

Federal Railroad Administration Office of Safety Headquarters Assigned Accident Investigation Report HQ-2008-75

Burlington Northern Santa Fe (BNSF) New Cambria, MO September 25, 2008

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

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DEPARTMENT FEDERAL RAILR					FRAFA	ACTUA	AL RA	ILR	COAD A	CCI	IDENT RI	EPORT	,	Ι	FRA Fil	e#	<u>HQ-200</u>	<u>8-75</u>
1.Name of Railroad Operating Train #1									ra. Alphabetic Code					1b. Railroad Accident/Incident No.				
BNSF Rwy Co. [BNSF] 2.Name of Railroad Operating Train #2										BNS			<u> </u>	CH0908120				
N/A								2a.	Alphabetic	N/A			26. F	2b. Railroad Accident/Incident No. N/A				
3.Name of Railroad C N/A	Operating	Train #3						3a.	Alphabetic	Cod N/A	le		3b. 1	3b. Railroad Accident/Incident No. N/A				
4.Name of Railroad F	Responsit	ole for Trac	k Maiı	ntenan	ce:			4a.	Alphabetic		le		4b. l	4b. Railroad Accident/Incident No.				
BNSF Rwy Co. [BN	NSF]									BNS	F			CH0908120				
5. U.S. DOT_AAR G	rade Cro	ssing Ident	ificatio	on Nur	nber				Date of Acc onth 09			ar 2008	7.1	Time of Ac 07:05		_	ent AM	🗌 РМ
8. Type of Accident/In	ndicent	1. Derailr	nent		4. Side c	ollision			Hwy-rail c		·	xplosion-	deton		Other	V]	Code
(single entry in code box) 2. Head on collision 5. Raking collision								8.	8. RR grade crossing 11. Fire/violent rupture (describe in narrative)							1		
0 Come Commune		3. Rear er			6. Broke	n Train c			Obstruction	n								01
9. Cars Carrying HAZMAT		10. HAZI Damaged					Cars Rel ZMAT	easin	0		12. People Evacuated				13. Div			
	0 N/A						epost		N/A	16.6			0		1		Chicago	
14. Nearest City/Town						1	nearest to				Abbr	Code		. County				
	New	/ Cambria				0.1		81.8	I		N/A MO					IACON		
 Temperature (F) (specify if minus) 		19. Visib	ility Dawn	(sing 3.D	gle entry) usk	Code		Veath . Clea	eather (single entr Clear 3. Rain					21. Type of Tra 1. Main 3.				Code
(<i>specify if minus</i>) 58	F		Day		Dark	2			loudy 4. Fog 6.Snow		4		2. Yard 4. In				1	
22. Track Name/Nur	mber					23. FRA			Code		Annual Track			25. Tim				Code
			Single	e Mair	ı	Cla	ss (1-9, X	^(X)	(gross tons in 4 millions) 20.6					1. North3. East2. South4. West3				3
							OPER	ATI	NG TRA	IN ‡	±1				2. 5040	1 1.	ii est	
OPERATING TRAIN #1 26. Type of Equipment 1. Freight train 4. Work train 7. Yard/switching A. Spec. MoW Equip. Code 27. Was Equipment Code 28. Train Number/Symbol																		
Consist (single entry) 2. Passenger train 5. Single car 8. Light loco(s).									Speet 110 (. 24	uipi code	Atten			Jour	20. 1		lioon by liloon
3. Commuter train 6. Cut of cars 9. Maint./inspect.ca								r			1	1. Y	ſes	2. No 1 CNAMTHH155				
									r code(s) t		11 27			31a. Remotely Controlled Locomotive?0 = Not a remotely controlled				
R - Recorded a. ATCS g. Auton E - Estimated 44 MPH R b. Auto train control b. Curret									JIOCK	-	pecial instruct ther than main			0 = Not a 1 = Remo		•		
										o. Pe	ositive train c	ontrol		2 = Remo		•		
20 Trailing Tong (anong tong and										p. O	ther (Specify		ive)	3 = Rem				
е. тапс к. Dir							k. Direct .Yard lir		ic control		Code(s)	1		transmi remote o				1 .
						2			1	e								0
32. Principal Car/Unit		a. Initial a	ina ina	mber	b. Positio	n in 11ai		Loade	ed(yes/no)	33.	If railroad er enter the nu						Alcohol	Drugs
(derailed, struck, e	etc)	FUR	X9611	62		3			yes		the appropr				0 0			
(2) Causing (if med		FUR	X96116	52		3		,	yes	3.	4. Was this co	onsist tran	sporti	ing passen	gers? (Y	//N)		N
cause reported, 35. Locomotive Unit		a. Head		Mid 7	rain	R	ear End		36. Cars				Lo	aded		Emp	ty	
		End	b. Ma		c. Remote	d. Manua	al c. Rei	mote					eight	b. Pass.	c. Frei	ght o	d. Pass.	e. Caboose
(1) Total in Train	1	2		0	0	0	2		(1) Total	in Eq	quipment Con	isist 1	49	0	0		0	0
(2) Total Deraile		1		0	0	0	0		(2) Total	Dera	iled	1	31	0	0		0	0
37. Equipment Dama	-			88. Tra	ick, Signal, V	Way,			39. Prima	ry C	ause			40. Cont	ributing	Caus	se	
This Consist	\$1	1,665,737.0	1		icture Dama	ge	\$176,000	.00	Code			E33C	1 61	Code			1	N/A
41. Engineer/	42. Fire	Number			onductors	44. Brakemen			45. Engineer/Operator			th of	f Time on Duty 46. Conductor					
Operators 1	42.110	0			1			Hrs 1 Mi 50				101 001	H	rs	1	Mi 50		
1	47 Railr	-	vees A	9 Tro		. 40	0 Other		50. EOT 1		1	50		51 Was	FOT De	vice	Properly	Armed?
	- / . Rum	0	ad Employees 48. Train Passenge						1. Yes 2. No 1					51. Was EOT Device Properly Armed? 1. Yes 2. No 1				
Fatal 0				0		0		52. Caboose Occupied by Crew?			1.105					1		
Nonfatal		0			0		0		1. Yes 2. No N/A						N/A			
						0	PERAT	ΓINC	G TRAIN	#2								
53. Type of Equipme	m	Freight tra				Yard/sw	0	A.	Spec. MoW	V Eq	uip. Code	54. Was E	• •	ment C	ode	55. T	rain Nun	iber/Symbol
Consist (single en	uyj	Passenger Commuter			0	Light loc		-			NT/A	Attend			N/A		N/	A
56. Speed (recorded)					Method(s)	Maint./ii			r code(s) t	that	N/A (apply)	1. Y	es			ntrol		
R - Recorded	speeu, if	uvunuone)	Code		ATCS	•	g. Autom				pecial instruct	ions		58a. Remotely Controlled Locomotive? 0 = Not a remotely controlled				
R - Recorded $a : A C S$ $g : Automate bookm : Special instructions0 = Not a remotely controlledE - EstimatedN/AM/Ab. Auto train control h. Current of trafficn. Other than main track1 = Remote control portable$																		

DEPARTMENT FEDERAL RAILR					FRA FA	CTUAL	RAILR	OAD AC	CIDENT REI	PORT	F	FRA File	e# <u>HQ-200</u>	8-75	
57. Trailing Tons (gro excluding powe				d.	c. Auto train stop i. Time table/tr d. Cab j.Track warrant e. Traffic k. Direct traffic				 D. Positive train con D. Other (Specify in Code(s) 	trol <i>narrative)</i>	2 = Remo 3 = Remo transmit				
		N/A			Interlocking		ard limits		N/A N/A N/A N/A N/A			remote control transmitter			
59. Principal Car/Un	it	a. Initial	and N	umber	b. Positio	on in Train	c. Load	led(yes/no)	60. If railroad en			0	ol use,		
(1) First involved			N/A		N/	A	N	V/A	enter the nun				Drugs N/A		
(derailed, struck, (2) Causing (if me	,											N/A			
cause reported	chanical l)		N/A		N/		N/A	sist transpor	01	N/A					
62. Locomotive Uni	ts	a. Head End	b. Ma	Mid T inual	rain c. Remote		End c. Remote	63. Cars		a. Freight	b. Pass.		Empty ght d. Pass.	e. Caboos	
(1) Total in Train	n	N/A	1	N/A	N/A	N/A	N/A	(1) Total in	n Equipment Consis	t N/A	N/A	N/A	N/A	N/A	
(2) Total Deraile	d	N/A	N	/A	N/A	N/A	N/A	(2) Total D	Derailed	N/A	N/A	N/A	N/A	N/A	
64. Equipment Dama This Consist	age	N/A	1		ck, Signal, V ructure Dam		N/A	66. Primar Code	ry Cause	N/A	67. Cont Code	ributing	Cause	N/A	
		Numbe	r of Cr			lage					Time on D	Outy		N/A	
68. Engineer/	69. Fire	men		70. Co	nductors	71. Brak	emen	72. Engin	eer/Operator	0	73. Con	-			
Operators N/		N/A			N/A		J∕A			Mi N/A		Hrs	1011	Mi N/A	
Casualties to:	74. Railro	oad Emple	oyees 7	75. Trai	n Passenger	s 76. Othe	r	77. EOT I 1. Y		N/A		EOT De Yes	vice Properly 2. No	Armed?	
Fatal		N/A			N/A	N	I∕A		ose Occupied by Cr			10/21			
Nonfatal		N/A			N/A	1	N/A		1. Yes	2. No				N/A	
						OF	PERATIN	G TRAIN	1#3						
Consist (single entry) 2. Passenger train 5. Single car 8. Light loco(s).								Was Equip Attended? 1. Yes							
3. Commuter train 6. Cut of cars 9. Maint./inspect.car 83. Speed (recorded speed, if available) Code 85. Method(s) of Operation (ent)								r code(s) th	nat apply)	1. 105		otely Cor	ntrolled Loco	motive?	
R - Recorded a. ATCS g. Automatic							nock	n.Special instruction				y controlled			
E - Estimated	E - Estimated N/A MPH N/A b. Auto train control h. Current of c. Auto train stop i. Time table/							rame	 Outer than mann to Positive train con 		1 = Remo 2 = Remo		ol portable		
-	gross ton	nage,			Cab	otop	ack warran		o. Other (Specify in	narrative)	3 = Remo				
excluding powe	r units)	NT/ A			Traffic		Direct traffi	c control	Code(s)				re than one ansmitter		
		N/A			Interlocking		ard limits		N/A N/A N/A	N/A N/A	Tennote e	onnor u		N/A	
86. Principal Car/Un	it	a. Initial	and N	umber	b. Positio	on in Train	c. Load	led(yes/no)	87. If railroad emp enter the num			-		Director	
(1) First involved (derailed, struck,	etc)		N/A		N	//A		N/A	the appropria		e positive i	11	Alcohol N/A	Drugs N/A	
(2) Causing (if me	chanical		N/A		N	/A		N/A	88. Was this cor	sist transpor	ting passen	igers? (Y		N/A	
cause reported	l)		1071					1				1			
89. Locomotive Uni	ts	a. Head End	b. Ma	Mid T mual		Rear d. Manual	End c. Remote	90. Cars		a. Freight	b. Pass.		Empty ght d. Pass.	e. Caboose	
(1) Total in Train	n	N/A	N	I/A	N/A	N/A	N/A	(1) Total in	equipment Consis	t N/A	N/A	N/A	N/A	N/A	
(2) Total Deraile	d	N/A	N	/A	N/A	N/A	N/A	(2) Total E	Derailed	N/A	N/A	N/A	N/A	N/A	
91. Equipment Dama	age			92. Tra	ck, Signal, V	Vay,		93. Primar	y Cause Code		94. Cont	ributing	Cause		
This Consist		N/A			ructure Dam	age	N/A			N/A	Code			N/A	
95. Engineer/	96. Fire		er of Cr		w Members 97. Conductors 98. Brakemen				Length of Time on Duty 99. Engineer/Operator 1 100. Conductor						
Operators N/A		N/A			N/A		/A			Mi N/A	100. Conductor Hrs N/A Mi N/A				
Casualties to:	101. Rail	road Emp	loyees	102.7	Train	103. Oth	er	104. EOT			105. Wa	s EOT D	evice Proper	ly	
Fatal		N/A			N/A	N	N/A		1. Yes 2. No N/A 1. Yes 106. Caboose Occupied by Crew?					N/A	
Nonfatal	1	N/A		1	N/A	N	J/A	100. 0400	1. Yes	2. No				N/A	
		Highw	ay Use	er Invo	olved				Rai	Equipmen	t Involve	d			
107. C. Truck-7	Frailer -	Due	т	Other	Motor Vehi	cla	Code	111. Equip		D (at an Ital)	6 Light	Loco(e)	(monine)	Code	
A. Auto D. Pick-Uj B. Truck E. Van	p Truck C	3. School	Bus k	K. Pedes	Motor Vehi strian at (spec. in n		N/A	3. Irain (standing) 6. Light Loco(s) (moving) 1. Train(units pulling) 4. Car(s) (moving) 7. Light(s) (standing)							
108. Vehicle Speed	1		109.		geographic	, 1	Code	2.Train(<i>units pushing</i>) 5.Car(s)(<i>standing</i>) 8.Other (<i>specify in narrative</i>) N/A 112. Position of Car Unit in							
(est. MPH at impact) N/A 1.North 2.South 3.East 4.West N/A										0					

DEPARTMENT OF TRANSPORTATION FRA FACTUAL RAILROAD ACCIDENT REPORT FRA File # HQ-2008-75 FEDERAL RAILROAD ADMINISTRATION FRA FACTUAL RAILROAD ACCIDENT REPORT FRA File # HQ-2008-75													
110. Position													Code
1. Stalled on Crossing 2.Stopped on Crossing 3.Moving Over Crossing 1. Rail Equipment Struck Highway User 4. Trapped N/A												N/A	
	e highway user		•	•			Code	114b. Wa	is there a haza	rdous materials 1	elease		Code
in the impact transporting hazardous materials? 1. Highway User 2. Rail Equipment 3. Both 4. Neither N/A 1. Highway User 2. Rail Equipment 3. Both 4. Neither											4. Neither	N/A	
1. Highway User 2. Rail Equipment 3. Both 4. Neither 1977 and a straight by the former of the hazardous materials released, if any.													
N/A													
115. Type	1.Gates	4.V	Vig Wa	ıgs	7.Cro	ssbucks 1	0.Flagged by	crew	116. Signaled	Crossing	Code	117. Whistle Ban	Code
Crossing 2.Cantilever FLS 5.Hwy. traffic signals 8.Stop signs 11.Other (spec. in narr.) (See instructions for codes) 1. Yes Warning 3.Standard FLS 6.Audible 9.Watchman 12.None 2. No													
Code(s)	N/A	N/A	1	[/A	N/A	N/A	N/A	N/A	N/A 3. Unknown				
118. Location of Warning Code 119. Crossing Warning Code 120. Crossing Illuminated by Street											by Street	Code	
1. Both Sic	les						h Highway Si	gnals			Special Lig	hts	
2. Side of Vehicle Approach 1.									1	1. Ye 2. No			
3. Opposite Side of Vehicle Approach N/A							2. No 3. Unknown N/A 2. No 3. Unknown					N/A	
121. 122. Driver's Gender Code 123. Driver Drove Behind							or in Front of	Code					Code
Age	1. Male				and Struck o		k by Second			e around or thru		4. Stopped on Crossing	
0	0 2. Female 1. Yes 2. No 3. Unknown 2. Stopped and then Proceeded 5. Other (specify in narrative, N/A 3. Did not Stop narrative,								5. Other (specify in narrative)	N/A			
125. Driver Pa	1		1.10		67 1.0	1 11			5. Dia 1	юсыор		,	
125. Driver Pa Highway V		Coc	e 12		ermanent Str		(primary ob 3 Passi	struction) ng Train 5.	Vegetation	7. Other	(specify in i	narrative)	Code
	3. Unknown	N/	4					0	Highway Vehi			un nun ve)	N/A
127 Driver Code 128 Was Driver in the Vehicle?								ne Vehicle?	Code				
Casualties to: Killed Injured							d 2.Injured 3.	5		N/A 1. Yes		2. No	N/A
129. Highway-Rail Crossing Users 0 0							130. Highway Vehicle Property Damage (est. dollar damage) 0 131. Total Number of Highway-R (include driver)						g Users
132. Locomot	ive Auxiliary L	ights?					Code	133. Locoi	notive Auxilia	ry Lights Operat	tional?		Code
1. Yes 2. No							N/A 1. Yes 2. No				N/A		
134. Locomot	ive Headlight I	lluminat	ed?				Code	135. Locoi	notive Audibl	e Warning Sound	led?		Code
1. Y	es	2.	No				N/A	1.	Yes	2. No			N/A

136. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.



137. SYNOPSIS OF THE ACCIDENT

On September 25, 2008 at 7:05 a.m. eastbound BNSF Railway Company (BNSF) loaded coal Train C-NAMTHH1-55 derailed the second lead locomotive and the head 31 cars. The train consisted of 2 lead locomotives, 149 loaded coal hopper cars, and 2 rear distributive power units (DPU). It was operating at a recorded speed of 44 mph at the time of the derailment. The location is 25 miles east of Brookfield, Missouri at milepost (MP) 81.8 on the BNSF Brookfield Sub-Division of the Chicago Division. There were no hazardous materials involved and no injuries reported. The weather was foggy with a temperature of 58 °F. This is an Amtrak route and several trains were re-routed. The cost of equipment damages was \$1,665,737and the cost of track damage was \$176,000.

The cause of the accident is FRA Cause Code E33C (Coupler retainer pin/cross key missing). The coupler pin fell from the B-end of the 2nd head Car # FURX 961211. As the train separated an undesired emergency brake application was initiated. The rear 147 cars ran into the head-end of the train with enough force to derail the cars and cause extensive damage to the roadbed which resulted in the pile-up of the railcars.

138. NARRATIVE

CIRCUMSTANCES PRIOR TO THE ACCIDENT

The crew of eastbound BNSF Train C-NAMTHH1-55 consisted of an engineer and conductor. They went on duty at their home terminal of Brookfield, Missouri at 5:15 a.m. CDT on September 25, 2008 after receiving more than the required statutory off rest period.

Their train consisted of 2 lead locomotives, 149 loaded coal hopper cars, and 2 Distributive Power Units (DPU) on the rear of the train. It had a length of 7,909 feet, and a weight of 21,181 tons. The train originated in Lincoln, Nebraska where it received a Class 1 air test on September 23 at 4:15 p.m. performed by BNSF car department employees. The train had previously passed a track side warning detector at MP 109.1 and received a radio message that no defects were discovered.

BNSF Train C-NAMTHH1-55 departed Brookfield at 6:20 a.m. destined for Thomas Hill Power Plant at MP 76.2 in Bevier, Missouri. The crew made no pick-ups or set-outs en route and had operated approximately 23 miles to the point of derailment without incident. Nearing the accident site the engineer was seated at the controls of lead Locomotive # BNSF 9997 with the short hood forward. The conductor was also in the lead locomotive sitting on the north side. The last signal they passed prior to the derailment was displaying a clear signal indication. They had operated through a Form B speed restriction of 40 mph approximately 3.5 miles prior to the area of the derailment. The area of MP 86 to MP 84 is a slight descending grade approaching the point of derailment (POD). It then is tangent and level from MP 84 to MP 82 where it begins to ascend to MP 80.

This train was being operated geographically and timetable direction eastward.

THE ACCIDENT

As the train approached MP 81.8 it was proceeding at a recorded speed of 44 mph, as indicated by the event recorder download data from lead Locomotive # BNSF 9997

FRA FACTUAL RAILROAD ACCIDENT REPORT

The crew stated that as they approached the slight ascending grade at MP 81.8 the train and track conditions were normal. At MP 81.8 they experienced an undesired emergency air brake application and could see the train derailing behind them. When the train stopped the engineer immediately radioed the BNSF train dispatcher and reported the derailment as the conductor was inspecting for damages.

ANALYSIS AND CONCLUSIONS

ANALYSIS - TOXICOLOGICAL TESTING:

The crew was drug and alcohol tested under FRA authority. The drug and alcohol test results were negative

CONCLUSION

Intoxication was not a causal or contributing factor in the derailment.

ANALYSIS - RULES COMPLIANCE:

The crewmembers were interviewed by railroad officials and a Federal Railroad Administration (FRA) Motive Power and Equipment (MP&E) inspector. They were in possession of all required documents related to train operation and correctly performed required railroad emergency procedures. The train was operated correctly through speed restrictions and within maximum timetable speed.

CONCLUSION:

The crew was in compliance with Federal requirements and applicable railroad operating and train-handling rules. Train handling was not a causal factor of the incident.

ANALYSIS - EQUIPMENT TESTING:

On the day of the incident FRA Region 6 investigators responded including an FRA MP&E Inspector, an FRA Track Inspector, a Missouri State Operating Practices Inspector, and a Missouri State Track Inspector. They walked the track, inspected the derailed cars, interviewed the train crew and responding railroad officers, and examined inspection records.

CONCLUSION:

The cause of the derailment was discovered by the responding FRA Region 6 MP&E Inspector. The on-site railroad officials were appreciative and agreed with his analysis. His analysis was later confirmed. The cause of the derailment was the coupler pin falling from the B-end of the 2nd head car # FURX 961211. The 2nd and 3rd head cars were equipped with F type couplers therefore the coupler remained suspended from the leading end of the 3rd head car # BNSF 670553. As the train separated an undesired emergency brake application was initiated. The brake application caused the two lead locomotives and two head rail cars to stop at a faster rate than the remaining 147 loaded coal hopper cars. The rear 147 cars ran into the head end of the train with enough force to derail the cars and cause extensive damage to the track roadbed resulting in the pile up of railcars.

ANALYSIS - FATIGUE:

FRA obtained fatigue related information including a 10-day work history for the two crewmembers involved in this derailment.

CONCLUSION:

Based upon the fatigue data analysis FRA concluded that the crew members were not under the affect of fatigue which would contribute to the accident

OVERALL CONCLUSIONS:

The responding FRA inspectors conducted exhaustive and in-depth inspection activities resulting in an

accurate, timely, and thorough accident investigation. The FRA is in agreement with the stated cause of the derailment.

PROBABLE CAUSE AND CONTRIBUTING FACTORS: -

The probable cause of this accident is FRA Cause Code E33C (Coupler retainer pin/cross key missing).