



***Federal Railroad Administration
Office of Safety
Headquarters Assigned
Accident Investigation Report
HQ-2005-92***


***Norfolk Southern (NS)
Millbury, Ohio
October 21, 2005***

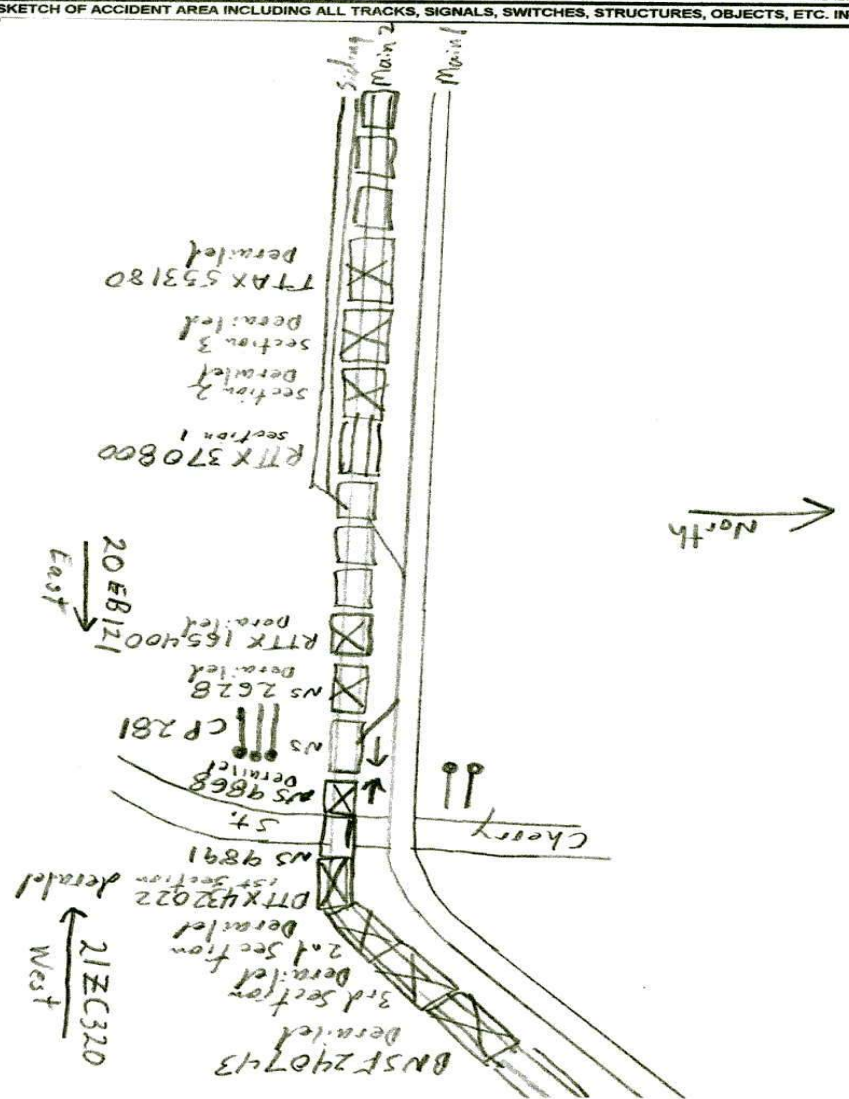
Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

DEPARTMENT OF TRANSPORTATION FEDERAL RAILROAD ADMINISTRATION		FRA FACTUAL RAILROAD ACCIDENT REPORT				FRA File # <u>HQ-2005-92</u>	
1. Name of Railroad Operating Train #1 Norfolk Southern Corp. [NS]				1a. Alphabetic Code NS		1b. Railroad Accident/Incident No. 022717	
2. Name of Railroad Operating Train #2 Norfolk Southern Corp. [NS]				2a. Alphabetic Code NS		2b. Railroad Accident/Incident 022717	
3. Name of Railroad Responsible for Track Maintenance: Norfolk Southern Corp. [NS]				3a. Alphabetic Code NS		3b. Railroad Accident/Incident No. 022717	
4. U.S. DOT_AAR Grade Crossing Identification Number				5. Date of Accident/Incident Month Day Year 10 21 2005		6. Time of Accident/Incident 02:30:00 <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	
7. Type of Accident/Incident (single entry in code box)							
1. Derailment		4. Side collision		7. Hwy-rail crossing		10. Explosion-detonation	
2. Head on collision		5. Raking collision		8. RR grade crossing		11. Fire/violent rupture	
3. Rear end collision		6. Broken Train collision		9. Obstruction		12. Other impacts	
						13. Other (describe in narrative) 02	
8. Cars Carrying HAZMAT 17		9. HAZMAT Cars Damaged/Derailed 0		10. Cars Releasing HAZMAT 0		11. People Evacuated 0	
						12. Division Dearborn	
13. Nearest City/Town Millbury				14. Milepost (to nearest tenth) 280.7		15. State Abbr Code N/A OH	
16. County WOOD							
17. Temperature (F) (specify if minus) 50 F		18. Visibility (single entry) Code 1. Dawn 3. Dusk 2. Day 4. Dark 2		19. Weather (single entry) Code 1. Clear 3. Rain 5. Sleet 2. Cloudy 4. Fog 6. Snow 2		20. Type of Track Code 1. Main 3. Siding 2. Yard 4. Industry 1	
21. Track Name/Number Main Two				22. FRA Track Code Class (1-9, X) 4		23. Annual Track Density (gross tons in millions) 57	
						24. Time Table Direction Code 1. North 3. East 3	
OPERATING TRAIN #1							
25. Type of Equipment Consist (single entry)		1. Freight train 4. Work train 7. Yard/switching		A. Spec. MoW Equip. Code		26. Was Equipment Attended? Code	
2. Passenger train 5. Single car 8. Light loco(s).		3. Commuter train 6. Cut of cars 9. Maint./inspect.car		1		1. Yes 2. No 1	
27. Train Number/Symbol 20EB121							
28. Speed (recorded speed, if available) Code R - Recorded 0 MPH R E - Estimated		30. Method(s) of Operation (enter code(s) that apply) a. ATCS g. Automatic block m. Special instructions b. Auto train control h. Current of traffic n. Other than main track c. Auto train stop i. Time table/train orders o. Positive train control d. Cab j. Track warrant control p. Other (Specify in narrative) Code(s) e. Traffic k. Direct traffic control f. Interlocking l. Yard limits				30a. Remotely Controlled Locomotive? 0 = Not a remotely controlled 1 = Remote control portable 2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter 0	
29. Trailing Tons (gross tonnage, excluding power units) 4497							
31. Principal Car/Unit		a. Initial and Number		b. Position in Train		c. Loaded (yes/no)	
(1) First involved (derailed, struck, etc)		N/A		1		no	
(2) Causing (if mechanical cause reported)		N/A		N/A		N/A	
						32. If railroad employee(s) tested for drug/alcohol use, enter the number that were positive in the appropriate box.	
						Alcohol Drugs N/A N/A	
						33. Was this consist transporting passengers? (Y/N) N	
34. Locomotive Units		a. Head End		Mid Train		Rear End	
		b. Manual		c. Remote		d. Manual c. Remote	
(1) Total in Train 2		0		0		0	
(2) Total Derailed 1		0		0		0	
35. Cars		a. Freight		b. Pass.		c. Freight d. Pass. e. Caboose	
(1) Total in Equipment Consist 35		0		5		0 0	
(2) Total Derailed 3		0		0		0 0	
36. Equipment Damage This Consist 192464		37. Track, Signal, Way, & Structure Damage 6000		38. Primary Cause Code H401		39. Contributing Cause Code N/A	
Number of Crew Members				Length of Time on Duty			
40. Engineer/Operators 1		41. Firemen N/A		42. Conductors 1		43. Brakemen N/A	
44. Engineer/Operator Hrs 1 Mi 30		45. Conductor Hrs 1 Mi 30					
Casualties to:		46. Railroad Employees		47. Train Passengers		48. Other	
Fatal 0		0		0			
Nonfatal N/A		0		0			
						49. EOT Device? 1. Yes 2. No 1	
						50. Was EOT Device Properly Armed? 1. Yes 2. No 1	
						51. Caboose Occupied by Crew? 1. Yes 2. No 2	
OPERATING TRAIN #2							
52. Type of Equipment Consist (single entry)		1. Freight train 4. Work train 7. Yard/switching		A. Spec. MoW Equip. Code		53. Was Equipment Attended? Code	
2. Passenger train 5. Single car 8. Light loco(s).		3. Commuter train 6. Cut of cars 9. Maint./inspect.car		1		1. Yes 2. No 1	
54. Train Number/Symbol 217C320							
55. Speed (recorded speed, if available) Code R - Recorded 19 MPH R E - Estimated		57. Method(s) of Operation (enter code(s) that apply) a. ATCS g. Automatic block m. Special instructions b. Auto train control h. Current of traffic n. Other than main track				57a. Remotely Controlled Locomotive? 0 = Not a remotely controlled 1 = Remote control portable	

108. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.

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92.jpg

	<p>U.S. Department of Transportation Federal Railroad Administration</p>	<p>FRA FACTUAL RAILROAD ACCIDENT REPORT</p>	<p>FRA File # HQ92-2005 70970 Report</p>
<p>108. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.</p>			



109. SYNOPSIS OF THE ACCIDENT

At about 2:25 PM on Oct 21, 2005 NS eastbound train 20 EB121 was hit head on by westbound train 21ZC320 at milepost CD281 on the Toledo East district of the Dearborn Division. The crew on train 20 EB121 was composed of engineer P.M. Chestnut and Conductor G.L Hartswick. This crew went on duty at 1 PM at Toledo and departed the Toledo station at 2:10 PM. The train consisted of two locomotive NS 9716 (Lead) and 2826, and 41 cars (35 loads, 6 empties), 4829 tons and 7121 feet. The engineer stated he did not have any problems with the train open his departure. The crew proceeded east on main track #2. The crew stated they observed all clear signals until they approached the control point signal at milepost 281. The crew stated this signal was displaying a stop signal indication. The engineer stated he was traveling at about 40 MPH when he saw the signal was a stop signal and after confirming this with his conductor he placed the train in emergency. The train continued east going past the signal at CD281 and into a double cross over switch interlocking. The western most switch was lined for movement on main 2 and the eastern most switch was lined for a west bound move from main 2 to main 1. This switch was run through on a trailing point move by train 20EB121. The train then came to a stop with the lead locomotive on main 2 but still in the interlocking. The crew heard the west bound train 21ZC320 calling signals just east of their location. The crew on 20 EB121 called to the 21ZC320 over the radio and told the crew that they were by the signal at CD 281 and were fouling the interlocking on main 2 and for them, the crew on 21Z, to bring their train to a stop. The crew on train 20 EB121 stated they then dismounted their train and ran from the tracks in a southerly direction.

1. The method of train operation was as follows:

Eastbound train 20EB-121 passed the stop signal on Main 2 at CP-281. Their train continued on Main 2, thru the interlocking, through a trailing point switch and stopped approximately 15 car lengths past the signal.

Westward train 21Z-320 also located on Main 2, was informed via radio, by train 20EB-121, to stop immediately as they had gone by the signal. Train 21Z-320 immediately placed their train in emergency.

Within a very short undetermined amount of time, hit train 20EB-121 head-on at a recorded 19 MPH.

The NS Train Dispatcher had intended for Eastward train 20EB-121 to stop on Main 2 west of CP-281.

Westward train 21Z320 also on Maine 2, was lined to crossover from Main 2 to Main 1.

Crew members interviews on Eastward train 20EB-121 stated that the approach signal to CP-281 was clear. They traveled approximately 1 1/2 miles until noticing the home signal at CP-281 was all red. They immediately placed their train into emergency.

Crew members interviews on Westward train 21Z-320 stated that they were proceeding at approximately 38 to 40 MPH, when they received a radio transmission from 20EB-121 to stop their train.

2. Both trains were intermodal trains. The 21Z had double stack cars through out the train and the 20 E were single containers/trailers.

The crew on train 21ZC320 was called for duty out of Conway, PA at 6:45 AM and departed Conway at 8:00 AM. The crew was heading west on main track # 2 and had just past the signal at milepost CD 279

(approach limited) when they heard the track side analyzer at milepost CD 283 announce the passing of a train on main 2 traveling east. The crew, Engineer J.D. East and Conductor G. B. Vanmeter then heard the crew on train 20 EB121 on the radio telling them that they (20E) were fouling the interlocking at CD 281 and to bring their train to a stop. Engineer West stated he applied air and his conductor placed the train in emergency from the conductors emergency brake lever. Mr. West stated his conductor left out the front door of the cab and dismounted the train at a speed between 20-30 MPH from the south side of the train. Mr. West stated he placed the train dynamic brakes in number 8 notch and then braced himself against the control stand. Mr. West stated he estimated the train speed at impact to be between 15-20 MPH. Train 21ZC320 consisted of two locomotives NS9868 (Lead) and 9891, with 57 cars ((54 loads and 3 empties), 6,644 tons and 9,999 feet. Mr. West stated his train was handling alright and had no problems stopping at a previous stop.

Mr. Vanmeter suffered cuts to his face requiring stitches and contusion/abrasions to hands/feet/knees. He was transported to a local hospital (St. Charles Mercy Hospital) where he received medical attention and remained over night.

All crew members were tested under Subpart C.

An inspection of the signal system by FRA and Norfolk Southern disclosed the system operated as intended. Post accident signal testing and sight distance testing confirmed the previous assessment. The testing confirmed that whenever the signal at milepost CD 281 eastbound displayed a stop indication the advance eastbound signal at milepost CD 282 displayed a signal no greater than an approach indication.

The collision of the two trains resulted in the following derailed cars and engines:

20 EB121, trailing engine 2628 rear trucks derailed,
lead car RTTX 165400 all wheels A end,
5th car RTTX 370800 (articulating car) 2 and 3 section derailed all wheels (2 sections of a 3 section car)
6th car TTAX 553180 all wheels A end
21ZC320 leading engine 9868 3 axle derailed,
lead car DTTX 432022 (3 section articulating car) all wheels all three sections derailed
2nd car BNSF 240743 all wheels on A end
The accident was caused by the failure of the crew on train 20EB121 to properly identify the approach
signal indication at milepost CD 283 and then failing to stop their train at the stop signal at milepost CD
281.

Note: Rail view cameras on lead unit of train 20E revealed signal at CD 282 was and approach and signal at
CD 281 was a stop. Both crew members on train 20 E stated they saw all signals and all were clear until the
stop signal at CD 282. Dispatchers tape show crew called the signal at CD 282 as a clear.

110. NARRATIVE

The following was obtained from an investigation that was performed by the Federal Railroad Administration.
Circumstances Prior to the Accident:

The crew on train 20EB121 was composed of engineer P.M. Chestnut and Conductor G.L Hartswick. This
crew went on duty at 1 PM at Toledo and departed the Toledo station at 2:10 PM. Prior to going on duty the
crew had their proper rest. The train consisted of two locomotive NS 9716 (Lead) and 2826, and 40 cars (35
loads, 5 empties), 4497 tons and 7121 feet. The engineer stated he did not have any problems with the train
upon his departure. The crew proceeded east on Main Track #2. The crew stated they observed all clear
signals until they approached the control point signal, CP281 located at milepost CD 281. This signal
displayed a stop indication..

The crew on train 21ZC320 was called for duty out of Conway, PA at 6:45 AM and departed Conway at 8:00
AM. The train's crew was composed of J.D. West, Engineer and G.B. Vanmeter. This crew had proper rest
prior to going on duty. Train 21ZC320 consisted of two locomotives NS9868 (Lead) and 9891, with 56 cars
(54 loads and 2 empties), 6,644 tons and 9,999 feet. Mr. West stated his train was handling alright and had
no problems stopping at a previous stop. Train 21ZC320 was traveling west Main Track 1 and crossed over to
Main Track 2 at milepost CD 268.3 due to track work being conducted at milepost CD 272. Train 21ZC320
was traveling on Main Track 2 at the time of the incident.

The Accident:

At about 2:30 PM On October 21, 2005 NS eastbound train 20EB121 was hit head on by westbound train
21ZC320 at milepost CD280.7 (Main Track #2) on the Toledo East District of the Dearborn Division.
The crew on train 20EB121 stated the signal at Control Point 281 was displaying a stop signal and the
previous signal located at milepost CD283 had displayed a clear signal indication. The engineer stated he
was traveling at about 40 MPH when he saw the stop signal indication and after confirming this with his
conductor he placed the train in emergency. The train continued east going past the signal at CD281 and
through the double crossover interlocking. The western most switch was lined for movement on Main 2 and the
eastern most switch was lined for a west bound move from Main 2 to Main 1. This switch was run through by
train 20EB121 on a trailing point move. The train then came to a stop with the lead locomotive on Main 2 just
east of the west bound signal, milepost 280.7. The crew heard the west bound train 21ZC320 calling signals
just east of their location. The crew on 20EB121 called the Toledo East Dispatcher and announced they had
gone by the stop signal. The crew also stated they called the crew on train 21ZC320 over the radio and told
the crew that they were by the signal at CD 281 and were fouling the interlocking on main 2 and for them to
bring their train to a stop. The crew on train 20EB121 stated they then dismounted their train and ran from the
tracks in a southerly direction.

The crew on train 21ZC320 was traveling west on main track # 2 and had just past the signal at milepost CD
279 (approach limited) when they heard the track side analyzer at milepost CD 283 announce the passing of a
train on main 2 traveling east. The crew then heard the crew on train 20EB121 on the radio stating they (20E)
were fouling the interlocking at CD 281. Engineer West stated he applied air and his conductor placed the
train in emergency from the conductors emergency brake lever. Mr. West stated his conductor left out the front
door of the cab and dismounted the train at a speed between 20-30 MPH from the south side of the train. Mr.
West stated he placed the train dynamic brakes in number 8 notch and then braced himself against the control
stand. Mr. West stated he estimated the train speed at impact to be between 15-20 MPH.

1. The method of train operation was as follows:

NORAC rules are in effect. Norac rule 261 territory.

Eastbound train 20EB121 passed the stop signal CP281 Main 2 at milepost CD 281. Their train continued on

Main 2, into the interlocking, through a trailing point switch and stopped east of the west bound signal, milepost CD 280.7.

Westward train 21ZC320 also located on Main 2, was informed via radio, by train 20EB121 to stop immediately as they, the crew on train 21ZC320, had gone past the stop signal at CP 281. Train 21ZC320 placed their train in emergency. Train 21ZC320 continued west and struck train 20EB121 head-on at a recorded 19 MPH. The NS Train Dispatcher had intended for Eastward train 20EB121 to stop on main track # 2 west of CP-281. Westward train 21Z320 also on Maine 2, was lined to crossover from main track #2 to main track #1 at milepost CD 280.8, east of the stopped 20EB121.

In interviews with crew members on Eastward train 20EB121 stated that the approach signal to CP 281 located at milepost CD 283 was displaying a clear indication. They traveled approximately 1 1/2 miles until noticing the home signal at CP-281 was displaying a stop indication. They immediately placed their train into emergency. During interviews with engineer on westward train 21Z320 stated that he was proceeding west on main track # 2 at approximately 38 to 40 MPH, when they received a radio transmission from 20EB121 to stop their train. During post accident interviews the crew members on train 20EB281 stated they both saw the signal prior to the stop signal displaying an clear indication. When asked if they looked at the signal as their train proceeded past the signal neither crew member could respond positively. The conductor stated he was still checking paperwork and organizing the cab and the engineer stated he may have been distracted by a train (353L820) on the adjacent siding (south side of main track two). The crew on train 353L820 had cut their train at a highway grade crossing at milepost CD 283. This crossing is located just west of the signal which the crew stated displayed a clear signal. The engineer stated he may have been distracted when he was watching for traffic and blowing the engine horn for the crossing. Neither crew member could say they looked at the signal as they went past it.

Both trains were inter-modal trains. The 21Z had double stack cars through out the train and the 20 E had signal containers/trailers on flat cars.

The collision of the two trains resulted in the following derailed cars and engines:

- 20EB121, trailing engine 2628 rear trucks derailed,
lead car RTTX 165400 all wheels A end,
5th car RTTX 370800 (articulating car) 2 and 3 section derailed all wheels (2 sections of a 3 section car)
6th car TTAX 553180 all wheels A end
- 21ZC320 leading engine 9868 axle #3 derailed,
lead car DTTX 432022 (3 section articulating car) all wheels all three sections derailed
2nd car BNSF 240743 all wheels on A end.

The track in this area is double mainline running east to west. The track in the area is tangent track with a 1 degree curve running 8/10 of a mile long just east of the accident site.

(Equipment damage)

Track \$6,000

Equipment Cars, \$310,332

Locomotive \$68,003.

Signals 0

Total = \$378,335

(Re-railing and track restoration)

Hulchers and R.J Corman were called for re-railing operations. Hulchers arrived at 3:45 Pm and R.J. Corman arrived at 4:30. Each company brought two side-winders each.

Last car was re-railed at 10:45 PM

First train over main one at 10:15 PM

First train over main two 4:00 AM

Hospital train departed with wrecked cars at 3:32 AM to Toledo.

(Injuries)

There was one injury associated with this incident. The conductor on train 21EZ320 jumped off the leading locomotive of his train just prior to the collision with 20EC121. He was transported to St. Charles Mercy Hospital in Oregon, Ohio. He had multiple injuries: a laceration on the left side of his forehead, a laceration to his left eye, a cervical strain to his neck, a contusion to both knees and heels and abrasions to both hands. The laceration on his forehead was closed with a staple and the laceration on his eye was closed with five stitches. He was kept overnight at the hospital for observation.

Analysis and Conclusions:

Both of the lead engines, NS 9716 on train 20EB121 and NS 9868 on train 21ZC320 were both equipped with

Rail View Cameras. The data from these cameras was downloaded and reviewed. The data from the camera of train 20EB121, traveling east, revealed the approach signal at milepost CD283 displayed an approach signal indication not a clear signal as stated by the crew. Voice recording tapes of the crew on train 20EB121 revealed the crew called the signal as a clear. The camera also recorded the control point signal at milepost CD 281. This signal displayed a stop signal indication. The crew placed their train in emergency but passed the stop signal before bringing their train to a stop. This data clearly showed the crew missed the signal indication at milepost CD 283 which ultimately caused them to pass the stop signal at milepost CD 281. Testing of the signal system confirmed the system worked as intended.

(Signals)

The signal systems at this location are conventional relay logic type and are controlled by a train dispatcher, Toledo East, in Dearborn, Michigan. This signal system has non-coded DC tracks, GRS model 5H power switches, and uses GRS plug-in relays for control and indication. The color-light signals use GRS G style signal heads and are mounted on signal bridges 25 feet above the tracks. The 282 2E signal, milepost CD 283, has two signal heads: the top head has a yellow, a green and a red aspect. The lower head has a green and red aspect. For a clear signal at milepost CD 283 the top signal head would display a green aspect and the bottom head would be red. For an approach indication, the top head would display a yellow aspect while the bottom head would be red.

After the accident the Chief Engineer of Signals for the NS Northern Region had all signal instrument cases locked and sealed. Testing of the signal system was conducted by FRA Signal Inspector, Terry Ellis. Testing indicated the system was in compliance with all FRA Part 236 guidelines. Signal test records of the affected locations were also inspected with no exceptions noted. Sight distance testing was also conducted the day following the accident. The signals were found to be in good focus and alignment.

Note: Lead engines on both trains were equipped with Rail View cameras. The video data was inspected by FRA Operating Practices and Safety Inspector Mark Pruden and FRA Signal Inspector Terry Ellis. The video data from the Rail View camera on the lead engine on train 20E clearly shows the signal aspect the crew called clear at CD 283 was an approach signal. Audio tapes from the Dearborn Dispatchers office recorded the crew calling this signal clear. The signal at CD 281 was displaying a stop signal indication.

(Track)

The track in this area is double mainline running east to west. The track in the area is tangent track with a 1 degree curve running 8/10 of a mile long just east of the accident site.

(Equipment)

Both trains involved in this accident had Class 1 Brake Tests performed. This brake test on train 20EB121 was performed on October 21, 2005 at 47th Street Yard in Chicago, IL and the 21ZC320's brake test was performed on October 20, 2005 at Rutherford Yard in Harrisburg, PA . Both trains were inspected after the accident no exceptions noted except for one car in train 20EB121, RTTX 600801 was found to have ineffective air brakes (piston travel of 12 inches, 1 ½ inch longer than the 10 ½ inch maximum). Daily inspections on all locomotives were conducted on October 21. Lead locomotive on train 21ZC320, NS 9868 had it's last periodic inspection conducted on October 15, 2005 in Elkhart, IN. The periodic inspection of the trailing locomotive, NS 9891 was conducted on October 3, 2005 in Roanoke, VA.. The periodic inspection on the lead locomotive on train 20EB121, NS 9716 was conducted on September 22, 2005 in Linwood, NC and the trailing unit NS 2628 at Altoona, PA on September 13, 2005.

Accident Cause:

The crew on train 20E failed to observe and identify the correct signal indication at milepost CD 283. Instead of responding to an approach signal indication the crew called the signal a clear and continued traveling east at about 45 MPH. The crew then failed to stop short of a stop signal indication at milepost CD 281.

An investigation of the incident was held by Norfolk Southern and found both crew members on train 20E at fault for failing to stop for a stop signal indication. Both crew members have been dismissed from service for passing a stop signal indication without authority. The FRA concurs with the finding of Norfolk Southern.