engineer was standing outside of the cab, close enough to converse with the driver and conductor. The relief engineer stated that he saw sparks and heard unusual noises. At this time, he ran away from the tracks in a north direction to the nearest house to phone for help. The occupants of the house dialed 911 and the engineer told the dispatcher that there was an emergency and gave them his location. After making the emergency call he returned to the scene, he noted that the cab driver's upper body was in the passenger floor of the van and he was lying flat. The conductor had attempted to exit the van through the passenger side window but had become trapped with his upper body out and his lower body inside the van. At this time the relief engineer waited with the two men until emergency services arrived.

Emergency personnel and Virginia State Police responded to the scene. The cab driver and assigned CSX conductor were removed from the impacted cab. The conductor was air lifted to Holston Valley Hospital in Kingsport, TN, he suffered serious injuries and has been released from the hospital. The driver of the cab was transported to Lee Regional Medical Center in Jonesville, TN, where he was declared dead the morning of Monday December 10, 2012.

Analysis and Conclusions

Analysis- Toxicology Testing: The two on-duty train crews submitted to Post Accident Toxicological Testing under the requirements of 49 CFR Part 219, Subpart C. Test results were negative for the two engineers and two conductors.

Conclusion: Intoxication of the on-duty train crew members was not a factor.

Analysis- Fatigue: FRA obtained fatigue information for the ten day period preceding the derailment. The information included a ten day work/rest history for the four on-duty train crew members.

Conclusion: FRA concluded that fatigue of the four trainmen was not a probable contributing factor in this incident.

Analysis- Locomotive Engineer Operating Performance: The lead locomotive (CSXT 5481) was equipped with an event recorder as required. The relevant event recorder data was downloaded and reviewed by CSX officials and FRA Inspectors.

Conclusion: The locomotive engineer was in compliance with all applicable railroad operating rules and train handling requirements.

Analysis- Mechanical: On December 10, 2012 and December 11, 2012, FRA Investigators formed a group of qualified inspectors to evaluate the derailment of CSX train C-82109. The mechanical condition of the equipment involved and document review of this derailment yielded the following information:

CSX train C-82109 consisted of three locomotive units at the head end and 107 coal cars. The train was empty, weighed 3,677 tons and was 5,900 feet in length when it arrived in St. Charles, VA. An air brake inspection had been last conducted on December 8, 2012, at Russell, KY. Immediately prior to the derailment, a cut of 53 cars were loaded with coal at the mine. The cut of 53 cars, now loaded, and the three locomotives were being moved on Kemmer Gem siding when cars 21 thru 26 derailed. The direction of movement was west in a shove move that had the locomotives on the east end of the consist.

The lead locomotive unit, CSXT 5481, of the derailing train is a General Electric, model ES40DC built in 2007 and has a CCBII airbrake system. This locomotive is a six axle, two truck unit. It is designated as a 4,000 horsepower diesel-electric locomotive. The last periodic inspection was recorded on form FRA 6180-49A as having been performed at Cumberland, Maryland on July 14, 2012.

The second locomotive unit, CSXT 7744, of the derailing train is a General Electric, model CW 40-8W built in 1991 and has a 26L airbrake system. This locomotive is a six axle, two truck unit. It is designated as a 4000 horsepower diesel-electric locomotive. The last periodic inspection was recorded on form FRA 6180-49A as having been performed at Corbin, Kentucky on October 31, 2012.

The third locomotive unit, CSXT 5218, of the derailing train is a General Electric, model ES40DC built in 2005 and has CCBII airbrake. This locomotive is a six axle, two truck unit. It is designated as a 4000 horsepower diesel-electric locomotive. The last periodic inspection was recorded on form FRA 6180-49A as having been performed at Cumberland, Maryland on October 26, 2012.

The coal cars behind locomotives of train C-82109 are open top gondola coal cars manufactured between the mid 1970's and mid 1980's. The design of the cars are AAR –J311- rated as 286,000 pound gross rail load with an AAR Plate "B" clearance diagram.

The December 10, 2012 inspection of train C-82109 found that the first 27 cars in relation to the direction of movement, cars 53 thru 27, passed over the point of derailment and remained on the rail. Car number 26 was derailed, the left side No. 1 wheel was only wheel found derailed. Car number 25 was derailed and on its' side. Car number 24 was derailed and on top of a motor vehicle. Cars 23 thru 21 were derailed and remained upright. Cars 20 thru 1 and the locomotives remained on the rail.

On December 11, 2012, the six cars from train C-82109 that derailed were examined where they came to rest. No exceptions were taken with the conditions of the brake rigging, side bearings, trucks or wheels. The only remarkable condition noted was a mark on the right side No. 3 wheel flange of car number 25 that would be consistent with an impact with a broken rail. This wheel was on the third axle derailed on the north side rail. The 27 cars that remained on the rail and passed over the point of derailment were inspected. Five defective conditions were noted, however are not considered to be a contributing factor of the derailment.

The three locomotives and cars position 1 thru 20 were not mechanically inspected at the site of the derailment. A records review found one defective condition to the lead locomotive. This defective condition is not considered to be a factor in the derailment.

Conclusion: No components of the cars or locomotives inspected in the wreckage were found to have contributed to the cause of the derailment.

Analysis-Track: On December 10, 2012, and December 11, 2012, FRA Investigators formed a group of qualified inspectors to evaluate the derailment of CSX train C-82109. An inspection of the track and structures revealed the following information:

The NS Kemmer Gem lead is 2,850 feet in length and is primarily used for rail car storage and switching. The track is constructed jointed rail of various sizes, seated on double shoulder rail plates, and attached using steel cut spikes. The Kemmer Gem lead is FRA Class 1 track with a timetable speed of 10 mph. Based on the speed and designation of the lead, track inspections are required to be performed monthly with at least 20 calendar days interval between inspections. A review of NS track inspection records from June 2012 through November 2012 was conducted. This review indicated the required inspections were conducted and the location and nature of deviation from the requirements of FRA Track Safety Standards were specified. In addition to the required track inspection is not required by FRA Track Safety Standards. This internal inspection of rail was conducted in an effort to enhance track safety. During the rail inspection conducted on April 24, 2012, one defective rail was identified at mile 23.60. No defective rail was identified at the point of derailment, in the subject rail location. The internal rail inspection was conducted utilizing a walking stick; this type of internal rail test allows the test operator to view the data as the walking stick is passed over the rail. This method of rail inspection does not allow for data to be recorded for subsequent review.

At the point of derailment the vertical alinement is a 10 degree left hand curve, as viewed for the direction of travel. The lead has a 0.20 percent descending grade from the tracks end east toward the main track switch. The track is constructed jointed rail of various sizes, seated on double shoulder rail plates, and attached using steel cut spikes. In the subject curve the rail is box anchored and the spike pattern utilized is one rail spike and one anchor spike on the field side, and two rail spikes and one anchor spike on the gage side, on each rail in the curve. The crossing where the subject rail was embedded is constructed of gravel; the gravel is level with the top running surface of the rail. Rails which are embedded at grade crossing are very difficult to inspect for the normal signs of rail failures. The subject rail section is Tennessee 100 25 RE, manufactured in February, 1931. The subject rail exhibited severe corrosion in the head-web fillet area of the rail section. Rail corrosion is common in rail buried in road crossings and is essentially a rusting away of the metal. The corrosion weakens the rail and contributes to a complete rail failure. After the rail was removed from the gravel crossing, a previous separation was noted in the gage side head-web fillet area of the rail. The rail was discolored in this area which indicates that a void had been present prior to the rail failure.

Conclusion: Rail discontinuity caused by the rail head separation at the point of derailment was determined to be the primary cause.

Overall Conclusions: During the investigation there were no human factor, mechanical, nor signal issues that were identified as contributing factors to this accident. The train crew operated the locomotive and handled this train in compliance with all applicable federal regulations and their operating rules. There were no exceptions noted to the locomotives or rail cars that could have contributed to the accident. There were no railroad signals involved on the subject portion of track.

Probable Cause: FRA's investigation determined the probable cause of the accident was T210 - Broken Rail

- Head and web separation (outside joint bar limits)