

Federal Railroad Administration Office of Safety Headquarters Assigned Accident Investigation Report HQ-2006-69

> Union Pacific Chiloquin, OR July 27, 2006

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

DEPARTMENT OF FEDERAL RAILR	OF TRA OAD A	ANSPORT	FATI RAT	ON 'ION	FRA FA	ACTUA	AL RA	ILR	ROAD A	ACC	IDENT I	REPO	RT]	FRA Fi	le #	<u>HQ-20</u>)6-69	<u>)</u>
1.Name of Railroad O Union Pacific RR C	1a.	1a. Alphabetic Code 1b UP					b. Railroad Accident/Incident No. 0706PD028												
2.Name of Railroad O	2a. Alphabetic Code 2					2b. F	b. Railroad Accident/Incident												
N/A	N/A						N/A												
3.Name of Railroad Ro	3a. Alphabetic Code 3						b. Railroad Accident/Incident No.												
Union Pacific RR C	UP						0706PD028												
4. U.S. DOT_AAR Gr	5. I	5. Date of Accident/Incident 6.						Time of Accident/Incident											
							07 27 2006					04:51: AM V PM					PM		
7. Type of Accident/In	ndicent	1. Derail	ment		4. Side collision				. Hwy-rail	cross	ing 10	. Explos	ion-deton	n-detonation 13. Other (describe in					
(single entry in cod	le box)	2. Head of	on col	lision	10n 5. Raking collision				8. RR grade crossing 11. Fire/Viol					narrative)					
0. G. G		5. Kear e		IIISIOII												L			01
8. Cars Carrying HAZMAT 0		9. HAZMA Damaged/l	AT Ca Derail	ed	0	10. Cars HAZMA	Releasin AT	0	0 Evacuated				0	ision Portland		d			
13 Nearest City/Town	n				14. Milepost				15. State			e. 14			6 County				
15. Nearest City/ Town	11	Chilo	quin		(to nearest te				446.1	15.1	Abbr N/A	e 10 R	. County	KLAMATH					
17. Temperature (F)		18. Visit	oility	(sin	(single entry) Code 19			Weather (single e			y)	ode	20. Typ	pe of Track				Code	
(specify if minus)	Б	1.	Dawn	3.1	3.Dusk				. Clear 3. Rain 5.Sle			I		1. Main 3.			Siding		1
90	F	2.	Day	4.	Dark	2	2	. Clo	oudy 4. F	og	6.Snow		1	2. Y	Indu	Industry		I	
21. Track Name/Number Main '					k	22. FRA Cla	A Track ss (1-9, X	Track Code 23. Annual Track Density (1-9, X) 3 (gross tons in millions)						24. Time Table Direction Code 1. North 3. East 2				Code 2	
ODED ATING TO AIN #1																			
25 Type of Equipme	OPERATING TRAIN #1																		
2.5. Type of Equipment 1. Preignt train 4. Work train 7. Yard/switching Consist (single entry) 2. Passenger train 5. Single car 8. Light loco(s)									At At					ended?					/ Symbol
3. Commuter train 6. Cut of cars 9. Maint/inspect.car 1 1. Yes 2. No 1 QPV												VR							
28. Speed (recorded s	speed, if	available)	Cod	le 30	. Method(s)	of Operat	ion (ente	er code(s)) that	apply)			30a. Rem	notely C	ontro	olled Loc	omot	ive?
R - Recorded				2 1	a. ATCS		g. Autom	natic l	block	m.S	pecial instru		0 = Not a 2- Santely to Wested						
E - Estimated	E - Estimated 29 MPH R b. Auto train control h. Curren										ositive trai		κ. Ι	1 = Remote control portable 2 = Remote control towar					
29. Trailing Tons (gross to	nnage,			1. Cab	j	Track w	arrai	nt control	в 0.1 р.С	Other (Spec	ify in no	rrativa)	2 = Remote control tower 3 = Remote control					
excluding power	e. Traffic k. Direct ti				raffic control Code(s)			(s)	transmitter - more than one			nan one							
		908	7	f	f. Interlocking	g l	.Yard lir	nits		e	N/A N		A N/A	remote	control	trans	mitter		0
31. Principal Car/Unit		a. Initial	and N	umber	b. Positio	on in Trai	n c. l	Load	ed(ves/no)	32	If railroad	employ	ee(s) test	ed for drug	a/alcoho	l use		_	
(1) First involved						- 0			(903/110)	-	enter the	number	that were	e positive i	n		, Alcohol		Drugs
(derailed, struck, et	tc)		N/A			70			no		the appro	priate b	ox.				0		0
(2) Causing (if mec cause reported)		N/A				N/A 33. Was this consist				transport	ing passen	igers? (Y/N)			N			
34. Locomotive Units a. Head				Mid '	Mid Train Rea			35. Cars						oade		Emp	oty		
		End	b. M	anual	c. Remote	d. Manua	al c. Rei	mote			. ~		. Freight	b. Pass.	c. Fre	ight	d. Pass.	e. (Caboose
(1) Total in Train		3		0	0	0	2		(1) Tota	l in Eo	quipment C	onsist	68	0	37	/	0		0
(2) Total Derailed	1	0		0	0	0	0		(2) Total I		Derailed		13	0	2	4	0		0
36. Equipment Damage				37. Tr	ack, Signal, V	5	38. Prim	nary C	lause		39. Contributing Cause								
This Consist		/28108		&	& Structure Damage 305323				Code T109					N/A					4
Number of Cre					w Members				Leng					a of Time on Duty					
40. Engineer/ Operators	Operators N/A 41. Firemen			42. U	1	43. DI	45. Brakemen		44. Eng	ineer/	er/Operator		06	45. Con	iductor H	re	5	Mi	06
N/A		0			1		0			Hrs	Hrs 5 N		06				5		00
Casualties to:	46. Railı	road Emplo	oyees	47. Tra	ain Passenger	s 48.	48. Other		49. EOT Device?					50. Was EOT Device Proper				y Arn	ned?
Fatal		0			0		0		51. Caboose Occupied by Cre			v Crew?	1	Yes		2. NO		1	
Nonfatal		N/A			0		0		1. Yes			Yes 2. No							2
						0	PERA	ΓIN	G TRAII	N #2									
52. Type of Equipment 1. Freight train 4. Work train 7. Yard/switching A. Spec. MoW Equip. Code 53. Was Equipment Code 54. Train Number/Symbol																			
Consist (single ent	5. Si	5. Single car 8. Light loco(s).				Att				ttended?	ed?				-				
	3.	Commuter	r train	6. Cu	t of cars 9.	Maint./ir	spect.ca	r			N/A		1. Yes	2. No N	N/A		N/.	A	
55. Speed (recorded speed, if available) Code 57. Method(s) of Operation								ente	iter code(s) that apply) 57a						57a. Remotely Controlled Locomotive?				
R - Recorded	a	. ATCS	1	g. Autom	natic 1	tic block m.Special instructions					0 = Not a remotely controlled								
E - Estimated	U	MPH	1 N/ PA	lt	o. Auto train o	control l	n. Curren	nt of t	traffic	0	inun III		-	I = Kem	iote con	trol p	ortable		

DEPARTMENT FEDERAL RAILF	OF TRA ROAD AI	NSPORT OMINIST	'ATIO 'RATI	ON ION	FRA FA	ACTUAI	LRAILR	OAD AC	CIE	DENT I	REPO	ORT	F	RA File #	<u>HQ-200</u>	<u>6-69</u>		
56. Trailing Tons (gross tonnage, excluding power units)					Auto train Cab Traffic	n stop i. 7 j.T k. 1	ain orders o. Positive train control t control p. Other (Specify in narrative) c control Code(s)					2 = Remo 3 = Remo transmit						
N/A				f.	f. Interlocking 1. Yard limits				N/A	N/A 1	N/A N	N/A N/A	remote c	N/A				
58. Principal Car/Unit a. Initial and Nu				umber	mber b. Position in Train c. Load				59. I	f railroad	emplo	oyee(s) teste	d for drug	/alcohol us	se,			
(1) First involved 0						N/A		N/A		enter the	numb	er that were	positive i	Drugs				
(2) Causing (if mechanical									CO Nu di constructione de constructione de constructione de construction de co							N/A		
cause reported) 0						N/A		N/A	60. Was this consist transporting passengers? (Y/N)							N/A		
61. Locomotive Units	a. Head End b. Ma			Ianual c. Remote		Rea d. Manual	r End c. Remote	62. Cars				Lo: a. Freight	ade b. Pass.	Em c. Freight	pty d. Pass.	e. Caboose		
(1) Total in Train	(1) Total in Train 0		0	0 0		0	(1) Total in) Total in Equipment Consist			0	0	0	0	0			
(2) Total Deraile	(2) Total Derailed 0		0	0 0		0	(2) Total Derailed				0	0	0	0	0			
63. Equipment Dama This Consist	53. Equipment Damage 6 This Consist 0					Way, amage	0	65. Primar Code	i5. Primary Cause 66. Contributing Cause Code N/A Code				use	N/A				
		Numbe	r of Ċı	rew Me	mbers							Length of 7	Time on D	uty				
67. Engineer/ Operators N/	68. Fire	emen N/A		69. Co	nductors N/A	70. Bra	kemen N/A	71. Engineer/Operator 72. Conductor Hrs 0 Hrs						0	Mi 0			
Casualties to:	73. Railr	oad Emplo	oyees ?	74. Trai	in Passenge	rs 75. Oth	75. Other		76. EOT Device?					77. Was EOT Device Properly Arr				
Fatal		0			0		0		1. Yes 2. No N/A 1. Yes 2. No 78. Others Organization C 2 3 2 3 2 3 </td									
Nonfatal		0			0		0	78. Cabbo	1.	Yes	y cicw	2. No				N/A		
	er Invo	olved			Rail Equipment Involved													
79. Type C. Truck-7	Other	Motor Veh	icle	83. Equipment 3. Train (standing) 6. Light Loco(s) (moving) Code														
A. Auto D. Pick-U B. Truck E. Van	p Truck C	K. Pede M. Othe	strian	narrative)	N/A	1.Train(units pulling) 4.Car(s) (moving) 7.Light(s) (standing) 2.Train(units pushing) 5.Car(s) (standing) 8.Other (specify in narrative)												
80. Vehicle Speed	rection	geographi	ical)		84. Position of Car Unit in Train													
(est. MPH at in 82 Position	npact)	1011	1.Nor	th 2.50	outh 3.East	4.west	Code	85. Circumstance								Code		
1.Stalled on Cros	ng 3.M	loving Over	Crossing		1. Rail Ec	uipm	ent Struc	k High	way User									
4. Trapped 86a. Was the highwa		Code	2. Kall Ed 86b. Was t	here a	hazardo	us mat	erials releas	e by			Code							
in the impact tr	erials?	4 37 14		ι N/Δ	1 High	wav I	Iser 2	Rail E	auinment	3 Both	4 Neither	r	N/A					
1. Highway User 86c. State here the nat	2. Rail E me and ou	Equipment antity of t	3. I he haz	Both	4. Neither materials re	eleased, if a	nv.	1. High	way c		IXan L	quipinent	5. Dour	4. I termer		IVA		
	ine una qu	unity of t	ne nu	araoas		ileuseu, il u	N/A											
87. Type of 1.Gat Crossing 2.Car Warping 2.Gat	bucks 10. signs 11.	Flagged by Other (spec	crew . in narr.)	88. S (S	ignaled C ee instru	Crossin ctions 1	g Warning for codes)	Code	89. Whis 1. Yes 2. No	tle Ban s	Code							
Code(s) N/A	ode(s) N/A N/A N/A				9. watch	N/A	N/A	N/A					N/A	3. Un	known	N/A		
90. Location of Warn	ing	Code 91. Crossing Warning Interconnected with Highway Signals Code 92. Crossing Illuminated by Street Lights or Special Lights									Code							
2. Side of Vehicl	1. 2.	Yes No	NI/A				1. Yes 2. No	1. Yes 2. No										
02 Drivers 04 Drivers Contra C 1 (N/A	3.		in Code 96. Driver							N/A			
95. Driver's 94. Driver's Gender Code 95. Driver's Gender Age 1. Male and S 2. Female 1. Ye.						was Struck	by Second 7 3. Unknown	rain 1. Drove around or thru the Gate 4. Stopped on Crossing 2. Stopped and then Proceeded 5. Other (specify in								g		
			N/A	N/A 3. Did not Stop narrative) N/.														
97. Driver Passed Standing Highway Vehicle Code 98. View of Track Obscured by 1. Permanent Structure (primary obstruction) 97. Driver Passed Standing Highway Vehicle 98. View of Track Obscured by 1. Permanent Structure (primary obstruction)														Code				
1. Yes 2. No 3. Unknown N/A 2. Standing Railroad Equipment 4. Topography 6. Highway Vehicle 8. Not obstructed														N/A				
Crossing Users Killed					Injured	99. Driver	Was 2.Injured 3.	Uninjured	Jinijured N/A 1. Yes 2. No							N/A		
0					0	Property Damage 103. Total Number of Highway-Rail Cros						Rail Cross	ing Users					
104. Locomotive Aux		(est. d	Code	105. Locoi	notive	e Auxilia	ry Ligh	ts Operatio	nal?		U	Code						
1. Yes		N/A	/A 1. Yes 2. No							N/A								
106. Locomotive Headlight Illuminated?							Code	le 107. Locomotive Audible Warning Sounded?						Code				
1. Yes		N/A	1.	1. Yes 2. No							N/A							



108. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED. HQ-69 Sketch.jpg

109. SYNOPSIS OF THE ACCIDENT

On July 27, 2006, at 4:51 p.m.(PDT), a Union Pacific Railroad Company (UP) freight train (QPWRV-23) derailed on the Cascade Subdivision at milepost 446.1, approximately 16 miles north of Klamath Falls, Oregon. The train was operating southward at a recorded speed of 29 mph.

Prior to the derailment, on the same day, railroad workers replaced numerous ties in the area of the derailment. A temporary speed restriction was placed in effect restricting all trains to a maximum speed of 30 miles per hour. The normal freight train speed at this location is 60 miles per hour.

The train consisted of three locomotives on the head and two locomotives on the rear end. It had 105 cars (68 loads and 37 empties), weighed 9,087 tons and was 6,872 feet long. No hazardous materials were involved and no injuries or fatalities resulted. Reported damage is \$1,093,433 total (\$728,108 equipment and \$365,325 track/signal/structure).

At the time to the derailment, it was daylight, clear, and the temperature was about 90°F.

The probable cause was irregular track alignment.

110. NARRATIVE

Circumstances Prior to the Accident

On July 27, 2006, after completing more than the statutory off duty time, a crew consisting of an engineer and a conductor reported for duty at Klamath Falls, Oregon, which is their home terminal. The crew was assigned to operate the southbound Union Pacific Railroad Company (UP) freight train (QPWRV-23) from the Calimus siding to Klamath Falls. The Calimus siding is located about 36.5 miles north of Klamath Falls. Their assigned freight train consisted of five locomotives and105 cars (68 loads, 37 empties), weighed 9,087 tons and was 6,872 feet long. Three locomotives were positioned at the head of the train and two locomotives were positioned at the rear of the train. The southbound train was en route from Eugene, Oregon to Roseville, California and had been secured on the Calimus siding by a previous crew.

The crew was transported to the Calimus siding by taxi. Upon arrival, the crew prepared the train for departure by releasing hand brakes and performing a brake pipe continuity check. They waited approximately three hours before receiving a clear signal to depart at about 3:35 p.m..

Approaching the accident area from the north, there is in succession from the south switch at Modoc Point controlled siding, about 1,600 feet of tangent track followed by a 2-degree curve to the left that is about 3,700 feet long then about 530 feet of tangent track followed by a 2-degree curve to the right about 1,600 feet long. There is no grade in this area.

The railroad timetable direction of the train was south and the geographic direction was southeast. Timetable directions are used throughout this report and all curves will be identified as left or right as viewed by the derailed train's direction of travel. All times in this report will be Pacific Daylight Time (PDT) and all car positions will be given from the front to the rear of the train, with the leading locomotive in the first position.

As the train approached the accident area, the engineer was seated at the controls on the right (west) side of the locomotive cab. The conductor was seated on the opposite side of the cab.

The Accident

According to the event recorders on the leading locomotive and a locomotive at the rear of the train, the train was operating at 29 mph, in throttle position 4, when it derailed. The maximum authorized speed for freight trains is 60 mph as designated in the current UP Portland Area Timetable No. 3. However, at the time of the derailment, speed was restricted to a maximum of 30 mph by a temporary speed restriction outlined in Form A Track Bulletin No. 18958, line 4, on the Cascade Subdivision effective July 26, 2006, at 10:37 a.m.

The locomotives located at the rear of the train were being operated in synchronous mode, which means the controls of the rear locomotives matched those of the leading locomotive. According to the crew, as the train approached milepost 445, they felt a rapid deceleration immediately followed by a train induced emergency air brake application. The locomotive engineer notified the dispatcher via radio of the emergency stop, and that the conductor was in the process of inspecting the train. The conductor subsequently found 37 cars derailed and substantial damage to the track structure.

When UP officers were notified and arrived at the derailment site, the decision was made to perform FRA Post Accident Testing for Alcohol and Drugs on the train crew. Results were negative.

Analysis

According to the UP Manager of Tie Programs, a system tie gang had replaced wooden crossties at the location of the derailment earlier in the day, prior to the

FRA FACTUAL RAILROAD ACCIDENT REPORT

QPWRV-23 traversing the area. The crew of the QPWRV-23 had received a mandatory written directive outlining protection of roadway workers between milepost 440.8 and 448.2 from 5:00 a.m. to 4:00 p.m. on the day of the accident. In addition, they received a mandatory directive temporarily restricting all trains to 30 mph between milepost 443.5 and milepost 448.35. This was due to the track structure being disturbed by the replacement of ties. The point of derailment (POD) was milepost 446.1 as evidenced by wheel markings on the ties. Ties had been replaced at this location that morning.

The first train to traverse this section of track, after crossties had been replaced, was northbound Amtrak Passenger Train No. 14 at about 1:30 p.m. According to UP's Director of Derailment Prevention, the track was inspected by a UP track inspector after the passenger train cleared the section of track. No unusual conditions were found.

The QPWRV-23 was the second train over this section of track at about 4:50 p.m. The first car to derail was the 70th car in the train, GPWX 1141, an empty gondola. Marks on the crossties indicate the leading wheels of the GPWX 1141 derailed to the inside of the curve at about milepost 446.1. According to UP Director of Tie Programs, all rules and procedures for tie replacement, as outlined in UP Engineering and Track Maintenance Field Handbook Rule 3.1.2 had, been followed. About 343 ties, of the 687 ties scheduled, had been replaced from milepost 446 to milepost 447 on July 27, 2006. A temporary speed restriction of 30 mph was placed in effect as outlined by UP Engineering and Track Maintenance Field Handbook Table 7-B.

Continuous welded rail (CWR) is located in the section of track where ties had been replaced and the derailment occurred. UP Engineering and Track Maintenance Handbook Rule 7.1- Causes of Track Buckling, identifies "High compressive forces in the rail resulting from thermal and mechanical loads." Rule 7.1.1- Conditions that contribute to track buckling, identifies a "high percentage of track buckles occur under the rear half of a train and more frequently on curves." And "maintenance activities such as tie renewals can reduce the lateral resistance by at least 50 percent."

Recorded weather data from the Klamath Falls airport showed a temperature of 66-degrees Fahrenheit at 7:53 a.m. and reported a temperature of about 90-degrees Fahrenheit at the time of the derailment. According to UP's Director of Derailment Prevention, the variance in temperature of over 20-degrees from the time ties were replaced to the time the QPWRV-23 traversed the area, makes CWR vulnerable to buckling. He also said the derailment of the leading set of trucks on the 70th car to the inside of the curve and the derailment of the trailing trucks on the 73rd car to the outside of the curve is indicative of a track misalignment derailment. Also, tie replacement on the curve to the left at the PDD, and the derailment occurring after three locomotives and 66 cars traveled over this location are conditions that point to track alignment irregular as the cause of the accident.

Union Pacific officials who inspected the locomotives and cars reported that there were no mechanical defects found that would have caused or contributed to the cause of the derailment. The FRA and UP investigations found no train handling, operating rules or train make-up issues that could have contributed to the derailment.

Conclusion

Union Pacific Railroad Train Symbol QPWRV-23 derailed on the Cascade Subdivision on July 27, 2006. There was no evidence of mechanical or train handling issues that would have caused or contributed to the cause of the derailment. Prior to the derailment, a UP system tie gang had replaced numerous ties at, and in the area of the POD. Several conditions existed at the time of the derailment that are known to contribute to track buckling or are indicative of track buckling derailments. These conditions included thermal load on the rail, derailment in a curve, rear of the train derailing, the way the cars derailed, and disturbed track from tie replacement.

Probable Cause

An investigation by the Federal Railroad Administration found that the cause of the derailment was irregular track alignment.