



***Federal Railroad Administration
Office of Safety
Headquarters Assigned
Accident Investigation Report
HQ-2005-04***

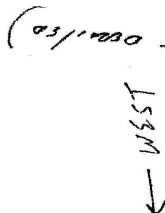
***Burlington Northern Santa Fe (BNSF)
Temple, Texas
January 8, 2005***

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

DEPARTMENT OF TRANSPORTATION FEDERAL RAILROAD ADMINISTRATION		FRA FACTUAL RAILROAD ACCIDENT REPORT				FRA File # <u>HQ-2005-4</u>	
1. Name of Railroad Operating Train #1 BURLINGTON NORTHERN SANTA FE CORPORATION			1a. Alphabetic Code BNSF		1b. Railroad Accident/Incident No. GC0105102		
2. Name of Railroad Operating Train #2 BURLINGTON NORTHERN SANTA FE CORPORATION			2a. Alphabetic Code BNSF		2b. Railroad Accident/Incident GC0105102		
3. Name of Railroad Responsible for Track Maintenance: BNSF Rwy Co. [BNSF]			3a. Alphabetic Code BNSF		3b. Railroad Accident/Incident No. GC0105102		
4. U.S. DOT_AAR Grade Crossing Identification Number			5. Date of Accident/Incident Month Day Year 01 08 2005		6. Time of Accident/Incident 02:55: <input checked="" type="checkbox"/> AM <input type="checkbox"/> PM		
7. Type of Accident/Incident (single entry in code box)							
1. Derailment		4. Side collision		7. Hwy-rail crossing		10. Explosion-detonation	
2. Head on collision		5. Raking collision		8. RR grade crossing		11. Fire/violent rupture	
3. Rear end collision		6. Broken Train collision		9. Obstruction		12. Other impacts	
						13. Other (describe in narrative) 03	
8. Cars Carrying HAZMAT 24		9. HAZMAT Cars Damaged/Derailed 0		10. Cars Releasing HAZMAT 0		11. People Evacuated 0	
						12. Division GULF	
13. Nearest City/Town NOLANVILLE			14. Milepost (to nearest tenth) 235.7		15. State Abbr Code N/A TX		16. County BELL
17. Temperature (F) (specify if minus) 42 F		18. Visibility (single entry) Code 1. Dawn 3. Dusk 2. Day 4. Dark 4		19. Weather (single entry) Code 1. Clear 3. Rain 5. Sleet 2. Cloudy 4. Fog 6. Snow 4		20. Type of Track Code 1. Main 3. Siding 2. Yard 4. Industry 1	
21. Track Name/Number SINGLE MAIN TRACK			22. FRA Track Code Class (1-9, X) 4		23. Annual Track Density (gross tons in millions) 25.48		24. Time Table Direction Code 1. North 3. East 3
OPERATING TRAIN #1							
25. Type of Equipment Consist (single entry)		1. Freight train 4. Work train 7. Yard/switching		A. Spec. MoW Equip. Code		26. Was Equipment Attended? Code	
2. Passenger train 5. Single car 8. Light loco(s).		3. Commuter train 6. Cut of cars 9. Maint./inspect.car		1		1. Yes 2. No 1	
28. Speed (recorded speed, if available) Code R - Recorded 18 MPH R E - Estimated		30. Method(s) of Operation (enter code(s) that apply) a. ATCS g. Automatic block m. Special instructions b. Auto train control h. Current of traffic n. Other than main track c. Auto train stop i. Time table/train orders o. Positive train control d. Cab j. Track warrant control p. Other (Specify in narrative) Code(s) e. Traffic k. Direct traffic control f. Interlocking l. Yard limits				30a. Remotely Controlled Locomotive? 0 = Not a remotely controlled locomotive 1 = Remote control portable 2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter 0	
29. Trailing Tons (gross tonnage, excluding power units) 6686							
31. Principal Car/Unit		a. Initial and Number		b. Position in Train		c. Loaded (yes/no)	
(1) First involved (derailed, struck, etc)		N/A		1		N/A	
(2) Causing (if mechanical cause reported)		0		0		N/A	
						32. If railroad employee(s) tested for drug/alcohol use, enter the number that were positive in the appropriate box.	
						Alcohol Drugs 0 1	
						33. Was this consist transporting passengers? (Y/N) N	
34. Locomotive Units		a. Head End		Mid Train		Rear End	
		b. Manual		c. Remote		d. Manual c. Remote	
(1) Total in Train		3		0		0	
(2) Total Derailed		2		0		0	
35. Cars		a. Freight		b. Pass.		c. Freight d. Pass. e. Caboose	
(1) Total in Equipment Consist		51		0		0	
(2) Total Derailed		0		0		0	
36. Equipment Damage This Consist 16500		37. Track, Signal, Way, & Structure Damage 75000		38. Primary Cause Code H605		39. Contributing Cause Code N/A	
Number of Crew Members				Length of Time on Duty			
40. Engineer/Operators N/A		41. Firemen 0		42. Conductors 1		43. Brakemen 0	
44. Engineer/Operator Hrs 8 Mi 25		45. Conductor Hrs 8 Mi 25					
Casualties to:		46. Railroad Employees		47. Train Passengers		48. Other	
Fatal		0		0		0	
Nonfatal		N/A		0		0	
OPERATING TRAIN #2							
52. Type of Equipment Consist (single entry)		1. Freight train 4. Work train 7. Yard/switching		A. Spec. MoW Equip. Code		53. Was Equipment Attended? Code	
2. Passenger train 5. Single car 8. Light loco(s).		3. Commuter train 6. Cut of cars 9. Maint./inspect.car		1		1. Yes 2. No 1	
55. Speed (recorded speed, if available) Code R - Recorded 0 MPH R E - Estimated		57. Method(s) of Operation (enter code(s) that apply) a. ATCS g. Automatic block m. Special instructions b. Auto train control h. Current of traffic n. Other than main track				57a. Remotely Controlled Locomotive? 0 = Not a remotely controlled locomotive 1 = Remote control portable	

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56. Trailing Tons (gross tonnage, excluding power units) <div style="text-align: right;">7035</div>		c. Auto train stop d. Cab e. Traffic f. Interlocking		i. Time table/train orders j. Track warrant control k. Direct traffic control l. Yard limits		o. Positive train control p. Other (Specify in narrative) Code(s) <div style="display: flex; justify-content: space-around; font-size: small;"> gjN/AN/AN/A </div>	
						2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter <div style="text-align: right;">0</div>	
58. Principal Car/Unit		a. Initial and Number		b. Position in Train		c. Loaded(yes/no)	
(1) First involved (derailed, struck, etc)		BNSF727092		83		yes	
(2) Causing (if mechanical cause reported)		0		0		N/A	
						59. If railroad employee(s) tested for drug/alcohol use, enter the number that were positive in the appropriate box. <div style="display: flex; justify-content: space-around; font-size: small;"> AlcoholDrugs </div> <div style="display: flex; justify-content: space-around; font-size: small;"> 01 </div>	
						60. Was this consist transporting passengers? (Y/N) <div style="text-align: right;">N</div>	
61. Locomotive Units		a. Head End		Mid Train b. Manual c. Remote		Rear End d. Manual c. Remote	
(1) Total in Train		3		0 0		0 0	
(2) Total Derailed		0		0 0		0 0	
63. Equipment Damage This Consist		126318		64. Track, Signal, Way, & Structure Damage		0	
						65. Primary Cause Code H605	
						66. Contributing Cause Code N/A	
						Length of Time on Duty	
67. Engineer/Operators 1		68. Firemen 0		69. Conductors 1		70. Brakemen 0	
						71. Engineer/Operator Hrs 10 Mi 25	
						72. Conductor Hrs 10 Mi 25	
Casualties to:		73. Railroad Employees		74. Train Passengers		75. Other	
Fatal		0		0		0	
Nonfatal		0		0		0	
						76. EOT Device? 1. Yes 2. No 1	
						77. Was EOT Device Properly Armed? 1. Yes 2. No 1	
						78. Caboose Occupied by Crew? 1. Yes 2. No 2	
Highway User Involved				Rail Equipment Involved			
79. Type C. Truck-Trailer. F. Bus J. Other Motor Vehicle A. Auto D. Pick-Up Truck G. School Bus K. Pedestrian B. Truck E. Van H. Motorcycle M. Other (spec. in narrative)				83. Equipment 3. Train (standing) 6. Light Loco(s) (moving) 1. Train(units pulling) 4. Car(s)(moving) 7. Light(s) (standing) 2. Train(units pushing) 5. Car(s)(standing) 8. Other (specify in narrative)			
80. Vehicle Speed (est. MPH at impact) 0				81. Direction geographical 1. North 2. South 3. East 4. West			
82. Position 1. Stalled on Crossing 2. Stopped on Crossing 3. Moving Over Crossing 4. Trapped				85. Circumstance 1. Rail Equipment Struck Highway User 2. Rail Equipment Struck by Highway User			
86a. Was the highway user and/or rail equipment involved in the impact transporting hazardous materials? 1. Highway User 2. Rail Equipment 3. Both 4. Neither				86b. Was there a hazardous materials release by 1. Highway User 2. Rail Equipment 3. Both 4. Neither			
86c. State here the name and quantity of the hazardous materials released, if any. N/A							
87. Type of Crossing Warning		1. Gates 4. Wig Wags 7. Crossbucks 10. Flagged by crew 2. Cantilever FLS 5. Hwy. traffic signals 8. Stop signs 11. Other (spec. in narr.) 3. Standard FLS 6. Audible 9. Watchman 12. None		88. Signaled Crossing Warning (See instructions for codes)		89. Whistle Ban 1. Yes 2. No 3. Unknown	
Code(s)		N/A N/A N/A N/A N/A N/A				N/A	
90. Location of Warning 1. Both Sides 2. Side of Vehicle Approach 3. Opposite Side of Vehicle Approach		Code N/A		91. Crossing Warning Interconnected with Highway Signals 1. Yes 2. No 3. Unknown		Code N/A	
93. Driver's Age 0		94. Driver's Gender 1. Male 2. Female N/A		95. Driver Drove Behind or in Front of Train and Struck or was Struck by Second Train 1. Yes 2. No 3. Unknown N/A		96. Driver 1. Drove around or thru the Gate 4. Stopped on Crossing 2. Stopped and then Proceeded 5. Other (specify in narrative) 3. Did not Stop N/A	
97. Driver Passed Standing Highway Vehicle 1. Yes 2. No 3. Unknown		Code N/A		98. View of Track Obscured by (primary obstruction) 1. Permanent Structure 3. Passing Train 5. Vegetation 7. Other (specify in narrative) 2. Standing Railroad Equipment 4. Topography 6. Highway Vehicle 8. Not obstructed		Code N/A	
101. Casualties to Highway-Rail Crossing Users		Killed Injured 0 0		99. Driver Was 1. Killed 2. Injured 3. Uninjured N/A		100. Was Driver in the Vehicle? 1. Yes 2. No N/A	
				102. Highway Vehicle Property Damage (est. dollar damage) 0		103. Total Number of Highway-Rail Crossing Users (include driver) 0	
104. Locomotive Auxiliary Lights? 1. Yes 2. No		Code N/A		105. Locomotive Auxiliary Lights Operational? 1. Yes 2. No		Code N/A	
106. Locomotive Headlight Illuminated? 1. Yes 2. No		Code N/A		107. Locomotive Audible Warning Sounded? 1. Yes 2. No		Code N/A	

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109. SYNOPSIS OF THE ACCIDENT

On Saturday, January 8, 2005, at 2:55 AM CST, while moving eastbound in dense fog, BNSF loaded rock train UBWWORR0-02T, engine BNSF 6501 struck the rear end of standing eastbound BNSF manifest train HBARTPL6-05A, engine BNSF 7014, derailing the rear five cars of the standing train and the lead two units of the rock train. There were no injuries and no hazardous materials release reported.

110. NARRATIVE

The collision occurred on the single main track of the Burlington Northern Santa Fe Railway, Gulf Division, Lampassas Subdivision, at MP 235.7, Nolanville, Bell County, Texas. Method of operation is ABS/TWC, and maximum speed is 55 MPH for freight trains with no other restrictions in that area. Gulf Division Timetable No. 5 dated June 8, 2003 was in effect. The west siding switch at Nolanville is on tangent track with no gradient. Moving east from the switch there is a 0.65 downward grade on tangent track for approximately one-half mile, and then the track levels off to 0.0 gradient. The rear of H-BARTPL6-05A was standing on tangent, level track approximately three-quarters mile from the siding switch.

Train U-BWWORR0-02T (BNSF 6501 East) originated at Brownwood, Texas and consisted of three units and fifty-one gondola cars loaded with crushed rock, with train weight totaling 6686 tons and a length of 2927 feet.. The crew on duty at the time of the collision consisted of a locomotive engineer and a conductor. The engineer was hired September 22, 1997 as a switchman, promoted to engineer April 10, 2003, his last rules test was April 6, 2004, and his last efficiency test was January 4, 2005. The Conductor was hired April 6, 1973, his last rules test was February 27, 2004, and his last efficiency test was December 22, 2004.

They were both on duty at Temple, Texas on Friday, January 7, 2005 at 6:30 PM after statutory rest of 10 hours and 30 minutes for the engineer and 23 hours and 15 minutes for the conductor. The crew deadheaded in a limo to Brownwood to move U-BWWORR0-02T from Brownwood to Temple. Their work orders included notification that the train had received a Class 1 Inspection and Air Brake Test by a previous crew who made up the train in Brownwood Yard.

U-BWWORR0-02T departed from Brownwood at 10:25 PM CST and traveled east on the Lampassas Subdivision without incident. The train possessed a track warrant from MP 277 to the east siding switch at Nolanville on the main track. When the train was approximately five miles west of Nolanville, the Slaton Sub Dispatcher asked the crew, "do you want a track warrant to go in the siding there at Nolanville or are you just going to go ahead on in (the siding)". After a confusing back and forth the dispatcher repeated his question, "...you just want to take the siding at Nolanville and get a track warrant ? Or you want one to the siding and then go in, over?" The crew on U-BWWORR0-02T replied, "Ah, whichever is easiest for you there, John." The dispatcher then issued another track warrant from MP 238 to CTC Gober and he stated that he assumed that the U-BWWORR0-02T would operate east through the siding from the west siding switch at Nolanville and re-enter the main track at the east siding switch at Nolanville.

The crew on U-BWWORR0-02T stated that they were to pick up two locomotive units from the runaround track about midway between the ends of Nolanville siding, which is about 13,000 feet long. They had decided to operate on the main track to the east end of Nolanville, cut away from their train, move west through the siding to the runaround track, couple the two additional units, move east and couple back to the head end of their train, and then proceed east to Temple. They stated that they were aware that another train was in the vicinity because they had heard the Dispatcher give the BNSF 7014 East a warrant from ESS Nolanville to CTC Gober with a verbal instructions to follow BNSF 6501 EAST from ESS Nolanville to CTC Gober. Because of the move they planned to make at Nolanville, the crew on U-BWWORR0-02T (BNSF 6501 East) stated that they assumed that the H-BARTPL6-05A (BNSF 7014 East) was behind them and that the main track was clear between the west siding switch at Nolanville and the east siding switch Nolanville.

Nearing Nolanville, U-BWWORR0-02T passed two successive approach signals and encountered a stop signal at the West Siding Switch at Nolanville. They stopped, operated the spring switch by hand, lining it for the siding and then back for the main track, and then flagged through at the stop signal; proceeding east on the main track at restricted speed in dense fog. The crew spotted a flashing red light and recognized it as an end-of-train marker on the rear of a train but initially thought that it was on the passing siding. When they realized that the marker was on the main track the engineer applied the air brakes in emergency but it was too late to prevent the collision. After stopping at the red signal at the west siding switch Nolanville, the U-BWWORR0-02T had steadily accelerated to a recorded speed of 28 MPH before reducing speed to a recorded 18 MPH at impact. The engineer stated that the visibility was about fifty feet at the time of the accident. The engineer was seated at the controls on the right side of the lead unit and the conductor was seated in the lead unit on the left side just prior to the collision.

Train H-BARTPL6-05A (BNSF 7014 East) consisted of three locomotive units and seventy-five cars of mixed freight totaling 7468 tons and a length of 4603 feet.

The train had originated at Barstow, Ca. and had last received a Class 1 Inspection and Air Brake Test at Belen, NM. The crew on duty at the time of the collision consisted of a locomotive engineer and a conductor that had both reported for duty at Sweetwater, Tx. Friday, January 7, 2005 at 4:30 PM CST after statutory rest of more than 48 hours. The engineer was hired as a conductor May 28, 1970, was promoted to engineer May 1, 1997, his last rules test was May 12, 2004, and his last efficiency test was January 4, 2005. The Conductor was hired April 12, 2004, his last rules test was July 9, 2004, and his last efficiency test was September 3, 2004.

The crew boarded H-BARTPL6-05A at Sweetwater, and traveled east on the Lampassas Subdivision without incident to Nolanville, where the train was stopped on the main track at the east siding switch Nolanville because the yard at Temple could not handle them. The crew stated that they were monitoring the radio during the two hours they were stopped at Nolanville and they heard the warrants issued and discussions on the work to be performed at Nolanville between the Dispatcher and the BNSF 6501 East (U-BWWORR0-02T). They assumed that the U-BWWORR0-02T would enter the passing siding at the west siding switch at Nolanville, operate through the siding, pick up the two units, and re-enter the main track at the east end of the siding proceeding ahead of them to Temple. The first indication that something had happened was at approximately 2:55 AM CST when the air brakes on their train went into emergency. The engineer was seated at the controls at the right side of the lead unit and the conductor was seated on the left side of the lead unit when the collision happened.

The collision resulted in the derailment of the five rear cars of the H-BARTPL6-05A and the two lead locomotive units of the U-BWWORR0-02T. There were no injuries and no hazardous materials releases reported.

A BNSF Signal Supervisor and an FRA S&TC Inspector conducted comprehensive testing of signal apparatus in the vicinity and found no exceptions taken to the signal system. An FRA MP&E Inspector inspected the consist of U-BWWORR0-02T when it arrived at Temple and found the fifth car from the engine with the air cut out and all other equipment functioning as intended.

Both engineers, both conductors, and the dispatcher involved in the collision were drug and alcohol tested. The conductor on U-BWWORR0-02T returned a positive for marijuana and the other four employees returned negative results.

The FRA determined the cause of the accident was the failure of the crew on U-BWWORR0-02T to control the speed of their train to be able to stop within half the range of their vision while operating at restricted speed, a violation of General Code of Operating Rules rule 6.27.

Factors contributing to the accident were:

- 1) Lack of concise communication;
 - a) When the crew on the U-BWWORR0-02T did not clearly state their intentions for completing their work at Nolanville, the dispatcher should have recognized the possible confusion and given them specific instructions. The radio communications that preceded the issuance of track warrant 8839 are difficult to decipher, although a legitimate track warrant was finally issued.
 - b) Knowing that the options for completing their work were limited by the H-BARTPL6-05A stopped on the main track, the dispatcher should have notified the UBWWORR0-02T of the presence of that other train.
 - c) When the U-BWWORR0-02T encountered the stop signal at the west siding switch, a radio transmission notifying the dispatcher of the situation would have alerted both the dispatcher and the crew on H-BARTPL6-05A of the intention of U-BWWORR0-02T to move east on the main track and would have given both the opportunity to announce the presence of the train standing on the main track ahead.
- 2) The practice of issuing track warrants in ABS/TWC territory through sections of track that are already occupied by another train. This practice may create a false impression that the train receiving the track warrant has exclusive use of that section of track as it would in TWC operation, and can result in the train crew to be less vigilant when encountering potentially dangerous situations, as happened in this case.